Document downloaded from:

http://hdl.handle.net/10251/195610

This paper must be cited as:

Gómez-Llorente, H.; Hervás, P.; Pérez-Esteve, É.; Barat Baviera, JM.; Fernández Segovia, I. (2022). Nanotechnology in the agri-food sector: Consumer perceptions. NanoImpact. 26:1-10. https://doi.org/10.1016/j.impact.2022.100399



The final publication is available at https://doi.org/10.1016/j.impact.2022.100399

Copyright Elsevier BV

Additional Information

1	Nanotechnology in the agri-food sector: consumer perceptions
2	Héctor Gómez-Llorente, Pau Hervás, Édgar Pérez-Esteve, Jose M. Barat, Isabel
3	Fernández-Segovia [*]
4	Departamento de Tecnología de Alimentos. Universitat Politècnica de València, Camino
5	de Vera s/n, 46022, Valencia, Spain
6	
7	
8	

^{*} Corresponding author. *E-mail address*: isferse1@tal.upv.es (I. Fernández-Segovia).

9 ABSTRACT

10

The purpose of this work was to evaluate Spanish consumers' opinions on using 11 12 nanotechnology in food processing and packaging. For this purpose, a literature review was carried out in the main research database to determine the most widespread uses of 13 nanotechnology in the food industry and the most promising developments. Of all the 14 nanotechnology uses in food, five areas of application were identified: developing new 15 ingredients or additives, formulating new antimicrobial systems, and designing new 16 processing methods, sensors and packaging with nanostructured materials. Subsequently, 17 18 a consumers' opinion study was carried out by means of a survey, in which the opinions and purchase intention of a representative product of all five categories were evaluated, 19 20 as well as the neophobia level to new food technologies. All the products obtained 21 positive evaluations, and the applications in which nanotechnology did not form part of food were generally better valued than those in which it did form part. The respondents 22 23 had a medium neophobia level, with an average score of 4.59 (out of 7 points), being consumers with more knowledge about new technologies the least neophobic and those 24 who gave products higher scores. This study provides relevant information for using 25 26 nanotechnology in the food processing and packaging sector.

27

28 Keywords: nanotechnology; food processing; packaging; consumer opinion; neophobia

29

31 **1. Introduction**

32

The European Commission has reported that nanotechnology is one of the key 33 enabling technologies (KETs) in the Horizon 2020 framework (European Commission, 34 2020a). The marked revolution that nanotechnology has brought about is because 35 nanomaterials exhibit different functional properties compared to "conventionally sized" 36 equivalents (Royal Society and Royal Academy of Engineering, 2004) given their 37 dimensions. This implies that they have a high surface to mass ratio, which results in 38 higher reactivity. Similarly, the physico-chemical properties of nanomaterials (solubility, 39 shape, etc.) can differ from those of bulk materials, which may entail changes that should 40 be taken into account (Gallocchio et al., 2015). 41

The food industry has opted for nanotechnology because it is one of the most 42 promising technologies to emerge in recent years. In the food context, Regulation (EU) 43 No. 2015/2283 of the European Parliament and of the Council of 25 November 2015 on 44 novel foods defines engineered nanomaterial as: "any intentionally produced material 45 that has one or more dimensions of the order of 100 nm or less or that is composed of 46 47 discrete functional parts, either internally or at the surface, many of which have one or more dimensions of the order of 100 nm or less, including structures, agglomerates or 48 aggregates, which may have a size above the order of 100 nm but retain properties that 49 50 are characteristic of the nanoscale". This definition can be subject to changes based on 51 future market developments (European Commission, 2020b).

52 Besides potential benefits, the use of nanomaterials could present potential risks. On 53 these scales, changes in the above-mentioned properties could pose toxicity problems for 54 humans and animals, as well as environmental damage (Coles & Frewer, 2013). For all 55 these reasons, today nanotechnology is widely studied. Numerous research works have focused on developing different applications in many industrial sectors, as well as on the
characterization nanomaterials and toxicity studies.

As this is a new technology, it is very important to know consumer perceptions 58 because these technologies are rejected by most people, such as GMOs (genetically 59 modified organisms), whose potential use in the agri-food field is enormous, but social 60 rejection is a problem to market the products obtained by this technology (Faccio & 61 62 Guiotto Nai Fovino, 2019; Mohorčich & Reese, 2019). In nanotechnology, it is necessary to determine if consumers know this new technology and to know their opinions. It is also 63 important to note that people's perception could differ depending on whether 64 65 nanotechnology is used as "nano-inside" (the nanomaterial forms part of the product that consumers eat) or "nano-outside" (the nanomaterial is used in processing/packaging, but 66 does not form part of the food product and does not enter the human body). 67

68 To date, perception studies related to nanotechnology in the food industry have been carried out in several countries, such as: Australia (Evans et al., 2010), Brazil (Vidigal et 69 70 al., 2015; Coutinho et al., 2021), Canada (Matin et al., 2012; Roosen et al., 2015), Chile (Schnettler et al., 2013, 2017), Taiwan (Chang et al., 2017) and USA (Zhou & Hu, 2018; 71 Kuang et al., 2020). In Europe, several studies have been carried out in countries such as 72 73 Austria (Joubert et al., 2020), Germany (Roosen et al., 2015), Italy (Sodano et al., 2016; Viscecchia et al., 2018), Ireland (Handford et al., 2015; Henchion et al., 2019), 74 Switzerland (Siegrist et al., 2007, 2008, 2009) and UK (Gupta et al., 2015; Feindt & 75 Poortvliet, 2020). These studies point out that public perception and knowledge about 76 nanotechnology differ depending on the surveyed region, and that it is also changing over 77 time. Therefore, it is difficult to extrapolate the results from one population to another, 78 and much more, to predict how it will evolve over time. 79

The aim of this work was to evaluate Spanish consumer perceptions of using nanotechnology in food processing and packaging, in order to predict the acceptation of these technologies in the agri-food sector.

83

84 **2. Materials and methods**

85

86 *2.1. Preliminary literature review*

87

A review of the main nanotechnology applications under development and those 88 89 commercialized in the agri-food sector was carried out to select the representative applications of this technology in food products to be included in the consumers' opinion 90 survey. For this purpose, the main research databases (e.g. Scopus, Web of Science, 91 92 Google Scholar) were consulted by employing the following keywords individually or combined: nanotechnology, nanofood, nanoencapsulation, nanoemulsion, nanoparticles, 93 94 nanomaterials, nanopackaging, nanosensor, active packaging, intelligent packaging, food sector, food industry, agri-food industry. The "Nanotechnology Products Database", 95 which collects data on distinct nanotechnology products available in different countries 96 97 (StatNano, 2020), was also consulted. No time restrictions were set.

98 Of all the different applications found, five products were selected to continue with 99 the second part of the study. This selection was based on the Spanish population's 100 consumer habits, selecting products from different food chain links (production, 101 packaging and quality control), and including both nanotechnology as nano-inside (the 102 nanostructured system is an integral part of food) and nano-outside (those that employ 103 nanotechnology, but it does not form part of ingested food).

106

107 This part of the study was conducted with an online survey designed in Google-108 Forms. Call for participants was posted on different social networks (such as LinkedIn, 109 Facebook and WhatsApp) as in other studies (Vujić & Szabo, 2022), and the link to the 110 survey was disseminated through these channels. The survey was carried out on a sample 111 of 658 Spanish participants aged over 18, between May and June 2020. Random simple 112 sampling was conducted. The margin of error was below 3.90% for a 95.5% confidence 113 level (k = 2), p = q = 0.5 (principle of maximum indetermination) (Table 1).

