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Additional Information

## 1 Original Paper for Journal of Pest Science

- 2 Meritxell Pérez-Hedo<sup>1\*</sup>, Miquel Alonso-Valiente<sup>1</sup>, Sandra Vacas<sup>2</sup>, Carolina Gallego<sup>1</sup>, Clara Pons<sup>3</sup>,
- 3 Vicente Arbona<sup>4</sup>, José L. Rambla<sup>4</sup>, Vicente Navarro-Llopis<sup>2</sup>, Antonio Granell<sup>3</sup>, Alberto Urbaneja<sup>1</sup>

5 Plant exposure to herbivore-induced plant volatiles: a

6 sustainable approach through eliciting plant defenses

8 <sup>1</sup> Instituto Valenciano de Investigaciones Agrarias (IVIA). Centro de Protección Vegetal y

- 9 Biotecnología, (IVIA), CV-315, Km 10.7, 46113 Moncada, Valencia, Spain
- 10 <sup>2</sup> Centro de Ecología Química Agrícola Instituto Agroforestal del Mediterráneo. Universitat
- 11 Politècnica de València. Camino de Vera s/n, 46022 Valencia, Spain
- 12 <sup>3</sup> Instituto de Biología Molecular y Celular de Plantas. (IBMCP), Consejo Superior de
- 13 Investigaciones. Científicas, Universitat Politècnica de València, Camino de Vera s/n, 46022
- 14 Valencia, Spain

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- <sup>4</sup> Ecofisiologia i Biotecnologia, Departament de Ciències Agràries i del Medi Natural. Universitat
- 16 Jaume I, Castelló de la Plana, Spain
- \* Corresponding author: perez\_merhed@gva.es
- 18 ORCID
- 19 Meritxell Pérez-Hedo: 0000-0003-3411-0761
- 20 Miquel Alonso-Valiente: 0000-0002-2065-6125
- 21 Sandra Vacas: 0000-0001-6911-1647
- 22 Carolina Gallego: 0000-0002-3385-3072
- 23 Clara Pons: 0000-0002-8296-2937
- 24 Vicente Arbona: 0000-0003-2232-106X
- 25 José L. Rambla: 0000-0003-4704-2534
- 26 Vicente Navarro-Llopis: 0000-0003-3030-3304
- 27 Antonio Granell: 0000-0003-4266-9581
- 28 Alberto Urbaneja: 0000-0001-5986-3685

#### **Abstract**

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Modern agricultural policies across the globe are committed to a significant reduction in chemical pesticide dependency; however, pest management strategies are still based on the use of synthetic pesticides. There is an urgent need to find new, sustainable and biorational tools for pest management programs. Plants communicate with each other and activate defense mechanisms against pests using Herbivore-Induced Plant Volatiles (HIPVs). The use of such HIPVs could be an ecologically sustainable alternative. However, as of now, there has been no comprehensive studies on HIPVs, from selection to practical use in industry production. Here, we describe the first case of an HIPV successfully implemented for pest control under commercial greenhouse conditions. In this research, tomato plants induced with (Z)-3-hexenyl propanoate [(Z)-3-HP] were less susceptible to the attack of economically important tomato pests. We designed and calibrated polymeric dispensers for the constant release of (Z)-3-HP. These dispensers maintained commercial tomato plant defenses activated for more than two months reducing herbivore pest damage without reducing plant productivity. Transcriptomic and metabolomic analyses of plants induced with (Z)-3-HP confirmed that genes involved in specialized anti-herbivore defense were upregulated, resulting in an increased production of fatty acid-derived compounds, activation of the lipoxygenase pathway and accumulation of specific defense compounds. Our work demonstrates under commercial greenhouse conditions how the release of HIPVs as elicitors of plant defenses via designed polymeric dispensers can be successfully integrated as a new biorational and sustainable tool for pest control.

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**Keywords:** Elicitors, pest management, *Tuta absoluta*, predatory mirids, tomato

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# Declarations

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## **Key Message**

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- Plants communicate with each other by means of warning signals when under attack.
- Plants receiving warning signals may become defensively induced against different
   stressors.
- In this research, we take advantage of this to develop a new sustainable method for pest management.
- We demonstrate how exposure of tomato plants to one selected volatile using polymeric
   dispensers enhances resistance to key tomato pests in commercial greenhouses.
- We anticipate our results to be a starting point for new biorational strategies in pest
   management.

Authors' contributions: M.P.-H. and A.U. conceived the idea. M.P.-H., A.G., V.N.-LL. and A.U. designed the research methodology. M.A.-V., C.G., S.V., J.R., V.A., M.P.-H. performed the experiments. M.P.-H., C.P., J.R., V.A., S.V., V.N.-LL. and A.U. analyzed the data. All the authors discussed the drafts, took part in writing the manuscript and gave final approval for publication.

### Introduction

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Public and private organizations, the scientific community and society have long been demanding sustainable agricultural practices which guarantee food security for a growing world human population without compromising biodiversity and the environment (UE 2009; Poore and Nemecek 2018; Pretty 2018; Mokany et al. 2020; Johnson et al. 2020). However, the reality is that most of the pest management programs are still dependent on the use of synthetic pesticides. If suitable alternatives are not found, this will lead to increased pesticide use and the inherent problems associated with its use (Nicolopoulou-Stamati et al. 2016; Calvo-Agudo et al. 2019; Tortell 2020), hence, there is a great need to identify and exploit novel mechanisms and develop new strategies for pest management. Plants respond to herbivore attack both directly by adapting their suitability as a host plant or affecting herbivore survival and reproductive success (direct defense), and indirectly through other species such as natural enemies of the insect pests (indirect defense) (War et al. 2012). Direct defenses are mediated by plant characteristics that affect the herbivore's biology such as mechanical protection on the surface of the plants (War et al. 2012) or by the production of proteinase inhibitors (PIs) and specialized metabolites including terpenoids, phenolics and alkaloids. These compounds are often produced by plants as deterrents or toxins that may act directly on the insects as feeding inhibitors (Duffey and Stout 1996; Züst and Agrawal 2016; Block et al. 2019; Hussain et al. 2019; Perez-Fons et al. 2019). Indirect defenses are mediated by the release of a blend of Herbivore-Induced Plant Volatiles (HIPVs) that specifically attract natural enemies of the herbivores and/or by providing food and housing to enhance the effectiveness of the natural enemies (Tumlinson et al. 1999; War et al. 2012). Plants have the capacity to communicate between themselves to warn one another of external stressors (Baldwin 1998; van Hulten et al. 2006; Heil and Silva Bueno 2007; Frost et al. 2008; Martinez-Medina et al. 2016). In the case of herbivore attacks, this communication is carried

out by HIPVs. The production of these volatiles is induced by herbivore injury and emitted by the plant thereafter (Pare and Tumlinson 1997, 1999; Kessler 2001; Dicke and Baldwin 2010). Plants which receive these volatile warning messages are capable of activating their defense system and enter into a state of alert aimed at minimizing potential imminent damage (Arimura et al. 2000; Frost et al. 2008). This activation can set off a wide range of defense responses, such as the production of PIs, the release of volatile compounds, the production of alkaloids, the formation of trichomes, and the secretion of extra floral nectar (Farag and Pare 2002; Choh and Takabayashi 2006; Heil and Ton 2008).

