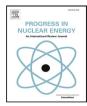
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# Frequency-domain models in the $\mathrm{SP}_N$ approximation for neutron noise calculations

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## ABSTRACT

Simulations of the neutron flux fluctuations, known as neutron noise, can be performed by means of the spherical harmonics equations  $(SP_N)$  approximation with higher accuracy than with the diffusion equation. In this sense, one can solve these equations in the time-domain or in the frequency-domain. This last approach permits solving the neutron noise without performing complete time-dependent simulations for monochromatic perturbations. This work presents two formulations of the SP<sub>N</sub> equations in the frequency domain, that are obtained by using different treatments of the time derivatives of the field moments. The methodology is verified with several neutron noise problems where the numerical results are compared with the time-domain computations of FEMFFUSION code. The C5G7 noise benchmark compares both SP<sub>N</sub> formulations, showing the applicability of the diffusive SP<sub>N</sub> approximation.

#### 1. Introduction

Nowadays, non-invasive detection of anomalies in nuclear power plants is possible through neutron noise monitoring. The early detection of such anomalies gives the possibility to take proper actions before they lead to safety concerns or impact on the plant availability. In this context, neutron noise is studied to analyse the effects of small perturbations in nuclear reactors that are generally produced by stochastic fluctuations of the coolant properties, such as its density or temperature, and mechanical vibration of fuel elements, controls rods and other structures in the reactor. Normally, these fluctuations are expressed as perturbations in the cross-sections of the materials of the reactor.

Over the years, using analytical techniques for simplified problems (Pázsit and Analytis, 1980; Demazière, 2019) or the neutron diffusion equation, spatially discretized with finite difference methods, nodal methods or finite element methods (Demazière and Pázsit, 2009; Rouchon et al., 2017a; Vidal-Ferràndiz et al., 2020c), have provided accurate enough results for neutron noise analysis. However, recent realistic neutron noise benchmarks require better approximations of the neutron transport equations with the capability for simulating strong changes in the flux gradient (Stacey, 2007; Vinai et al., 2021b). For this purpose, currently, the efforts are focused on the application of Monte Carlo methods (Rouchon et al., 2017b) or higher approximations of the neutron transport equation such as the discrete ordinates method,  $S_N$  equations, (Yi et al., 2021), spherical harmonics equations,  $P_N$  equations, (Larsson and Demazière, 2009). These approximations even though they provide very accurate results, have an enormous computational cost.

An alternative to these equations is using the simplified spherical harmonics equations, SP<sub>N</sub> equations, (Gelbard, 1960; McClarren, 2010). They are a simplification of the  $P_N$  equations that can be seen as a generalization of the neutron diffusion equation. In the timedependent SP<sub>N</sub> equations, one can obtain different types of formulations by neglecting or not the time derivatives of the moments (Carreño et al., 2021). In this work, we consider two different approaches: the full formulation, where none of the time derivatives are removed; and the diffusive formulation, where only the time derivatives of the even moments are kept in the expressions. Some works have been devoted to prove that the  $SP_N$  improves the results of the neutron diffusion equation for static problems and some transient problems (Hamilton and Evans, 2015; Vidal-Ferràndiz et al., 2019a; Carreño et al., 2021). For neutron noise computations, the diffusive SP<sub>3</sub> equations have shown to be a better approximation than the diffusion equation (Gong et al., 2021).

Once the type of approximation of the neutron transport is chosen, two types of approaches can be applied: neutron noise analysis in the time-domain or in the frequency-domain. In time-domain analysis, the equations can be integrated by using time discretization schemes, quasi-static methods or modal methods among others (Ginestar et al., 1998; Dulla et al., 2008; Carreño et al., 2019). Another possibility is

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to apply the Method of Characteristics (MOC) to avoiding the need of a spatial homogenization (Gammicchia et al., 2020). In the frequencydomain the neutron flux is decomposed into the steady-state flux and the fluctuation to obtain the neutron noise formulation, and then apply the Fourier transform (Mylonakis et al., 2021; Rouchon et al., 2017a). Several works have compared both formulations (Viebach et al., 2019; Olmo-Juan et al., 2019; Vidal-Ferràndiz et al., 2020c). Usually, the computational simulations with frequency-domain equations are much quicker than if the time-domain equations are used for describing the effect of a perturbation.

The aim of this work is developing the neutron noise multigroup  $SP_N$  equations in the frequency-domain for two types of formulations: the full and the diffusive, (Carreño et al., 2021). First-order neutron noise approximations are used, which remove the second order terms related to the fluctuations of the different fields and magnitudes appearing in the equations. Both formulations are compared, showing the applicability of each one of them. To verify the frequency-domain methodology, the results are compared with time-domain results obtained from the FEMFFUSION code (Vidal-Ferràndiz et al., 2020b), where a backward difference method is applied for the time discretization.

The structure of the rest of the paper is as follows. Section 2 exposes the neutron noise full  $SP_N$  problem in the time-domain, and it also develops this problem for the frequency-domain. In the same way, Section 3 describes the neutron noise diffusive  $SP_N$  problem in the time-domain, and it derives the noise problem in the frequency-domain. Section 4 tests the performance of the two  $SP_N$  formulations in the frequency-domain using two benchmark problems. First, a simple one-dimensional neutron noise benchmark and second, the 2D neutron noise C5G7 problem. Section 5 closes this paper with the main conclusions obtained.

