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Additional Information

1 **International ranking of climate change action: An analysis using the indicators**  
2 **from the Climate Change Performance Index**

3  
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8  
9 **Abstract**

10 It is hard to argue against the reality of global warming, its consequences are becoming  
11 increasingly evident not only due to the effects of extreme weather that are changing the  
12 terrain of our planet, but also due to the impact on human health. Most countries are  
13 undergoing a process of change to ensure the appropriate use of their resources, striving  
14 for excellence in their efforts to reduce greenhouse gas emissions. Using information from  
15 the 2021 Climate Change Performance Index, the empirical analysis carried out in this  
16 study is aimed at examining the profiles of countries' performance in tackling climate  
17 change and confirming the connection between actions and achievements. To do so,  
18 cluster analysis and contingency tables are employed. The results show that concern about  
19 the need to curb climate change does not depend on countries' wealth, and no common  
20 pattern is observed in geographically proximate areas. Furthermore, the study yields  
21 statistical evidence of the connection between climate change policies, the use of  
22 renewable energy in electricity supply and the reduction of harmful gas emissions.  
23

24 **Highlights**

25 Environmental concern is not driven by matters of wealth or geography

26 Causality exists between *GHG Emissions* and *Energy Use* and *Renewable Energy*

27 The effectiveness of climate policies facilitates the use of Renewable Energies

28 Cluster analysis identified six homogeneous groups of countries  
29  
30

31 **Keywords:** Climate Change Performance Index; Cluster; Contingency Tables; Renewable  
32 Energy; Climate Change Policies; GHG Emissions.  
33

34 **Word Count:**6197 without references  
35  
36  
37

38 **List of abbreviations**

39 CCPI: Climate Change Performance Index

40 CT: Contingency Table

- 1 EU: European Union
- 2 FDI: Foreign Direct Investment
- 3 GDP: Gross Domestic Product
- 4 GHG: Greenhouse Gas
- 5 RE: Renewable Energy

6

7 This research did not receive any specific grant from funding agencies in the public,  
8 commercial, or not-for-profit sectors.

9

## 10 **1. Introduction**

11 Climate change and the resulting environmental degradation is having a major impact on  
12 the planet. Intense heat waves followed by heavy storms are bringing about not only  
13 remarkable transformations in the ecosystem, but also significant economic losses,  
14 underscoring the magnitude of the challenge facing humanity [1]. There is a need to  
15 modify consumption habits and production methods to break the existing nexus between  
16 economic growth and global warming caused by greenhouse gas (GHG) emissions.  
17 Recent studies have shown that proper management of GHG emissions could boost the  
18 performance of the productive sectors and improve people's quality of life [2,3,4].

19 Under the United Nations Framework Convention on Climate Change, the primary  
20 objective of the related international agreements signed to date is the stabilization of  
21 greenhouse gas concentrations in the atmosphere at a level that would prevent dangerous  
22 anthropogenic interference with the climate system. Such a level should be achieved  
23 within a time-frame sufficient to allow ecosystems to adapt naturally to climate change,  
24 to ensure that food production is not threatened and to enable economic development to  
25 proceed in a sustainable manner (Kyoto Protocol, Copenhagen Accord, Paris Agreement).  
26 According to the United Nations, while there are more than 7.7 billion people living on  
27 Earth in 2020, this figure is expected to reach 9.7 billion by 2050; further protocols must  
28 therefore be introduced to prevent human activity from accelerating environmental  
29 pollution and resource depletion. Countries have accepted the need to reduce their levels  
30 of CO<sub>2</sub> emissions in order to ensure sustainable development for the population [5]. Thus,  
31 the European Union (EU) aims to be climate neutral by 2050, encouraging the efficient  
32 use of resources while restoring biodiversity and reducing pollution [6]. The level of

1 involvement of European nations will be a turning point in their economies, with a push  
2 for renewable energies (REs) and a shift away from fossil fuels.

3 The literature points to REs and energy efficiency as the main tools for curbing climate  
4 change and achieving the goals set at the various summits held [7,8,9]. The complexity  
5 of the approach taken lies in the need to change current energy systems through a shift to  
6 renewables, thereby ensuring energy security as well as improvements in quality of life  
7 and health [10]. However, renewables' deployment is not sufficient to prevent even an  
8 increase in emissions, and although it is connected to climate policies, it does not  
9 comprise in any way the entirety of climate policies.

10 This transition process requires active policies whereby government leaders allocate  
11 funds to investment projects aimed at mitigating the causes of global warming, while  
12 supporting and providing incentives for the deployment of renewable technologies [11].  
13 The process of change calls for the private sector and public institutions to work together  
14 to pinpoint problems, set goals and identify possible synergies between sectors [12].

15 In a context where globalisation is further accelerating global economic development,  
16 necessitating a shift towards REs that reduce GHG emissions, there is an incontrovertible  
17 need to adopt policies aimed at mitigating the causes and effects of climate change. This  
18 research has been carried out with the aim of providing decision-makers with more  
19 accurate information on the existing climate change paradigm and the scope of the  
20 policies adopted. The empirical analysis proposed seeks to provide a comprehensive  
21 understanding of 57 countries around the world that are responsible for 90% of GHG  
22 emissions. To that end, two objectives are established: (1) to identify homogeneous  
23 groups of countries based around the central pillars of the paradigm of analysis (GHG  
24 emissions, Renewable Energy, Energy Use and Climate Change) using the results of the  
25 2021 Climate Change Performance Index (CCPI) and applying clustering techniques; (2)  
26 to study, by means of contingency tables, the effect of climate change policies on energy  
27 strategies, and how they in turn can influence the reduction of GHG emissions. The results  
28 of the empirical analysis are aimed at resolving two question research that will provide  
29 further insight into both the environment of countries and the scope of policies adopted  
30 to date, in order to determinate where the next efforts should be directed.

31 *QR 1. Does geographical and economic proximity between countries gives rise to*  
32 *homogeneous patterns in climate change performance?*

1 *QR 2. Are Climate change policies responsible for countries' commitment to the*  
2 *deployment of renewable and efficient energy supplies, thereby ensuring reductions in*  
3 *GHG emissions?*

4

5 The international importance of climate change has led to the development of a powerful  
6 scientific paradigm, providing solutions aimed at alleviating its consequences. The  
7 literature reflects the progress made on key aspects such as environmental policy analysis,  
8 the shift towards the deployment and efficient functioning of REs, and even the adaptation  
9 of energy systems in certain economic sectors [13,14,15,16]. The novel aspects of this  
10 research will help to more accurately guide the lines of action undertaken by leaders.  
11 Specifically, the study (1) identifies homogeneous groups of countries determined in  
12 relation to the essential pillars of climate change performance, facilitating the adoption of  
13 specific policies for each territory; (2) provides statistical evidence of the interaction  
14 between CCPI indicators; and (3) is based on recent data meaning it can help guide future  
15 developments and the findings can be extrapolated to other countries with similar  
16 characteristics.

