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Additional Information

# Applied Energy

## Optimization of biomass supply to a CHP system based on multiple agro-industrial wastes under Mediterranean conditions: a tactical strategy for a circular bioeconomy system.

--Manuscript Draft--

<b>Manuscript Number:</b>	
<b>Article Type:</b>	Research Paper
<b>Keywords:</b>	biomass logistics; bioenergy; agricultural waste; circular bioeconomy; optimization
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<b>Abstract:</b>	<p>This research analyses and proposes an optimization model for the supply of biomass to a small-scale CHP system with a supply of different biomasses at a local level under Mediterranean conditions. The research aims to quantitatively assess whether it is economical and environmentally beneficial to transport various types of biomasses to the CHP plant, instead of landfilling, determining the biomass required according to the availability of power generation for each biomass of agricultural and agro-industrial origin. To do this, a representative case study has been developed in the Maule Region (Chile) to supply power and heat to the public, private, and residential buildings. The main biomasses analyzed are olive pomace, fruit pits (cherries, peaches, plums) and vineyard pruning. The results demonstrate that the supply of residual biomass to the CHP plant avoids the emission of CO<sub>2</sub>e generated by final disposal (landfilling or burning). Regarding the minimization of CO<sub>2</sub>e emissions, pruning residues are identified as the first supply option, due to their high calorific value. Regarding cost minimization, olive pomace is identified as the first option, followed by fruit pits and pruning material. Furthermore, transport is not a major contributing cost or environmental factor when biomass sources are close to the CHP system, up to a maximum supply radius of 30 km. Finally, despite seasonality of agricultural biomass supply under Mediterranean conditions, it is feasible to adequately supply a small-scale CHP plant. However, this increases the storage costs involved. Other lignocellulosic biomasses (e.g. forest residues) could be used to optimize costs and environmental benefits.</p>
<b>Suggested Reviewers:</b>	<p>Renzo Akkerman Wageningen University &amp; Research renzo.akkerman@wur.nl Expert in Operations Research and Logistics</p> <p>Miguel Figliozzi Portland State University figliozzi@pdx.edu main research areas are transportation systems modeling, statistical analysis, and optimization</p> <p>Taraneh Sowlati The University of British Columbia kmalladi@alumni.ubc.ca Areas of research include:</p>

	<p>Biomass supply chain management Mathematical modeling and optimization Multi-criteria decision making Simulation Life cycle assessment</p>
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## **Highlights**

- Biomass supply to CHP plant avoids CO<sub>2</sub> emissions generated by final disposal.
- Pruning residues are identified as the first supply option to minimize carbon footprint.
- Olive pomace is identified as the first supply option to minimize costs.
- Transport is not a major cost up to a supply radius of 30 km.
- Seasonality can be compensated with additional supply of forest biomass.

March 24, 2021

Dear Dr. Jinyue Yan  
Editor in Chief  
Applied Energy

We are enclosing here with the manuscript entitled “*Optimization of biomass supply to a CHP system based on multiple agro-industrial wastes under Mediterranean conditions: a tactical strategy for a circular bioeconomy system*” submitted to Applied Energy for possible evaluation. The submitted manuscript corresponds to an original research paper.

The manuscript submitted represents a potential contribution to the journal, considering that this research proposes a bi-objective optimization model for the supply of combustible material to a CHP system with a supply of different biomasses at a local level under Mediterranean conditions. Besides, this work quantitatively assesses whether it is economical and environmentally beneficial to transport various types of biomasses to the CHP plant, instead of landfilling, determining the biomass required according to the availability of power generation for each biomass of agricultural and agro-industrial origin.

We consider that this paper is relevant for this journal because it presents an optimization model that can be useful decision makers in renewable energy sources in a circular bioeconomy framework. In this case, to supply power and heat to the public, private, and residential buildings, through multiple biomass sources.

The manuscript has been checked by a native tongue speaker with expertise in the field and we consider that can be attractive to a scientific audience. Besides, we are available as reviewers for new articles submitted to the journal.

Moreover, we believe that this paper demonstrates that the supply of residual biomass to the CHP plant avoids the emission of CO<sub>2</sub>e generated by final disposal. The article indicates that the best biomass selection depends on the desired objective: minimization of costs or emissions. Moreover, it is carried out a sensibility analysis to evaluate changes in the supply system under demands and capacity variations.

Finally, we would like to undertake that the above-mentioned manuscript has not been published elsewhere, accepted for publication elsewhere or under editorial review for publication elsewhere.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Ricardo Rebolledo-Leiva', with a horizontal line crossing through the middle of the signature.

Ricardo Rebolledo-Leiva, MSc.  
Technological Extension Center of Logistic, Faculty of Engineering  
Universidad de Talca - Chile

### **CRedit authorship contribution statement**

**H. Fernández-Puratich:** Conceptualization, Methodology, Investigation, Writing - Original Draft, Writing - Review & Editing, Visualization. **R. Rebolledo-Leiva:** Conceptualization, Methodology, Formal analysis, Investigation, Software, Writing - Original Draft, Writing - Review & Editing, Visualization. **D. Hernández:** Conceptualization, Methodology, Investigation, Resources, Writing - Review & Editing, Visualization. **J. E. Gómez-Lagos:** Conceptualization, Methodology, Software, Writing - Original Draft, Writing - Review & Editing, Visualization. **B. Armengot-Carbo:** Writing - Review & Editing, Visualization. **J. V. Oliver-Villanueva:** Conceptualization, Writing - Review & Editing, Visualization.

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4 1 **Optimization of biomass supply to a CHP system based on multiple agro-**  
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8 3 **circular bioeconomy system.**  
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34 19 **ABSTRACT:** This research analyses and proposes an optimization model for the supply of  
35 20 biomass to a small-scale CHP system with a supply of different biomasses at a local level under  
36 21 Mediterranean conditions. The research aims to quantitatively assess whether it is economical and  
37 22 environmentally beneficial to transport various types of biomasses to the CHP plant, instead of  
38 23 landfilling, determining the biomass required according to the availability of power generation for  
39 24 each biomass of agricultural and agro-industrial origin. To do this, a representative case study has  
40 25 been developed in the Maule Region (Chile) to supply power and heat to the public, private, and  
41 26 residential buildings. The main biomasses analyzed are olive pomace, fruit pits (cherries, peaches,  
42 27 plums) and vineyard pruning. The results demonstrate that the supply of residual biomass to the  
43 28 CHP plant avoids the emission of CO<sub>2</sub>e generated by final disposal (landfilling or burning).  
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29 Regarding the minimization of CO<sub>2</sub>e emissions, pruning residues are identified as the first supply  
30 option, due to their high calorific value. Regarding cost minimization, olive pomace is identified  
31 as the first option, followed by fruit pits and pruning material. Furthermore, transport is not a major  
32 contributing cost or environmental factor when biomass sources are close to the CHP system, up  
33 to a maximum supply radius of 30 km. Finally, despite seasonality of agricultural biomass supply  
34 under Mediterranean conditions, it is feasible to adequately supply a small-scale CHP plant.  
35 However, this increases the storage costs involved. Other lignocellulosic biomasses (e.g. forest  
36 residues) could be used to optimize costs and environmental benefits.

38 **Keywords:** biomass logistics; bioenergy; agricultural waste; circular bioeconomy; optimization

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41 **1. INTRODUCTION**

42 One of the priorities for sustainable development is an efficient use of the available resources with  
43 the development of circular bioeconomy being fundamental for this (EU Commission, 2015).  
44 Bioeconomy utilizes the bio-based renewable resources to produce energy, products, and materials  
45 in all possible economic sectors [1]. Therefore, this concept is a key factor for establishing and  
46 maintaining a circular economy with a constant supply of renewable energy, materials, and  
47 feedstocks [1,2]. The circular economy approach emphasizes reuse, remanufacturing,  
48 refurbishment, repair, as well as solar, wind, biomass and waste-derived energy utilization  
49 throughout the product value chain and cradle-to-cradle life cycle [3]. In this context, biomass is  
50 considered as a significant resource for the emerging low carbon and circular bioeconomy [4,5].  
51 The Mediterranean agroindustry sector across the world (Southern Europe, Chile, California, South  
52 Africa and Australia) provides a wide source of biomass resources, due to different fruit  
53 plantations, such as vineyards, blueberries, apples, sweet cherry, hazelnut, olive trees, walnut trees,  
54 among others. As both the waste generated after cultural treatments [6] and industrial  
55 transformations [7–11] constitute an important source of agro-industrial waste that can be  
56 valorized, contributing to a social, economic, and environmental improvement for the entire  
57 community. In this way, avoiding burning the pruning residues and considering them as resources  
58 with an economic value may involve agro-industrial decision-makers to apply a circular  
59 bioeconomy model. Besides, the risks of fires or pests is reduced in the natural environment [12].  
60 In this sense, not only pruning waste, but also cherry pits, olive and vine pomace, hazelnut shells  
61 and walnuts, among others, can be used for energy purposes, and their consumption as energy  
62 sources may reduce CO<sub>2</sub> emissions [7,9,13]. In this way, by concentrating on alternative low-  
63 carbon energy sources, communities and businesses can reduce their dependence on fossil fuels.  
64 Therefore, a better understanding of the connection between different flows of biomass at different