114 **Table 1**

Before the survey began, the participants were informed about the study objective and framework, and that the data provided by them would remain completely anonymous. Then they had to indicate whether they wished to voluntarily participate in the study. The questionnaire was designed as follows:

Part 1 consisted of questions about the participants' demographic and personal data,with two questions on their nanotechnology knowledge and their general opinions of it.

Part 2 included opinion questions on nanotechnology applications in five food products and a question on purchase intention. For this part, first information on nanotechnology and the food industry was provided, as in other similar studies (Siegrist et al., 2008; Roosen et al., 2015; Henchion et al., 2019). Choice of the five food products was based on the criteria defined in section 2.1. In this way, there were selected two products in which nanotechnology formed part of food (nano-inside) and three products in which it did not (nano-outside).

For each product, the respondents had to indicate their degree of agreement on 7level Likert scales (the value 1 corresponded to "totally disagree" and the value 7 to 130 "totally agree"). The three items were: "*This product seems novel*", "*This product provides many nutrition or food safety or quality benefits*" and "*This product poses NO health risk*". In addition, they had to establish the extent to which they would like the product and answer a question about their purchase intention. A 5-point scale was used for this last question (the value 1 corresponded to "I would definitively not buy it" and the value 5 to " I would definitively buy it").

Part 3 of the questionnaire consisted of an abbreviated version of the neophobia survey proposed by Cox and Evans (2008), translated into Spanish according to Schnettler et al. (2016), in which consumers had to indicate their degree of agreement with each question on a 7-level Likert scale. A statement on the degree of knowledge about new food technologies was also included (S0).

141

142 *2.3. Data analyses*

143

Cronbach's alpha was used to test the reliability of the scale of neophobia to new 144 food technologies (questionnaire part 3). With the data obtained in this survey a Kruskal-145 146 Wallis analysis was performed for each statement to check if there were any significant differences when considering personal data (gender, level of education or relationship 147 with the agri-food sector). Dunn's procedure with Bonferroni correction was used to test 148 149 for differences at the 5% significance level. A hierarchical cluster analysis was also carried out to check if there were groups of consumers with different degrees of 150 neophobia. Euclidean distances and Ward's aggregation method were used. Next a 151 152 Kruskal-Wallis analysis was performed for each question in the neophobia survey by 153 considering the identified clusters.

154	A Chi-square analysis was carried out to evaluate if there were any differences
155	between clusters in the personal data and other data contained in questionnaire part 1.
156	Finally, in order to check if there were any significant differences between products
157	in opinions and purchase intention (questionnaire part 2), a Kruskal-Wallis analysis was
158	performed following the same above-described procedure.
159	The employed statistical program was XLSTAT 2020.3.1 (New York, USA)
160	(Addinsoft, 2020).
161	
162	3. Results and discussion
163	
164	3.1. Literature review and product selection
165	
166	After a thorough review of the nanotechnology applications to develop new food
167	products, five application areas were identified. Table 2 summarizes the main categories
168	and some examples of applications in all these areas.
169	Table 2
170	As it can be observed, in the food production field, nanotechnology can be applied
171	to reduce the size of an ingredient or additive, which confers it new properties (solubility,
172	bioavailability, etc.), encapsulate an ingredient or additive, improve its stability or release
173	it in a specific place or situation (gastrointestinal tract, in the presence of microorganisms,
174	etc.). For this category, a low-calorie mayonnaise made by the nanoemulsion technology
175	was chosen as an example of a technology already used in manufacturing food products
176	(Sekhon, 2010). This technology allows the droplet size of an emulsion to become
177	smaller, which enables the use of less fat, while maintaining the original food's

178 palatability. This allows products to be developed with fewer calories than their

179 conventional variants, but without compromising their original organoleptic180 characteristics.

Another important use of nanotechnology is the design and preparation of new 181 182 antimicrobials, which are based mostly on natural ingredients. This is the case of nanostructured metals, such as silver, or the nanoencapsulation of compounds with 183 antimicrobial activity to improve the compatibility of the bioactive molecule with food. 184 185 Indeed propolis, with antimicrobial activity, allows the application in some cases of milder heat treatments (Luis-Villaroya et al., 2015), which can be nanoencapsulated to 186 mask its very strong and undesirable taste. Once encapsulated, propolis can be 187 188 incorporated into apple juice without compromising its taste, which was the second selected application. 189

190 Nanotechnology can also be used as part of the production process without becoming 191 part of food. Nanofiltration, for example, is a less aggressive alternative to conventional 192 processing techniques, such as concentration and clarification of juices or wine 193 dealcoholization (Labanda et al., 2009). Given the novelty of this process, the third 194 selected product was an alcohol-free wine obtained by nanofiltration to better preserve 195 the final product's organoleptic characteristics.

196 Other examples in which nanotechnology does not form an integral part of food is found in packaging. Of all the nanotechnology application possibilities in this field, one 197 in which nanotechnology allows the development of active packaging or packaging able 198 199 to interact with the medium was selected. Specifically, the selected product was apricots (climacteric fruits whose ripening is very fast, which markedly limits their commercial 200 life), which are packed in a film containing a nanomaterial capable of scavenging 201 202 ethylene, delaying fruit ripening and, thus, prolonging their shelf life (Gaikwad et al., 2020). 203

Finally, the inclusion of nanosensors in packaging film allows the creation of smart 204 205 packaging that can detect changes in food or their environment by transmitting this information in the form of different signals (Pérez-Esteve et al., 2013). Some are capable 206 207 of detecting gases to provide information on packaging integrity. Other systems provide information on food freshness or the accidental freezing of refrigerated food with a 208 209 colorimetric indicator (Ranjan et al., 2014). A real application of this technology is 210 packaging for meat products, which contains a nanosensor capable of detecting deviations in storage temperature by indicating a break in the cold chain by the irreversible 211 disappearance of barcodes (Enescu et al., 2019). 212

In summary, nanotechnology can be applied to different food chain links, from food production to packaging and presentation to the final consumer, and depending on its application, in some cases it will form part of the food ("nano-inside" applications), or does not ("nano-outside") (Henchion et al., 2019).

After identifying different nanotechnology application fields in the food industry and selecting the specific applications to be evaluated by consumers, product sheets were created for each selected application (Fig. 1), on which consumers had to indicate their opinion in the survey.

221

222 3.2. Consumers' opinion results

223

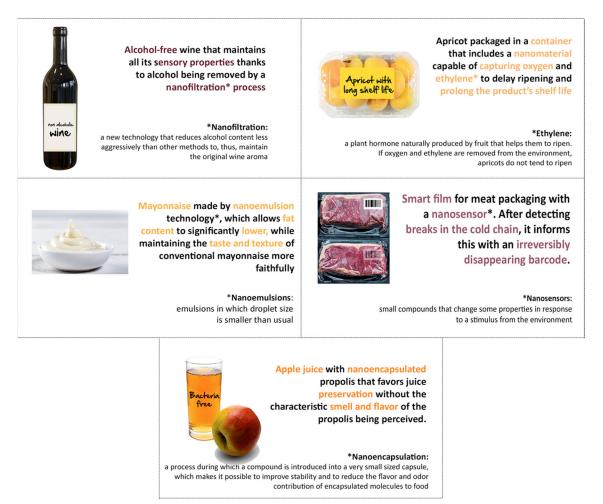
In order to evaluate the opinion of consumers on the use of nanotechnology in food processing and packaging, and their neophobia level as regards new technologies in the food industry, opinion surveys were carried out.