#### 2. Neutron noise in the full $SP_N$ approximation (FSP<sub>N</sub>)

Generally, the simplified spherical harmonics equations (SP<sub>N</sub> equations) are derived from the one-dimensional spherical harmonics equation (P<sub>N</sub> equations) when the spatial derivatives are substituted by gradients (Stacey, 2007; Olbrant et al., 2013; Chao, 2016; Sanchez, 2019). Different assumptions on the time derivatives of the neutron moments yield different formulations of the time-dependent SP<sub>N</sub> equations (Lee et al., 2015; Carreño et al., 2021). This Section derives the full SP<sub>N</sub> equations in the frequency-domain (FSP<sub>N</sub>-FD equations) from the full SP<sub>N</sub> equation in the time-domain (FSP<sub>N</sub>-TD equations), which takes into account all time-derivatives of the neutron moments. The FSP<sub>N</sub>-TD equations can be expressed as (Carreño et al., 2021),

$$\mathcal{V}\frac{\partial}{\partial t}\boldsymbol{\Phi}^{n} + \nabla \left(\frac{n}{2n+1}\boldsymbol{\Phi}^{n-1} + \frac{n+1}{2n+1}\boldsymbol{\Phi}^{n+1}\right) + \Sigma^{n}\boldsymbol{\Phi}^{n}$$
  
$$= \delta_{n,0} \left((1-\beta)\mathcal{R}^{p}\mathcal{F}\boldsymbol{\Phi}^{0} + \sum_{k=1}^{K}\lambda_{k}\mathcal{R}_{k}^{d}\mathcal{C}_{k}\right), \quad n = 0, 1, \dots, N,$$
(1)

where  $\delta_{n,0}$  is the Kronecker delta that is equal to 1 only if n = 0 and zero in other cases. Note that for n = 0 the term with  $\Phi^{n-1}$  cancels out.

The equations for the delayed neutron precursor concentration are

$$\frac{\partial}{\partial t}C_k = -\lambda_k C_k + \beta_k \mathcal{F} \Phi^0, \qquad k = 1, \dots, K,$$
(2)

where

$$\Phi^{n} = \left(\Phi_{1}^{n}, \Phi_{2}^{n}, \dots, \Phi_{G}^{n}\right)^{T}, \quad \mathcal{F} = \left(v_{1}\Sigma_{f1} \quad v_{2}\Sigma_{f2} \quad \dots \quad v_{G}\Sigma_{fG}\right), \quad (3)$$

$$\Sigma^{n} = \left(\begin{array}{cccc} \Sigma_{t1} - \Sigma_{s11}^{n} & -\Sigma_{s21}^{n} & \dots & -\Sigma_{sG1}^{n} \\ -\Sigma_{s12}^{n} & \Sigma_{t2} - \Sigma_{s22}^{n} & \dots & -\Sigma_{sG2}^{n} \\ \vdots & \vdots & \ddots & \vdots \\ -\Sigma_{s1G}^{n} & -\Sigma_{s2G}^{n} & \dots & \Sigma_{tG} - \Sigma_{sGG}^{n} \end{array}\right), \quad (4)$$

$$\mathcal{V} = \operatorname{diag}(1/v_1, 1/v_2, \dots, 1/v_G), \qquad \mathcal{R}^p = \left(\chi_1^p \quad \chi_2^p \quad \dots \quad \chi_G^p\right)^I,$$
(5)

$$\mathcal{R}_{k}^{d} = \begin{pmatrix} \chi_{k,1}^{d} & \chi_{k,2}^{d} & \dots & \chi_{k,G}^{d} \end{pmatrix}^{T},$$
(6)

The magnitude  $\Phi_g^n = \Phi_g^n(x,t)$  denotes the *n*th-moment of the neutron flux in the spherical harmonics expansion.  $C_k$  denotes the delayed neutron precursor concentration. Subindex g (g = 1, ..., G) refers to the energy group. Subindex k (k = 1, ..., K) refers to the neutron precursors group, where usually the total number K is 6 or 8. The total and the fission macroscopic cross-sections are denoted by  $\Sigma_{tg}$  and  $\Sigma_{fg}$ , respectively. The value of  $\Sigma_{sgt'}^n$  is the *n*th-component of the scattering cross-section in the spherical harmonics expansion. The value of  $v_g$  is the mean number of neutrons produced by fission. The value of  $v_g$  denotes the neutron velocity. The spectrum of the prompt and the delayed neutrons are denoted by  $\chi_g^p$  and  $\chi_{g,k}^d$ . The fraction of the delayed neutrons is  $\beta_k$  such that the total delayed neutron fraction  $\beta = \sum_k^K \beta_k$ . Finally, the neutron precursor delayed constants are represented by  $\lambda_k$ . Usually, it is assumed that the scattering is isotropic, therefore  $\Sigma^n$ , r = 0, for n > 1.

 $\sum_{s,g_{L'}}^{n} = 0$ , for n > 1. The P<sub>N</sub> equations constitute a set of N + 1 equations with N + 2 unknowns. The most common option to solve this problem it to remove the term  $\nabla \Phi^{N+1}$  that appears in the Nth equation. Other possible solutions, known as closures, have also been studied in the literature (Hauck and McClarren, 2010).

Following the previous notation, the steady-state multigroup  $SP_N$  equations are expressed as

$$\nabla \left(\frac{n}{2n+1}\phi^{n-1} + \frac{n+1}{2n+1}\phi^{n+1}\right) + \Sigma^{n,0}\phi^n = \frac{\delta_{n0}}{k_{\text{eff}}}\mathcal{RF}^0\phi^0 \quad n = 0, 1, \dots, N,$$
(7)

where  $\phi^n = \Phi^n(x, 0)$  is the steady-state flux and

$$\mathcal{R} = \begin{pmatrix} \chi_1 & \chi_2 & \dots & \chi_G \end{pmatrix}^T, \tag{8}$$

where  $\chi_g$  is defined as  $\chi_g = \chi_g^p (1 - \beta) + \sum_k^K \chi_g^{d,k} \beta_k$ . Superindex 0 in  $\Sigma^{n,0}$  and  $\mathcal{F}^0$  indicates that the operators correspond to the value of them in the steady state configuration.  $k_{\text{eff}}$  is *k*-effective of the system. The fission cross-sections are divided by  $k_{\text{eff}}$  when the steady-state problem is solved to assume that the reactor is in a critical state. Therefore, in the following, it is supposed that  $k_{\text{eff}} = 1$ .