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4 65 spatial scales and energy demand is needed for an effective transition towards a circular  
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6 66 bioeconomy [14].  
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9 67 As a general characteristic, biomass is a resource dispersed throughout the territory and on  
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11 68 many occasions, it has no known use [15,16]. This dispersion entails not only different direct  
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13 69 economic costs, which refers to the proximity to the center of consumption of one or another source  
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15 70 of resources [17], but also different levels of CO<sub>2</sub> emissions. Otherwise, regardless of the distance  
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17 71 to the consumption center from the place of waste generation, the biomass can be more profitable  
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19 72 due to the energy characteristics it has compared to other waste, located at a shorter distance [18].  
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21 73 Therefore, the high cost of biomass logistics represents one of the barriers in their widespread use  
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23 74 for energy production [19]. To face this issue, different optimization models have been proposed  
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25 75 considering different biomass characteristics such as seasonal availability, sparse spatial  
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27 76 distribution, and energy quality variations. The incorporation of all these aspects in the  
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29 77 optimization models makes them differ significantly in logistics planning [19,20]. Traditionally,  
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31 78 the optimization models proposed for biomass logistics focused on total supply chain cost  
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33 79 reduction, which included localization, biomass harvest, transport, and storage [21]. Therefore,  
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35 80 some research objectives are: to select the most efficient supply chain configuration, to optimize  
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37 81 sizing of supply chain components to minimize cost, or to optimize scheduling of supply chain  
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39 82 operations [22]. However, optimization models can be differentiated according to the number of  
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41 83 objective functions considered (single objective or multiple objectives). In this sense, the inclusion  
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43 84 of environmental concerns related to emissions from logistics activities received limited attention  
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45 85 [19,23]. Some studies that consider both economic and environmental objectives (i.e, multi-  
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47 86 objective optimization) can be [24], which consider the total profit, fossil energy input, and CO<sub>2</sub>  
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49 87 emissions for biofuel supply chain. [25] maximize net present value and minimize greenhouse gas  
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51 88 (GHG) emissions for pyrolysis processes. [26] minimizes supply chain costs and GHG emissions  
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89 for the location, capacity, and technology decisions to find the optimal combination of bioenergy  
90 systems. [27] maximize job creation, net present value and GHG emissions saving potential of a  
91 forest-based biorefinery supply chain. [28] minimize the total costs, CO<sub>2</sub> emissions and social  
92 impacts of delivering biofuels. [29] maximize economic, environmental, and social performance  
93 of the bioethanol supply chain. [30] consider carbon-pricing policies to obtain the tradeoff between  
94 cost and emissions of biomass supply chain models. [31] maximize the profit and minimize the  
95 total distance between poultry farms and biogas facilities. In the literature, optimization biomass  
96 supply studies are mainly focused on conversion process such as gasification, combustion, biofuel  
97 production, pyrolysis, pelletisation, and few of them concentrate on conversion processes for the  
98 combination of heat and power [32].

99 Cogeneration systems (CHP), which combine heat and electricity, constitute today a  
100 technological alternative to take advantage of these agro-industrial wastes with different  
101 characteristics in a circular bioeconomy system. In this way, it is possible to obtain electrical and  
102 heating energy efficiently from a single system for the community, residential complex, public  
103 buildings, or industries [33–35] in a distributed system (DH). Nevertheless, several environmental  
104 and technical barriers must be overcome to develop these integral projects under Mediterranean  
105 agroforestry conditions. Particularly, ensuring sustained feedstock supply is critical for the viable  
106 commissioning of a CHP plant [36].

107 Therefore, the main aim of this paper is to propose an optimization model for the supply of  
108 combustible material to a small-scale CHP system (1 MW electric and 3 MW thermal energy) with  
109 a supply of different agro-industrial biomass at the local level under Mediterranean conditions.  
110 This research aims to quantitatively assess whether it is environmentally and economically  
111 beneficial to transport various types of biomass to the CHP plant, instead of disposed of as is  
112 traditionally done. In this way, the variety of biomass required is determined according to the

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113 availability of power generation for each type of biomass of agricultural as well as agro-industrial  
114 origin. The research results should serve as a basis for the development of bioenergy CHP system  
115 projects in rural communities or regions that base their economy under agro-industrial activities  
116 with a Mediterranean climate.

117           The remainder of the paper is structured as follows: Section 2 presents the materials and  
118 method with the description of biomass supply to small-scale CHP system, biomass identification  
119 and its analysis, and the optimization model. Section 3 presents the results obtained and discusses  
120 the main findings, while the final section contains the most important conclusions of this study.

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## 123 2. MATERIALS AND METHODS

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### 125 2.1. Biomass supply to small-scale CHP systems

126 This paper focuses on designing a tactical strategy for an optimized supply of multiple type of  
127 biomass for energy production in a CHP plant considering sustainability aspects. To supply  
128 feedstock to the CHP system, residues produced by agricultural and agroindustry activities are  
129 considered. Food and agro-industries generate waste residues in huge volume, and it has been a  
130 matter of concern for environmental pollution [37]. Agricultural residues can be categorized into  
131 field residues and process residues. The first ones are those, which are left behind in the field after  
132 harvest, and correspond mostly to stems, stalks, dry leaves, and seed pods of the crops. The high  
133 volume of field residue, unless managed properly, can cause environmental impact and problems  
134 for next cropping practices [38]. The second ones refer to residues after processing valuable  
135 resources. For instance, in the process of making rice from paddy, husk comes out as residue [39].  
136 This agroindustry also generates a great number of organic residues that can be obtained from  
137 different food processing industries such as juice, meat, confectionery, dairy, and brewery.  
138 Residues that can be obtained are fruit peels, fruit pomace, oil cakes, and whey, among others[40].  
139 These residues are generated according to each seasonal production, and traditionally are disposed  
140 (agroindustry) or burned (agriculture) [41].

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142 A CHP system can use these residues to provide electricity and heating energy to a local  
143 community, but first it is necessary to evaluate some difficulties, such as how much time is feasible  
144 to store these residues, from what sources it is possible to obtain the amount required, how much  
145 of biomass can be obtained from each source, or when these resources can be obtained, since each  
146 biomass depends on their productive season. These are the questions that this research tries to

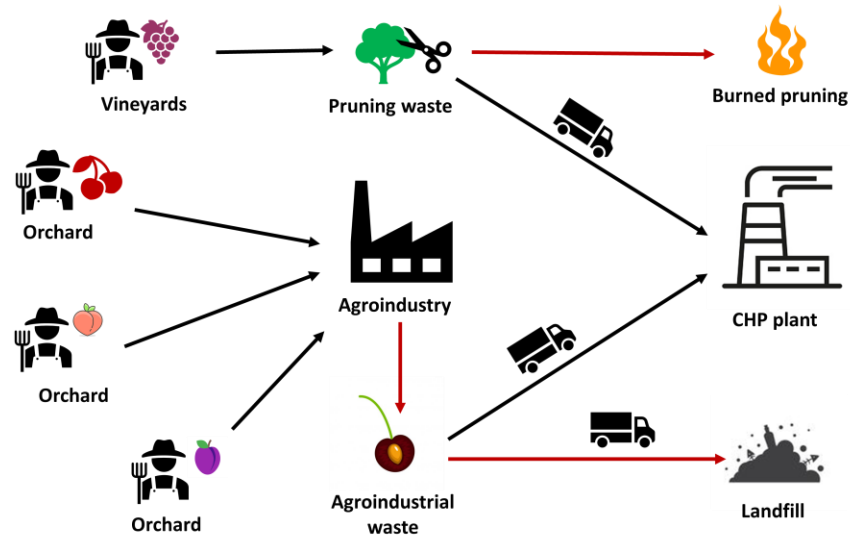


Figure 1. Proposed system for the CHP system supply

## 2.2. Case study

The pilot area to model this system is located in Zona Centro Sur de Chile, specifically in the Maule Region, which is a representative region with agriculture, agroindustry and forestry under Mediterranean conditions. The CHP system must supply power and heat to the public, private, and residential buildings in the area known as Romeral (15,187 inhabitants).