227

228 3.2.1. Participants' personal and socio-demographic data

Six hundred and fifty-eight individuals participated in this study. Table 3 shows their 229 230 socio-demographic and other personal data. Most respondents were women (64.9%). Their ages ranged from 18 to 79 years, and the age of most participants fell within the 30-231 49 years range. The majority of people had a high level of education, and 37.5% of 232 participants had some kind of relationship with the agri-food sector. Only 3.5% of the 233 participants indicated following vegetarian/vegan diets, which is a low percentage that 234 would not influence the results. Finally, 15.0% of consumers indicated having an allergy 235 or food intolerance. 236

237 **Table 3**



- 238
- Fig. 1. Nanotechnology application in different products that consumers evaluated in the
- survey.
- 241

242 *3.2.2. Knowledge and general opinion about nanotechnology*

243 Before giving information about nanotechnology and showing the products in which this had been employed, the participants were asked about their knowledge on this new 244 245 technology and their general opinion. Table 3 shows their responses. Most participants stated that they knew "something" or "little" (38.8% and 30.4%, respectively) about this 246 technology, while a lower percentage knew "nothing" or "a lot" (16.3% and 12.2%, 247 248 respectively). Regarding the general opinion on nanotechnology, only three participants had a negative opinion, while 36.2% had a positive opinion and 12.7% a very positive 249 one. The other participants had a neutral attitude ("neither negative nor positive ") and 250 251 26.9% were unsure ("I do not know"). No participant perceived this new technology as "very negative". 252

253

254 *3.2.3.* Neophobia to new food technologies

In order to determine the level of neophobia of the individuals who participated in this study, they completed a survey, as explained in section 2.2. First of all, they were asked a question about their degree of knowledge on new food technologies, with the statement "I do not know much about new food technologies". The average score given by the respondents was 4.59 (out of 7 points). This value indicates that the participants' average knowledge on new food production technologies did not excel.

Table 4 shows the results of the neophobia survey on using new technologies in food. Some scores were reversed (S5, S6 and S10) so that a higher score for any statement meant a higher neophobia level. Cronbach's alpha of the 10 items was 0.799, indicating good internal reliability. It is important to highlight that this value was 0.843 when the S10 was not included in the analysis, showing an improvement in the reliability of the scale. A score of 4.0 was obtained as the mean value (minimum and maximum value of 3.3 and 4.7, respectively). Taking into account that a score of 4 is a neutral point, it can be considered that the surveyed population would generally be in an intermediate position in terms of phobia to new food technologies.

271 **Table 4**

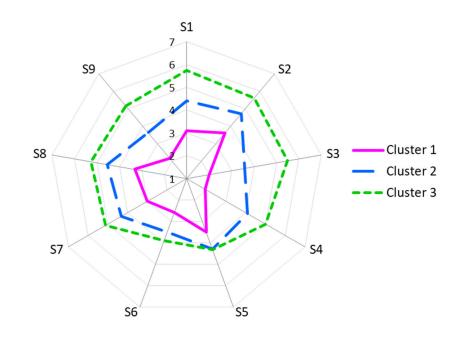
272 Statements were grouped into four factors according to the meaning of the items, in 273 a similar way to the classification proposed by Cox and Evans (2008), with some modifications. The first factor has to do with the perceived usefulness of the technology, 274 the second one with the perceived risk deriving from applying new technologies, the third 275 276 with new technologies being beneficial given their possibility of offering balanced and healthy diets and better quality food, and the fourth is related to the media's role in 277 providing information (Cox & Evans, 2008). By calculating the mean of each factor, those 278 279 with lower values were for Factor 1 (utility) and Factor 3 (quality and health), while the risk perception factor obtained a slightly higher score (above 4). The media-related 280 281 question obtained the highest score, which indicates that the respondents do not trust the information transmitted by the media on new food technologies being balanced and 282 impartial. Although all these questions are based on a validated survey of neophobia to 283 284 new technologies, it is noteworthy that this last question does not indicate neophobia to new technologies, but refers to people trusting information about new technologies 285 provided by the media. These results agree with the study carried out by Kuang et al. 286 (2020) in USA, except for Factor 4 in relation to the media. They obtained similar values 287 for Factor 1 and Factor 3 (mean scores of 3.38 and 3.13, respectively) and a higher value 288 (4.27) for Factor 2, which agree with our results. However, their lowest value was for 289 Factor 4, while this factor in our study obtained the highest score, which demonstrates 290 consumers' different credibility of the media between both countries. 291

The Kruskal-Wallis analysis showed that, in general, there were no significant differences (p>0.05) in responses in accordance with gender or level of education. The individuals with a relationship with the agri-food sector exhibited a slightly lower level of neophobia (mean value of 3.7) compared to those not related to the agri-food sector (mean value of 4.1). Age also influenced the responses, with the younger groups generally showing the least neophobia (data not-shown).

298 Several authors have studied neophobia to new food technologies in different countries like China (Mckenzie et al., 2021), USA (Kuang et al., 2020), Australia (Cox 299 & Evans, 2008; Evans et al., 2010), Canada (Matin et al., 2012), Italy (Verneau et al., 300 301 2014), Brazil (Vidigal et al., 2015; Coutinho et al., 2021) or Chile (Schnettler et al., 2017). Based on the results herein obtained, the population of Spain would be more neophobic 302 303 than the population of China, USA, Brazil or Chile, but less neophobic than those from 304 Australia, Canada and Italy. It should be taken into account that consumer opinions change over time and, with a difference of up to 10 years in some of these studies, the 305 306 neophobia levels in some of these countries might now be different.

It is also important to note that the standard deviation values were relatively high 307 (Table 4), which reflects considerable variability in the participants' responses. To check 308 309 if there were consumer groups among the respondents with different neophobia levels, a cluster analysis was performed. Three clusters or groups were identified: cluster 1 = 250310 individuals, cluster 2 = 228 individuals, cluster 3 = 180 individuals. Fig. 2 shows the mean 311 scores given by each group. The S5 and S6 values were reversed, as explained above. The 312 responses to question S10 are not included in this figure because, as above-mentioned, it 313 does not directly relate to neophobia, rather to the participants' credibility of the media 314 and can distort the graph. The individuals in the three clusters clearly gave different 315 316 responses, and cluster 1 had the lowest neophobia level (mean of 2.8), while cluster 3

involved the most skepticism toward new food technologies (mean of 5.1). Cluster 2 317 318 presented intermediate values (mean of 4.1). The Kruskal-Wallis analysis demonstrated that the differences between clusters were significant in all cases (p<0.05), which 319 320 indicates segmentation in the surveyed population, with variable neophobia levels (Table S1). In the statement about credibility of the media (S10), cluster 1 showed the least 321 confidence in the media. Regarding the participants' knowledge about new food 322 323 technologies (S0: I do not know a lot about new food technologies), the obtained values were 4.0, 4.9 and 5.0, for cluster 1, 2 and 3, respectively. These findings demonstrated 324 that the respondents with the least neophobia (cluster 1) stated having more knowledge 325 326 on new food technologies. This indicates that the more information, the less fear or distrust in these techniques. 327



328

Fig. 2. Mean scores given by respondents in the survey of neophobia to new food technology. S1-S9 correspond to the statements shown in Table 4. Scores in S5 and S6 have been reversed, so higher scores indicate more neophobia.