17 The rest of the article is structured in the following sections. Section 2 provides a review  
18 of the literature aimed at assessing the progress made on climate change. Section 3  
19 describes the method and the sample used in the empirical analysis. Section 4 presents  
20 the results obtained, which allow us to resolve the hypotheses proposed. Section 5  
21 summarises the main findings of the paper.

22

## 23 **2. Literature review**

24 Between the 1992 United Nations Framework Convention on Climate Change, initially  
25 signed by 166 countries, and the 2019 Climate Action Summit, a total of 12 international  
26 conventions on climate change have been held. At each one, agreements and  
27 commitments have been signed recognising the need to achieve stable economic growth  
28 by reducing GHG emissions and ensuring environmentally sustainable progress, with the  
29 use of REs must play a significant role. The social development of humanity requires us  
30 to take a stand against global warming and make the shift towards clean production and  
31 energy efficiency [17,18].

1 GHG emissions generated by human activities are continuously and exponentially  
2 altering the energy balance of the planet. Together with land use modifications, they are  
3 accelerating global warming and contributing to a worrying increase in CO<sub>2</sub>. We have  
4 witnessed an indisputable rise in the average global surface air temperature, estimated at  
5 1°C (1.8 °F) since 1900 [19]. Technological and political changes are needed in order to  
6 modify the current trajectory of emissions, with the ability to slow global warming  
7 depending on it.

8 The origin of international climate negotiations can be traced back to the United Nations  
9 Conference on Environment and Development, held in Rio de Janeiro in 1992, which  
10 gave rise to the United Nations Framework Convention on Climate Change (UNFCCC),  
11 securing an international consensus aimed at tackling climate change. Efforts got  
12 underway with the signing of the Kyoto Protocol (KP) in 1998, in which all signatories  
13 pledged to curb the emissions responsible for global warming. However, its  
14 implementation was not immediate as it did not enter into force until 2005. From that date  
15 on, successive agreements have emerged, with the following notable directives: to  
16 provide 100 billion dollars for climate finance projects in developing countries, to limit  
17 the global temperature rise to below 2°C, to bind global climate agreement for the post-  
18 2020 era, to extend the second commitment period of the KP, and to involve the general  
19 public and increase their role in the process of global climate action [20].

20 Another important milestone has been the signing of the Paris Agreement in 2015, which  
21 establishes a global framework to keep global warming below 2°C and bolster countries'  
22 capacity to react to climate change. Among the key elements of this agreement are:  
23 comprehensive national climate action plans to reduce emissions, governments'  
24 commitment to transparency between countries and with citizens regarding the progress  
25 made, international assistance to adapt to the consequences of climate change, and  
26 encouraging all citizens' involvement in efforts to slow global warming [21].

27 Subsequently, in April 2021, the Council and the European Parliament committed to  
28 reducing GHG emissions by 55% by 2030 and achieving climate neutrality by 2050. A  
29 roadmap has been drawn up through the European Green Deal with the aim of involving  
30 all European nations in legislative and non-legislative initiatives to achieve this objective.  
31 Furthermore, the European climate law is being negotiated to make political  
32 commitments on climate legally binding [22].

1 Authors such as Camargo et al [23] have expressed their concern about the dangerous  
2 gaps that lie between what is required to reach the 1.5 °C objective, what governments  
3 have pledged and what is happening in reality. They argue that the measures needed to  
4 deter climate change are still far from the speed and range necessary to effectively address  
5 it. They present climate policy gap graphics for Portugal, Spain and Morocco, concluding  
6 that there is a built-in feature of underreaction in climate policy, which renders the  
7 trajectory of emissions incompatible with the possibility of slowing temperature rises.  
8 Furthermore, Castro (2020) [24] claims that the coalitions needed for international  
9 negotiations in the United Nations Framework Convention on Climate Change entail a  
10 cost when their members have differing preferences.

11 As China is one of the most polluting countries, there is a broad literature focused on  
12 analysing Chinese actions aimed at reducing emissions in order to achieve the goals set  
13 [25,26,27,28,29]. However, the results obtained cannot always be extrapolated to other  
14 geographical areas, due to the very specific socio-economic conditions of the Asian  
15 country. It is a major world power whose high growth rate has made it the world's biggest  
16 consumer of energy and emitter of CO<sub>2</sub>. Other studies have compared groups of countries  
17 such as the G7 (Canada, France, Germany, Italy, Japan, United Kingdom, and USA) and  
18 the BRICs (Brazil, Russia, India, China and South Africa), which account for more than  
19 60% of global GHG emissions, revealing disparities in their climate change mitigation  
20 actions [30,31,32,33].

21 The commitment to move towards the use of REs and abandon polluting energies must  
22 be backed up by policies that foster this transition. Measures are called for to support their  
23 deployment at all levels of the economy, from domestic uses such as heating and cooling,  
24 to more global applications such as in transport or the industrial sector. In addition,  
25 society at all different levels must continuously adapt to meet the new challenges that are  
26 emerging. Table 1 presents some of the studies carried out to guide the introduction of  
27 the most appropriate policies.

28

## 1 Table 1. Literature review

Authors	Objective	Data	Conclusions
[34]	Assess the effectiveness of a comprehensive strategy for RE sources	Euro area	The environmental policy based on technology-push measures may produce better dynamic effects than demand-pull measures based on a subsidy policy of equal monetary amount.
[35]	Verify whether RE innovation programmes meet the requirements for being classified as mission-oriented programmes	Brazil	General mission-oriented programmes must be adapted to the energy transition context.
[36]	Analyse causality between income, CO <sub>2</sub> , fossil fuel and RE consumption	N-11 countries	Robust RE policy can be designed by complementing the various causality test results, rather than focusing on one particular causality test.
[37]	Comparative analysis of existing non-conventional renewable resources, energy policies and gaps in BRICS countries	BRICS countries.	There is a need to redefine their energy policies based on their existing geographical, economical, societal and environmental conditions, which will help in shaping global energy policies and improving financial stability.
[38]	Demonstrate how a planned decrease in power system reliability, without impacting access to energy, could lead to better integration of REs.	Tunisia	There is high rate of RE sources penetration with a decrease in the power system reliability relying on energy efficiency actions.
[39]	Analyse the main vectors and actors that influence RE policy adoption and identify differences between developed and developing countries	194 countries, 102 of which are developing countries	Strong evidence of socialisation and learning on international policy diffusion to developing countries, while domestic factors play a major role, especially with regard to market liberalisation in developed countries.
[40]	Investigate the effects of RE incentive policies, as facilitators of 'substitutability'	420 energy firms in OECD countries	Substituting RE for fossil fuels, incentivised through RE policies, stimulates improved financial performance of energy companies in OECD countries.
[41]	Examine RE growth and analyse the government policies to substantially scale up the deployment of renewables for power generation.	Southeast Asia	Social, political and economic pressures hinder the implementation of RE policy.
[42]	Examine how different renewable energy support policies affect innovation in solar and wind power technologies	194 countries	Consistently positive impact of feed-in tariffs and no technology-specific differences detected in the effectiveness of this policy instrument.
[43]	Econometric analysis of the effectiveness of RE policies	Europe and Latin America	Support policies are the main drivers of RE diffusion in Europe and Latin America.