In previous work, we demonstrated how the phytophagous behavior of some zoophytophagous predatory mirids (Hemiptera: Miridae) triggers the release of HIPVs in tomatoes (Naselli et al. 2016; Zhang et al. 2018, 2019; Pérez-Hedo et al. 2018h a: Bouagga et

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zoophytophagous predatory mirids (Hemiptera: Miridae) triggers the release of HIPVs in tomatoes (Naselli et al. 2016; Zhang et al. 2018, 2019; Pérez-Hedo et al. 2018b, a; Bouagga et al. 2018, 2020). Some of these volatiles were responsible for inducing defenses in adjacent intact plants with no previous exposure to mirids (Pérez-Hedo et al. 2015). In order to decipher which volatiles were responsible for plant communication, we exposed individual plants to each of these mirid-induced volatiles [1-hexanol, (Z)-3-hexenol, (Z)-3-hexenyl acetate, (Z)-3hexenyl propanoate, (Z)-3-hexenyl butanoate, hexyl butanoate and methyl salicylate] for 24 hours (Pérez-Hedo et al. 2021). Methyl jasmonate, one of the most studied plant defense activators, was also tested in this study. All HIPVs overexpressed defensive genes in exposed tomato plants when compared to unexposed tomato plants. In a further step, (Z)-3-hexenyl propanoate [(Z)-3-HP, hereafter] and methyl salicylate (MeSA, hereafter), were selected based on their ability to induce the expression of the basic pathogenesis-related protein precursor (PR1), a marker gene for the the salicylic acid (SA) signaling pathway, and two plant PI (SI-PI-I and PIN2) markers. Plants previously exposed to these two HIPVs were repellent to Bemisia tabaci (Gennadius) (Hemiptera: Aleyrodidae), Tuta absoluta (Meyrick) (Lepidoptera: Gelechiidae) and Frankliniella occidentalis Pergande (Thysanoptera: Thripidae), attractive to the parasitoid Encarsia formosa Gahan (Hymenoptera: Aphelinidae), and indifferent to Tetranychus urticae Koch (Acari: Tetranychidae) (Pérez-Hedo et al. 2021).

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Interest in the potential applications of the use of these volatiles to induce plant defenses has increased greatly in recent years (Turlings and Erb 2018). However, taking advantage of HIPVs and the plants' ability to communicate for improved pest management has not yet been demonstrated under commercial production. To date, practical research with HIPVs has mainly focused on four directly related approaches: i) use of HIPVs as repellents or attractants of pests and / or natural enemies (James 2003; Uefune et al. 2012), ii) genetic plant selection to produce more HIPVs of agronomic interest (Birkett and Pickett 2014), iii) use of companion plants that emit HIPVs of interest to the crop (Pickett et al. 2014) and iv) spray of HIPVs as elicitors to induce defenses in the plant (Baysal et al. 2003). In this work, as a continuation of the work mentioned above (Pérez-Hedo et al. 2021) where (Z)-3-hexenyl propanoate and methyl salicylate were selected as HIPVs of interest for inducing tomato plant defenses, we research a new approach to take advantage of HIPVs for crop protection. With the novel use of designed polymeric dispensers, we show for the first time that the exposure of a plant to defense elicitor HIPVs can be used as an environmentally and economically sustainable tool for the protection of an important crop such as tomato (Solanum lycopersicum L.). Tomato is the leading vegetable crop globally, with a total yield of 18 million tons cultivated in over 4.7 million ha in 2018 and generated a revenue of \$190.4 billion in the same period (Research and Markets 2020). Tomato is especially susceptible to economic injury due to pests, and in the absence of control strategies yield losses can reach 100% (Pérez-Hedo et al. 2017; Biondi and Desneux 2019). Here, we disentangle the behavioral, physiological and agronomical traits which has allowed us to develop a pest control tool in tomato based on HIPVs and plant communication. This knowledge will be useful for future application in other crops.

# **Materials and Methods**

#### Plants, insects and HIPVs

The tomato *S. lycopersicum* cv. Moneymaker was used in all experiments, except for the commercial greenhouses where the cv. Raf was planted. Moneymaker seeds were sown in soil in seedling trays and two weeks after germination, seedlings were individually transplanted into pots ( $8 \times 8 \times 8$  cm). Plants were maintained undisturbed at  $25 \pm 2$  °C, with constant relative humidity of  $65\% \pm 5\%$  and a photoperiod of 14:10 h (light: dark). All tomato plants were pesticide-free. At four weeks of age (approximately 20 cm high), plants were used for experimentation.

Tetranychus urticae adults were obtained from a culture established at Instituto Valenciano de Investigaciones Agrarias (IVIA) in 2011 originally collected from the region of La Plana (Castelló, Spain). Mites were maintained on tomato plants kept in a climatic chamber under the same conditions described above. Tuta absoluta females were obtained from tomato colonies maintained at IVIA in a glasshouse located at IVIA at  $25 \pm 4^{\circ}\text{C}$ ,  $60 \pm 15\%$  RH and under natural photoperiod. Newly emerged (less than 5 days old) adult females were used in all trials.

All synthetic standards of the tomato volatile compounds were purchased from Sigma-Aldrich

(St. Louis, MO, USA), (Z)-3-HP purity > 97% and MeSA purity > 99%.

### Transcriptome response to HIPV exposure

To provide insight into the molecular responses of the plants exposed to HIPVs, total RNA of the apical part of 4 tomato plant exposed for 24h to either (Z)-3-HP or MeSA and intact plants were extracted. Volatile emitters were prepared from 2 x 2 cm filter paper impregnated each with 10  $\mu$ l of the corresponding volatile (Pérez-Hedo et al. 2018b). The volatiles were firstly diluted in methanol at 1:100 (v/v) and then further diluted in water at 1:100 (v:v; volatile mix:water) so that the final test concentration was 1:10,000 (v/v). The control consisted of 1:100 methanol:water (v/v). Pérez-Hedo et al. (2018b) demonstrated that this volatile concentration was very similar to those emitted by mirid-induced tomato plants. Two impregnated volatile emitters were then placed in the bottom part of a 30 × 30 × 30 cm

experimental cage (BugDorm-1 insect tents; MegaView Science Co., Ltd, Taichung, Taiwan) together with an intact tomato plant. Plants and HIPVs were kept undisturbed for 24 hours in isolated climatic chambers to avoid any volatile interference and maintained at 25 ± 2°C, 65 ± 10% RH and a 14:10 h (L:D) photoperiod. Samples from the apical part of the tomato plant were immediately ground in liquid nitrogen. Each plant, either exposed or intact, was used just once.