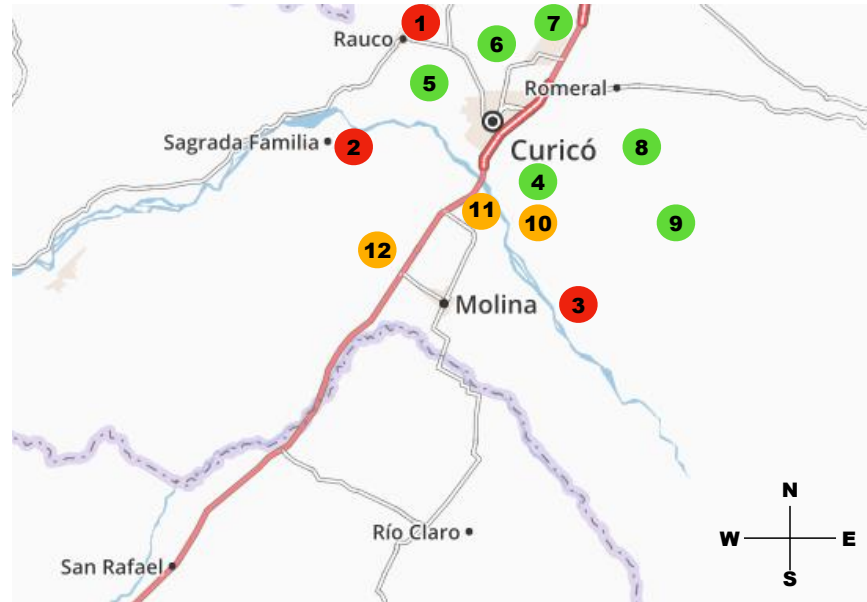
## 2.3. Biomass identification and sampling in the study areas

Although there is no developed market to use agricultural waste for energy purposes in the Mediterranean regions of Chile, it should be noted that in the pilot area, there are several

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164 agricultural companies, identified as potential suppliers of their waste to the CHP system from  
165 different communes in the region, which are shown in the following map in Figure 2.

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168 Figure 2. Agro-industrial sources under study (red: olive pomace, green: fruit pits, orange:  
169 pruning)

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Each company, within the study area, was consulted on the amount (t) of waste generated annually.

172 The most abundant wastes from the companies consulted are fruit pits with an availability of 70,000

173 t year<sup>-1</sup>. Olive pomace is found in much less quantity with 1,400 t year<sup>-1</sup>, and 1,320 t year<sup>-1</sup> of vine

174 pruning waste in the companies involved. Table 1 presents the amount obtained from this biomass

175 in each season, the seasonality, and calorific value of each one.

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180 Table 1. Description of waste from agro-industrial sector in the Maule region.

Residue	Biomass sources location (Fig. 2)	Feedstock	Higher Calorific Value (average) (MJ kg <sup>-1</sup> )	Lower Calorific Value (average) (MJ kg <sup>-1</sup> )	Distance (km)	Seasonality	Amount (t year <sup>-1</sup> )
Olive pomace	1				19.9		300
	2	Olive	22.6	19.8	62.4	May-June	600
	3				14.9		500
Fruit pits	4				6.5		12,000
	5				5.9		9,000
	6	Cherries, peaches, plums	18.1	17.9	9.6	December-May	12,000
	7				0.3		14,000
	8				5.3		14,000
9				5.2		9,000	
Pruning	10				12.5		150
	11	Vineyards	17.8	18.8	31.5	May - August	70
	12				17.6		1,100

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 183 Sampling for energy analysis in the laboratory varied according to the type of waste. In this way,  
 184 in the case of pruning fruit trees, samples were taken randomly from the pruning that are collected  
 185 at the edge of the path in each corridor.

186 a) Fruit pits: using a mechanical system, the waste is deposited directly into a tow truck that  
 187 is pulled by a 100 hp tractor. Subsequently, the waste is collected in the sector of the  
 188 agricultural property closest to the road where it will be removed by a 20-ton 150-hp truck.  
 189 The truck will be loaded with the waste using an 80 hp machine with a mechanical shovel.  
 190 From here, 30 kg per type of fruit pits are sampled for transfer to the laboratory.

191 b) Olive pomace: From the outlet of the mill through a 10-inch diameter tube fed with an  
 192 endless screw system, it will be directly deposited into a 20-ton 150-hp truck. From here,  
 193 30 kg per type of fruit pits are sampled for transfer to the laboratory.

194 c) Pruning: Pruning waste is accumulated on the roadside for removal and/or crushing. From  
 195 here, samples of woody material of 30 kg were collected to be examined in the laboratory.

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197 **2.4. Waste material analysis**

198 The residues were characterized to establish their capacity as solid biofuel. The energy  
199 characterization was determined in dry matter at 0% humidity. It is intended to know the density,  
200 the calorific value and ash percentage.

202 **a) Sampling and preparing of waste material.**

203 To determine the quality of the waste material (olive pomace, fruit pits and pruning), one kg of  
204 each residue collected was extracted in triplicate using a 250 cm<sup>2</sup> dredger sediment sampler (Van  
205 Veen, 12.110 model, Sidmar) in the laboratory. While in the case of fruit trees pruning, three  
206 samples of 3 kg per species were extracted in polyethylene bags and analyzed [9]. It should be  
207 noted that the newly extracted samples were taken directly to the laboratory for analysis, so they  
208 had not achieved their natural drying. Consequently, it was necessary to dry them, considering that  
209 when the wood has water, its calorific value is reduced, firstly by the water content itself and  
210 secondly because part of the wood's heat energy is invested in evaporating water [42]. In order to  
211 carry out the following tests, it was necessary to transform the samples into sawdust or fragments  
212 <5 mm. In the case of samples with diameters <7.0 cm, a portable Garland crusher model BGS  
213 2400 was used. After being crushed, the material was fragmented into smaller parts in an IKA-  
214 Werke model M20 mill, obtaining sawdust. In branches with diameters ≥ 7,0 cm, once the chips  
215 were obtained (5 cm maximum length), they were left to dry for 2 days, to be placed into an  
216 Oliotechnology hammer model ETCR110 11 kW crushers/refiner, obtaining sawdust (0.5 mm - 5  
217 mm).

218 Each sample was analyzed in triplicate and the methods used in the analysis were  
219 standardized according to Deutsches Institut für Normung (DIN) and AOAC INTERNATIONAL  
220 (AOAC INTERNATIONAL, 2016). Whose procedures are oven method - AOAC 945.15 for

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221 moisture content, muffle method – AOAC 940.26 for ashes, and Norm DIN Serie 51.900 for higher  
222 heating value. These processes are already described in [9].

223

224 **b) Bulk density determination**

225 Following EN 15103, bulk density has been determined. The sample was greater than 1 g, weighed  
226 on an empty container resistant to deformation to prevent any variation in form and volume. Its  
227 capacity is 5 l (0.005 m<sup>3</sup>). The container was filled pouring the sample from a height of 200-300  
228 mm above the superior border until it formed a cone of the maximum height possible. The material  
229 was shaken until it settled and then filled once again until it reached the final volume of exactly 5  
230 l, weighing the container with the sample on a precision scale (0.0001 g). The procedure was  
231 replicated, and the sample's moisture content determined according to the norms 14774-1 and  
232 14774-2 immediately after the bulk density was determined. The calculation form is presented in

233 Eq. (1):

$$\text{BDar (a Mar)} = \frac{m_2 - m_1}{V} \quad (1)$$

235 Where:

236 m<sub>1</sub> is the mass, in kilograms, of the sample;

237 m<sub>2</sub> is the mass, in kilograms, of the container and the sample;

238 V volume of the container in m<sup>3</sup>.

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243 **c) Energetic value (HHV)**

244 The method applied was based on Norm DIN 51.900, in which a Cal2k calorimeter (Eco + lab  
245 model) was used. The samples were analyzed in triplicate, taking one gram of homogeneous sample  
246 for each case.

248 **d) Ash content**

249 The method applied to determine ash was AOAC 940.26, where a Vulcan brand muffle (model  
250 1000) was used. The samples were analyzed in triplicate taking one gram of homogeneous sample  
251 for each case.

253 **2.5. Biomass demand of a small-scale CHP plant**

254 The CHP system designed to meet energy demand, has an installed capacity of 1 MWe and 3 MWth  
255 with a 24-hour operation, with April until October (winter months) as the months of greatest  
256 demand. The energy supply is constant, except for plant maintenance. The criteria for estimating  
257 fuel demand are as follows: i) heating season starts on April 15 and lasts until November 15 and  
258 ii) the energy characteristics of the waste (MJ kg<sup>-1</sup>) and its availability (t).