2



1 The connection between GHG emissions and elements such as GDP, foreign direct  
2 investment (FDI), energy efficiency and REs use has also sparked the interest of  
3 researchers [44,45,46,47,48]. The results of those studies provide evidence of the  
4 existence of a direct relationship between GHG emissions and GDP, RE, and energy  
5 efficiency, while the short-term effects of FDI on emissions are less clear-cut. They all  
6 agree on the need for countries to ensure that economic growth is accompanied by optimal  
7 technological development to ensure higher levels of energy efficiency.

8 The complexity of jointly analysing widely varying aspects of climate change has  
9 prompted studies aimed at constructing composite indices that allow researchers to cover  
10 a broader spectrum of the paradigm, focusing on specific territories [49,50,51,52,53,54].  
11 Their objective is to establish indicators that facilitate the early detection of potential  
12 sources of vulnerability and to guide the actions of the responsible agencies. Efforts have  
13 also been made to cover a wider range of countries and thus establish rankings according  
14 to their situation regarding specific issues such as RE [55,56], energy efficiency [57],  
15 sustainable energy [58,59,60] or energy security [61,62].

16 Other papers analyse the common profiles shared by geographical areas in order to be  
17 able to extrapolate the conclusions drawn to other regions with similar characteristics. In  
18 this vein, Argolino et al. [63] use cluster analysis and a panel data model with fixed effects  
19 to evaluate energy policy effectiveness in Italian regions due to a coercive policy transfer  
20 generated by the EU. Foguesatto et al. [64] categorise farmers into different types  
21 according to their perceptions of environmental issues and climate change. Likewise,  
22 Opach et al. [65] use clustering techniques to identify groups of communities with similar  
23 resilience profiles, focusing on Norwegian municipalities. All this underlines the need for  
24 homogeneous information in order to be able to appropriately manage environmental  
25 actions.

### 27 **3. Methodology and sample**

28 Cluster analysis has been successfully applied in various areas of research such as tourism  
29 [66], medicine [67], communications [68] or the analysis of REs, among others  
30 [69,70,71,72]. This multivariate technique is commonly used to identify patterns in large  
31 samples, by assessing the links between data elements [73]. The detection of patterns and  
32 subsequent grouping of observations is carried out using information relating to the study

1 in question. In this case, the clustering has been based on the four CCPI indicators (*GHG*  
 2 *Emissions, Renewable Energy, Energy Use and Climate Policy*), with the aim of  
 3 establishing homogeneous groups of countries according to their performance with  
 4 respect to these issues.

5 The application of this method first requires the number of clusters to be determined using  
 6 Ward's agglomerative method and taking the squared Euclidean distance as a measure of  
 7 similarity. According to Kuiper and Fisher [74], this classification technique combines  
 8 different elements, seeking to minimise the within-group variance. The results yield a  
 9 dendrogram from which the ideal number of clusters can be determined. Lastly, the  
 10 Kruskal-Wallis test is used to confirm the adequacy of the defined groups, by verifying  
 11 that the mean of each one is statistically different from the rest.

12 Additionally, in line with the research objectives, contingency tables are used to analyse  
 13 the relationships between the CCPI indicators, based on the theoretical approach proposed  
 14 by Burck et al. [75]. This method has often been used in the field of energy policy and  
 15 climate change [76,77,78,79,80]. The general structure is illustrated in Table 2, where  
 16 rows and columns present the number of countries whose score for that indicator is at the  
 17 same level, constituting the observed frequency. The scores have been transformed into  
 18 qualitative variables ranging between [high, very low], in line with the approach  
 19 established in the methodology for the CCPI index<sup>1</sup>.

20

21 **Table 2. General structure of contingency tables of observed frequencies**

		INDICATOR "A"					
		Criterion <i>i</i>	High	Medium	Low	Very low	Total
INDICATOR "B"	High		$n_{1,1}$	$n_{1,2}$	$n_{1,3}$	$n_{1,4}$	$n_{1,\bullet}$
	Medium		$n_{2,1}$	$n_{2,2}$	$n_{2,3}$	$n_{2,4}$	$n_{2,\bullet}$
	Low		$n_{3,1}$	$n_{3,2}$	$n_{3,3}$	$n_{3,4}$	$n_{3,\bullet}$
	Very low		$n_{4,1}$	$n_{4,2}$	$n_{4,3}$	$n_{4,4}$	$n_{4,\bullet}$
	Total		$n_{\bullet,1}$	$n_{\bullet,2}$	$n_{\bullet,3}$	$n_{\bullet,4}$	$n_{5,\bullet}$

22

---

<sup>1</sup> According to CCPI scores, all the countries could be clustered into a not achieving the objectives of climate change.

1 Based on the data in Table 2, the expected frequencies are calculated using the following  
2 expression:

$$E_{ij} = \frac{n_{i \cdot} n_{\cdot j}}{N} \quad (1)$$

3 where,  $N$  is the total number of observations in the table,  $n_{i \cdot}$  is the number of observations  
4 in row  $i$ , and  $n_{\cdot j}$  is the number of observations in column  $j$ .

5 Both the observed and expected frequencies are necessary to perform the  $\chi^2$  test showing  
6 whether the variables considered in the study are independent or not. The result of the  $\chi^2$   
7 test confirms whether the levels of a qualitative variable influence those of another  
8 variable. The  $\chi^2$  test is defined by the following expression:

$$\chi^2 = \frac{\sum_{i=1}^h \sum_{j=1}^k (n_{ij} - E_{ij})^2}{E_{ij}} \quad (2)$$

9 where,  $n_{ij}$  is the observed frequency, and  $E_{ij}$  is the expected frequency. The null  
10 hypothesis is that of independence between factors. The alternative hypothesis is that of  
11 dependence between factors.

12 The empirical analysis carried out focuses on climate change policies, using data on the  
13 components from the 2021 CCPI, which in turn are based on information referring to  
14 2018. As such, the most recent developments and effects of the COVID-19 pandemic are  
15 not reflected in these figures. This index has been produced annually since 2005 by the  
16 organisation Germanwatch, the NewClimate Institute and the Climate Action Network.  
17 Around 400 climate policy experts at national and international levels are involved in  
18 producing the index.

19 The CCPI evaluates the actions taken to foster environmental protection in 57 countries  
20 (see list at the bottom of the dendrogram), assessing their compatibility with the goal of  
21 keeping global warming below 2°C or even 1.5°C. There are no countries in the top three  
22 positions because, according to the CCPI, no country (out of the 57 + EU) is doing enough  
23 to achieve the UNFCCC's treaties and protocols ultimate goals, to prevent climate change.

