Contents

Abstract iii

Resumen v

Resum ix

Acknowledgements xi

List of symbols xxiii

Abbreviations and Acronyms xxv

1 Introduction 1
- 1.1 Introduction . . . 3
- 1.2 Motivation and Scope . . . 8
- 1.3 Key Contributions . . . 11
- 1.4 Organization of the Thesis . . . 13

2 Preliminaries and State of the Art 17
- 2.1 Multiple-Input Multiple-Output Systems . . . 19
  - 2.1.1 The BLAST System . . . 19
  - 2.1.2 Capacity of the MIMO Channel . . . 23
  - 2.1.3 Maximum-Likelihood Detection . . . 24
- 2.2 Linear and Successive Interference Cancellation Detectors . 26
  - 2.2.1 Matched Filter Detector . . . 26
  - 2.2.2 Zero-Forcing and Minimum Mean Square Error Detectors . . . 26
  - 2.2.3 Successive Interference Cancellation Detectors . . . 27
  - 2.2.4 Reordered Detection . . . 28
  - 2.2.5 Performance Comparison . . . 29
- 2.3 Tree-Search-Based Detection/Sphere Decoding . . . 31
  - 2.3.1 Sphere Decoding Fundamentals . . . 31
  - 2.3.2 Fincke-Pohst and Schnorr-Euchner Enumerations . . 37
  - 2.3.3 K-Best Sphere Decoder . . . 39

2.3.4 Automatic Sphere Decoder 41
2.3.5 Fixed-Complexity Sphere Decoder 42
2.4 MIMO-Bit-Interleaved Coded-Modulation Systems 45
2.4.1 System Model and Log-Likelihood-Ratios 45
2.4.2 Tree-Search-Based Soft Demodulation 47
2.5 Multiuser MIMO-OFDM Communication Systems 48
2.5.1 System Model 48
2.5.2 Vector Perturbation Precoding 50
2.5.3 Zero-Forcing Precoding 51
2.5.4 Tomlinson-Harashima Precoding 51
2.5.5 Lattice-Reduction-Aided Precoding 52
2.6 Conclusion 53

3 MIMO Preprocessing Techniques **55**
3.1 Introduction 57
3.2 VBLAST ZF-DFE Ordering 59
3.2.1 Algorithm Description 59
3.2.2 Complexity Analysis 60
3.2.3 Low-Complexity Implementation 61
3.2.4 Performance Evaluation 64
3.3 Lattice-Reduction Algorithms 64
3.3.1 LLL and Seysen's Algorithms 66
3.3.2 Complexity Analysis 69
3.3.3 Performance Evaluation 71
3.4 Complexity and Performance Comparison 72
3.5 Conclusion 76

4 Efficient Hard-Output Detection **79**
4.1 Introduction 82
4.2 Variable-Breadth K-Best Detector 83
4.3 Channel Matrix Condition Number Estimator 86
4.3.1 The Power Method to Estimate σ_{max}. 87
4.3.2 Estimator of σ_{min}^{-1}. 88
4.3.3 Joint Estimator of $\kappa(\mathbf{H})$. 88
4.4 Threshold Selection 89
4.5 LRA K-Best Detector Based on Condition Number 92
4.6 Results 95
4.6.1 VB K-Best Detector 95
4.6.2 LRA K-Best Based on Condition Number 100

4.7 Conclusion 103

5 Efficient Lattice-Reduction-Aided Algorithms 105
5.1 Introduction 107
5.2 LRA-SIC K-Best detection 109
5.3 LRA K-Best Detector 112
5.3.1 Boundary Calculation of the Transformed Lattice Points 114
5.3.2 Extended LLL Algorithm 115
5.3.3 Performance Evaluation 117
5.3.4 Computational Cost Analysis 118
5.4 Complexity Reduction Using Boundaries 120
5.4.1 LRA K-Best with Candidate Limitation 120
5.4.2 Dynamic-K and Dynamic-N LRA K-Best Detectors 122
5.5 Relationship among the proposed hard-output schemes 127
5.6 Multiuser Precoding using the Extended LLL Method . . . 129
5.6.1 Lattice-Reduction-Aided Precoding 130
5.6.2 Enhanced Lattice-Reduction-Aided Precoding 130
5.6.3 Lattice-Reduction-Aided Tomlinson-Harashima Precoding 131
5.6.4 Performance Comparison 132
5.6.5 Computational Cost Analysis and Comparison . . . 134
5.7 Conclusion 139

6 Efficient Soft-Output Detection 141
6.1 Introduction 143
6.2 System model 145
6.3 Soft-output Fixed-complexity Detection 148
6.4 Proposed SFSD with quantized outputs 150
6.4.1 Quantization-based Pruning 151
6.4.2 Clipping-based Pruning 151
6.5 Results 152
6.6 Conclusion 156

7 GPU Implementation of MIMO Detectors 159
7.1 Introduction 162
7.2 GPU and CUDA 163
7.2.1 CUDA Programming Model 163
7.2.2 GPU Architecture 167

7.3 Algorithms Selected for GPU Implementation 168
7.3.1 FSD versus K-Best 168
7.3.2 Proposed Soft-Output Detection Scheme 169
7.4 Implementation of MIMO Detection Algorithms in CUDA . 172
7.4.1 Hard-Output FSD 173
7.4.2 Soft-Output FSD 175
7.4.3 Fully-Parallel SFSD 178
7.5 Results 180
7.5.1 Configuration Parameters and Performance Measures 180
7.5.2 Hard-Output FSD 182
7.5.3 Soft-Output FSD 185
7.5.4 Fully-Parallel SFSD 190
7.6 Conclusion 195

8 Conclusions **197**
8.1 Summary 199
8.2 Further Work 201
8.3 List of Publications 203

Bibliography **208**