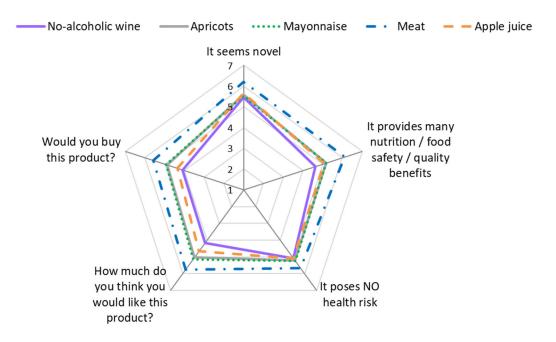
In order to check whether there were differences among clusters in terms of socio-333 334 demographic and other personal data, and also in relation to knowledge and opinion about nanotechnology (collected in part 1 of the questionnaire), a chi-square analysis was 335 336 carried out by considering the three clusters. The results are shown in Table 3. No significant differences between clusters in terms of gender, level of education, proportion 337 of vegans/vegetarians or allergic/intolerant people were found. The highest proportion of 338 339 respondents in cluster 1 (less neophobic) were aged between 18 and 29 years old, and 55% stated that they had some kind of relationship with the agri-food sector. The age of 340 the most of the cluster 3 respondents (the most neophobic) ranged from 30 to 49 (similarly 341 342 to cluster 2) and only 31% stated that they had a relationship with the agri-food sector (Table 3). This demonstrates the correlation between age and neophobia, with young 343 344 people being less neophobic than old people. In a study carried out in China, the authors 345 found that there was a small significant correlation between age and neophobia in the opposite sense because they stated that food technology neophobia decreased with age 346 347 (Mckenzie et al., 2021). This evidence differences between Chinese and Spanish populations. 348

Regarding nanotechnology knowledge in the least neophobic group (cluster 1), most participants (60%) stated that they had some or a lot of nanotechnology knowledge, while the majority in clusters 2 and 3 had little or some nanotechnology knowledge (Table 3). Almost 70% of the cluster 1 respondents stated having a positive or very positive opinion of it, while a lower percentage of respondents in clusters 2 and 3 selected the answer "Very positive", and many stated that they had not knowledge. Many also opted for the answer "Neither negative nor positive ". These results show, therefore, that the younger the Spanish population is, the lower the neophobia level to new technologies. It was also confirmed by the finding that the more acquired information, the lower the neophobia level.

359

360 *3.2.4. Opinion on nanotechnology applications to different food products*

361 Fig. 3 shows the scores given by consumers in the survey on the different products 362 in which nanotechnology has been used. In this part of the study 7-point scales were used, except for purchase intention, which was 5 points, as explained above. However, to better 363 364 visualize the graph without distortion, the purchase intention score was normalized to a 365 7-point scale. The higher the score for any question, the better the product valuation. The mean values obtained were higher than 4 points (neutral score) in all cases, which 366 indicates that the respondents had positive opinions of the five food products in which 367 368 nanotechnology had been employed.



369

Fig. 3. Mean scores given by respondents in the opinion survey on applications ofnanotechnology in five food products.

The best valuated product was meat packed in smart film (mean score of 5.9), with 373 374 significant differences from the other products (p < 0.05) for all items. The respondents considered that this product was the newest, that with the most benefits, that with the 375 376 lowest health risk and that which they would like the most. So they rated it with the highest purchase intention value. This could be due to the fact that nanotechnology in this 377 case only formed part of the packaging and not the product, and was used as an indicator 378 379 of possible loss of quality, which does not affect product characteristics. This agrees with previous studies in which the perception of products with nanotechnology applied to 380 packaging or "nano-outside" were perceived more positively and purchase intention was 381 382 higher than when nanotechnology formed part of food products (Siegrist et al., 2007, 2008; Roosen et al., 2015; Schnettler et al., 2017; Henchion et al., 2019). 383

384 For the questions about product novelty or health risks, no significant differences 385 were found among the other four products. For the other items, the next highest rated products were low-calorie mayonnaise and apricots packed in active packaging, with no 386 387 significant differences between them for any item (mean score of 5.2 for both products). Although the nanotechnology applied to apricots did not form part of this product, but its 388 packaging, it could have been evaluated worse than meat because this technology does 389 390 affect normal product evolution as it delays ripening, while nanotechnology had no technological function in meat. 391

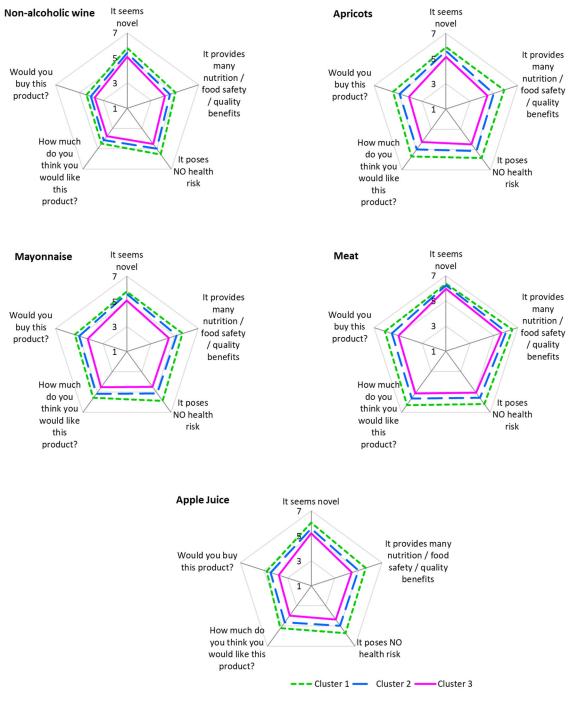
Nanoencapsulated propolis juice (mean score of 5.0) and, especially non alcoholic wine (mean score of 4.7), were the worst rated products, and mainly for the items related to purchase intention and for the question about how much they thought they would like them.

396 Of the products in which nanotechnology formed an integral part of the product 397 ("nano-inside"), low-calorie mayonnaise was better evaluated than juice with nanoencapsulated propolis, as above-mentioned. The respondents positively evaluated
the incorporation of nanotechnology into mayonnaise because it resulted in a healthier
product, a characteristic that is highly valued by consumers, as other studies have shown
(Henchion et al., 2019).

As the participants in the survey were grouped into three clusters with different neophobia levels, the opinion of products to which nanotechnology was applied was studied per cluster. Fig. 4 shows the scores given by consumers in the survey on the different products, divided into clusters. Cluster 3 with the highest neophobia level scored all the products with the lowest values, while cluster 1 (the least neophobic) gave the highest scores for all the products, which left cluster 2 between both clusters 1 and 3.

These results agree with those of previous studies that used the neophobia scale to 408 409 new food technologies. Evans et al. (2010) observed how people with high neophobia 410 levels were more likely to reject food produced by new technologies. Matin et al. (2012) correlated neophobia with positions against nanotechnology in food, packaging and any 411 412 others. In a study carried out in Brazil, Vidigal et al. (2015) observed how the participants 413 with a higher neophobia level less intended to try food produced by new technologies. Sodano et al. (2016) conducted a purchase intention survey and stated that neophobia, 414 415 among other factors, led to reluctance to buy products with nanotechnology. In another study carried out in Chile by Schnettler et al. (2017) on the purchase intention of different 416 products subjected to new technologies (including nanotechnology), the authors 417 identified a segment with high neophobia who rejected most products, and another with 418 low neophobia levels who gave higher values to purchase intention. These data suggest 419 that information campaigns could reduce the possible rejection of incoporating 420 nanotechnologies into food products. In this sense Kidd et al. (2020) concluded in a study 421 422 on the use of nanomaterials for in-home drinking water that if manufacturers provided

- 423 more information about nanomaterial use, as well as the potential benefits an risks, some
- 424 consumers concerns over these devices could be addressed.



426 Fig. 4. Mean scores given by respondents in the opinion survey on applications of

- 427 nanotechnology in five food products, considering the three clusters.
- 428

In this study the potential benefits of nanotechnology to the food sector have been 429 430 shown and that most of the consumers might accept this technology in the formulation, manufacturing, packaging or quality assessment of food products. However, the following 431 432 limitations of this study should be considered: the sample was relatively homogeneous, especially in terms of age, gender and education, with the majority of participants being 433 young (47.4% were aged 30-49), female (64,9%) and with a high education level (77.5 434 435 %). Despite this, the Kruskal-Wallis analysis of the socio-demographic data (see section 3.2.3) showed that no differences were found in the level of neophobia, according to 436 gender or educational level, as did the chi-squared analysis that showed that these factors 437 438 did not present signifficant differences between clusters. These findings indicate that gender or educational level in this study were not factors biasing responses. However, 439 440 age influenced the responses. Although in this work the different perception of the 441 population according to age has been discussed, future studies should consider citizens in other stages of life. On the other hand, to evaluate the single impact of nanotechnology 442 443 in the consumer perceptions, a comparison of these products with the same food products 444 without nanotechnology should be carried out in future works.