24 The results lead greater transparency to international policy issues, facilitating the  
25 comparison of efforts to curb climate change. In addition, they provide information on  
26 the achievement of the goals set in the Paris Agreement, based on the analysis of the  
27 following indicators:

- 1       ▪ *GHG emissions* (40% of overall score): quantitatively assesses the measures taken  
2       by countries to reduce GHG emissions, a goal set for all countries to guard against  
3       harmful climate change. It is assigned a higher weight than the other components  
4       because, according to experts, it bears the greatest responsibility for global  
5       warming. The CCPI uses the PRIMAP database to assess all GHG emissions.
- 6       ▪ *Renewable Energy* (20% of overall score): measures actions aimed at increasing  
7       the use of REs in each of the countries analysed. The CCPI uses statistical  
8       information provided by the International Energy Agency.
- 9       ▪ *Energy Use* (20% of overall score): assesses improvements in energy efficiency  
10      and therefore control over domestic energy use. The CCPI uses statistical  
11      information provided by the International Energy Agency.
- 12      ▪ *Climate Policy* (20% of overall score): quantifies the effectiveness of climate  
13      policies implemented in the different countries. Evaluations of countries'  
14      performance in climate policy are based on an annually updated survey of national  
15      climate and energy experts from civil society.

16   The overall index places countries within the interval [0, 100], where higher values  
17   indicate more “climate friendly” behaviour. The final CCPI ranking is calculated from  
18   the weighted average of the scores achieved in the individual indicators, using the  
19   following formula [75]:

$$CCPI = \sum_{i=1}^n W_i * X_i \tag{3}$$

20   where,  $X_i$  is a normalised indicator, and  $W_i$  the weighting of  $X_i$

21

22                   Table 3. Descriptive statistics of CCPI indicators

	<b>GHG Emissions</b>	<b>Renewable Energy</b>	<b>Energy Use</b>	<b>Climate Policy</b>
Mean	20.52	7.28	11.33	8.58
Max	33.15	14.17	18.54	19.38
Min	2.84	0.55	3.50	0.80
ST	6.89	3.65	3.01	4.24

23

1 The *GHG Emissions* values range between 0 and 40, and the rest of the indicators between  
2 0 and 20. The statistics shown in Table 3 reveal that no country has been able to achieve  
3 the maximum value assigned to each component, with greater room for improvement seen  
4 in *Renewable Energy* and *GHG emissions*. According to Burk et al. [75], the top three  
5 positions in the country ranking are empty. Therefore, the index provides the responsible  
6 agencies with valuable information that can help them target their efforts on the changes  
7 needed to ensure effective improvements in the different countries. Moreover, there is no  
8 uniform pattern in countries' performance: while Sweden has the highest value in *GHG*  
9 *Emissions* (33.15), Latvia leads the way in the use of *Renewable Energy* (14.17), Ukraine  
10 in the appropriate *Energy Use* (18.54) and Finland shows the greatest effectiveness in the  
11 implementation of *Climate Policy* (19.38). Similarly, Kazakhstan is the worst rated in  
12 *GHG Emissions* (2.84), Iran in *Renewable Energy* (0.55), Canada in *Energy Use* (3.5),  
13 and the USA in *Climate Policy* (0.8), reflecting, in this case, a lack of concern about the  
14 issues under study. The wide range of values between the maximum and minimum scores  
15 for each pillar justifies the application of cluster analysis to try to homogenise the sample.  
16 This method allows homogeneous groups of countries to be determined, thus revealing  
17 the factors underpinning best actions.

18

#### 19 **4. Results**

20 Based on the results of the empirical analysis, the proposed hypotheses on actions taken  
21 to slow climate change can be resolved. The CCPI includes a variety of countries with  
22 very different economic and environmental characteristics. Thus, it is first necessary to  
23 carry out a cluster analysis to obtain homogeneous groups of countries according to the  
24 actions they have taken to tackle their environmental concerns (RQ 1). Second,  
25 contingency tables are used to demonstrate the possible connection between the pillars  
26 that compose the CCPI (RQ 2).

27 *RQ 1. Does Geographical and economic proximity between countries gives rise to*  
28 *homogeneous patterns in climate change performance?*

29 The hierarchical clustering of the sample is based on the four CCPI indicators: *GHG*  
30 *Emissions*, *Renewable Energy*, *Energy Use* and *Climate Policy*. The dendrogram yielded  
31 by the cluster analysis identified six homogeneous groups of countries (Figure 1A of the  
32 Appendix). The Kruskal-Wallis test confirms that this grouping is appropriate, as it

1 reveals significant differences between groups in terms of the mean value of the  
 2 indicators. In Table 4, the Chi-Squared statistic is significant with a p-value <0.05 in all  
 3 four indicators.

4

5 Table 4. Means of the indicators for the 6 clusters and Kruskal-Wallis Test

		<b>GHG Emissions</b>	<b>Renewable Energy</b>	<b>Energy Use</b>	<b>Climate Policy</b>
<b>Media</b>	Cluster 1	27.05	13.87	9.75	16.10
	Cluster 2	30.41	8.05	14.65	10.10
	Cluster 3	21.35	8.99	11.31	10.90
	Cluster 4	20.26	6.16	12.40	5.77
	Cluster 5	13.35	2.26	9.08	1.98
	Cluster 6	7.15	3.65	6.77	8.55
	Total mean	<b>20.52</b>	<b>7.27</b>	<b>11.33</b>	<b>8.58</b>
<b>Kruskal-Wallis Test</b>					
	Chi-Squared	39.14	33.67	25.98	38.35
	p-value	0.000	0.000	0.000	0.000