RNA sequencing was performed at MacroGen Inc. (www.macrogen.com) using Illumina HiSeq 4000 platform. Library construction and sequencing followed the TruSeq and HiSeq standard sequencing protocols recommended by Illumina. Twelve sequencing libraries, four replicates for plants exposed to either (Z)-3-HP or MeSA and control were constructed. 101- base Paired End sequencing approach was applied. Read quality was evaluated using the FastQC suite. Reads over phred score of 20 were accepted as good quality. Trimmomatic program 0.32 (Bolger et al. 2014) was used to remove adapter sequences and bases with Q-score lower than three from the ends. In addition, using the sliding window method, bases of reads that did not qualify for window size 4, and mean quality 15 were trimmed. Afterwards, reads with length shorter than 36bp were dropped to produce trimmed data. Trimmed reads were mapped to tomato Heinz reference genome build SL2.50 (GCF\_000188115.3\_SL2.50) with HISAT2 splice-aware aligner version 2.0.5 (Pertea et al. 2016) through Bowtie2 2.2.6 aligner. Known genes and transcripts were assembled with StringTie version 1.3.3b (Pertea et al. 2015, 2016) based on reference genome model.

The statistics summary of transcriptome sequencing is shown in Table S1. The data set supporting the results of this article has been deposited in NCBI's Gene Expression Omnibus (Edgar et al. 2002) and are accessible through GEO Series accession number GSE150659 (https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE150659). As exposure to HIPVs treatments was used to elicit herbivore response, DEG genes were inspected for genes with

annotations (GO and KO terms) related to plant pathogen and wounding response, and hormones with known roles in defense and wounding [jasmonic acid (JA), SA and ethylene (ET)]. An enrichment analysis for each KEGG pathway term in genes up and down regulated in plants experiencing exposure to (Z)-3-HP and MeSA was performed at P < 0.001. Details for the identification of DEGs and gene ontology, the defensive Gene identification and the confirmation of induced genes expression profiles by qRT-PCR can be found in the Electronic Supplementary Material.

### Plant metabolome response to HIPV exposure

Plant metabolic analysis was conducted to determine the molecular responses that HIPVs induce on the plants and explain their impact on pests. Using the same methodology described above, frozen plant material from the apical part of 4 tomato plants exposed for 24h to either (Z)-3-HP or MeSA and intact plants was homogenized in liquid nitrogen with pestle and mortar, and the resulting powder stored at -80°C until analyzed. Determination of primary metabolites was performed using hydrophilic interaction liquid chromatography (HILIC) coupled to hybrid quadrupole-time of flight mass spectrometry (QTOF-MS) as modified from Gika et al. (2012). Details about the analysis of plant metabolites are fully described in the Electronic Supplementary Material.

## Tetranychus urticae and T. absoluta performance on HIPVs-exposed plants

Given the activation of defense related marker genes in response to (Z)-3-HP and MeSA exposure exposure, we wanted to know whether this activation could influence the performance of two important phytophagous species in tomato, the two spotted spider mite (*T. urtic*ae) and the lepidopteran *T. absoluta*. Both phytophagous species were subjected to bioassays under two distinct plant exposure treatments. In one treatment the plant was preexposed to the volatile for 24 hours and then moved to a fresh air chamber where the

237 herbivores were added. In the other treatment, the plants were continuously exposed to the 238 volatile for the whole duration of the experiment (permanent exposure). 239 The experiment was conducted in three growth chambers maintained at 25 ± 2 °C, 65 ± 5% RH, 240 14:10 (L:D) h photoperiod. To avoid interference between volatiles, one chamber was assigned 241 to treatments with (Z)-3-HP, another to treatments with MeSA and the last to the control 242 treatment. The growth chambers where HIPVs were tested consisted of 12 cages (60 cm × 60 243 cm × 60 cm) (BugDorm-2 insect tents. MegaView Science Co., Ltd., Taichung, Taiwan), six per treatment (24 h exposure or permanent exposure). On the other hand, the chamber with the 244 245 control treatment consisted of only six cages. Cages were equally distributed at a distance of 246 1.5 meters from each other. Each cage represented one replicate. 247 Two impregnated volatile emitters prepared as described above, were placed on the floor of 248 the cages. In the permanent treatments, where volatile exposure lasted throughout the length 249 of experiment, the volatile emitters were replaced every two days. Eight tomato plants (cv. 250 Moneymaker) were introduced into each cage. The plants were individually isolated without 251 touching each other or the cage walls in order to avoid spider mite movement from plant to 252 plant. Additionally, plants were placed on top of a small brick inside a plastic tray full of water; 253 all pots were painted with a band of glue. Plants were artificially infested with T. urticae from 254 the previously described laboratory population. Twenty *T. urticae* females were released per 255 plant; they were distributed equally throughout the leaves with the aid of a fine brush. 256 Sampling was conducted seven, 14, and 21 days after releasing T. urticae. Sampling involved 257 counting the total number of T. urticae females on each plant. This was done with the naked 258 eye, in situ, without removing leaves from the plant. 259 To evaluate the effect of exposure to both of the volatiles on T. absoluta, two consecutive 260 experiments were performed. In the first, the effect on oviposition was studied. Selected eggs, 261 which had been laid in the first experiment, were subsequently used in the second experiment

to study the mortality of immature T. absoluta raised on plants exposed to the HIPVs. The same five treatments described above for T. urticae were used in the case of T. absoluta. Oviposition of T. absoluta was evaluated on 8 tomato plants (cv. Moneymaker) per treatment. Each plant was isolated inside a plastic cage (60 x 60 x 60 cm) (BugDorm-2 insect tents) maintained in a growth chamber at  $25 \pm 2$  °C,  $65 \pm 5\%$  RH, 14:10 (L:D) h photoperiod following the same treatment distribution described for T. urticae. Inside each cage (replicate), 4 adult T. absoluta (2 males and 2 females) were released and left undisturbed for 72 hours. After this time, T. absoluta adults were removed and the number of eggs was counted.

To study the mortality of T. absoluta in plants exposed to the 5 treatments described above, 6 T. absoluta eggs per plant were distributed equally throughout the leaves with the aid of a fine brush. The eggs used in each treatment came from the corresponding treatment of the first experiment. Mortality of T. absoluta was evaluated on 8 tomato plants (cv. Moneymaker) per treatment. Each plant was isolated inside a plastic cage (60 x 60 x 60 cm) (BugDorm-2 insect tents) maintained in a growth chamber at  $25 \pm 2$  °C,  $65 \pm 5\%$  RH, 14:10 (L:D) h photoperiod following the same treatment distribution described above. Plants were left undisturbed until T. absoluta adults emerged. Each day, newly emerging adults were counted and removed from the cages.