To develop the neutron noise equations, the quantities are split into the sum of the mean and the oscillatory part as

$$\Phi^{n} = \phi^{n} + \delta \Phi^{n}, \qquad \Sigma^{n} = \Sigma^{n,0} + \delta \Sigma^{n}, 
\mathcal{F} = \mathcal{F}^{0} + \delta \mathcal{F}, \qquad C_{k} = C_{k}^{0} + \delta C_{k},$$
(9)

where for all the quantities *p* is assumed that  $\langle \delta p \rangle = 0$  which result in  $\langle p \rangle = p^0$  i.e. the processes are assumed stationary and  $\|\delta p\| \ll \|p^0\|$ , i.e. the fluctuations are much smaller than the magnitudes in steady-state. Also, It must be reminded that neutron noise equations are not defined at  $\omega = 0$  if the mean value of the perturbed term (noise source) is not zero.

Substituting the neutron noise separations (9) into the Eqs. (1) and (2), using the steady-state equations (7) and removing the second order terms, we obtain the first-order neutron noise  $FSP_N$  equations

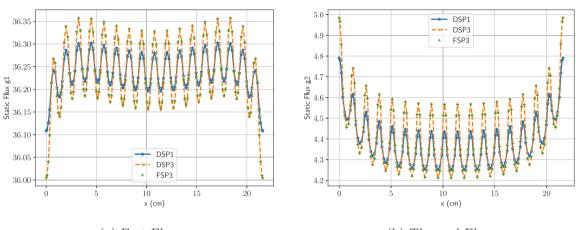
$$\mathcal{V}\frac{\partial}{\partial t}\delta\boldsymbol{\Phi}^{n} + \nabla\left(\frac{n}{2n+1}\delta\boldsymbol{\Phi}^{n-1} + \frac{n+1}{2n+1}\delta\boldsymbol{\Phi}^{n+1}\right) + \Sigma^{n,0}\delta\boldsymbol{\Phi}^{n} + \delta\Sigma^{n}\boldsymbol{\phi}^{n}$$
$$= \delta_{n,0}\left((1-\beta)\mathcal{R}^{p}\left(\mathcal{F}^{0}\delta\boldsymbol{\Phi}^{0} + \delta\mathcal{F}\boldsymbol{\phi}^{0}\right) + \sum_{k=1}^{K}\lambda_{k}\mathcal{R}_{k}^{d}\delta\boldsymbol{C}_{k}\right), \quad n = 0, 1, \dots, N,$$
(10)

where the equations for the neutron precursors are

$$\frac{\partial}{\partial t}\delta C_k = -\lambda_k \delta C_k + \beta_k \Big( \mathcal{F}^0 \delta \boldsymbol{\Phi}^0 + \delta \mathcal{F} \boldsymbol{\phi}^0 \Big), \qquad k = 1, \dots, K.$$
(11)

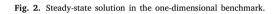


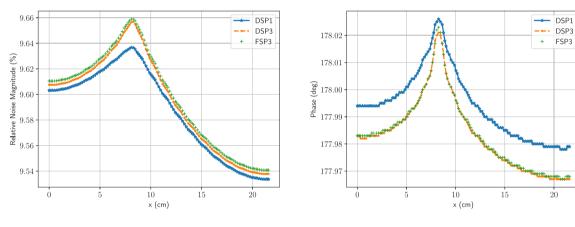
Fig. 1. One-dimensional problem.



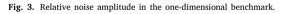
(a) Fast Flux

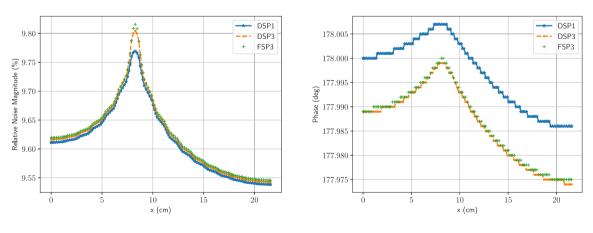
(b) Thermal Flux





- (a) Fast Flux Noise Amplitude (%)
- (b) Thermal Flux Noise Amplitude (%)

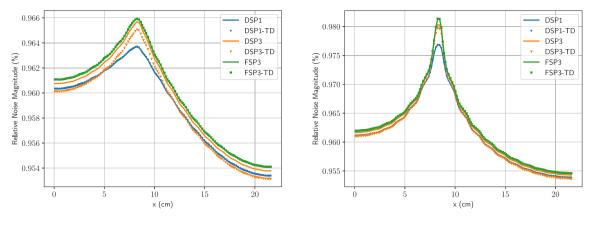




(a) Fast Flux Noise Phase

(b) Thermal Flux Noise Phase

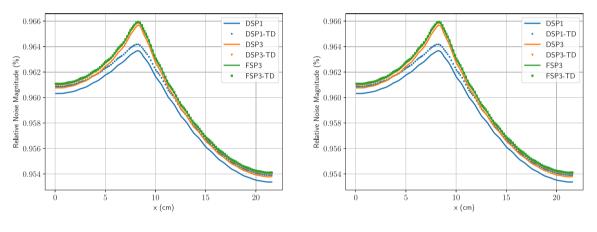
Fig. 4. Noise phase in the one-dimensional benchmark.



(a) Fast Flux Noise Amplitude

(b) Thermal Flux Noise Amplitude

Fig. 5. Relative noise amplitudes in time-domain and frequency-domain calculations in the one-dimensional benchmark.



(a) Fast Flux Noise Amplitude



Fig. 6. Relative noise amplitudes in time-domain and frequency-domain calculations in the one-dimensional benchmark not considering  $\delta \Sigma_{ir} \approx 0$  in time-domain calculations.