259 The calculation of agroforestry biomass (olive pomace, fruit pits and fruit pruning) necessary  
260 to supply the 1 MWe plant, under the necessary power of the referential boiler of 2760 [kW] and  
261 the plant's efficiency being 90%, has been determined based on Eq. (2):

$$Biomass \left[ \frac{kg}{yr} \right] = \frac{Steam\ power\ required\ [kW]}{PCI \left[ \frac{Kg}{hr} \right]} \quad (2)$$

265 The calculation of agroforestry biomass (olive pomace, fruit pits and fruit pruning) necessary to  
266 supply the 1 MWe plant has been determined based on the following calculation:

$$PCI \text{ olive pomace} \left[ \frac{MJ}{kg} \right] = 19.8$$

$$PCI \text{ fruit spits} \left[ \frac{MJ}{kg} \right] = 18.7$$

$$PCI \text{ pruning} \left[ \frac{MJ}{kg} \right] = 16.8$$

$$\text{Necessary power} = 2762 [kW] \times 860 \left[ \frac{kcal}{kg} \right] \times 4,1855 [Kj] = 9,941,901.86 \left[ \frac{kJ}{kg} \right]$$

Knowing that the operating time of the boiler will be 24 hours for 8 months:

$$\text{Olive pomace} \left[ \frac{kg}{hr} \right] = \frac{9,941,901.86 \left[ \frac{kJ}{kg} \right]}{19830 \left[ \frac{kJ}{kg} \right]} = 501.36 \frac{kg}{hr} \times 24 \text{ hr} \times 30 \text{ days} \times 8 \text{ months} = 2887 \frac{t}{year}$$

$$\text{Fruit spit} \left[ \frac{kg}{hr} \right] = \frac{9,941,901.86 \left[ \frac{kJ}{kg} \right]}{17900 \left[ \frac{kJ}{kg} \right]} = 555.41 \frac{kg}{hr} \times 24 \text{ hr} \times 30 \text{ days} \times 8 \text{ months} = 3199 \frac{t}{year}$$

$$\text{Pruning} \left[ \frac{kg}{hr} \right] = \frac{9,941,901.86 \left[ \frac{kJ}{kg} \right]}{16800 \left[ \frac{kJ}{kg} \right]} = 591.78 \frac{kg}{hr} \times 24 \text{ hr} \times 30 \text{ days} \times 8 \text{ months} = 3408 \frac{t}{year}$$

In this way, to cover the demand for raw material, between 2,887 and 3,408 t year<sup>-1</sup> are needed.

Which will vary depending on the energy capacity, cost per ton, availability of each type of biomass, among other variables that will be analyzed in this study.

## 2.6. Economic and CO<sub>2</sub>e emissions parameters

Given the geographical and logistic characteristics of Chile, road truck is the main alternative for load movements, since load trains is not fully available in this country, and air transport is focused on international markets.

- i. *CO<sub>2</sub>e emissions*: emission factors for transport and final disposition at landfill are obtained through Simapro software v9.1.0.8. with ecoinvent v3.6 database. Regarding pruning

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292 burning emission, it takes into account factors emission from the IPCC guide, considering  
293 non-CO<sub>2</sub> emissions from fire, since biogenic CO<sub>2</sub> emissions are regarded as contemplate  
294 neutral [43] .

- 295
- 296 ii. *Economic costs:*
- 297 – *Storage cost:* For this item, a cost value of 7.4 USD t<sup>-1</sup> is considered, an average value  
298 of warehouse rental according to the physical space used.
  - 299 – *Transport cost:* Biomass is transported by trucks of 4.5, 12.5 and 18.8 tons, with a cost  
300 of USD 0.04 tkm<sup>-1</sup>, according to the available offer of local transport companies.
  - 301 – *Purchase cost:* A value of 2.58 USD t<sup>-1</sup> is considered according to values reported by  
302 local companies.

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## 304 **2.7. Bi-objective optimization model and scenarios analysis**

305 In this research, a mixed integer linear programming model is developed, which considers  
306 economic and environmental aspects by a bi-objective approach. The model aims to design the  
307 biomass supply to CHP plant by making decisions corresponding to; (1) inventory and distribution  
308 planning to meet the energy demand of a particular area, (2) selection of the type of biomass  
309 according to their calorific value and season availability, (3) selection of the biomass source from  
310 which the biomass will be purchased. The bi-objective model determines the optimal supply  
311 configuration considering the tradeoffs between economic costs and CO<sub>2</sub>e emissions. In this  
312 context, two possible scenarios are analyzed:

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- 314 • Scenario 1: the source stores the residue from one period for the next moment when the  
315 vehicle removes the biomass. While the amount of biomass that is not acquired is burned  
316 (pruning) or sent to the landfill (fruit pits and olive pomace).
- 317 • Scenario 2: the supplying source does not store the biomass in the collection period.  
318 Therefore, it is burned (pruning residues) or sends the residues (fruit pits and olive pomace)  
319 to the landfill not removed.

321 The model includes environmental and economic objectives, these are: (1) minimization of  
322 total costs and (2) minimization of CO<sub>2</sub>e emissions. The economic objective minimizes the total  
323 costs of the collection system, which includes the purchase, transport and storage of biomass. The  
324 environmental objective is related to transportation and biomass final disposition, such as burning  
325 pruning or disposing in landfill. This trade-off approach is necessary because although biomass is  
326 considered a renewable energy source, the amount of fossil fuel consumed for its logistics can  
327 offset the reduction in emissions from the use of biomass in conversion facilities [19,44]. The  
328 notations of the parameters and variables of mathematical formulations are presented in Table 2.

345 Table 2. Notations of mathematical formulations

Item	Symbol	Description	Unit
Sets	$N$	Biomass source	-
	$K$	Vehicles	-
	$R$	Types of biomass	-
	$T$	Time period	-
	$F_r$	Sources that generate the type of biomass $r$	-
Parameters	$p$	Vehicle load capacity	t
	$o_{rit}$	Amount of type biomass $r$ generated in source $i$ in period $t$	t
	$m_t$	Demand for the CHP plant in period $t$	kWh
	$d_i$	Distance to the point $i$	km
	$q$	Maximum storage capacity at the CHP plant	t
	$e_r$	Calorific value of type biomass $r$	kWh
	$ct$	Transportation cost per quantity of biomass from source to CHP plant	USD tkm <sup>-1</sup>
	$ca_r$	Storage cost per amount of biomass in CHP plant	USD t <sup>-1</sup>
	$cv_r$	Purchase cost of biomass	USD t <sup>-1</sup>
	$emt$	Emission factor by transport	kg CO <sub>2</sub> tkm <sup>-1</sup>
	$emp_r$	Emission factor for end-life disposition type according to biomass type $r$	kg CO <sub>2</sub> t <sup>-1</sup>
Variables	$SS_t$	Safety stock at CHP plant in period $t$	t
	$z_{rit}$	Amount of biomass type $r$ that is collected in source $i$ in period $t$	t
	$l_{rt}$	Amount of biomass type $r$ that is stored in the CHP plant at the end of period $t$	t
	$ll_{irt}$	Amount of biomass type $r$ that is stored in source $i$ at the end of period $t$	t
	$qem_{rt}$	Amount of biomass type $r$ consumed in the CHP plant in period $t$	t
	$v_{it}$	$\in \{0,1\}$ $v_{it} = 1$ if the source $i$ is visited in period $t$	-

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347 **a) Objective functions**

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$$\min z_1 = \sum_{r \in R} \sum_{i \in F_r} \sum_{t \in T} cv_r z_{rit} + \sum_{t \in T} \sum_{i \in C} ct d_i v_{it} + \sum_{r \in R} \sum_{t \in T} ca_r l_{rt} \quad (3)$$

349 
$$\min z_2 = emt \sum_{t \in T} \sum_{r \in R} \sum_{i \in F_r} d_i z_{irt} + \sum_{r \in R} emp_r \left( \sum_{i \in F_r} \sum_{t \in T} o_{irt} - \sum_{i \in F_r} \sum_{t \in T} z_{irt} \right) + emtv \sum_{r \in R} \sum_{i \in F_r} dv_i \left( \sum_{t \in T} o_{irt} - \sum_{t \in T} z_{irt} \right) \quad (4)$$

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351 **b) Restrictions for inventory depending on data sources**

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352 Restriction (5) limits the amount of biomass that can be obtained from each offering source  $i$  to the  
353 number of trips made to that source multiplied by the capacity of the truck.

$$z_{irt} \leq pv_{irt} \quad r \in R, i \in F_r, t \in T, \quad (5)$$

355 Restriction (6) indicates the initial inventory accumulated in each source.

$$ll_{ir0} = o_{ir0} - z_{ir0} \quad r \in R, i \in F_r, \quad (6)$$

357  
358 Constraint (7) indicates that the total biomass stored in the source  $i$  ( $ll_{rit}$ ) must be equal to the total  
359 biomass available at the location of origin  $i$  in the previous period ( $ll_{rit-1}$ ), plus the new amount  
360 of biomass produced at the source and less the biomass sent to the CHP plant.

$$ll_{irt} = ll_{irt-1} + o_{irt} - z_{irt}, \quad r \in R, i \in F_r, t \in T - \{0\} \quad (7.a)$$

$$ll_{irt} = o_{irt} - z_{irt}, \quad r \in R, i \in F_r, t \in T - \{0\} \quad (7.b)$$

364  
365 Here the formulation is differentiated for the two possible scenarios: in the first one, the producer  
366 stores the biomass for the next period (7. a), while, in the second one, the producer burns or sends  
367 the biomass not removed during a period to the landfill (7. b).