445

446 **4.** Conclusions

447

The findings of the present study show that approximately half the Spanish respondents have some knowledge about nanotechnology, and the same percentage values it positively or very positively as only 0.5% had a negative opinion.

The valuation of five different food types to which nanotechnology had been applied to manufacture or package them is generally positive. Moreover, most participants indicate high acceptability and willingness to buy them. Of all the products, packaged 454 meat with nanotechnology incorporated into packaging to inform consumers of the 455 product's quality/safety has been the best valued, which confirms that consumers prefer 456 products in which nanotechnology forms no part of food (nano-outside).

The good product acceptability falls in line with the observed low neophobia level. In general, the Spanish respondents have an intermediate neophobia level. However, there are segments with significantly different neophobia. The younger age groups and groups with more knowledge about new technologies exhibit less neophobia. As the most informed have been those who best value the use of nanotechnology, information campaigns carried out mainly by sector organizations or official bodies could reduce the possible rejection of incoporating nanotechnologies into food products.

This study shows that, unlike other technologies, the use of nanotechnology in the food field would not negatively impact the food product image for the surveyed Spanish population. This is a good boost for food industries interested in implementing nanotechnologies into their processes and products, although future studies should be performed to include a broader range of products as well as a greater participation of older people, who may have a higher level of neophobia and, therefore, a lower level of acceptation of products with nanotechnology.

471

472 Author contributions

473

474 Héctor Gómez-Llorente was involved in investigation, formal analysis and writing
475 of original draft. Pau Hervás was involved in methodology, formal analisys and writing
476 of original draft. Édgar Pérez-Esteve was involved in conceptualization, methodology,
477 supervision, review and editing. Jose M. Barat was involved in investigation, resources

478	and project administration. Isabel Fernández-Segovia was involved in investigation,
479	methodology, formal analysis, supervision, review and editing.
480	
481	Declaration of competing interest
482	
483	There is not any conflict of interests.
484	
485	Acknowledgements
486	
487	The authors gratefully acknowledge the financial support for the experiment reported
488	herein from the Spanish Government (RTI2018-101599-B-C21-AR). Héctor Gómez
489	Llorente wishes to thank the Universitat Politècnica de València for the FPI Grant. The
490	authors also thank Helen Warburton for editing the English style.
491	
492	Appendix A. Supplementary data
493	
494	Supplementary data to this article can be found online at xxx.
454	
495	
496	References
497	
498	Addinsoft (2020). XLSTAT statistical and data analysis solution. New York, USA.
499	https://www.xlstat.com.

500	Bumbudsanphar	oke, N., & Ko,	S. (2019).	Nanomaterial-l	based optical	l indicators:
501	promise, opp	ortunities, and cha	llenges in th	e development o	f colorimetrio	c systems for
502	intelligent	packaging.	Nano	Research,	12(3),	489-500.
503	https://doi.org	g/10.1007/s12274	-018-2237-z			

- Cetinkaya, T., Mendes, A. C., Jacobsen, C., Ceylan, Z., Chronakis, I. S., Bean, S. R., &
 García-Moreno, P. J. (2021). Development of kafirin-based nanocapsules by
 electrospraying for encapsulation of fish oil. *LWT-Food Science and Technology*, *136*,
- 507 110297. <u>https://doi.org/10.1016/j.lwt.2020.110297</u>.
- 508 Chang, H. H., Huang, C. Y., Fu, C. S., & Hsu, M. T. (2017). The effects of innovative,
- 509 consumer and social characteristics on willingness to try nano-foods:Product
- uncertainty as a moderator. *Information Technology & People, 30*(3), 653-690.
 https://doi.org/10.1108/ITP-10-2015-0266.
- 512 Coles, D., & Frewer, L. J. (2013). Nanotechnology applied to European food production-
- 513 A review of ethical and regulatory issues. Trends in Food Science & Technology,
- 514 *34*(1), 32-43. <u>https://doi.org/10.1016/j.tifs.2013.08.006</u>.
- Coutinho, N. M., Silveira, M. R., Guimarães, J. T., Fernandes, L. M., Pimentel, T. C.,
 Silva, M. C., et al. (2021). Are consumers willing to pay for a product processed by
 emerging technologies? The case of chocolate milk drink processed by cold plasma. *LWT-Food Science and Technology*, *138*(2), 110772.
- 519 <u>https://doi.org/10.1016/j.lwt.2020.110772</u>.
- Cox, D. N., & Evans, G. (2008). Construction and validation of a psychometric scale to
 measure consumers' fears of novel food technologies: The food technology neophobia
 scale. *Food Quality and Preference*, 19(8), 704-710.
 https://doi.org/10.1016/j.foodqual.2008.04.005.

Donsì, F., & Ferrari, G. (2016). Essential oil nanoemulsions as antimicrobial agents in
food. *Journal of Biotechnology*, 233, 106-120.
https://doi.org/10.1016/j.jbiotec.2016.07.005.

- 527 Enescu, D., Cerqueira, M. A., Fucinos, P., & Pastrana, L. M. (2019). Recent advances
- 528 and challenges on applications of nanotechnology in food packaging. A literature
- review. *Food and Chemical Toxicology*, *134*, 110814.
 https://doi.org/10.1016/j.fct.2019.110814.
- European Commission. (2020a). Key Enabling Technologies. Retrieved from
 https://ec.europa.eu/programmes/horizon2020/en/area/key-enabling-
- 533 <u>technologies#Article</u>. Accessed December 16, 2020.
- European Commission. (2020b). Questions and answers on the Commission
 recommendation on the definition of nanomaterial. Retrieved from
- 536 <u>http://ec.europa.eu/environment/chemicals/nanotech/faq/questions_answers_en.html.</u>
- 537 Accessed December 18, 2020.
- 538 Evans, G., Kermarrec, C., Sable, T., & Cox, D. N. (2010). Reliability and predictive
- validity of the Food Technology Neophobia Scale. *Appetite*, 54(2), 390-393.
 https://doi.org/10.1016/j.appet.2009.11.014.
- 541 Faccio, E., & Guiotto Nai Fovino, L. (2019). Food Neophobia or Distrust of Novelties?
- 542 Exploring consumers' attitudes toward GMOs, insects and cultured meat. Applied
- 543 Sciences, 9(20), 4440. <u>https://doi.org/10.3390/app9204440</u>
- 544 Feher, J. (2012). Methods for preparing probiotic nanoparticles. *WO2012061629*.
- 545 Feindt, P. H., & Poortvliet, P. M. (2020). Consumer reactions to unfamiliar technologies:
- 546 mental and social formation of perceptions and attitudes toward nano and GM

- 547 products. Journal of Risk Research, 23(4), 475-489.
 548 https://doi.org/10.1080/13669877.2019.1591487.
- Gaikwad, K. K., Singh, S., & Negi, Y. S. (2020). Ethylene scavengers for active
 packaging of fresh food produce. *Environmental Chemistry Letters*, 18(2), 269-284.
- 551 <u>https://doi.org/10.1007/s10311-019-00938-1</u>.

current

of

553

the

552 Gallocchio, F., Belluco, S., & Ricci, A. (2015). Nanotechnology and food: brief overview

Procedia

Food

554 https://doi.org/10.1016/j.profoo.2015.09.022.

scenario.