#### Suitability of HIPV dispensers and tomato plant productivity

In light of the results obtained with (Z)-3-HP, we decided to formulate this volatile into controlled release dispensers which allow to emit (Z)-3-HP at constant rates for long periods. We chose (Z)-3-HP because it had the greatest effects on the performance of the pests. To determine the emission rate of (Z)-3-HP that was needed to achieve plant activation, we prepared three formulations with permeable polymer vial dispensers which provided three different emission rates for (Z)-3-HP: 3.4, 0.8 and 0.08 mg/day (Electronic Supplementary Material; Fig. S1). Then, we selected the dispensers that provided the highest (low density polymer, LD) and the lowest (high density polymer, HD) emission rates to check in a

preliminary glasshouse trial the level of plant activation (gene expression). Accordingly, we selected the polymeric dispenser LD, as it provided significant plant activation via the study of the PIN2 gene (Electronic Supplementary Material; Fig. S2).

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To test whether or not the use of these volatiles could activate the defenses of tomato during a continuous period of time and whether the activation would have some type of trade-off in fruit producing plants, a glasshouse experiment was conducted. The selected LD dispensers were placed inside 25 m<sup>2</sup> isolated test chambers containing 60 plants within a glasshouse at  $25^{\circ}$ C  $\pm 1^{\circ}$ C and RH  $65\% \pm 10\%$  and natural photoperiod (aprox. 14:10, L:D). Tomato plants were transplanted into individual polyethylene 20-liter pots filled with a mixture of sand and peat (1:2 w:w) medium in the greenhouse in twelve rows of five plants each (2 plants/m<sup>2</sup>). Crop cultivation techniques typical of tomato greenhouse cultivation in Spain were followed: a trellis of one wire-guide for each plant, to which the main stem was trained tied with green polyethylene string, weekly pruning of secondary shoots, application of a standard nutrient solution for tomato by means of an automated-irrigation system with an irrigation frequency adjusted to the environmental conditions and an irrigation time of 15 min. On November 15, 2019, in one of the test chambers, one LD dispenser loaded with 1 ml (Z)-3-HP was hung in the center of the chamber, while an empty LD dispenser was employed in the control chamber. The dispenser was hung in the middle of each chamber at 50 cm above the plants; as plants grew, the dispenser was moved upwards to maintain a standardized distance.

Each week, the height of 14 plants from each chamber was measured and their yield in fruit was weighed. Samples from the apical part of the 6 tomato plants were ground in liquid nitrogen and the expression of *PR1*, *PIN2* and *SI*-PI-I markers was quantified 24 hours and 1, 4 and 8 weeks after the dispensers were installed. Portions of these samples were then used for RNA extraction. Total RNA (1.5 μg) was extracted using a Plant RNA Kit (Omega Bio-Tek Inc., Doraville, GA, USA) and was treated with RNase-free DNase (Promega Corporation, Madison, Wisconsin, USA) to eliminate genomic DNA contamination. The RT reaction and the PCR SYBR

reaction were performed as described by Pérez-Hedo et al. (2015a). Quantitative PCR was performed using the Smart Cycler II (Cepheid, Sunnyvale, CA, USA) sequence detector with standard PCR conditions. Expression of EF1 was used for normalization as a standard control gene. The nucleotide sequences of the gene specific primers are described in Table S2.

Additional dispensers were aged under the same trial conditions and were weighed weekly in on a precision balance (0.0001 g). The weight differences over the duration of the experiment were considered the amount of (Z)-3-HP released from the dispenser.

### **Commercial greenhouse experiment**

To test the effect of the HIPV dispenser treatment under commercial greenhouse conditions, four greenhouses were selected from a single farm located in Xilxes (Castellón, Spain) (Fig. S3). Each greenhouse was considered a block in a replicated complete block design with 2 treatments and 4 replicates. The two plot treatments within each replicate block were adjacent (Fig. S3).

The tomato plant variety "Raf" were transplanted on September 4, 2018 to soil to give an overall density of 1.2 plant m² (25,450 plants in 21,200 m²). Common cultivation techniques were followed: the plant's main stem was trained with plastic rings supported by strings attached to an overhead wire; secondary shoots and senescent leaves were pruned weekly and a standard nutrient solution for tomato was applied weekly by means of an automated drip irrigation system. Transplanted plants were already inoculated with the predator *Nesidiocoris tenuis* Reuter (Hemiptera: Miridae) (Urbaneja-Bernat et al. 2015). A dose of 1 *N. tenuis* per plant was released in the nursery in addition to *E. kuehniella* eggs as an alternative prey. This pre-plant strategy with *N. tenuis* results in very efficient control of the two key tomato pests, *B. tabaci* and *T. absoluta* in tomato greenhouses (Pérez-Hedo et al., 2020). Indeed, from the date of transplanting (September 4, 2018) until the day when the dispensers

were hung (February 22, 2019), none of the greenhouses received any chemical treatment and pest regulation depended only on the predator *N. tenuis*.

In these greenhouses, as is typical in other long cycle tomato production in Spain where *N. tenuis* pre-release strategy is used, populations of *N. tenuis* decrease as winter approaches and remain at very low levels until spring and temperatures begin to rise. During this winter/early spring period, *T. absoluta* populations increase under the relative absence of *N. tenuis*, as was occurring in the selected greenhouses. Under these conditions, producers typically apply chemical treatments to stop the attack of *T. absoluta* in spring. These greenhouses were therefore selected, to investigate whether the use of the dispensers could activate tomato plant defenses and control of *T. absoluta* during the end of winter and spring period.

Twenty randomly chosen plants selected from the central part of each replicate were sampled weekly for 11 weeks, beginning on January 30, 2019. First, the number of leaflets infested per plant were counted. Then *N. tenuis* (adults and nymphs) were counted in the whole apical third of the plant (leaves, flowers and shoots). On February 22, 2019, polymeric LD dispensers loaded with 4 ml of (Z)-3-HP were hung at a dose of 1 per 20 m² (Fig. S3). Dispensers were distributed every 4 m within crop lines and every 5 m between crop lines. Each dispenser was hung at 50 cm above the plants. As plants grew, dispensers were moved upwards to maintain a standardized distance. The apical part of 6 tomato plant samples per treatment per replicate were ground in liquid nitrogen and the expression of *PR1*, *PIN2* and *SI-PI-I* genes was quantified as described above one day before dispensers were hung and 4 and 8 weeks after the dispensers were installed.

Additional dispensers were aged under the same experimental conditions and were weighed weekly on a precision balance (0.0001 g). The weight differences over the duration of the experiment were considered the amount of (Z)-3-HP released from the dispenser.

#### Data analyses

The results of the transcriptional responses with markers, the number of eggs per plant, and the % of egg-adult of T. absoluta mortality were subjected to one-way analysis of variance, and the Tukey test was used for mean separation at P < 0.05. Two-tailed Student's t-test (P < 0.05) was performed to compare the yield in the trade-offs study and the quantified expression of defense genes between control and exposed plants in both experimental and commercial greenhouses for each single date. Measurements of height in the trade-off experiment and number of mites per leaf, number of T. absoluta per plant and number of infested leaflets per plant on the different sample dates in both the experimental and commercial greenhouse were analyzed using a generalized linear mixed model (GLMM) with repeated measures. Treatment was considered as a fixed factor. The GLMM used a normal distribution with the identity as the link function. The results are expressed as the means  $\pm$  SE.