### 368 c) Restrictions in CHP plant

369  
370 In order to ensure that the volume stored in a CHP plant never exceeds the capacity of the plant,  
371 the restriction (8) is formulated. Capacity is defined as the sum of the biomass stored at the end of  
372 the period and the amount of biomass transported from sources during period  $t$ :

$$SS_t \leq \sum_{r \in R} l_{rt} \leq q \quad t \in T \quad (8)$$

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In addition, restrictions must be expressed that guarantee that the demand of the CHP plant is satisfied. The demand of the CHP plant in period  $t$  is denoted by  $m_t$ . This demand is expressed in terms of energy (kWh), however, all products transported to the heating plant are expressed in units of mass. Therefore, it is necessary to introduce conversion factors from volume to energy ( $e_r$ ):

$$\sum_{r \in R} e_r qem_{rt} = m_t \quad t \in T \quad (9)$$

Constraint (10) shows the initial inventory level at the CHP plant:

$$l_{r0} = \sum_{i \in N} z_{ri0} - qem_{r0} \quad r \in R \quad (10)$$

Constraints (11) and (12) present the inventory level in the next period at the CHP plant:

$$l_{rt} = l_{rt-1} + \sum_{i \in FR_i} z_{rit} - qem_{rt} \quad r \in R, t \in T - \{0\} \quad (11)$$

$$l_{r,|T|-1} = SS_{|T|-1} \quad (12)$$

Finally, restrictions (13) and (14) impose the nature of the decision variables.

$$z_{rit}, l_{rt}, ll_{rit}, qem_{rt} \geq 0 \quad r \in R, i \in F_r, t \in T \quad (13)$$

$$v_{it}, \in \mathbb{Z}^+, i \in C, t \in T. \quad (14)$$

Finally, it is important to highlight that the purpose of analyzing these two scenarios is quantifying the impact that a biomass supplier source does not store these resources for the next withdrawal period. A widely known approach to solve a multi-objective model is the  $\varepsilon$ -constraint method [45]. This method generates a set of Pareto-optimal solutions showing the trade-offs among multiple criteria. Through this technique, the problem is reformulated as a single objective problem where

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393 one of the objective functions (selected arbitrarily) is kept and the other objectives are considered  
394 as additional constraints restricted within user-specified  $\varepsilon$  scalar values (utopic and nadir value).

### 3. RESULTS AND DISCUSSION

397 In this section, firstly, the results about biomass characteristics and properties are presented.  
398 Secondly, the results obtained from the optimization model are presented and they will be discussed  
399 under a sensitivity analysis.

#### 3.1. Biomass characteristics and properties

##### a) Bulk density and energetic value

402 The results of each individual measurement are rounded to the nearest  $0.1 \text{ kg m}^{-3}$ . The average  
403 value of the individual results was calculated rounding to the nearest  $10 \text{ kg m}^{-3}$ . Table 3 establishes  
404 that these residues have important energy properties demonstrating their potential as raw materials  
405 to be used with these fines.

406 Table 3: Results of the physical-chemical analyses for samples of olive pomace and pruning  
407 residues in initial conditions.

Samples	Moisture content (% wb)**	Higher heating value (MJ kg <sup>-1</sup> )*	Ashes (% wb)*
	Oven method - AOAC 945.15	Norm DIN Serie 51.900	Muffle method – AOAC 940.26
Olive pomace	77.7±0.11	22.3±0.06	3.2±0.12
Pruning residues	18.9±0.29	17.8±0.45	2.1±0.11
Fruit pits	16.54±0.12	19.3±0.21	2.92±0.4

408 \*Dry base; \*\*wet base

410 Humidity is one of the key physicochemical characteristics in the use of biomass as fuel.  
411 [46] has shown that particle size and moisture content reduce its HHV, combustion temperature  
412 and causes ignition difficulties and instability during the process. In addition, the moisture in the

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4 413 biomass hinders homogeneous heating and leads to the overlapping of combustion stages and  
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6 414 increased conversion time [47,48].  
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11 It is important to mention that the values reported in Table 3 for HHV are higher than the  
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13 quality standards ISO 17225-4 for chips and ISO 17225-6 for non-woody. Calorific values that  
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15 correspond to  $\geq 16.5$  MJ kg<sup>-1</sup> for domestic use and  $\geq 14.5$  MJ kg<sup>-1</sup> for industrial use. Studies in solid  
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17 waste from olive production by [9,49,50] present, on average, calorific values from 15.6 to 19.8  
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19 MJ kg<sup>-1</sup>. In this sense, these results coincide with those obtained in the laboratory, which, on  
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21 420  
22 average, correspond to 22.3 MJ kg<sup>-1</sup>. In woody waste from pruning in vineyards, studies carried  
23 421  
24 out by [6,51–53] Spinelli et al. (2012), obtained average values from 17.1 to 19.2 MJ kg<sup>-1</sup>. Results  
25 422  
26 that coincide with those obtained in the laboratory, which, on average, correspond to 17.8 MJ kg<sup>-1</sup>.  
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**b) Ash content**

Regarding ash, Table 3 shows that the values obtained for all the wastes studied are similar. In this way, olive pomace contains 3.2%, pruning fruits 2.1% and fruit pits 2.9%. [51–53,60] obtained average values ranging from 2.4% to 5.3% in woody waste from vine pruning, which are relatively higher values than those obtained in this research. This may be because the samples collected are not uniform in climate, time of year, type of soil, part of the plant and the presence of additional contaminants like sand that contributes to increase the ash content [61,62]. Regarding fruit pits, studies carried out by [54–56,63] obtained average values of 1.0 to 5.6% for different fruit pit. Results that coincide with those obtained in the laboratory, in which a range of 2.1 to 3.2% was obtained. These results are within the values indicated by the ISO 18122 standard as optimal to be used for energy purposes.

In order to avoid an increase in the percentage of ashes, it is recommended that the biomass delivered to the plant should meet the minimum requirements that guarantee adequate humidity, and equipment that allows the fuel supplied to be weighed [64]. Therefore, with the results obtained, it can be concluded that these residues can be perfectly used as an energy source, contributing to the development of a circular bioeconomy system.

**3.2. Sensitivity analysis**

To analyze the behavior of the results with respect to the desired objectives, a sensitivity analysis is carried out based on the variation of two parameters: vehicle capacity and energy demand. In this context, the capacities of 4.5 t, 12.5 t and 18.8 t are considered for transportation. While 0.5

MWe, 1 MWe, and 2 MWe are considered for energy demand. Consequently, there are nine cases for each evaluated scenario. In Table 4, the cases considered, and the notation used are presented.

Table 4. Parameters for sensitivity analysis

Vehicle capacity (t)	Energy demand (MW)	Scenario 1 Notation	Scenario 2 Notation
4.5	0.5	S1 4.5 ton/ 0.5 MW	S2 4.5 ton / 0.5 MW
	1	S1 4.5 ton / 1 MW	S2 4.5 ton / 1 MW
	2	S1 4.5 / 2 MW	S2 4.5 ton / 2 MW
12.5	0.5	S1 12.5 ton / 0.5 MW	S2 12.5 ton / 0.5 MW
	1	S1 12.5 ton / 1 MW	S2 12.5 ton / 1 MW
	2	S1 12.5 ton / 2 MW	S2 12.5 ton / 2 MW
18.8	0.5	S1 18.8 ton / 0.5 MW	S2 18.8 ton / 0.5 MW
	1	S1 18.8 ton / 1 MW	S2 18.8 ton / 1 MW
	2	S1 18.8 ton / 2 MW	S2 18.8 ton / 2 MW

### 3.3. Optimization model results

The bi-objective model was implemented in OPL software and solved using the commercial solver CPLEX 12.9. Results are exposed comparing the two scenarios analyzed, the first one, when biomass is not collected, it is stored in the source location for the next period. Otherwise, the second one, the rest of the biomass that is not used is burned (pruning residues) or it is sent to a landfill (fruit pits). Pareto optimal solutions are presented in Figure 3 according to the sensibility analysis presented in Table 4. These results show the trade-off between both objective functions. This is mainly since the biomass with the best ratio cost-calorific value is, in turn, the one with the worst ratio CO<sub>2</sub>e emission-calorific value. Therefore, there is a change in the composition of the biomass according to the objective that is the most important for the decision maker.