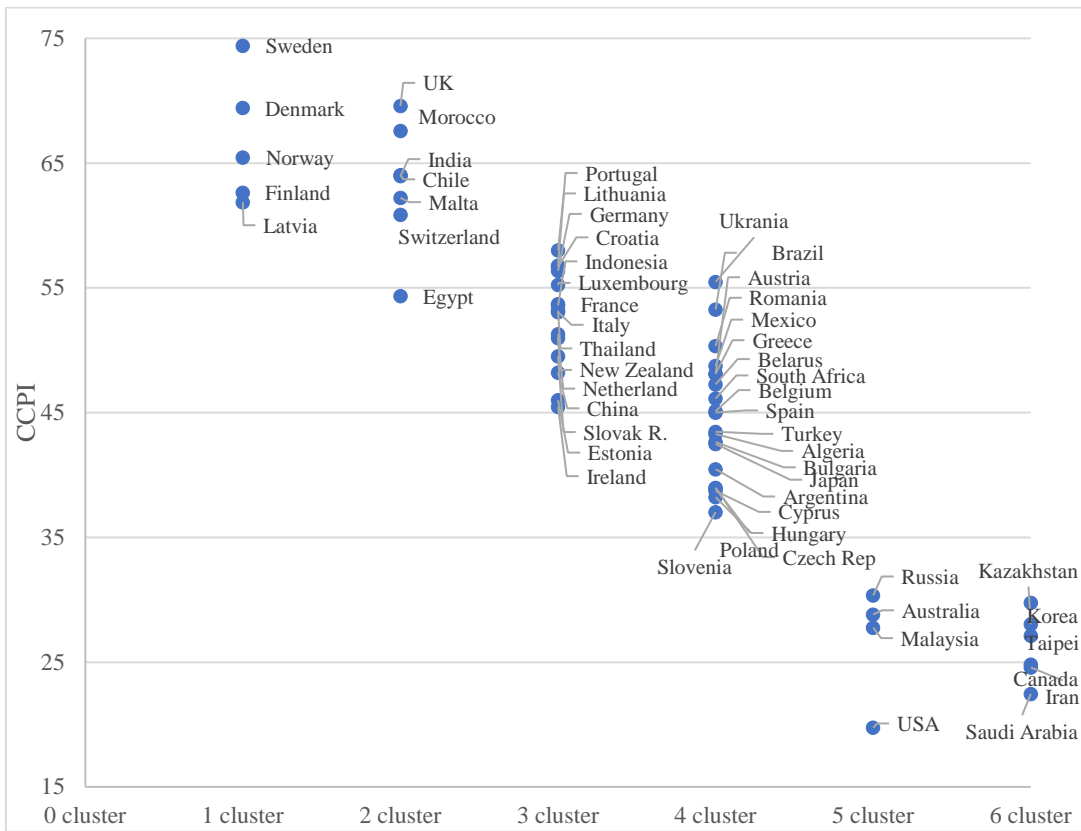
6

7 The comparison between the mean score of each CCPI indicator for the total sample of  
 8 countries and the mean score for each cluster (Table 4), makes it possible to establish a  
 9 characteristic behavioural profile of the clusters and thus to confirm whether geographical  
 10 or economic proximity determines their level of commitment to environmental issues.  
 11 Thus, the 6 clusters have been rated from *High* to *Low* commitment. A rating of *High* has  
 12 been given if the difference between the means is greater than 3 points. For example,  
 13 Cluster 1 has been rated as *High* commitment in *GHG Emissions, Renewable Energy and*  
 14 *Climate Policy* (27.05-20.52; 13.87-7.27; 16.10-8.8 respectively).

15 According to the overall CCPI score, it can be observed that Clusters 1 and 2 are the ones  
 16 that are most actively involved in developing measures to prevent actions that are harmful  
 17 to the environment. At the other extreme, Clusters 5 and 6 are characterised by holding  
 18 the bottom positions in all the issues analysed by the CCPI and registering below-average  
 19 scores. Somewhere in between are Clusters 3 and 4, which contain the largest number of  
 20 countries. Although they should redouble their efforts to prevent environmentally harmful  
 21 actions and thus curb climate change, they are comparatively close to the mean values for  
 22 the countries analysed. The description of the groups is based on the country details  
 23 provided in the CCPI report (<https://ccpi.org/countries/>).

1

2 Figure 1. Overall CCPI score for each country and the cluster to which it belongs



3

4 Note: The clusters have been calculated based on the CCPI pillars, but the figure compares the cluster  
5 groupings with the global indexes.

6

7 *Cluster 1 “High commitment to GHG Emissions, Renewable Energy and Climate Policy”*

8 This cluster is made up of countries in northern Europe that hold high positions in the  
9 CCPI ranking. All of them are seeking to achieve a reduction in GHG emissions and  
10 contribute to the Green Climate Fund<sup>2</sup>, playing an active and constructive role in climate  
11 policies. Sweden attests to the theory that the most developed countries tend to be more  
12 pro-environmental [81], targeting 100% renewable electricity production by 2040 and  
13 imposing the highest carbon tax in the world [82]. Nevertheless, despite these good  
14 results, there is still room for improvement in terms of energy efficiency (Sweden and  
15 Norway), reducing fossil fuel subsidies (Latvia) or cutting emissions in the transport,

<sup>2</sup> The Green Climate Fund was set up by the United Nations Framework Convention on Climate Change to fund climate change mitigation and adaptation projects in developing countries.

1 construction and agriculture sectors (Denmark): as a result, none of them reach the  
2 maximum score in the CCPI pillars.

3 Cluster 2 “*High commitment to GHG Emissions and Energy Use*”. Unlike in Cluster 1,  
4 the seven countries belonging to this cluster are geographically distant from one another  
5 and have high *GHG Emissions* and *Energy Use* scores. However, greater commitment to  
6 *Renewable Energy* and *Climate Policy* is required because, even though they are above  
7 the mean, they would have to double their efforts to achieve everything stipulated in these  
8 pillars. In general, these countries show positive progress in all four categories; in  
9 particular, India and Morocco have ensured the compatibility of their commitments with  
10 the goal of keeping global warming below 2°C [83]. Furthermore, although India is the  
11 third largest producer of carbon dioxide emissions in the world [84], the optimal  
12 evaluation of its actions to reduce them places it among the top 10 countries in the CCPI.

13 Cluster 3 “*High/medium commitment to GHG Emissions, Renewable Energy and Climate*  
14 *Policy*”. This is the second largest cluster, comprising 15 EU countries that are relatively  
15 geographically distant, along with 4 countries: 3 Asian ones (China, Thailand and  
16 Indonesia) and New Zealand. These countries are considered proactive in EU  
17 negotiations, but the intermediate position and larger size of this cluster means that it  
18 encompasses countries with widely disparate practices on certain environmental issues.  
19 Thus, some European countries such as the Netherlands have strategies to eliminate the  
20 use of coal for electricity generation, while others such as Portugal apply a carbon tax that  
21 remains ineffective; these issues have recently been discussed in the literature  
22 [85,86,87,88,89]. New Zealand's forecasts are also noteworthy: by 2035 it intends to have  
23 eliminated the use of coal and to have achieved 100% renewable electricity production  
24 [90]. For its part, the Asian giant, considered the largest GHG emitter, is rapidly  
25 deploying non-hydroelectric REs and is in the process of developing a national emissions  
26 trading system, which will limit CO<sub>2</sub> emissions from the energy sector and whose  
27 consequences have been the subject of analysis [91,92,93].

28 Cluster 4 “*High/medium commitment to Energy Use*”. This is the largest group, composed  
29 of 11 EU countries, mainly from Eastern Europe, as well as 9 other nations from other  
30 continents. These are countries whose commitment to fighting climate change should be  
31 strengthened by more effective actions aimed at reducing GHG emissions and boosting  
32 the use of REs; that is, they need stricter environmental policies. Specifically, the  
33 mediocre actions taken in Hungary and Poland are an obstacle to the EU's 2030 Climate



1 Target Plan [94]. Others such as Romania and Bulgaria do not have a strategy for  
2 eliminating coal and are heavily reliant on fossil fuels for their energy supply [95].  
3 Usually, they are countries with sufficient economic resources to engage far more actively  
4 in the transition to cleaner technologies, but there is a need for greater awareness and  
5 more action by the responsible agencies.

6 Cluster 5 “*Low commitment to GHG emissions, Renewable Energy and Climate Policy*”.  
7 This cluster is made up of four geographically distant countries. Notable among them is  
8 the USA, whose low scores in all four categories are due to its withdrawal from the Paris  
9 Agreement. The country has no targets for reducing GHG emissions and has a high per  
10 capita energy use (more than double that for the EU and 10 times more than India,  
11 according to Dw [82]), in short revealing a worrying lack of climate policy [96]. This  
12 cluster contains the largest producers and exporters of fossil fuels: the USA, Russia and  
13 Australia. As such, they register very low CCPI scores. Their carbon pollution levels and  
14 high energy consumption demonstrate the ineffectiveness of their climate policies. This  
15 shows the influential power of fossil fuel industries in these countries and underscores  
16 their passive attitude towards climate change despite their wealth [75].