- 555 Gupta, N., Fischer, A. R. H., & Frewer, L. J. (2015). Ethics, risk and benefits associated
- with different applications of nanotechnology: a comparison of expert and consumer
- perceptions of drivers of societal acceptance. *NanoEthics*, *9*(2), 93-108.
 https://doi.org/10.1007/s11569-015-0222-5.
- 559 Hamad, A. F., Han, J. H., Kim, B. C., & Rather, I. A. (2018). The intertwine of
- 560 nanotechnology with the food industry. *Saudi Journal of Biological Sciences*, 25(1),
- 561 27-30. https://doi.org/10.1016/j.sjbs.2017.09.004.
- Handford, C. E., Dean, M., Spence, M., Henchion, M., Elliott, C. T., & Campbell, K.
 (2015). Awareness and attitudes towards the emerging use of nanotechnology in the
 agri-food sector. *Food Control*, 57, 24-34.
 https://doi.org/10.1016/j.foodcont.2015.03.033.
- 566 Henchion, M., McCarthy, M., Dillon, E. J., Greehy, G., & McCarthy, S. N. (2019). Big
- 567 issues for a small technology: Consumer trade-offs in acceptance of nanotechnology
- 568 in food. Innovative Food Science & Emerging Technologies, 58, 102210.
- 569 https://doi.org/10.1016/j.ifset.2019.102210.

26

5,

85-88.

Science,

- Jeon, J. S., & Lee, H. S. (2009). Nano-particles containing calcium and method for
 preparing the same. *WO2009011520*.
- 572 Joubert, I. A., Geppert, M., Ess, S., Nestelbacher, R., Gadermaier, G., Duschl, A., et al.
- 573 (2020). Public perception and knowledge on nanotechnology: A study based on a
 574 citizen science approach. *NanoImpact*, *17*, 100201.
 575 https://doi.org/10.1016/j.impact.2019.100201.
- Kidd, J., Westerhoff, P., & Maynard, A. D. (2020). Public perceptions for the use of
 nanomaterials for in-home drinking water purification devices. *NanoImpact*, 18,
- 578 100220. <u>https://doi.org/10.1016/j.impact.2020.100220.</u>
- Kuang, L., Burgess, B., Cuite, C. L., Tepper, B. J., & Hallman, W. K. (2020). Sensory
 acceptability and willingness to buy foods presented as having benefits achieved
 through the use of nanotechnology. *Food Quality and Preference*, *83*, 103922.
 <u>https://doi.org/10.1016/j.foodqual.2020.103922</u>.
- 583 Labanda, J., Vichi, S., Llorens, J., & López-Tamames, E. (2009). Membrane separation
- technology for the reduction of alcoholic degree of a white model wine. *LWT-Food*
- 585 *Science and Technology*, *42*(8), 1390-1395. <u>https://doi.org/10.1016/j.lwt.2009.03.008</u>.
- Latva-Nirva, E., Dahms, G., Jung, A., & Fiebrig, B. (2009). Ultrafine titanium dioxide
 nanoparticles and dispersions thereof. *WO2009141499*.
- Liu, D. M., & Dong, C. (2020). Recent advances in nano-carrier immobilized enzymes
 and their applications. *Process Biochemistry*, 92, 464-475.
 <u>https://doi.org/10.1016/j.procbio.2020.02.005</u>.
- 591 Luis-Villaroya, A., Espina, L., García-Gonzalo, D., Bayarri, S., Pérez, C., & Pagán, R.
- 592 (2015). Bioactive properties of a propolis-based dietary supplement and its use in

593 combination with mild heat for apple juice preservation. *International Journal of Food*

594 *Microbiology*, 205, 90-97. <u>https://doi.org/10.1016/j.ijfoodmicro.2015.03.020</u>.

Matin, A. H., Goddard, E., Vandermoere, F., Blanchemanche, S., Bieberstein, A.,
Marette, S., & Roosen, J. (2012). Do environmental attitudes and food technology
neophobia affect perceptions of the benefits of nanotechnology?. *International Journal of Consumer Studies*, 36(2), 149-157. <u>https://doi.org/10.1111/j.1470-</u>
6431.2011.01090.x.

- McKenzie, K., Metcalf, D. A., & Saliba, A. (2021). Validation of the Food Technology
 Neophobia Scale in a Chinese sample using exploratory and confirmatory factor
 analysis. *Food Quality and Preference*, *89*, 104148.
 <u>https://doi.org/10.1016/j.foodqual.2020.104148</u>.
- Mesosilver (2020). Mesosilver Products Database. Retrieved from
 www.mesosilver.com. Accessed March 19, 2020.
- 606 Mohammadian, M., Waly, M. I., Moghadam, M., Emam-Djomeh, Z., Salami, M., &
- 607 Moosavi-Movahedi, A. A. (2020). Nanostructured food proteins as efficient systems
- for the encapsulation of bioactive compounds. *Food Science and Human Wellness*.
- 609 9(3), 199-213. <u>https://doi.org/10.1016/j.fshw.2020.04.009</u>.
- 610 Mohorčich, J., & Reese, J. (2019). Cell-cultured meat: Lessons from GMO adoption and
- 611 resistance. *Appetite*, *143*, 104408. <u>https://doi.org/10.1016/j.appet.2019.104408</u>
- Moncada, M., Astete, C., Sabliov, C., Olson, D., Boeneke, C., & Aryana, K. J. (2015).
- 613 Nano spray-dried sodium chloride and its effects on the microbiological and sensory
- 614 characteristics of surface-salted cheese crackers. Journal of Dairy Science, 98(9),
- 615 5946-5954. <u>https://doi.org/10.3168/jds.2015-9658</u>.

616	Nath, K., Dave, H. K., & Patel, T. M. (2018). Revisiting the recent applications of
617	nanofiltration in food processing industries: Progress and prognosis. Trends in Food
618	Science & Technology, 73, 12-24. https://doi.org/10.1016/j.tifs.2018.01.001.

- 619 Pereira, D. I., Bruggraber, S. F., Faria, N., Poots, L. K., Tagmount, M. A., Aslam, M. F.,
- 620 ... & Powell, J. J. (2014). Nanoparticulate iron (III) oxo-hydroxide delivers safe iron
- 621 that is well absorbed and utilised in humans. *Nanomedicine: Nanotechnology, Biology*
- 622 *and Medicine*, 10(8), 1877-1886. <u>https://doi.org/10.1016/j.nano.2014.06.012</u>.
- 623 Pérez-Esteve, E., Bernardos, A., Martínez-Máñez, R., & M Barat, J. (2013).
- 624 Nanotechnology in the development of novel functional foods or their package. An
- 625 overview based in patent analysis. *Recent Patents on Food, Nutrition & Agriculture*,
- 626 5(1), 35-43. <u>https://doi.org/10.2174/2212798411305010006</u>.
- 627 Peyravi, M., Jahanshahi, M., & Banafti, S. (2020). Application of membrane technology
- 628 in beverage production and safety. In Safety Issues in Beverage Production (pp. 271-
- 629 308). Academic Press. <u>https://doi.org/10.1016/B978-0-12-816679-6.00008-5</u>.
- 630 Prokisch, J., & Zommara, M.A. (2009). Process for producing elemental selenium
 631 nanospheres. *WO2009010922*.
- 632 Ranjan, S., Dasgupta, N., Chakraborty, A. R., Samuel, S. M., Ramalingam, C., Shanker,
- 633 R., & Kumar, A. (2014). Nanoscience and nanotechnologies in food industries:
- 634 opportunities and research trends. *Journal of Nanoparticle Research*, 16(6), 1-23.
- 635 <u>https://doi.org/10.1007/s11051-014-2464-5</u>.
- RipeSense®label (2020). Retrieved from <u>http://www.ripesense.com/</u>. Accessed February
 19, 2020.