To obtain the neutron noise equations in the frequency domain, the following step is to apply the Fourier Transform, defined as,

$$f(\omega) = \mathcal{F}[f(t)] = \int_{-\infty}^{\infty} \exp(-i\omega t)f(t)dt,$$
(12)

to the previous equations, which permits isolating the concentration of precursors from Eq. (11) and substitute this term into Eq. (10). The frequency-domain equation, once the precursors' term is removed, has the form

$$i\omega\mathcal{V}\delta\boldsymbol{\Phi}_{g}^{n} + \nabla\left(\frac{n}{2n+1}\delta\boldsymbol{\Phi}^{n-1} + \frac{n+1}{2n+1}\delta\boldsymbol{\Phi}^{n+1}\right) + \Sigma^{n,0}\delta\boldsymbol{\Phi}^{n} - \delta_{n,0}\Gamma\mathcal{F}^{0}\delta\boldsymbol{\Phi}^{0} = -\delta\Sigma^{n}\boldsymbol{\phi}^{n} + \delta_{n,0}\Gamma\delta\mathcal{F}\boldsymbol{\phi}^{0}, \quad n = 0, 1, \dots, N,$$
(13)

where

$$\Gamma = (1 - \beta)\mathcal{R}^p + \sum_{k=1}^{K} \frac{\lambda_k \beta_k}{i\omega + \lambda_k} \mathcal{R}^d_k.$$
(14)

### 3. Neutron noise in the diffusive $SP_N$ approximation ( $DSP_N$ )

An alternative time-formulation of the  $SP_N$  approximation can be considered if the time derivatives of the odd moments are assumed to be null, i.e.

$$\frac{\partial \Phi^n}{\partial t} = 0, \qquad n \text{ odd.} \tag{15}$$

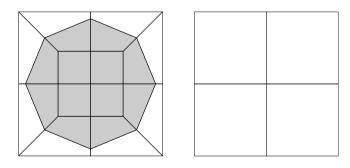


Fig. 7. Spatial discretization of each pin cell (left) and reflector cell (right).

This consideration permits substituting the equations related to the odd moments into the equations of the even moments to obtain a simpler formulation where only the even moments are involved. This approximation is known as diffusive  $SP_N$  equations (Carreño et al., 2021) (DSP<sub>N</sub> equations).

To develop the neutron noise equations associated with this model, we start with the time-domain  $DSP_N$  equations ( $DSP_N$ -TD equations)

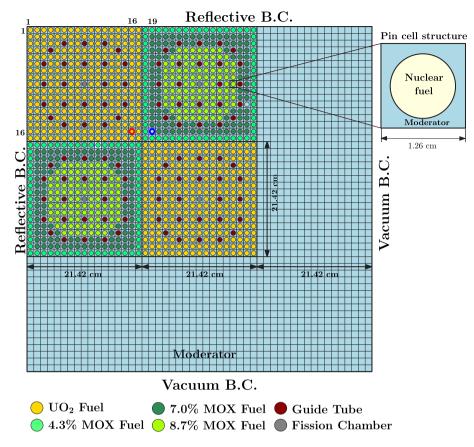


Fig. 8. Definition of 2D C5G7 benchmark. The perturbed pin in marked with a red circle.

ic

equations are obtained,

where all moments appear in the equations

$$\mathcal{V}\frac{\partial}{\partial t}\boldsymbol{\Phi}^{n} + \nabla\left(\frac{n}{2n+1}\boldsymbol{\Phi}^{n-1} + \frac{n+1}{2n+1}\boldsymbol{\Phi}^{n+1}\right) + \Sigma^{n}\boldsymbol{\Phi}^{n}$$

$$= \delta_{n,0}\Big((1-\beta)\mathcal{R}^{p}\mathcal{F}\boldsymbol{\Phi}^{0} + \sum_{k=1}^{K}\lambda_{k}\mathcal{R}_{k}^{d}\mathcal{C}_{k}\Big), \qquad n \text{ even},$$

$$\nabla\left(\frac{n}{2n+1}\boldsymbol{\Phi}^{n-1} + \frac{n+1}{2n+1}\boldsymbol{\Phi}^{n+1}\right) + \Sigma^{n}\boldsymbol{\Phi}^{n} = 0, \qquad n \text{ odd},$$
(16)

and the evolution of the delayed neutron precursor concentration is given by Eq. (2). The steady-state equations associated with the  $DSP_N$  equations are the same as the ones of the full formulation, which are given by Eq. (7). Moreover, the decomposition considered in Eq. (9) is also taken into account, to get the first order neutron noise diffusive  $SP_N$  equations

$$\begin{aligned} \mathcal{V}\frac{\partial}{\partial t}\delta\boldsymbol{\Phi}^{n} + \nabla\left(\frac{n}{2n+1}\delta\boldsymbol{\Phi}^{n-1} + \frac{n+1}{2n+1}\delta\boldsymbol{\Phi}^{n+1}\right) + \Sigma^{n,0}\delta\boldsymbol{\Phi}^{n} + \delta\Sigma^{n}\boldsymbol{\phi}^{n} \\ &= \delta_{n,0}\left(\left(1-\beta\right)\mathcal{R}^{p}(\mathcal{F}^{0}\delta\boldsymbol{\Phi}^{0} + \delta\mathcal{F}\boldsymbol{\phi}^{0}) + \sum_{k=1}^{K}\lambda_{k}\mathcal{R}_{k}^{d}\delta C_{k}\right), \qquad n \text{ even}, \\ \nabla\left(\frac{n}{2n+1}\delta\boldsymbol{\Phi}^{n-1} + \frac{n+1}{2n+1}\delta\boldsymbol{\Phi}^{n+1}\right) + \Sigma^{n,0}\delta\boldsymbol{\Phi}^{n} + \delta\Sigma^{n}\boldsymbol{\phi}^{n} = 0, \qquad n \text{ odd}, \end{aligned}$$

where the precursors are given by Eq. (11).