#### Results

#### Transcriptome response to HIPV exposure

After trimming and filtering, low-quality reads were removed (Table S1 and S3) and a total of 19,293 genes were found to be expressed (reads per kilobase per million mapped reads [RPKM] > 0) in all twelve samples (Table S4). MDS analysis of the four biological replicates in each group ((Z)-3-HP, MeSA and control) indicated that groups were well separated, with biological replicates clustering together (Fig. S4). A total of 849 transcripts were differentially expressed (DEG; $|fc| \ge 2$ ; P < 0 .05) in HIPV-elicited plants when compared to non-exposed plants: 715 and 577 genes in plants exposed to (Z)-3-HP and MeSA, respectively (Fig. 1; Table S5). Of the 715 DEG identified in plants exposed to (Z)-3-HP, 492 transcripts were up-regulated while 233 were down-regulated (Fig. 1A). Of the 577 DEG in response to MeSA treatment, 371 were up-regulated and 206 were down-regulated (Fig. 1A). The Venn diagram (Fig. 1B, Table S5) indicated that 310 and 133 were up and down-regulated by both exposure treatments,

388 respectively. No DEGs ( $|fc| \ge 2$ ; P < 0.05) were up or down regulated in opposite ways by the 389 treatments. This indicates that despite (Z)-3-HP having a higher effect in the transcriptome of 390 plants, both HIPV treatments induced similar responses in tomato plants; although with some 391 specific molecular changes associated to each compound. 392 Out of the 849 DEG only 67 had GO and KO terms related to defense, wounding or JA, SA and 393 ET (Table S6). This corresponds to a 5.7% of genes related to defense, 1.5 % to wounding and 394 2.2% to JA, SA and/or ET (Table S7). Out of these genes, approximately 40% of them were up-395 regulated by both compounds, (Z)-3-HP and MeSA, and 20-35% only when plants were treated 396 with (Z)-3-HP (Table S8, S9 and S10). Only 12 were down regulated by the volatile exposure 397 treatments. 398 Among the 29 common up-regulated genes related to defense, wounding or biotic stress 399 hormones (Table S6) we found 12 genes encoding proteinases and peptidases, a fatty acid 400 hydroperoxide lyase (HPL, Solyc07g049690.3 ) involved in JA and green leaf VOC production, 401 an ACC oxidase (Solyc02g036350.3) involved in ethylene production, two genes related to 402 strigolactone biosynthesis and signalling (Solyc04g077860.3, Solyc02g064770.3) and six genes 403 encoding transcription factors and signalling elements mediating JA, ET and/or SA signalling 404 and expression regulation (Table S5) such as jasmonate-induced oxygenase like 405 (Solyc03g096050.3) and ethylene response factors (Solyc05g051200.1, Solyc05g052030.1, 406 Solyc05g052040.1 and Solyc12g056980.1). 407 Regarding gene expression, (Z)-3-HP seems more effective in activating defense responses 408 than MeSA as only three genes related to defense, wounding or biotic stress hormones were 409 specifically up-regulated by MeSA (a monoterpene alcohol dehydrogenase, a wound-induced 410 protein 1 and a DAHP synthase 1 precursor; Table S6). In contrast, 22 genes were up-regulated 411 specifically by (Z)-3-HP. Among DEG up-regulated specifically by (Z)-3-HP we found three

(Solyc09g010220.3,

Solyc09g083120.3,

and

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additional

proteases/peptidases

Solyc03g059260.3), another gene encoding for a JA biosynthesis (Solyc12g094520.2, encoding OPC-8:0 CoA ligase1), two PR1 encoding genes (Solyc09g007020.2 and Solyc07g006710.2), two chitinases (Solyc10g055800.2 and gene24759), three genes involved in the metabolism of monoterpenoids, the secondary metabolite glycosylation and polyamine biosynthesis (Solyc01g099560.3, Solyc03g078780.2 and Solyc10g054440.2, respectively) and a gene involved in JA signal transduction (JAR1-like; Solyc07g054580.3). In addition, two WRKY transcription factors transcription, one described in response to JA (WRKY31/S/WRKY33A; Solyc06g066370.3) and the other in response to SA (WRKY1/WRKY40; Solyc06g068460.3), were also detected.

In general, genes up and down regulated by both treatments were enriched in genes encoding for biosynthesis of secondary metabolites (p-value <10<sup>-8</sup>-10<sup>-25</sup>) (Table 1). In addition, genes related to carbon metabolism were enriched among down regulated genes. More specifically, enrichment analysis indicated that both (Z)-3-HP and MeSA up-regulated genes were rich in genes related to phenylpropanoid biosynthesis and diterpenoid biosynthesis. Our enrichment analyses highlighted differences in the functional categories enriched in response to the two elicitor compounds. (Z)-3-HP up-regulated genes were rich in genes related to pathogen-plant interactions, MAPK signaling pathways as well as with genes related to steroid and carotene biosynthesis. MeSA specific up regulated genes, on the other hand, were rich in genes related to protein processing in endoplasmic reticulum. In the case of down-regulated genes, DEG down-regulated by (Z)-3-HP were enriched in ribosomal structural genes and ribosome biogenesis. MeSA down regulated genes, however, were rich in genes related with flavonoid biosynthesis. The genes in these functional categories are in Table S11 and S12.

#### Metabolome response to HIPV exposure

Non-targeted LC-MS metabolite analyses (Table S4) revealed relatively modest (50% to 75% increase) but significant differences in the metabolite complement of the leaves of elicited

plants. LC-MS confirmed the slight but consistent activation of a number of defense compounds including phenylpropanoids and glycoalkaloids (including  $\alpha$ -tomatine) (see highlighted compounds in Table S13).

### HIPV exposure reduces subsequent phytophagous infestation

By 21 days after the *T. urticae* addition to the plants, under both 24 hour pre-exposure and permanent exposure treatment, a reduction in the number of spider mites per plant was recorded when compared to the control but only when plants were exposed to (Z)-3-HP volatiles (Fig. 2A). A population reduction of  $50.3 \pm 6.3\%$  was observed in the 24 hour pre-exposure treatment and of  $83.9 \pm 5.0\%$  in the permanently exposed treatment ( $F_{4,85} = 4.437$ ; P = 0.003) (Fig. 2A) (Table S14).