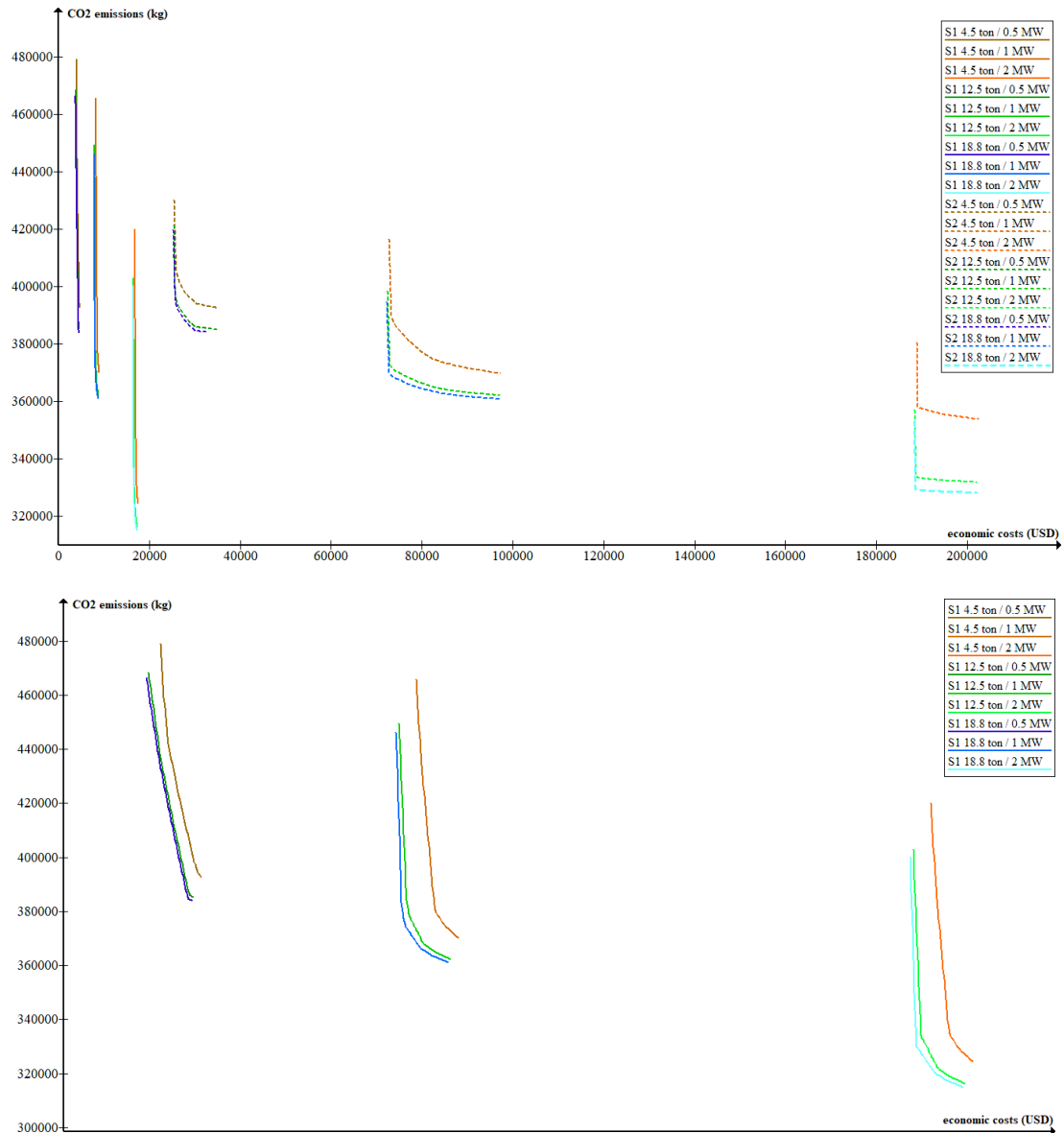
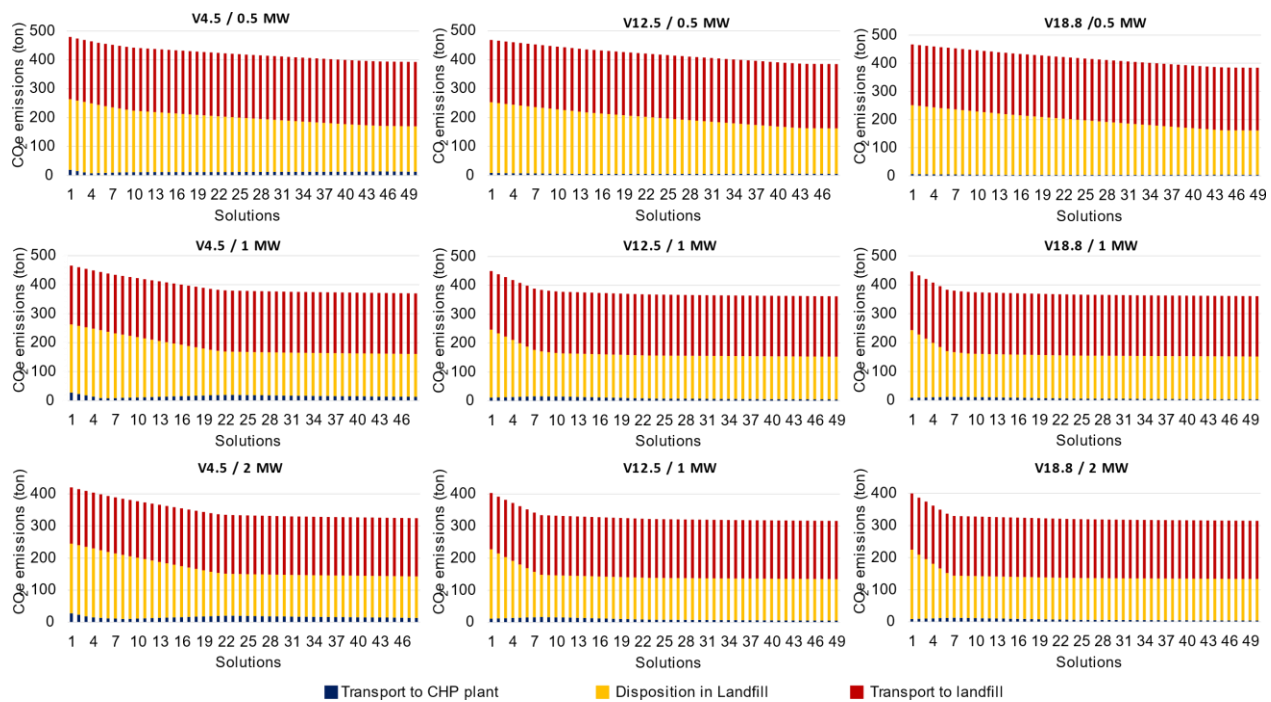


Figure 3. Pareto optimal solutions

Regarding the factors that contribute to CO<sub>2</sub>e emissions (Figure 4 and 5), it is identified that the factor that contributes the most is the transport of biomass to the landfill. Because the largest amount of available biomass is not used to supply the cogeneration system. On the other hand, the factor that contributes the least to CO<sub>2</sub>e emissions is the transport of biomass to the CHP system. Mainly because of the proximity to the supplying sources and the amount of biomass required to be transported. Therefore, from these results, it is observed that supply biomass for the

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484 CHP system generates an environmental benefit compared to the traditional disposal of biomass.  
485 Finally, in relation to operating costs (Figure 6 and 7), if we focus on the first scenario, they are  
486 mainly made up of purchase costs, because of the closeness between the sources and the CHP plant,  
487 transporting a ton of biomass is cheaper than buying it. While storage costs remain relatively  
488 constant since the supplying sources store the biomass. Therefore, the cogeneration system operates  
489 with the minimum necessary stock. Regarding the second scenario, the storage cost predominates,  
490 based on the need for the CHP system to store the biomass during the months in which no source  
491 generates biomass supply.



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Figure 4. CO<sub>2</sub>e emissions for scenario one