If now the Fourier transform is applied to Eq. (17) and a similar process as the one used for the FSP<sub>N</sub> formulation is done, the following

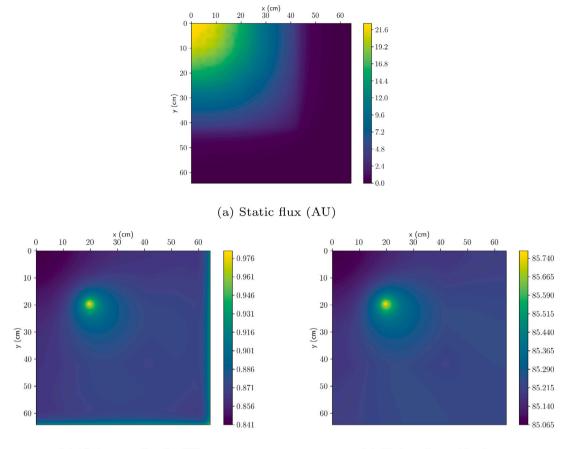
$$\begin{split} \omega \mathcal{V} \delta \boldsymbol{\Phi}^{n} + \nabla \left( \frac{n}{2n+1} \delta \boldsymbol{\Phi}^{n-1} + \frac{n+1}{2n+1} \delta \boldsymbol{\Phi}^{n+1} \right) + \Sigma^{n,0} \delta \boldsymbol{\Phi}^{n} + \delta \Sigma^{n} \phi^{n} \\ &= \delta_{n,0} \left( \left( (1-\beta) \mathcal{R}^{p} + \sum_{k=1}^{K} \frac{\lambda_{k} \beta_{k}}{i\omega + \lambda_{k}} \mathcal{R}_{k}^{d} \right) \left( \mathcal{F}^{0} \delta \boldsymbol{\Phi}^{0} + \delta \mathcal{F} \phi^{0} \right) \right), \quad n \text{ even,} \end{split}$$

$$\nabla \left( \frac{n}{2n+1} \delta \boldsymbol{\Phi}^{n-1} + \frac{n+1}{2n+1} \delta \boldsymbol{\Phi}^{n+1} \right) + \Sigma^{n,0} \delta \boldsymbol{\Phi}^n + \delta \Sigma^n \phi^n = 0, \qquad n \text{ odd.}$$
(19)

This diffusive formulation of the SP<sub>N</sub> equations permits isolating the odd moments from their associated Eqs. (19) and to substitute them into the equation for even moments (18). Moreover, we assume that  $\nabla \frac{\delta \Sigma^n}{(\Sigma^{n,0})^2} = 0$ , for *n* even. In neutron noise diffusion approximation (Larsson and Demazière, 2009; Demaziere, 2011) and diffusive SP<sub>3</sub> equations (Gong et al., 2021), it is assumed that the fluctuations in generalized diffusive coefficients i.e.  $\delta D_n = 0$ . These assumptions imply that the term of Eq. (19)  $\nabla \frac{\delta \Sigma^n}{(\Sigma^{n,0})^2} = 0$  for *n* even.

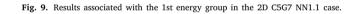
Then, the  $DSP_N$ -FD equations are:

$$i\omega\mathcal{V}\delta\Phi^{n} - \nabla\left[\frac{n(\Sigma^{n-1,0})^{-1}}{(2n+1)(2n-1)}\nabla\left((n-1)\delta\Phi^{n-2} + n\delta\Phi^{n}\right) + \frac{(n+1)(\Sigma^{n+1,0})^{-1}}{(2n+1)(2n+3)}\nabla\left((n+1)\delta\Phi^{n} + (n+2)\delta\Phi^{n+2}\right)\right]$$
(20)  
+  $\Sigma^{n,0}\delta\Phi^{n} - \delta_{n,0}\Gamma\mathcal{F}^{0}\delta\Phi^{0} = -\delta\Sigma^{n}\phi^{n} + \delta_{n,0}\Gamma\delta\mathcal{F}\phi^{0}, \qquad n=0,2,\dots,N-1$ 



(b) Noise amplitude (%)

(c) Noise phase (deg)



where

$$\Gamma = (1 - \beta)\mathcal{R}^p + \sum_{k=1}^K \frac{\lambda_k \beta_k}{i\omega + \lambda_k} \mathcal{R}_k^d.$$
(21)

Note that if N = 1 this approximation is equivalent to the neutron noise diffusion equation. And, it coincides with the one presented in Demaziere (2011) when g = 2 are considered.

For instance, for N = 3, the DSP<sub>3</sub>-FD equations are

$$i\omega \mathcal{V}\delta \boldsymbol{\Phi}^{0} - \nabla \frac{(\Sigma^{1,0})^{-1}}{3} \nabla \left( \delta \boldsymbol{\Phi}^{0} + 2\delta \boldsymbol{\Phi}^{2} \right) + \Sigma^{0,0} \delta \boldsymbol{\Phi}^{0} - \Gamma \mathcal{F}^{0} \delta \boldsymbol{\Phi}^{0}$$
$$= -\delta \Sigma^{0} \boldsymbol{\phi}^{0} + \Gamma \delta \mathcal{F} \boldsymbol{\phi}^{0},$$
$$i\omega \mathcal{V}\delta \boldsymbol{\Phi}^{2} - \nabla \left[ \frac{2(\Sigma^{1,0})^{-1}}{15} \nabla \left( \delta \boldsymbol{\Phi}^{0} + 2\delta \boldsymbol{\Phi}^{2} \right) + \frac{9(\Sigma^{3,0})^{-1}}{35} \nabla \delta \boldsymbol{\Phi}^{2} \right] + \Sigma^{2,0} \delta \boldsymbol{\Phi}^{2} = -\delta \Sigma^{2} \boldsymbol{\phi}^{2},$$
(22)

For this formulation, we apply a linear transformation of the variables similar to the one applied in Hamilton and Evans (2015) to have only one unknown with the gradients. The new variables are defined as

$$W^1 = \delta \phi^0 + 2\delta \phi^2, \qquad \delta W^2 = 3\delta \phi^2, \tag{23}$$

$$\delta U^1 = \delta \Phi^0 + 2\delta \Phi^2, \qquad \delta U^2 = 3\delta \Phi^2. \tag{24}$$