638	Roosen, J., Bieberstein, A., Blanchemanche, S., Goddard, E., Marette, S., &
639	Vandermoere, F. (2015). Trust and willingness to pay for nanotechnology food. Food
640	Policy, 52, 75-83. https://doi.org/10.1016/j.foodpol.2014.12.004.

- Royal Society and Royal Academy of Engineering (2004). Nanoscience and
 nanotechnologies: opportunities and uncertainties. London: Royal Society.
- 643 Schnettler, B., Crisóstomo, G., Sepúlveda, J., Mora, M., Lobos, G., Miranda, H., &
- 644 Grunert, K. G. (2013). Food neophobia, nanotechnology and satisfaction with life.
- 645 *Appetite*, 69, 71-79. <u>https://doi.org/10.1016/j.appet.2013.05.014</u>.
- 646 Schnettler, B., Grunert, K. G., Miranda-Zapata, E., Orellana, L., Sepúlveda, J., Lobos, G.,
- 647 ... & Höger, Y. (2017). Testing the Abbreviated Food Technology Neophobia Scale

and its relation to satisfaction with food-related life in university students. Food

649 *Research International*, *96*, 198-205. <u>https://doi.org/10.1016/j.foodres.2017.04.003</u>.

- 650 Schnettler, B., Miranda-Zapata, E., Miranda, H., Velásquez, C., Orellana, L., Sepúlveda,
- 51 J., ... & Grunert, K. G. (2016). Psychometric analysis of the Food Technology
- 652 Neophobia Scale in a Chilean sample. *Food Quality and Preference*, 49, 176-182.
- 653 <u>https://doi.org/10.1016/j.foodqual.2015.12.008</u>.

- Seibert, J. B., Bautista-Silva, J. P., Amparo, T. R., Petit, A., Pervier, P., dos Santos
 Almeida, J. C., ... & Dos Santos, O. D. H. (2019). Development of propolis
 nanoemulsion with antioxidant and antimicrobial activity for use as a potential natural
 preservative. *Food Chemistry*, 287, 61-67.
 <u>https://doi.org/10.1016/j.foodchem.2019.02.078</u>.
- Sekhon, B. S. (2010). Food nanotechnology–an overview. *Nanotechnology, Science and Applications*, *3*, 1-15. https://doi.org/10.2147/NSA.S8677

- Siegrist, M., Cousin, M. E., Kastenholz, H., & Wiek, A. (2007). Public acceptance of
 nanotechnology foods and food packaging: The influence of affect and trust. *Appetite*,
 49(2), 459-466. https://doi.org/10.1016/j.appet.2007.03.002.
- 664 Siegrist, M., Stampfli, N., & Kastenholz, H. (2009). Acceptance of nanotechnology
- foods: a conjoint study examining consumers' willingness to buy. *British Food Journal*. https://doi.org/10.1108/00070700910972350.
- Siegrist, M., Stampfli, N., Kastenholz, H., & Keller, C. (2008). Perceived risks and
 perceived benefits of different nanotechnology foods and nanotechnology food
 packaging. *Appetite*, *51*(2), 283-290. https://doi.org/10.1016/j.appet.2008.02.020.
- 670 Sodano, V., Gorgitano, M. T., Verneau, F., & Vitale, C. D. (2016). Consumer acceptance
- of food nanotechnology in Italy. *British Food Journal*, 118(3), 714.
 https://doi.org/10.1108/BFJ-06-2015-0226.
- 673 Statnano (2020). Nanotechnology Products Database. Retrieved from
 674 <u>https://product.statnano.com</u>. Accessed March 17, 2020.
- 675 Syamsu, K., Warsiki, E., Yuliani, S., & Widayanti, S. M. (2016). Nano zeolite-KMnO₄
- as ethylene adsorber in active packaging of horticulture products (*Musa paradisiaca*).
- 677 International Journal of Sciences: Basic and Applied Research, 30(1), 93-103.
- Tatli Seven, P., Seven, I., Gul Baykalir, B., Iflazoglu Mutlu, S., & Salem, A. Z. (2018).
- 679 Nanotechnology and nano-propolis in animal production and health: An overview.
- 680 Italian Journal of Animal Science, 17(4), 921-930.
 681 https://doi.org/10.1080/1828051X.2018.1448726.
- Torabizadeh, H., & Montazeri, E. (2020). Nano co-immobilization of α-amylase and
 maltogenic amylase by nanomagnetic combi-cross-linked enzyme aggregates method

- for maltose production from corn starch. *Carbohydrate Research*, 488, 107904.
 https://doi.org/10.1016/j.carres.2019.107904.
- Verneau, F., Caracciolo, F., Coppola, A., & Lombardi, P. (2014). Consumer fears and
 familiarity of processed food. The value of information provided by the FTNS.
- 688 *Appetite*, 73, 140-146. <u>https://doi.org/10.1016/j.appet.2013.11.004</u>.
- 689 Vidigal, M. C., Minim, V. P., Simiqueli, A. A., Souza, P. H., Balbino, D. F., & Minim,
- 690 L. A. (2015). Food technology neophobia and consumer attitudes toward foods
- 691 produced by new and conventional technologies: A case study in Brazil. *LWT-Food*
- 692 *Science and Technology*, *60*(2), 832-840. <u>https://doi.org/10.1016/j.lwt.2014.10.058</u>.
- 693 Viscecchia, R., De Devitiis, B., Carlucci, D., Nardone, G., & Santeramo, F. (2018). On
- 694 consumers' acceptance of nanotechnologies: An Italian case study. International
- 695 Journal on Food System Dynamics, 9(4), 321-330.
 696 https://doi.org/10.18461/ijfsd.v9i4.943.
- 697 Vujić, A., & Szabo, A. (2022). Hedonic use, stress, and life satisfaction as predictors of

698 smartphone addiction. Addictive Behaviors Reports, 100411.

- 699 <u>https://doi.org/10.1016/j.abrep.2022.100411</u>.
- Wilson, M., Parkin, I.P., & Page, K. (2007). A film consisting of titanium dioxide host
 matrix comprising silver oxide nanoparticles. *WO2007051996*.
- Yu, H. C., Kim, S. B., Kim, S., Oh, M. Y., & Kim, Y. H. (2006). Bactericidal detergent
 composition. *WO2006071069*.
- Yu, H. G., Ji, H. G., Kim, S. D., & Woo, H. K. (2009). Nano-emulsion composition ofcoenzyme Q10. *WO2009005215*.

- Zhang, M., Sun, J., Zhao, J., Wang, W., Li, X., & Chen, L. (2009). An associated
- sterilization method comprising ozone, ultraviolet and nanosilver coating for quality
- maintenance of freeze-dried food. *WO2009049450*.
- 709 Zhou, G., & Hu, W. (2018). Public acceptance of and willingness-to-pay for nanofoods
- 710 in the US. *Food Control*, 89, 219-226. <u>https://doi.org/10.1016/j.foodcont.2018.02.004</u>.

711

712

714 Figure captions	714	Figure	captions
---------------------	-----	--------	----------

Fig. 1. Nanotechnology application in different products that consumers evaluated in thesurvey.

Fig. 2. Mean scores given by respondents in the survey of neophobia to new food
technology. S1-S9 correspond to the statements shown in Table 4. Scores in S5 and S6
have been reversed, so higher scores indicate more neophobia.

Fig. 3. Mean scores given by respondents in the opinion survey on applications ofnanotechnology in five food products.

Fig. 4. Mean scores given by respondents in the opinion survey on applications ofnanotechnology in five food products, considering the three clusters.