As for *T. absoluta*, the 24 h pre-exposure treatment did not result in significant differences in oviposition nor in mortality when compared to the control (Fig. 2B, D). However, in the experiment with permanent exposure to (Z)-3-HP the number of eggs laid was reduced by 67.2  $\pm$  15.0% when compared to the control ( $F_{2,23} = 3.746$ ; P = 0.041) (Fig. 2B). Furthermore, the mortality of *T. absoluta* from egg to adult was significantly higher than in the control ( $F_{2,23} = 6.944$ ; P = 0.005) in the experiments with plants permanently exposed to (Z)-3-HP, where 81.2% mortality was observed (Fig. 2D). On the other hand, when plants were exposed to MeSA, there were no significant differences in either number of eggs laid by *T. absoluta* ( $F_{2,23} = 1.147$ ; P = 0.337) (Fig. 2C) or in the mortality of immature of *T. absoluta* when compare with

# HIPV dispensers do not reduce plant productivity

the control ( $F_{2,23} = 0.588$ ; P = 0.564) (Fig. 2E).

In plants exposed to (Z)-3-HP, expression of marker genes for the SA and JA pathway were significantly upregulated with respect to control plants during the whole duration of the experiment (8 weeks) (Fig. 3 A, B, C). Plant height (n=14) was measured weekly, with no

significant differences between HIPV exposed and control treatment plants ( $F_{1, 278}$  = 0.137; P = 0.712) (Fig. 3D). Furthermore, throughout the experiment, fruits were harvested and weighed with no significant differences in yield between the two treatments ( $t_{1, 26}$  = 0.023; P = 0.974) (Fig. 3E). Gravimetric release studies of the LD dispenser gave a mean emission rate of 9.6 mg/day (Fig. 3F) under glasshouse conditions.

### HIPV dispensers reduce T. absoluta infestation under commercial greenhouses

- Before the dispensers were hung, the expression of both marker genes was similar in plants from the (Z)-3-HP treatment and control plots. However, at 30 and 60 days the expression of both genes was significantly greater in the plants from the (Z)-3-HP treatment plots than those from the control plots (Table S15) (Fig. 4 A, B).
- The population of the mirid, *N. tenuis*, was similar in both treatments ( $F_{1, 86} = 2.112$ ; P = 0.150)

  (Fig. 4C), however, the level of *T. absoluta* infestation was significantly lower (approx. 58%) in

  the treatment with the (Z)-3-HP ( $F_{1, 86} = 11.375$ ; P < 0.001) (Fig. 4D). The volatile (Z)-3-HP was

  emitted at a constant rate of 12.2 mg/day during the period of the study (Fig. 4E).

## Discussion

This research demonstrates for the first time how the exposure of tomato plants to HIPVs can activate the defense mechanism and enhances resistance against pest infestation under commercial production. In addition, the results presented here indicate that there is a plant reprograming after (Z)-3-HP and MeSA exposure involving production of secondary metabolites and a plethora of protein inhibitors, especially by (Z)-3-HP, indicating that a defensive response is stimulated by the exposition to this HIPV. This activation of direct defenses explains the lower performance of the important tomato pests tested in this work, *T. urticae* and *T. absoluta*, in plants exposed to (Z)-3-HP.

Various studies have used HIPVs to reduce the impact of pests or increase the attraction of natural enemies [see review (Turlings and Erb 2018)]. However, to the best of our knowledge, this study is the first that uses a dispenser that continuously releases Z-(3)-HP, which functions as an elicitor of the plant defense mechanism, under practical and commercial application. Previous laboratory studies in corn have demonstrated the potential of green leaf volatiles (GLVs) for priming undamaged plants. The exposure of corn plants to a pure synthetic GLV chemical such as (Z)-3-hexenal, (Z)-3-hexen-1-ol and (Z)-3-hexenyl acetate was reported to induce priming against two generalist carterpillars, *Spodoptera exigua* (Hübner) and *Spodoptera littoralis* Boisduval (Lepidoptera: Noctuidae) upon activating the JA pathway (Engelberth et al. 2004; Ton et al. 2006). Our work goes one step further, with the use of polymeric diffusers for the continuous release of (Z)-3-HP, we have maintained the commercial tomato crop defenses activated throughout the duration of the whole experiment.

### (Z)-3-HP and MeSA induce subsets of anti-herbivore defenses

Our results showed that there is a clear reprogramming of the tomato plant after exposure to (Z)-3-HP and MeSA. Though both volatiles induced defensive responses, (Z)-3-HP elicited further the production of secondary metabolites and a large number of protein inhibitors. However, less than 6% of genes up-regulated by both volatiles had GO terms related to defense (mediated by JA, SA and ET). This result suggests that only some of the relevant aspects of herbivore defense in tomato plants are elicited by (Z)-3-HP and MeSA and full plant induction might depend on the interplay between different HIPVs.

Changes in the metabolome as assessed by non-targeted LC-MS revealed a discrete but consistent activation of specialized metabolism in response to plant exposure to HIPVs. This includes the main classes of metabolites involved in plant-insect interactions (Douglas 2018). Both HIPV treatments resulted in a common metabolite signature but also in the specific activation of other metabolites. Pérez-Hedo et al. (2021) studied the volatile compounds on

tomato plants exposed to (Z)-3-HP and MeSA by means of headspace solid-phase microextraction (HS-SPME) coupled to gas chromatography/mass spectrometry (GC-MS) and showed that both HIPVs activate the HPL branch of the lipoxygenase (LOX) pathway (including the cascade amplification of several C5 and C6 derived volatiles). Transcriptomic analysis also confirmed HPL branch activation by HIPVs. Both (Z)-3-HP and MeSA up-regulated the expression of the HPL gene. It should be noted that, despite our metabolomic analysis indicating that the LOX pathway was active upon plant exposure to HIPVs (Table S4), no significant induction of LOX genes was detected by RNAseq. This result is not surprising since up-regulation of LOX genes seems to be more dependent on wounding rather than HIPVs (Howe et al. 2000; Erb et al. 2015). The fact that exposure to both HIPVs activate HPL pathway derivates, suggest that defensive GLV emission in tomato is mediated by JA pool, but not by JA-Ile. LOX and JA-Ile pathways regulate specific aspects of herbivore resistance (Van Poecke and Dicke 2003; Wang et al. 2008; Schuman et al. 2018; Ye et al. 2019). Our transcriptome analysis indicates that both (Z)-3-HP and Me-JA up-regulated genes were rich in genes related to phenylpropanoid biosynthesis. These could function as preformed and inducible antimicrobial compounds, as well as signal molecules, in plant-microbe interactions (Naoumkina et al. 2010) and diterpenoid biosynthesis genes, among which a gene encoding trimethyltridecatetraene (TMTT)/dimethylnonatriene (DMNT) synthase was up-regulated. TMTT and DMNT are among the most widespread volatiles produced in angiosperm plant tissues when under herbivore attack (Tholl et al. 2011) and are involved in direct aphid repellence (Bruce et al. 2008) as in attracting parasitoids and predators (Van Poecke and Dicke 2003; De Boer et al. 2004). Furthermore, increased levels of the apocarotenoid  $\beta$ -ionone was found on (Z)-3-HP or MeSA-exposed tomato plants (Pérez-Hedo et al. 2021). This volatile has been shown to significantly inhibit B. tabaci egg production and repel both T. urticae and the crucifer flea beetle Phyllotreta cruciferae (Goeze) (Coleoptera: Chrysomelidae) (Cáceres et al. 2016). The accumulation of  $\beta$ -ionone was consistent with the up-regulation of Carotenoid

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Cleavage Dioxygenase 4B (SICCD4b, *Solyc08g075490*) in response to (Z)-3-HP or MeSA. In addition, steroidal alkaloid derivatives of  $\alpha$ -tomatine, which have been reported as active against insect pests in Solanaceae (Weissenberg et al. 1998), were also found activated by both HIPVs. Transcriptome analysis confirms glycoalkaloids as part of the defensive response induced by (Z)-3-HP, several genes in the steroid pathway as well as SI SSR2(Solyc02g069490), a key enzyme in the biosynthesis of toxic SGAs derived from cholesterol (Sawai et al. 2014), were up-regulated by (Z)-3-HP.