Eq. (22) with this change of variables gives a problem of the form

$$(\mathbb{V} - \nabla \mathbb{D}\nabla + \mathbb{S} + \mathbb{F})\delta U = -(\delta \mathbb{S} + \delta \mathbb{F})W, \qquad (25)$$

where

$$\delta U = (\delta U^1, \delta U^2), \qquad W = (W^1, W^2), \tag{26}$$

$$\bar{\mathbb{V}}_{ab} = \sum_{m=1}^{\infty} \bar{c}_{ab}^{(m)} i \omega \mathcal{V}, \qquad \bar{\mathbb{D}} = \begin{pmatrix} \frac{1}{3} (\Sigma^{1,0})^{-1} & 0\\ 0 & \frac{3}{35} (\Sigma^{3,0})^{-1} \end{pmatrix},$$
(27)

$$\bar{\mathbb{S}}_{ab} = \sum_{m=1}^{2} \bar{c}_{ab}^{(m)} \Sigma^{m,0}, \qquad \bar{\mathbb{F}}_{ab} = \bar{c}_{ab}^{(1)} \Gamma \mathcal{F}^{0},$$
(28)

$$\delta \mathbb{S}_{ab} = \sum_{m=1}^{2} \bar{c}_{ab}^{(m)} \delta \Sigma^{m}, \qquad \delta \mathbb{F}_{ab} = \bar{c}_{ab}^{(1)} \Gamma \delta \mathcal{F}, \tag{29}$$

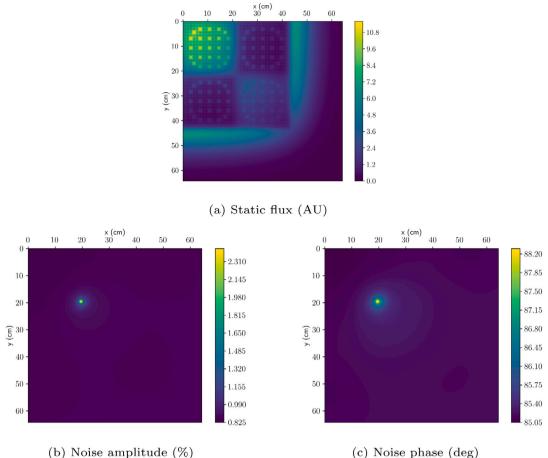
and the coefficients matrices,  $\bar{c}^{(m)}$ , are

$$\bar{c}^{(1)} = \begin{pmatrix} 1 & -2/3 \\ -2/5 & 4/15 \end{pmatrix}, \quad \bar{c}^{(2)} = \begin{pmatrix} 0 & 0 \\ 0 & 1/3 \end{pmatrix}.$$

#### 4. Numerical results

The differential full and diffusive  $SP_N$  equations ( $FSP_N$  and  $DSP_N$ ) in frequency-domain are spatially discretized by using a continuous Galerkin finite element method with Lagrange polynomials of order 3. This discretization is implemented in C++ by using structures from the open-source libraries deal.II (Bangerth et al., 2007) and library PETSc (Balay et al., 2015). This part has been developed as an extension of the open source neutronic code FEMFFUSION (Vidal-Ferràndiz et al., 2020b). More details about the implementation of the timedependent  $SP_3$  equations with the finite element method are found in Carreño et al. (2021).

From the spatial discretization of the differential frequency-domain formulations, an algebraic system of linear equations with complex



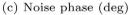


Fig. 10. Results associated with the 7th energy group in the 2D C5G7 NN1.1 case.

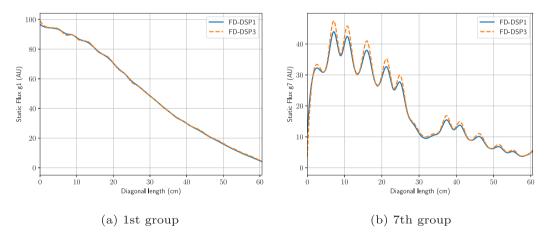


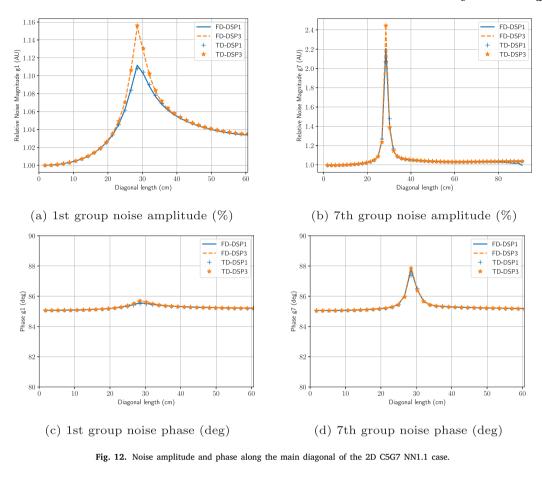
Fig. 11. Static scalar flux along the main diagonal of the 2D C5G7 case.

coefficients is obtained. To solve this system, we have used the GMRES method with the ILU preconditioner provided by PETSc library for complex numbers (Balay et al., 2015). Alternatively, one can transform the complex system into a real-number system and then apply the ILU preconditioner and the GMRES solver. However, in the problems presented in numerical results, the classical transformations do not improve the convergence of the linear system solver. Further studies are needed to design preconditioners to improve the convergence of these systems in complex and real arithmetic.

To compare the performance of the two  $SP_N$  formulations presented in the frequency-domain, two benchmark problems will be analysed, a one-dimensional problem and the C5G7 neutron noise benchmark.

#### 4.1. One-dimensional problem

To test the frequency-domain  $SP_N$  equations proposed with both formulations, we solve a one-dimensional benchmark composed by 17 homogeneous square fuel pins of length 1.26 cm as Fig. 1 shows. This



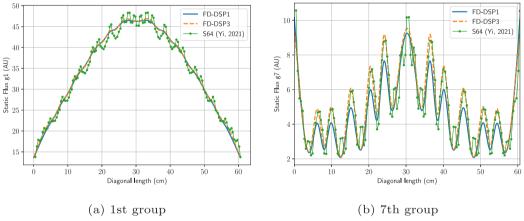


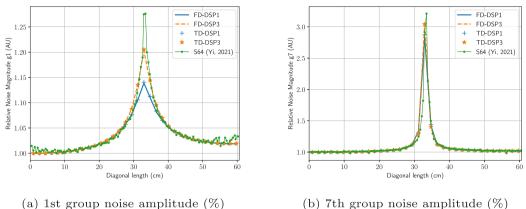
Fig. 13. Static scalar flux along the diagonal that crosses the MOX fuel assemblies of the 2D C5G7 reactor.