Table 1

731 Survey technical specifications.

Target population	Spanish adult people
Sample size	658
Sample error	± 3.90
Confidence level	95.5% ($k = 2$) ($p = q = 50$)
Sampling procedure	Simple Random Sample
Preliminary questionnaire	Pretest to 20 individuals
Date	May-July 2020

733 **Table 2**

734 Main fields of nanotechnology applications in the food sector.

735

Goal	Example	Reference
	Ingredients or additives	
Improve the bioavailability of some nutrients	High small-sized bioavailable calcium, iron, selenium or coenzyme Q10	Jeon & Lee, 2009; Pereira et al., 2014; Prokisch & Zommara, 2009; Yu et al., 2009
Reduce the dose of an ingredient without compromising its original organoleptic characteristics	Small-sized sodium chloride to be incorporated into biscuits or peanuts; emulsions with a small droplet size that can be incorporated into fat-reduced mayonnaise or ice creams, etc.	Moncada et al., 2015; Hamad et al., 2018; Henchion et al., 2019
Modify the physico-chemical characteristics of an ingredient or additive	Small-sized titanium oxide which exhibits high visual transparency with good shielding against ultraviolet light	Latva-Nirva et al., 2009
Protect molecules/microorganisms from processing conditions and the gastrointestinal tract by increasing their solubility, bioavailability, etc., masking sensory characteristics, releasing a cargo to a specific gastrointestinal tract region (targeted release)	Encapsulation of vitamins, probiotics, functional molecules, etc, in nano-/microcapsules	Feher, 2012; Henchion et al., 2019; Mohammadian et al., 2020; Cetinkaya et al., 2021

Antimicrobial systems

Improve a molecule or substance's antimicrobial power	Silver nanoparticles to be used in the formulation of detergents for washing food, utensils, etc.	Yu et al., 2006; Wilson et al., 2007; Zhang et al., 2009; Mesosilver, 2020; StatNano, 2020				
Mask unpleasant sensory characteristics, lower evaporation rates, and improve the compatibility and stability of antimicrobials	Encapsulated antimicrobials, such as essential oils, propolis, etc.	Donsì & Ferrari, 2016; Seibert et al., 2019; Tatli Seven et al., 2018				
	Processing methods					
Develop processing less aggressive techniques than traditional ones	Nanofiltration system for the concentration and clarification of juices or the dealcoholization of beer and wine, etc.	Nath et al., 2018; Peyravi et al., 2020				
Provide new supports for enzyme immobilization	Supports for enzyme immobilization	Liu & Dong, 2020; Torabizadeh & Montazeri, 2020				
	Packaging					
Create nano-reinforced packaging with improved mechanical or barrier properties	Introduction of nanoparticles (i.e. nanoclays, titanium dioxide) or nanocomposites (nylon resins) into polymeric matrices	StatNano, 2020				
Provide food packagers or containers with antimicrobial properties	Introduction of nanometals (i.e. silver, zinc oxide, etc., nanoparticles) into polymeric matrices	StatNano, 2020; Henchion et al., 2019; Pérez-Esteve et al., 2013; Ranjan et al., 2014				
Scavenge different compounds (oxygen, ethylene, etc.) from the environment to increase the shelf life of certain foods like fruit, vegetables, meat, etc.	Introduction of nanostructures (i.e. zeolites with potassium permanganate) into polymeric matrices to avoid fruit ripening , etc.	Syamsu et al., 2016				
	Sensors					

Sensors

Detect changes in food properties associated with ripening, deterioration, etc.	Nanoparticles of titanium dioxide and methylene blue to indicate the presence of oxygen. Nanosensors that react with volatile compounds in fruit and indicate the degree of ripeness with different colors, etc.	Ranjan et al., 2014; RipeSense ® label
Detect the presence of microorganisms in food	Colorimetric indicators based on noble metal nanoparticles for the detection of foodborne or spoilage microorganisms	Bumbudsanpharoke & Ko, 2019
Detect changes in storage conditions	Colorimetric indicators based on gold nanoparticles to inform about the accidental freezing of refrigerated products. Sensors that indicate if the meat refrigeration temperature has been exceeded by a code on the label disappearing	Ranjan et al., 2014; Enescu et al., 2019

Table 3

739 Participants' personal data and knowledge and opinion about nanotechnology.

		Total		Cluster 1		Cluster 2		Cluster 3		
		n	%	n	%	n	%	n	%	χ^2
		658	100	250	38.0	228	34.7	180	27.4	(p-value)
	Female	427	64.9	164	65.6	143	62.7	120	66.7	
Gender	Male	230	35.0	85	34.0	85	37.3	60	33.3	ns
	Other	1	0.2	1	0.4	0	0	0	0	
	18-29	220	33.4	111	44.4	62	27.2	47	26.1	
A	30-49	312	47.4	107	42.8	119	52.2	86	47.8	***
Age	50-65	121	18.4	31	12.4	45	19.7	45	25.0	
	>65	5	0.8	1	0.4	2	0.9	2	1.1	
	Less than High school	34	5.2	12	4.8	8	3.5	14	7.8	
Educational level	High school	114	17.3	33	13.2	49	21.5	32	17.8	ns
	Bachelor degree or higher	510	77.5	205	82.0	171	75.0	134	74.4	
Relationship agri-food	Yes	247	37.5	137	54.8	55	24.1	55	30.6	***
sector	No	411	62.5	113	45.2	173	75.9	125	69.4	
N	Yes	23	3.5	5	2.0	10	4.4	8	4.4	
Vegetarians/Vegans	No	635	96.5	245	98.0	218	95.6	172	95.6	ns
Food Allergic/Food	Yes	99	15.0	37	14.8	33	14.5	29	16.1	
Intolerant	No	559	85.0	213	85.2	195	85.5	151	83.9	ns
Knowledge about	I am not sure	16	2.4	7	2.8	4	1.8	5	2.8	***
nanotechnology	Nothing	107	16.3	24	9.6	45	19.7	38	21.1	

	Little	200	30.4	53	21.2	83	36.4	64	35.6	
	Something	255	38.8	116	46.4	79	34.6	60	33.3	
	A lot	80	12.2	50	20.0	17	7.5	13	7.2	
	I do not know	176	26.9	35	14.0	73	32.0	68	37.8	
	Very negative	0	0	0	0	0	0	0	0	
Opinion about	Negative	3	0.5	0	0	1	0.4	2	1.1	***
nanotechnology	Neither negative nor positive	156	23.8	41	16.4	62	27.2	53	29.4	
	Positive	237	36.2	117	46.8	73	32.0	47	26.1	
	Very positive	83	12.7	56	22.4	18	7.9	9	5.0	

 $\overline{*** p < 0.001}$ (significant differences between clusters); ns: p > 0.05 (non-significant differences between clusters)

742 **Table 4**

Mean, standard deviation and median values of the scores given by participants in the survey on neophobia to new food technologies (n=658).

		Mean	Standard	Median
			deviation	
	Factor 1: New food technologies are unnecessary	3.8		
S2	The benefits of new food technologies are often grossly overstated	4.5	1.6	5
S3	There are plenty of tasty foods around, so we do not need to use new food technologies to produce more		1.9	3
already good enough	3.5	1./	5	
	Factor 2: Perception of risks	4.1		
S5	New food technologies are unlikely to have long term negative health effects (R)	4.0	1.6	4
S7	New food technologies may have long term negative environmental effects	4.0	1.5	4
S8	It can be risky to switch to new food technologies too quickly	4.3	1.6	4
	Factor 3: Quality and healthy choice			
S1	New foods are not healthier than traditional foods	4.3	1.7	4
S4	New food technologies decrease the natural quality of food	3.5	1.8	4
S6	New products produced using new food technologies can help people have a balanced diet (R)		1.6	3
S10	The media usually provides a balanced and unbiased view of new food technologies (R)		1.6	5
			1.0	5

744 S1-S10: Statements in the order in which they appeared in the survey; (R) The scores in these statements have been reversed, so higher scores indicate more neophobia