MeSA induces and extensive down regulation of the flavonoid pathway, including the first enzyme of the pathway, the chalcone synthase (CHS), which indicates a redirection of the metabolic flux from lignin pathway. In strawberry, reduced levels of *CHS* mRNA and enzymatic activity precursors of the flavonoid pathway were diverted to the phenylpropanoid pathway leading to a large increase in levels of (hydroxy) cinnamoyl glucose esters (Lunkenbein et al. 2006; Hoffmann et al. 2006), as well the production of phenylpropene volatiles such as eugenol (Hoffmann et al. 2011).

# (Z)-3-HP activates the JA and JA-ile biosynthetic pathways

Changes in gene expression assessed by RNAseq and RT-PCR support the functional role of (Z)-3-HP and MeSA in anti-herbivore defense in tomato, although (Z)-3-HP seems more effective in eliciting defense responses than MeSA. Both (Z)-3-HP and MeSA induced the expression of JA and SA signaling marker genes, but plant exposure to (Z)-3-HP resulted in higher expression levels of the PR1 and PIN2 genes (Pérez-Hedo et al. 2021). Furthermore, RNAseq analysis indicated that, after 24 hours of exposure to HIPVs, a jasmonate-induced oxygenase-like (JOX-like) gene was up-regulated by both HIPVs. JOX genes are indicative of an active JA pathway, since they are involved in the JA-pathway negative feedback system that play an important role in removing the excess of JA and determine the amplitude and duration of JA responses to balance the growth–defense trade-off (Caarls et al. 2017). However, (Z)-3-HP exposure

additionally caused the up-regulation of the JA biosynthetic enzyme (OPCL1: OPC-8:0 CoA ligase 1) and the jasmonyl-isoleucine (JAR1-like) conjugating enzyme, that releases the most known JA active form (Suza and Staswick 2008; Fonseca et al. 2009). This confirms that (Z)-3-HP induced both JA and Ile-JA biosynthesis in tomato more effectively than MeSA. (Z)-3-HP, therefore, elicited a more effective applicable defense response in tomato than MeSA.

The activation of the plant defense mechanism through the JA pathway may also affect the infection and multiplication of plant diseases. Recently our group examined how the phytophagous behavior of mirids activates plant defense mechanisms and, through the activation of the JA and SA pathways, can decrease multiplication rates of the Tomato Spotted Wilt Virus (TSWV), an economically important virus in horticultural crops (Bouagga et al. 2020). Furthermore, the exposure of tomato to (Z)-3-HP and (Z)-3-hexenyl butanoate induces stomatal closure. This stomatal closure can significantly reduce infection rates by the bacteria *Pseudomonas syringe* cv. *tomato* (López-Gresa et al. 2018). Though the effect of (Z)-3-HP exposure on stomatal closure was not evaluated in our study, we did verify that the other mechanisms involved in defense mechanism activation, as shown in the transcriptome results, reduce the performance of economically important tomato pests, such as *T. urticae* and *T. absoluta*.

## (Z)-3-HP does not incur metabolic costs

In our research, no metabolic trade-offs were found with the activation of the plant defense mechanism throughout the two-month duration of the bioassay. Neither growth nor weight of fruit was affected by maintained activation of the plant defense mechanism during this extended period (two months). Additionally, no negative effect in any agronomic parameter was observed in the commercial greenhouse experiment. However, a recent study conducted in tomato found a significant trade-off between defense priming and constitutive defense (Zhang et al. 2020). We hypothesize that in our study, any potential physiological costs of

maintaining plant defenses activated was mitigated by the optimal growth conditions of the tomato plants both under experimental and commercial greenhouse conditions. The plants were cultivated under optimal fertilization, irrigation and photosynthetic light; therefore, no growth or developmental limitations were expected.

#### The added value of the combined use of a polymeric dispenser and (Z)-3-HP

The polymeric dispenser employed in the commercial greenhouse trial provided mean release rate of 12.2 mg/day of (Z)-3-HP. The polymeric dispenser, therefore, remains functional for an entire crop cycle without the need for replacement. Semiochemicals (including pheromones and allelochemicals) are increasingly being used as biorational and sustainable alternatives in Integrated Pest Management programs and part of their success relies on using the appropriate dispensing technology (Muñoz-Pallares et al. 2001). Long-life controlled release dispensers are commonly developed to apply semiochemicals, such as insect sex pheromones in mating disruption treatments (Anfora et al. 2008; Vacas et al. 2010; Knight et al. 2012). In general, the practical application of the mating disruption treatment technique requires the release of sufficient amounts of pheromone over extended periods (Witzgall et al. 2008) to treat wide areas and avoiding the regular replacement of the dispensers at high labor costs. Field testing of HIPVs has been documented (James 2005), such as the controlled release of MeSA using plastic sachets for the recruitment of beneficial insects in grapes and hops (James and Price 2004; Gadino et al. 2012). Rowen et al. (2017) showed that MeSA emitting lures could also elicit plant defenses in tomato plants. These authors demonstrated that MeSA lures could inadvertently protect against pathogens, but did not show a clear effect on herbivore pests. Here, the combined use of a polymeric dispenser and (Z)-3-HP, provides reliable and consistent results and may form the basis to develop further systems using different HIPVs in other crops.

### Conclusion

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This research demonstrates how the practical use of volatiles as inducers of plant defense mechanism can be a valuable tool in pest management in tomatoes. The combined use of continuously released (Z)-3-HP with a polymer dispenser has proven to be an effective and environmentally sustainable method to keep an economically important crop such as tomato defensively activated for more than 2 months. The use of volatiles as inducers of plant defenses is yet to be exploited for the management of crop pests. Our work provides new potential for sustainable pest management using plant communication networks and the manipulation of defense mechanisms in crops.