problem is a one-dimensional version of the case 2 solved in Vinai et al. (2021a). Each fuel pin is divided into 8 cells: 4 cells of length 0.13215 cm to model the moderator and 4 cells of length 0.18285 cm to model the fuel. Two extra cells of moderator of 0.08 cm are added at the edges of the problem. The cross sections used are defined in Table 1. Zero current is used for the boundary conditions. A perturbation located in the seventh fuel pin (marked in green) is introduced at t = 0, as a sinusoidal variation of the cross sections of the form:

$$\begin{split} &\delta \Sigma_t(t) = 0.004 \ \Sigma_t^0 \sin\left(\omega_0 t\right), \\ &\delta \Sigma_s(t) = 0.0034 \ \Sigma_s^0 \sin\left(\omega_0 t\right), \\ &\delta \Sigma_f(t) = 0.002 \ \Sigma_f^0 \sin\left(\omega_0 t\right). \end{split}$$

The angular frequency of the perturbation is set to  $\omega_0 = 2\pi$ , in other words, a frequency of 1 Hz. This type of perturbation over the macroscopic cross-sections is a generic one, and other types of perturbations as mechanical oscillations or density fluctuations of the coolant flow can be expressed as linear combinations of perturbations of this kind.

Fig. 2 shows the results for the amplitudes associated with the static scalar fluxes and the phases obtained with the diffusive  $SP_1$  (DSP<sub>1</sub>), the Diffusive  $SP_3$  approximation (DSP<sub>3</sub>) and the Full  $SP_3$  approximation (FSP<sub>3</sub>). We have to take into account that the steady-state FSP<sub>3</sub> and DSP<sub>3</sub> formulations are mathematically equivalent.  $SP_3$  approximations represent more accurately the neutron flux, specially when there is a strong change in the gradient of the neutron flux. This difference can be seen in the peaks in the centre of the water blades and the fuel pins.



(a) 1st group noise amplitude (%)

Fig. 14. Noise amplitude along the diagonal that crosses the MOX fuel assemblies of the 2D C5G7 NN1.2 case.

Table 1 Nuclear data for the one-dimensional benchmark.

Data	Fuel	Moderator
$\Sigma_{t1}$ (cm <sup>-1</sup> )	0.37790	0.25411
$\Sigma_{t2}$ (cm <sup>-1</sup> )	0.55064	1.2182
$\Sigma_{a1}$ (cm <sup>-1</sup> )	0.025755	0.00079457
$\Sigma_{a2}$ (cm <sup>-1</sup> )	0.15788	0.02931600
$\Sigma_{f1}$ (cm <sup>-1</sup> )	0.0057671	0.00
$\Sigma_{f2}$ (cm <sup>-1</sup> )	0.1062200	0.00
$v_1^{(-)}$	2.59068	0.00
$v_2$ (-)	2.59068	0.00
$\Sigma_{12}$ (cm <sup>-1</sup> )	0.0008647	0.028124
$v_1$ (cm s <sup>-1</sup> )	$1.82304 \times 10^{7}$	
$v_2$ (cm s <sup>-1</sup> )	$4.13067 \times 10^5$	
$\beta_{\rm eff}$ (–)	0.0535	
$\Lambda$ (s <sup>-1</sup> )	0.0851	

Table 2

Relative maximum difference (RMD) and relative average difference (RAD) with respect to FSP3 in the one-dimensional benchmark.

	Fast Group		Thermal Group	
	RMD (%)	RAD (%)	RMD (%)	RAD (%)
DSP <sub>1</sub>	0.231	0.103	0.475	0.098
DSP <sub>3</sub>	0.034	0.031	0.115	0.029

Fig. 3 shows the relative neutron noise amplitude (%) and Fig. 4 shows the neutron noise phase in the one-dimensional benchmark for DSP1, DSP3 and FSP3. The relative maximum difference (RMD) and the relative average difference (RAD) between DSP1 and DSP3 with respect to FSP<sub>3</sub> are shown in Table 2. On the one hand, an improvement over the  $DSP_1$  approximation can be seen for  $DSP_3$  and  $FSP_3$  formulations. On the other hand, FSP3 does not show remarkable difference with respect to its diffusive approximation, DSP<sub>3</sub>. The maximum differences are located around the perturbed cell. It must be noted that in a onedimensional case, the FSP<sub>3</sub> linear system is twice bigger than the DSP<sub>3</sub> system, and its associated matrix is worse conditioned (Carreño et al., 2019). Due to the difficulties to solve the FSP<sub>3</sub> approximation and the no significant differences found with respect the DSP<sub>3</sub>, the full formulation is not further considered . Similar results can be observed for the neutron noise phase. However, the global change in the phase is less than 1 degree.

To validate the results shown, time-domain calculations are performed using the same approximations. To obtain accurate results in the time-domain, 3 complete periods of the perturbation are considered, and the time-step for the time integration method is set to 0.01 s. Fig. 5 displays time-domain (TD) alongside frequency-domain (FD) calculations for the neutron noise magnitude with the same conditions. Time-domain results have been transformed to the frequency-domain by applying the Fast Fourier Transform (FFT) and only the results for

1 Hz are shown. Other frequencies, different from the perturbation's frequency, show a negligible noise amplitude (Vidal-Ferràndiz et al., 2020a). An almost perfect match between both methodologies can be observed. However, time-domain calculation are 5 to 50 times slower because they must solve a real-valued linear system for each time step, and in this problem we need to solve 300 linear systems.

Despite the close agreement between time-domain and frequencydomain calculations observed in Fig. 5, it is possible to improve SP1-TD and SP<sub>3</sub>-TD results by not including the approximation of  $\delta \Sigma_t = 0$ . These results are shown in Fig. 6. It must be noted that  $FSP_N$ -FD calculation does use this approximation. This Figure shows a little difference in the DSP<sub>1</sub> results if the term  $\delta \Sigma_{tr} \neq 0$  is introduced in the equations.

#### 4.2. C5G7 neutron noise benchmark

To compare the equations in a more realistic nuclear system, two neutron noise problems from the 2D-C5G7 benchmark (Lewis et al., 2001) are used. These problems correspond to the NN1.1 and NN1.2 cases defined in Vinai et al. (2019).