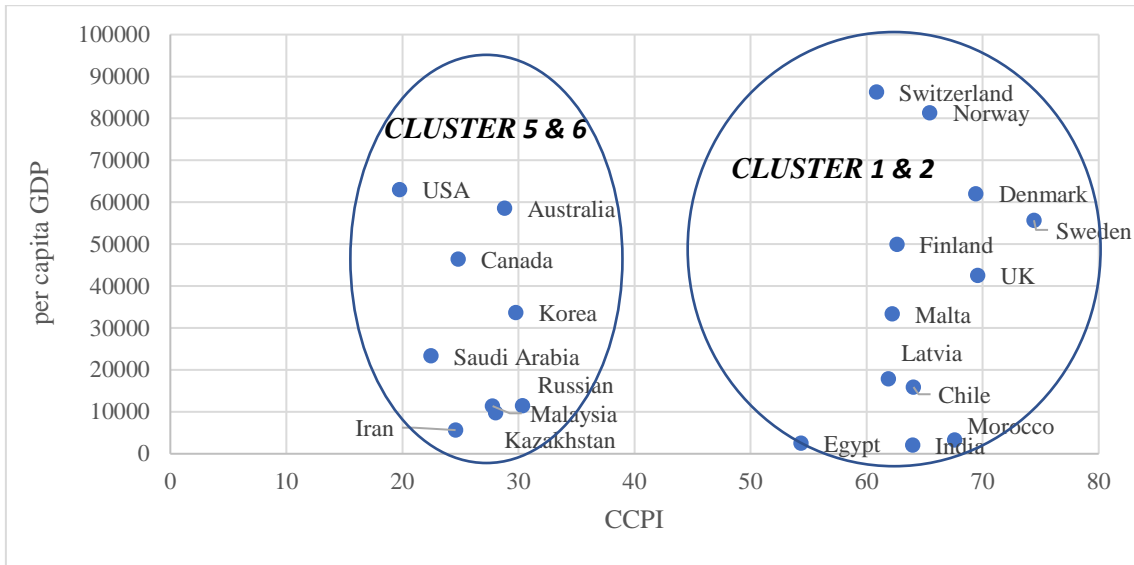
17 Cluster 6 “*Low commitment to GHG Emissions, Renewable Energy and Energy Use*”.  
18 This cluster is composed of five Asian countries and Canada, which are in the bottom  
19 positions in the CCPI ranking. Overall, the poor performance on climate is due to high  
20 GHG emissions per capita—particularly in Canada, which ranks first among the most  
21 active emitters [97]; a low level of commitment to implementing REs; and a lack of long-  
22 term planning on energy. Saudi Arabia merits special mention, this is a country that is  
23 heavily dependent on fossil fuels and faces great difficulties in achieving a transition to  
24 REs. Likewise, nearly 80% of the energy demand of Iran's power generation sector is met  
25 by fossil fuels [84].

26 In order to gain a more accurate understanding of the possible relationship between  
27 countries' wealth and their concern about global warming, Figure 3 displays the countries  
28 in the different clusters. For reasons of space and clarity, only those corresponding to the  
29 most extreme groups—Cluster 1 and 2 compared to Cluster 5 and 6—are depicted, given  
30 that the conclusions can be generalised to the whole sample.

31

32

1 Figure 3. Relationship between CCPI score and GDP per capita



2

3

4 The countries that comprise Cluster 1 and 2 diverge widely in terms of wealth. Together  
 5 in the same cluster are India, with a GDP per capita of €2,050, and Switzerland, with  
 6 €6,315. However, both these countries hold high positions in the CCPI ranking. All this  
 7 underlines the fact that there is no correlation between the score a country is awarded for  
 8 its actions against climate change and its wealth. Clusters 5 and 6 also confirm this  
 9 discrepancy, take, for example, the USA compared to Iran. The economic power of the  
 10 USA stands in contrast to the paradoxical mishandling of its national and international  
 11 climate policy under the Trump administration, placing the country among those with the  
 12 lowest CCPI scores even though it registers one of the highest values for GDP per capita  
 13 (€63,014). Apart from China, all the major fossil fuel producers are in the cluster with the  
 14 lowest CCPI, which clearly points out to another factor relating climate action to climate  
 15 legislation and renewables deployment: the existence of strong fossil fuel industries in  
 16 each country, impeding climate action, and the strong network of political influence by  
 17 business.

18 In short, except for Cluster 1, where there is a degree of geographical proximity among  
 19 the countries and they are all categorised as high-income according to the World Bank,  
 20 the results reject hypothesis 1. The level of concern about introducing climate change  
 21 mitigation actions into the day-to-day productive activities of an economy does not  
 22 depend on countries' location or wealth. Morocco, with a GDP per capita currently far  
 23 below that of Switzerland or the USA, has demonstrated its potential to produce 96% of

1 its electricity using REs by 2050 [98]. This African country has striven to introduce  
 2 changes to curb climate change and thereby mitigate the foreseeable disastrous  
 3 consequences. However, Morocco is in the same cluster as Switzerland, but it has higher  
 4 CCPI score.

5  
 6 *RQ 2. Are Climate change policies responsible for countries' commitment to the*  
 7 *deployment of renewable and efficient energy supplies, thereby ensuring reductions in*  
 8 *GHG emissions?*

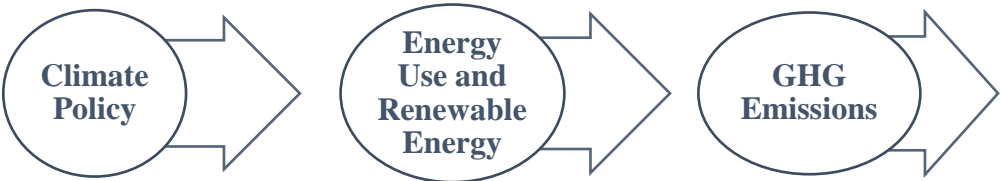
9 In line with the research objectives, contingency tables have been designed to answer RQ  
 10 2. This method enables the analysis of relationships between qualitative variables;  
 11 therefore, the scores for each of the CCPI pillars have to be transformed into attributes  
 12 categorised in four levels (from *High* to *Very Low*), as indicated by Burck et al. [75].  
 13 Table 5 shows the range of scores assigned to each category, for each CCPI indicator.