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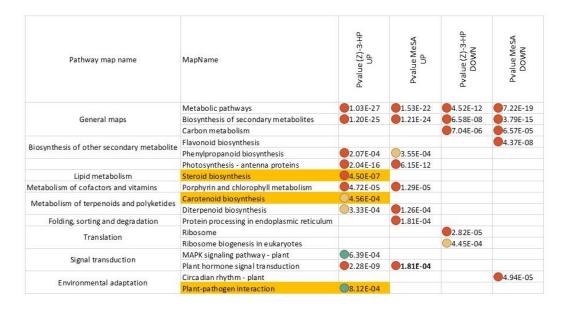
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**Table 1.** Heatmap showing the results of the enrichment analysis for each KEGG pathway term in genes up and down regulated in plants experiencing exposure to (Z)-3-hexeny propanoate [(Z)-3-HP] and methyl salicylate (MeSA). The gradient legend shows the level of enrichment raw p-value from the modified fisher's exact test to determine the enrichment of each gene from the gene set. The raw p-value lower than 0.001 means that the pathway has been significantly enriched.



# **Figure Legends**

**Fig. 1** Tomato transcriptome in response to HIPVs exposure. (A) Differentially expressed genes in response to (Z)-3-hexeny propanoate [(Z)-3-HP] and methyl salycilate (MeSA). Bars depicted the number of up and down regulated genes based on fold change and p-value of comparison pair. (B) Veen diagram indicating common and specific regulated genes.

Fig. 2 Number (mean  $\pm$  SE) of *Tetranychus urticae* females per tomato plant when comparing the mite development on tomato plants exposed to (Z)-3-hexenyl propanoate [(Z)-3-HP] and methyl salicylate [MeSA] compared to untreated tomato plants (Control). Both HIPVs were tested in two types of bioassays, (24 h) and (Per): in the first type the plants were only exposed for 24 hours to the volatile prior to *T. urticae* release (24 h); in the other type of trial the plants were permanently exposed to the volatile throughout the entire length of the experiment (Per). Bars with different letters are significantly different (GLMM, repeated measures  $\alpha$ < 0.05) (2A). Number of eggs (mean  $\pm$  SE) laid by 2 *Tuta absoluta* females during 72 hours in plants exposed to (Z)-3-hexenyl propanoate [(Z)-3-HP] (2B) and methyl salicylate [MeSA] (2C) in comparison to unexposed tomato plants (Control). Bars with different letters are significantly different (ANOVA, Tukey  $\alpha$ < 0.05). Percentage mortality (mean  $\pm$  SE) of *T. absoluta* from egg to adult when raised on tomato plants exposed to (Z)-3-hexenyl propanoate [(Z)-3-HP] (2D) and methyl salicylate [MeSA] (2E) in comparison to untreated tomato plants (Control). Bars with different letters are significantly different (ANOVA, Tukey  $\alpha$ < 0.05).

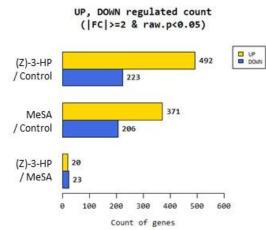
**Fig. 3** Transcriptional response of the defensive genes PR1 (a marker gene for the SA signaling pathway) (3**A**), PIN2 (a marker gene for the JA signaling pathway) (3**B**) and SI-PI-I (a marker for plant Proteinase Inhibitor) (3**C**) in tomato plants exposed to (Z)-3-hexenyl propanoate [(Z)-3-HP] released by a polymeric dispenser and control plants at 24 hours before and 1, 4 and 8

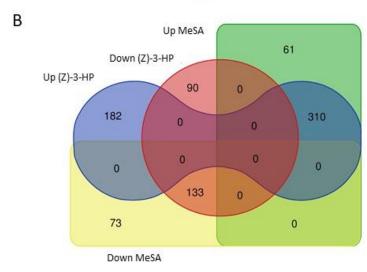
weeks after the establishment of the dispensers. Asterisks indicate significant differences between both treatments (P < 0.05). Height (cm) of the plants exposed to both treatments throughout the duration of the experiment (3**D**) and total harvest (g) obtained per plant in the trade-offs experiment (3**E**). Release profile of the dispenser employed fitted the linear regression model depicted ( $R^2 = 0.996$ ) (3**F**).

**Fig. 4** Transcriptional response of the defensive genes *PR1* (a marker gene for the SA signaling pathway) (4**A**) and *PIN2* (a marker gene for the JA signaling pathway) (4**B**) of tomato plants exposed to (Z)-3-hexenyl propanoate [(Z)-3-HP] released by polymeric dispensers and control plants, 24 hours before and 4 and 8 weeks after the establishment of the dispensers in the greenhouses. Asterisks indicate significant differences between both treatments (P < 0.05). Number of *Nesidiocoris tenuis* per plant (mean  $\pm$  SE) (4**C**) and number of infested leaflets per plant (4**C**) (mean  $\pm$  SE) in the greenhouse (GLMM, P < 0.05). Release profile of the dispenser employed fitted the linear regression model depicted (R<sup>2</sup> = 0.993) (4**E**).

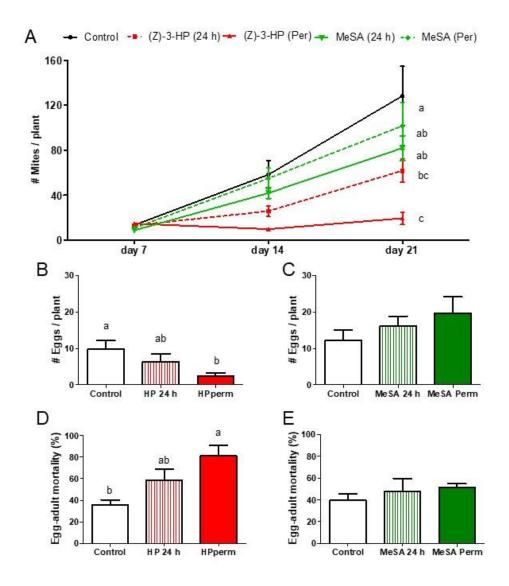
**Fig. 1** 



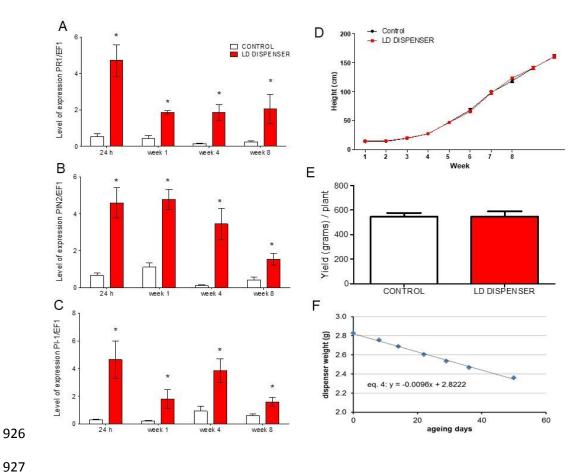




# **Fig. 2**



**Fig. 3** 



# **Fig. 4**