The configuration of this reactor consists of a core with 2 MOX and 2 UO<sub>2</sub> square fuel assemblies surrounded by a moderator region, as it is shown in Fig. 8. Each fuel assembly consists of  $17 \times 17$  square fuel pin cells of size  $1.26 \times 1.26$  cm<sup>2</sup>. Each pin cell is made of a circular fuel, guide tube or fission chamber region of radius 0.54 cm surrounded by moderator. This benchmark is defined with seven energy group crosssections for each material. The kinetic parameters and the data for eight groups of delayed neutron precursors are given in Boyarinov et al. (2016).

Both neutron noise cases studied in this work are defined by the perturbation of the capture cross-sections. The NN1.1 problem perturbs the fuel pin located at (16,16) in the UO<sub>2</sub> assembly (marked with a red circle in Fig. 8) and NN1.2 case sets the perturbations in the fuel pin at position (16,19) within the MOX fuel assembly (marked with a blue circle in Fig. 8).

The perturbations in the capture cross-section are given by

$$\delta \Sigma_{cg}(t) = 0.05 \Sigma_{cg}^0 \sin(2\pi t), \qquad g = 1, \dots, G.$$
 (30)

This oscillation of the capture cross-section produces fluctuations of the absorption and total cross-sections as,

$$\delta \Sigma_{ag}(t) = 0.05 \ \Sigma_{cg}^0 \sin(2\pi t), \qquad g = 1, \dots, G,$$
(31)

$$\delta \Sigma_{tg}(t) = 0.05 \Sigma_{cg}^0 \sin(2\pi t), \qquad g = 1, \dots, G,$$
 (32)

where capture cross-section is calculated as  $\Sigma_{cg}^0 = \Sigma_{ag}^0 - \Sigma_{fg}^0$ . The grid shown in Fig. 7 is used to make the spatial discretization of pin cells, because its application provides accurate results in the steadystate problem (Vidal-Ferràndiz et al., 2019b). The area in grey in the

grid is equal to the area of the circle of the pin. The total number of cells in the grid is 28900. Second degree polynomials are used in the finite element method, so a problem of 1624126 degrees of freedom in the DSP3 case is obtained.

In the following, only the diffusive  $SP_N$  equations are considered (DSP<sub>N</sub>). First, the NN1.1 case is analysed. Figs. 9 and 10 display the steady-state, relative noise amplitude and noise phase of the 1st and 7th energy group in this case, respectively. These results have been computed with the DSP<sub>3</sub> equations in the frequency-domain.

Now, the NN1.1 results of the DSP1 and DSP3 equations in frequencydomain (DSP1-FD and DSP3-FD) are compared with the results in the time-domain (DSP<sub>1</sub>-TD and DSP<sub>3</sub>-TD) along the main diagonal. Frequency-domain computations assume that  $\delta \Sigma_t \approx 0$ , while timedomain computations do not use this approximation. Fig. 11 plots the steady-state scalar fluxes for the 1st and 7th energy group along the main diagonal of the 2D C5G7 reactor. This Figure shows that relevant differences can be observed for the thermal group. Fig. 12 displays the amplitudes and phases of the neutron noise for the 1st and the 7th energy group along the main diagonal. Figures of the amplitudes show differences between the FD and TD less than 0.8% for all approximations. However, the peak in the perturbed cell in the DSP<sub>3</sub> results, where the gradient of the neutron noise is larger, is stronger than for the DSP1 approximation. The maximum difference between DSP<sub>1</sub> and DSP<sub>3</sub> is about 4.3% for the 1st energy group and 10.4% for the 7th energy group. This improvement only makes the computation approximately twice as demanding in terms of memory and computational time. Difference in the neutron noise phase between the 4 methodologies are negligible in absolute terms, less than 0.06 degrees, and they are probably due to the numerical tolerances used in the iterative solvers, spatial discretization errors and the numerical Fourier Transform performed.

Second, NN1.2 results for both the frequency-domain equations (DSP1-FD and DSP3-FD) and the time-domain equations (DSP1-TD and DSP<sub>3</sub>-TD) are compared with a frequency-domain discrete ordinates reference using S<sub>64</sub> extracted from Yi et al. (2021). Fig. 13 shows the static scalar flux obtained with these approximations. This Figure shows that S<sub>64</sub> represents more accurately the strong changes in the gradients of the neutron flux, but DSP1 and DSP3 approximations accurately represent the average values of the steady state neutron flux. Fig. 14 compares the amplitudes of the neutron noise for the 1st and the 7th energy group along the diagonal that crosses the MOX fuel assemblies of the 2D-C5G7 reactor. This Figure verifies that the DSP<sub>3</sub> equations solves more accurately the neutron noise problem in comparison with DSP1 approximation. For the fast energy group, the maximum difference between  $S_{64}$  and  $DSP_3$  is less than 6.2% while this difference is about 10.1% against the DSP<sub>1</sub>. For the thermal group, the maximum difference between  $S_{\rm 64}$  and  $DSP_{\rm 3}$  is 16.0% and against the DSP<sub>1</sub> is 28.3%.

#### 5. Conclusions

This work presents the frequency-domain  $SP_N$  equations for the diffusive and the full formulation. A comparison between the two types of formulations is provided over two neutron noise problems: a one-dimensional case and a neutron noise problem in the 2D-C5G7 reactor. A continuous finite element method is used for the spatial discretization of the equations.

Numerical results show that frequency-domain equations provide as accurate solutions as the time-domain simulations but, generally, they are faster because only a linear system with complex coefficients must be solved for the frequency-domain equations.

Approximations of order N = 3 with the SP<sub>N</sub> equations obtain more accurate results than the DSP<sub>1</sub> approximation in neutron noise problems for problems with large gradient in the neutron flux. Between the diffusive and full formulations, the differences are negligible if  $\delta \Sigma_t$  is small enough. Therefore, to provide an accurate solution, with reasonable computational demands, the diffusive  $SP_N$  (DSP<sub>N</sub>) approximation should be applied.

Future works will be devoted to develop the frequency-domain equations for higher approximations of the neutron transport equation (see

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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