14 Table 5. Qualitative levels of CCPI indicators

	<b>GHG Emissions</b>	<b>Renewable Energy</b>	<b>Energy Use</b>	<b>Climate Policy</b>
High	33.15-25.42	14.17-9.34	18.54-13.60	19.38-11.20
Medium	24.99-20.75	8.77-6.47	13.24-10.87	10.76-7.87
Low	20.48-17.23	6.37-3.12	10.67-10.09	7.78-5.28
Very Low	16.55-2.84	2.59-0.55	9.18-3.50	5.03-0.80

15  
 16 As shown in the diagram produced by Burck et al. [75] depicted in Figure 4, *Climate*  
 17 *Policy* is an instrument that can ensure greater use of *Renewable Energy* and appropriate  
 18 *Energy Use*, which in turn both enable reductions in harmful *GHG* emissions. Therefore,  
 19 a reduction in emissions is considered the goal of any measure taken by the authorities to  
 20 meet the established environmental targets.

21  
 22 Figure 4. Logic applied by the CCPI



1 Table 6 shows the four contingency tables (CT1, CT2, CT3, CT4) that indicate whether  
2 the established relationships are supported by statistically significant results. CT1 and  
3 CT2 analyse the connection between *Climate Policy* and the *Energy Use* and *Renewable*  
4 *Energy* pillars, respectively, while CT3 and CT4 determine the possible link between the  
5 latter two pillars and *GHG Emissions*.

Table 6. Results of contingency tables

		CT1 CLIMATE POLICY					CT2 CLIMATE POLICY					
		High	Medium	Low	Very Low	Total	RENEWABLE ENERGY					
ENERGY USE	High	3 (5.2%)	4 (6.9%)	6 (10.3%)	1 (1.7%)	<b>14</b> <b>(24.1%)</b>	High	9 (15.5%)	3 (5.2%)	3 (5.2%)	3 (5.2%)	<b>18</b> <b>(31%)</b>
	Medium	7 (12.1%)	5 (8.6%)	3 (5.2%)	5 (8.6%)	<b>20</b> <b>(34.5%)</b>	Medium	6 (10.3%)	5 (8.6%)	3 (5.2%)	0	<b>14</b> <b>(24.1%)</b>
	Low	2 (3.4%)	4 (6.9%)	3 (5.2%)	4 (6.9%)	<b>13</b> <b>(22.4%)</b>	Low	1 (1.7%)	5 (8.6%)	5 (8.6%)	7 (12.1%)	<b>18</b> <b>(31%)</b>
	Very Low	4 (6.9%)	3 (5.2%)	2 (3.4%)	2 (3.4%)	<b>11</b> <b>(19%)</b>	Very Low	0	3 (5.2%)	3 (5.2%)	2 (3.4%)	<b>8</b> <b>(13.8%)</b>
	Total	<b>16</b> <b>(27.6%)</b>	<b>16</b> <b>(27.6%)</b>	<b>14</b> <b>(24.1%)</b>	<b>12</b> <b>(20.7%)</b>	<b>58</b> <b>(100%)</b>	Total	<b>16</b> <b>(27.6%)</b>	<b>16</b> <b>(27.6%)</b>	<b>14</b> <b>(24.1%)</b>	<b>12</b> <b>(20.7%)</b>	<b>58</b> <b>(100%)</b>
	Pearson's Chi-Squared: $\chi^2 = 6.686$ (p-value: 0.670)						Pearson's Chi-Squared: $\chi^2 = 18.374$ (p-value: 0.031)					

		CT3 ENERGY USE					CT4 RENEWABLE ENERGY					
		High	Medium	Low	Very Low	Total	GHG EMISSIONS					
GHG EMISSIONS	High	6 (10.3%)	4 (6.9%)	2 (3.4%)	0	<b>12</b> <b>(20.7%)</b>	High	6 (10.3%)	3 (5.2%)	2 (3.4%)	1 (1.7%)	<b>12</b> <b>(20.7%)</b>
	Medium	6 (10.3%)	8 (13.8%)	0	2 (3.4%)	<b>16</b> <b>(27.6%)</b>	Medium	6 (10.3%)	7 (12.1%)	1 (1.7%)	2 (3.4%)	<b>16</b> <b>(27.6%)</b>
	Low	1 (1.7%)	6 (10.3%)	8 (13.8%)	0	<b>15</b> <b>(25.9%)</b>	Low	4 (6.9%)	2 (3.4%)	9 (15.5%)	0	<b>15</b> <b>(25.9%)</b>
	Very Low	1 (1.7%)	2 (3.4%)	3 (5.2%)	9 (15.5%)	<b>15</b> <b>(25.9%)</b>	Very Low	2 (3.4%)	2 (3.4%)	6 (10.3%)	5 (8.6%)	<b>15</b> <b>(25.9%)</b>
	Total	<b>14</b> <b>(24.1%)</b>	<b>20</b> <b>(34.5%)</b>	<b>13</b> <b>(22.4%)</b>	<b>11</b> <b>(19%)</b>	<b>58</b> <b>(100%)</b>	Total	<b>18</b> <b>(31%)</b>	<b>14</b> <b>(24.1%)</b>	<b>18</b> <b>(31%)</b>	<b>8</b> <b>(13.8%)</b>	<b>58</b> <b>(100%)</b>
	Pearson's Chi-Squared: $\chi^2 = 40.493$ (p-value: 0.000)						Pearson's Chi-Squared: $\chi^2 = 22.126$ (p-value: 0.008)					

1 The results of the analysis corroborate the causal relationship of *Climate Policy* with  
2 *Renewable Energy* (CT2) but not with *Energy Use* (CT1). The latter indicator refers to  
3 energy efficiency; that is, it reflects the extent to which countries are committed to  
4 achieving reductions in the energy used in the production of goods and services. Its  
5 importance lies in the need to achieve the goal of net zero emissions, which is crucial to  
6 curb climate change. However, the results of the  $\chi^2$  test (p-value>0.05) for the *Climate*  
7 *Policy* and *Energy Use* analysis reveal the absence of a relationship between the two  
8 indicators.

9 In CT2, the  $\chi^2$  test confirms the link between *Climate Policy* and *Renewable Energy* (p-  
10 value<0.05). Specifically, of the 18 countries that have a *High* level in *Renewable Energy*,  
11 half achieve the same level in *Climate Policy*. The transition to the use of REs requires  
12 major government involvement with assistance programmes to facilitate their  
13 deployment. Thus, the USA, Russia, Saudi Arabia and Australia are among the top carbon  
14 polluters, with *Low* or *Very low* levels in *Renewable Energy*; moreover, none of them  
15 have a useful federal climate policy facilitating the transition to the use of clean energies  
16 aimed at reducing pollution. Paradoxically, in countries with fewer resources, such as  
17 Portugal, Morocco or Chile, among others, the authorities show greater commitment to  
18 promoting the use of REs by reducing the use of fossil fuels, which will help them prevent  
19 GHG emissions and facilitate change climate mitigation [99].

20 Next, CT3 and CT4 analyse the connection between the other pillars of the CCPI. The  
21 results confirm the causality between *GHG Emissions* and the indicators *Energy Use* and  
22 *Renewable Energy* (p-value<0.05 in both cases), as reported by Burck et al. [75].  
23 Regarding country frequencies, it is observed that of the 12 countries categorised as *High*  
24 for *GHG Emissions* half of them are also categorised as *High* for *Energy Use* and  
25 *Renewable Energy*, demonstrating that energy strategies positively influence emission  
26 reductions. Specifically, Germany needs to improve its RE options and thus lower its  
27 level of emissions [100], while India, despite its low level of development, has invested  
28 heavily in REs, achieving a *High* level in its fight to prevent GHG emissions [82].