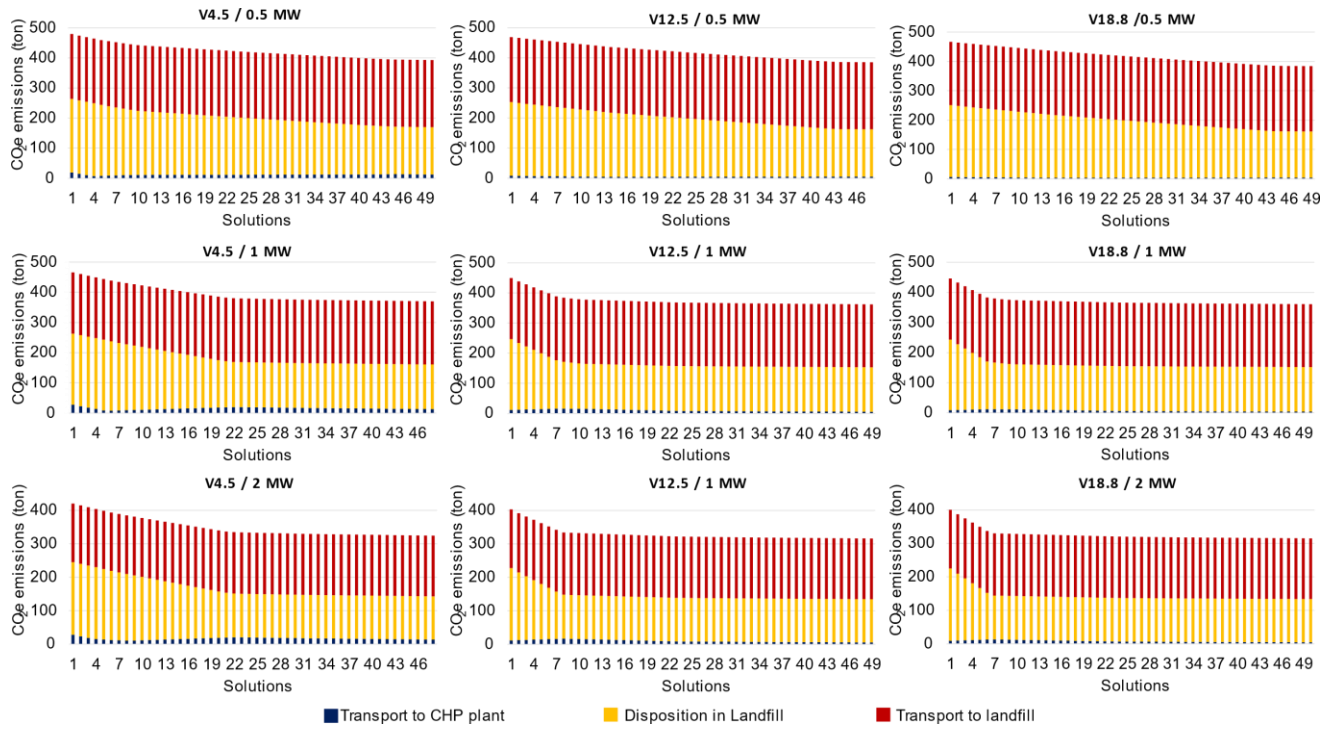


Figure 5. CO<sub>2</sub>e emissions for scenario two

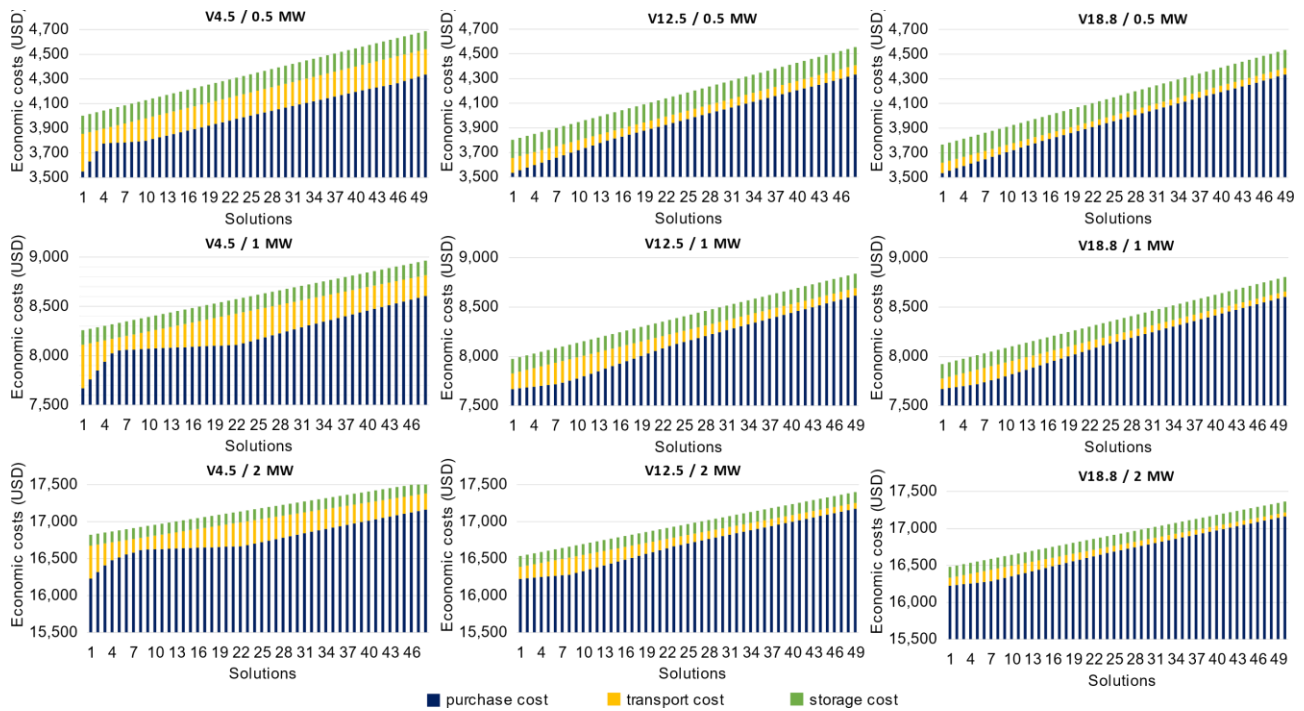


Figure 6. Cost composition for scenario one

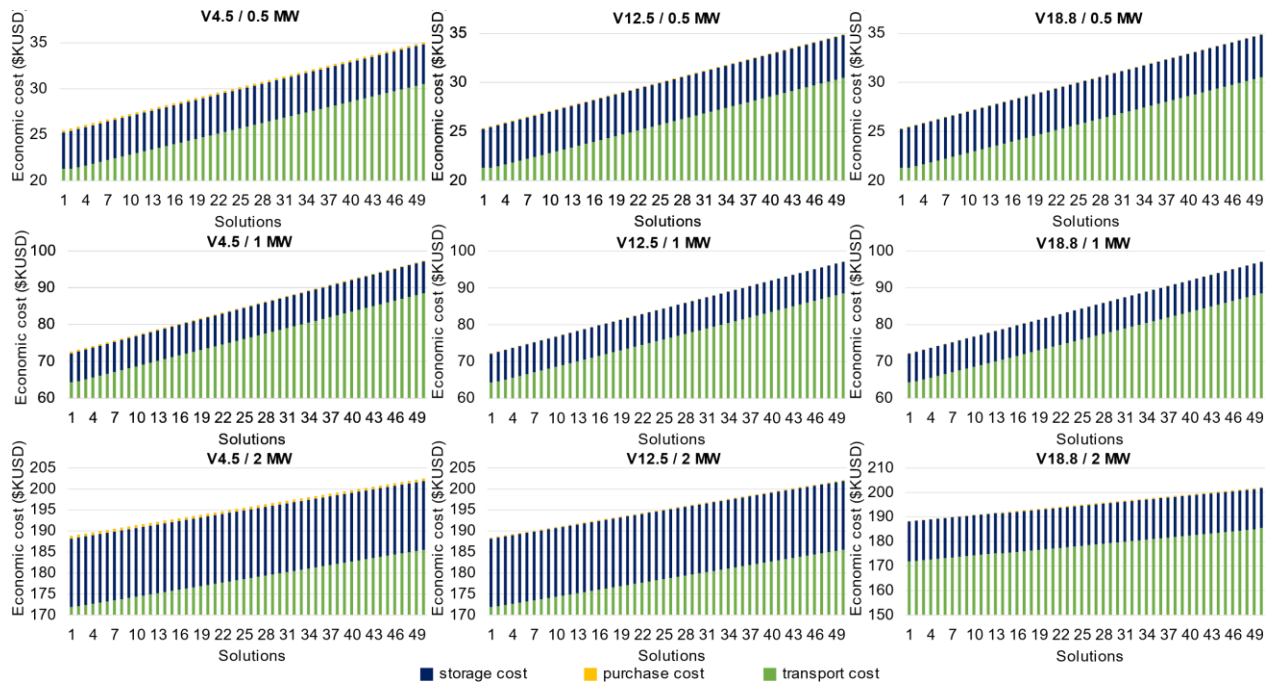


Figure 7. Cost composition for scenario two

When comparing both scenarios, Scenario 2 presents a higher cost due to an increase in storage costs, since it is necessary to store the biomass in the CHP system in order to avoid a stockout in the months in which biomass is not generated. Regarding environmental impacts, in both scenarios a great contribution is observed in the use of transport. Due to a greater use of biomass since the CHP plant must use biomass with a lower calorific value. On the other hand, the management that can be carried out to reduce CO<sub>2</sub>e emissions is more limited, since the amount of waste to be transported is limited to the capacity of the CHP plant.

By increasing the capacity of the vehicle, a decrease in costs is observed, because fewer trips are made. However, this decrease is not significant, because of the low percentage that transportation represents in total costs. With respect to environmental impacts, a decrease is observed due to the increase in the amount of pruning residues that is sent to the CHP system. Mainly due to greater profitability in the shipment of this biomass by increasing the capacity of the

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520 vehicle. There is also a lower impact since fewer trips are made, and because the ratio of CO<sub>2e</sub>  
521 emissions per ton transported is lower in vehicles with higher capacity.

522 As the demand for energy increases, it is observed that all costs rise, due to the increase in  
523 biomass that must be acquired to meet the energy demand. Therefore, an increase in CO<sub>2e</sub>  
524 emissions associated with the transport of biomass to the CHP system is obtained. However, this  
525 increase is offset by the decrease in disposal and consequently transportation to the landfill. In this  
526 sense, in an environmental approach, it is better to transport the biomass to the cogeneration system  
527 than to dispose of it.

528 Regarding the type of biomass needed to supply the CHP system (see Figure 8 and 9), it is  
529 observed that pruning is the most environmentally favorable biomass, but less economically  
530 advantageous due to its lower calorific value. While olive pomace is the most economically  
531 profitable, but environmentally, it is the least favorable. On the other hand, there is a preference  
532 for fruit pits as there is an increase in energy demand, given the low availability of pruning fruit in  
533 the study area. Regarding the sources offering biomass, it is identified that the system is supplied  
534 mainly from three sources: number 3 (olive pomace), 7 (fruit pits) and 12 (pruning fruit). In general,  
535 these sources generate the highest amount of biomass available and are located closer to the CHP  
536 plant. In this sense, the distance also varies depending on the desired objective. When the goal is  
537 to minimize economic costs, the maximum supply radius is 60 km. Since, as it is biomass dispersed  
538 in the territory and small-scale pieces, the cost of collection and transport begins to be higher [65].  
539 While when CO<sub>2e</sub> emissions are minimized the maximum radius decreases to 30 km. In this way,  
540 a result like the distance proposed by [57] of 25 km in radius is obtained for the use of biomass for  
541 energy purposes. It is the maximum exploitation distance for a system with these characteristics  
542 [66,67].