29 To sum up, there is a connection between the pillars of CCPI, all of which are aimed at  
30 achieving a reduction in GHG emissions, which in turn helps slow down climate change  
31 and prevent irreversible adverse effects on the planet. However, there is no statistically  
32 significant evidence that government actions are translating into more efficient use of

1 energy. Support for these results can be found in the literature, which reveals conflicts  
2 between economic growth and energy change [101].

3

#### 4 **5. Conclusions**

5 Global warming due to climate change has become the greatest threat to life on the planet.  
6 Its effects are ever-more evident and cannot be ignored by the government agencies  
7 tasked with ensuring their citizens' security and quality of life. An active position is called  
8 for in the face of this serious problem, the consequences of which have only just begun  
9 to emerge. What is needed is an orderly transition towards the use of clean, efficient  
10 energies that enable the reduction of toxic emissions harmful to all ecosystems.

11 Using the most recent information from the CCPI, this study has focused on identifying  
12 patterns of performance that can provide valuable information to the authorities  
13 responsible for setting climate policies. Cluster analysis and contingency tables were used  
14 to resolve the two hypotheses proposed concerning countries' environmental practices  
15 and the relationship between commitment to implementing related actions and the  
16 reduction of GHG emissions.

17 The results confirm that environmental concern is not driven by matters of wealth or  
18 geography. Neighbouring countries may have very different perceptions of the  
19 environmental situation. Moreover, those with fewer resources are sometimes more active  
20 than others that are still primarily concerned with production volumes, ruling out the use  
21 of clean, efficient energies that could reduce economic profits. In addition, countries  
22 taking active measures are managing to incorporate REs into their production systems,  
23 thereby reducing GHG emissions. However, the  $\chi^2$  test indicates a non-existent  
24 relationship between climate change policies and energy use, therefore, policy makers  
25 should design their policy strategies to incentivise businesses to reduce the energy used  
26 in the production of goods and services.

27 There is a need for a 45-55% global emissions' cut by 2030 compared to 2010 (according  
28 to the SR1.5°C from the IPCC in 2018), which means that, beyond the deployment of  
29 renewables, the shutdown of fossil fuel infrastructures and abandonment of fossil fuels  
30 reserves is a necessary condition to achieve the UNFCCC's objectives, as well as the need  
31 for adaptation measures, in particular in the poorest countries, as well as massive financial  
32 support for this to happen at the global scale.

1 The sample used is representative of the existing problem, it covers a relatively small  
2 group of countries that together account for about 90% of CO<sub>2</sub> emissions. However, a  
3 broader sample of emerging countries would reinforce the findings of the research. At the  
4 same time, regional or even sectoral analysis would provide a more accurate picture of  
5 the situation. The extension of this research would be to analyse the determinants of  
6 emissions reduction, checking whether the introduction of innovative processes in  
7 industries fosters the use of clean energy. Finally, other indexes should be considered like  
8 the Climate Action Tracker or the Paris Equity Check, (and there's even complementary  
9 or even contradiction between the indexes).

10

11



1

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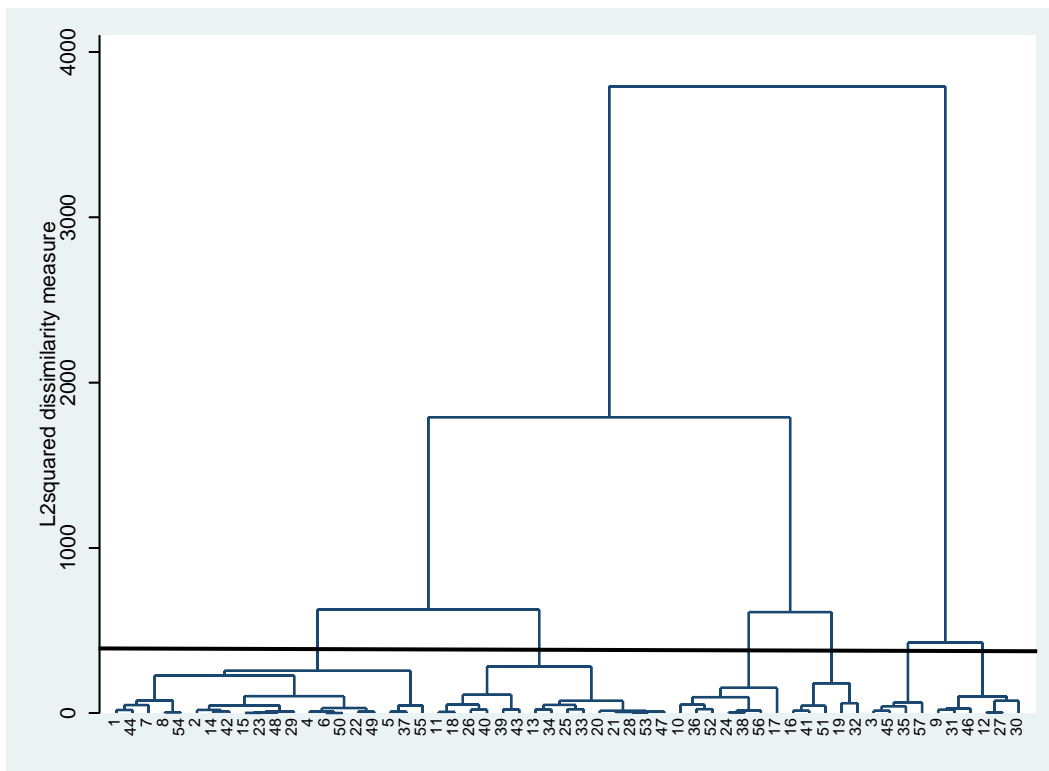
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1 Appendix.

2 Figure 1A. Dendogram



3

4

1 Algeria	11 China	21 Germany	31 Korea	41 Norway	51 Sweden
2 Argentina	12 Chinese	22 Greece	32 Latvia	42 Poland	52 Switzerland
3 Australia	13 Croatia	23 Hungary	33 Lithuania	43 Portugal	53 Thailand
4 Austria	14 Cyprus	24 India	34 Luxembourg	44 Romania	54 Turkey
5 Belarus	15 Czech Rep	25 Indonesia	35 Malaysia	45 Russia	55 Ukraine
6 Belgium	16 Denmark	26 Ireland	36 Malta	46 Saudi Arabia	56 The UK
7 Brazil	17 Egypt	27 Islamic	37 Mexico	47 Slovak Rep	57 USA
8 Bulgaria	18 Estonia	28 Italy	38 Morocco	48 Slovenia	
9 Canada	19 Finland	29 Japan	39 Netherlands	49 South Africa	
10 Chile	20 France	30 Kazakhstan	40 New Zealand	50 Spain	

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