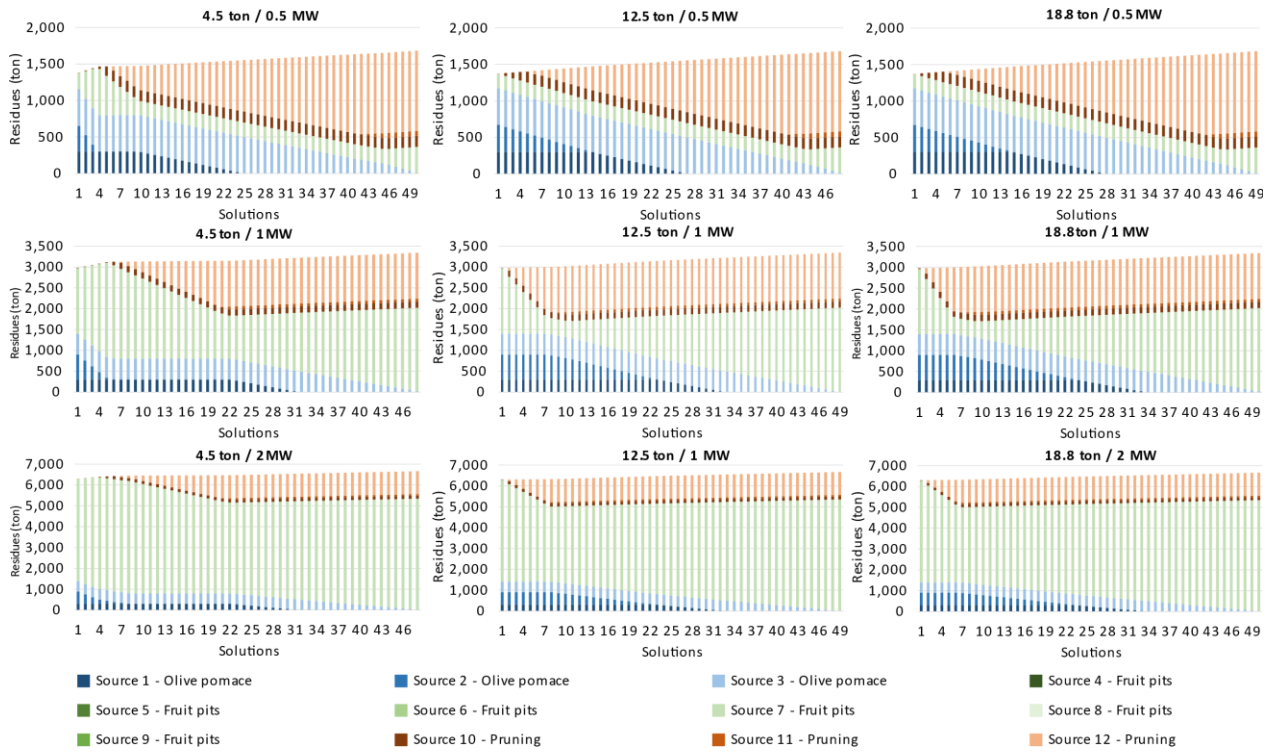


Figure 8. Type of waste consumed for case one

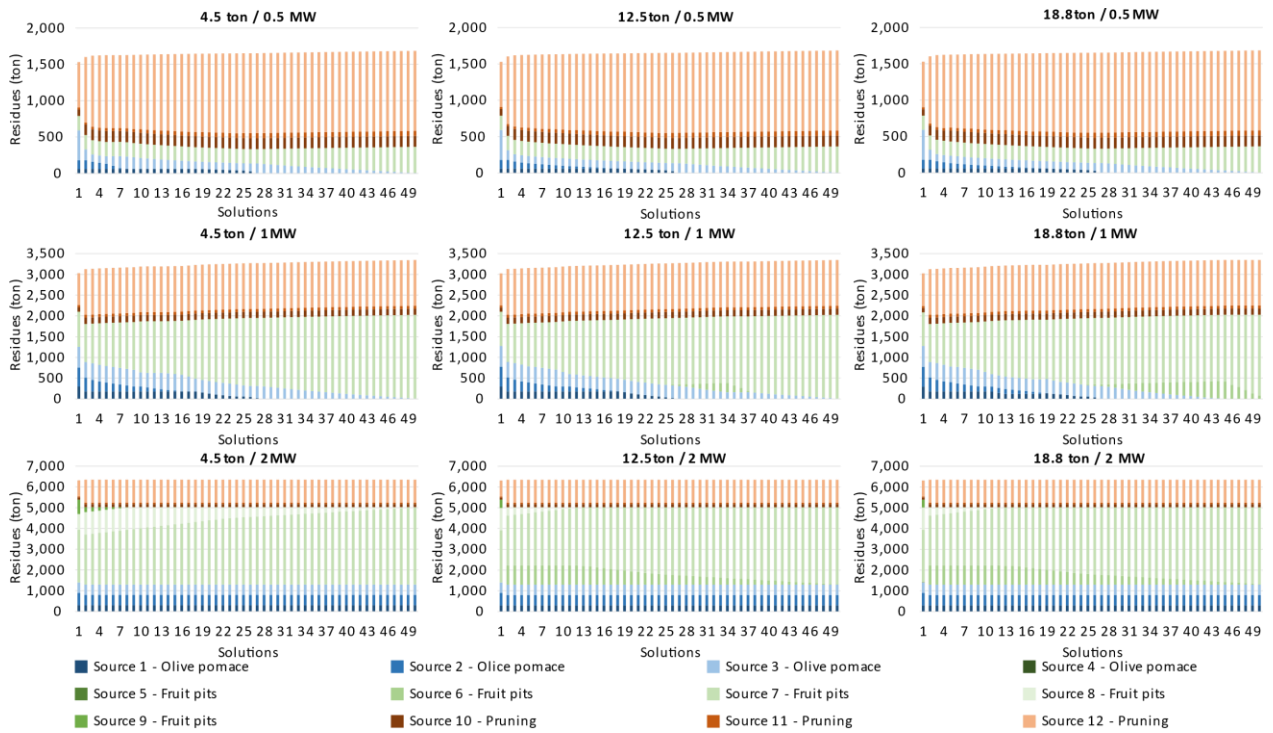


Figure 9. Type of waste consumed for scenario two

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**4. CONCLUSIONS**

This paper proposes a bi-objective optimization model for different agro-industrial biomass supplies to a small-scale CHP system at a local level under Mediterranean conditions. The research aims to quantitatively assess environment and economic benefits of provide multiples types of biomass to the CHP plant, avoiding their traditional disposition. Thus, scenarios with different energy demands and transport capacities were analyzed to identify the best tactical decision for operating the CHP system. In this way, it is possible to conclude that the implementation of a CHP system generates environmental benefits. The supply of biomass to the CHP plant avoids the emission of CO<sub>2e</sub> generated by final disposal in a landfill or burning of this waste. In this sense, the minimization of CO<sub>2e</sub> emissions, by using a diverse variety of biomass, pruning residues are identified as the first option for the supply of the CHP system, due to their relationship between mass and calorific value. Fruit pits follow, when energy demand increases, and the availability of pruning and olive pomace residues ends. While when the cost minimization is desired, olive pomace is identified as the first option, followed by fruit pits and pruning material.

On the other hand, transport is not a major contributing factor when biomass sources are close to the CHP system. However, as expected, since the distance increases, such as the case of transport from sources (fields and factories) to the landfill, CO<sub>2e</sub> emissions and carbon footprint increase considerably, given the high level of transported cargo. Therefore, under the conditions studied, a distance limit can be established in the supply of raw material to the CHP plant within a maximum radius of 30 km and an increase depending on the costs.

Moreover, despite the seasonality in the availability of the different biomass available in the Mediterranean regions, both those from the field (pruning waste) and those from the factories (fruit bones or olive pomace), it is feasible to adequately supply the CHP plant. However, this

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4 573 increases the storage costs involved. Therefore, it may be advisable to analyze other sources of  
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7 574 lignocellulosic biomass to reduce these costs during the period of unavailability, for example  
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9 575 biomass derived from forestry activities or silvicultural treatments (clearings to prevent bushfires,  
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12 576 thinnings or feven inal cuttings) or residues from forest industries (bark, side-boards or sawdust).

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14 577 Finally, it is expected that these results should serve as a basis for decision-makers to  
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16 578 develop bioenergy CHP systems projects in regions whose base their economy under agro-  
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19 579 industrial activities to provide environment and economic benefits for their communities.  
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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: