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Additional Information

1 **What do Spanish consumers think about employing nanotechnology in**
2 **food packaging?**

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9 **ABSTRACT**

10

11 Food packaging is essential in food preservation and distribution. This sector is constantly
12 evolving to develop more sustainable packaging materials with greater functionality to
13 guarantee quality and food safety. In line with this, nanotechnology is being widely
14 studied for its high potential to improve different packaging aspects. As the use of new
15 technologies can influence consumers product acceptance, it is essential to determine
16 consumer perceptions. This work aimed to evaluate consumer opinion about different
17 food packaging types for which nanotechnology is used to improve some of their
18 properties. First a literature review was performed to determine which applications are
19 fully developed or being developed with a high potential to be implemented into food
20 packaging for different purposes. The most important ones were selected for covering
21 different functionalities and food types. Second a consumer opinion and purchase
22 intention study was conducted with a survey (713 valid cases) to evaluate these
23 applications and to assess neophobia to new technologies. The results showed that the
24 population had a medium level of neophobia. The least neophobic consumers and those
25 with more nanotechnology knowledge better valued each product. All products with
26 nanotechnology in their packaging obtained positive evaluations. The best valued
27 applications were those which provided information about food quality/safety (time-
28 temperature indicator or cold chain loss), while the worst valued were those in which
29 nanomaterials interacted with food (active packaging).

30 *Keywords:* food packaging; nanotechnology; Spanish consumers; new technologies;
31 neophobia.

32 **1. Introduction**

33

34 Nanotechnology, which involves the design, manufacture and application of materials
35 that have critical length scales that fall within the nanometric range (normally considered
36 between 1 and 100 nm) (McClements, 2020), is one of the novel technologies that might
37 contribute to solve many of the problems associated with use of plastic in food packaging.

38 Thanks to the use of this novel technology, it is possible to obtain biodegradable
39 packaging with better properties, packaging with improved mechanical and barrier
40 properties, active packaging with nanosized antioxidant and antimicrobial compounds,
41 and smart packaging. Although the use of nanotechnology may improve different
42 packaging aspects, the incorporation of engineered nanoparticles to food contact
43 materials (FCM), such as food packaging, might lead to different toxicological concerns,
44 most of them derived from the possible migration from the packaging to the food
45 (Emamhadi et al., 2020).

46 As has been observed in other technologies, such as ionizing radiation, the approval
47 of a technology for its use in food is not enough to guarantee its success in the market
48 (Meijer et al., 2021). Thus, before or in parallel to risk assessment, conducting studies
49 that aim to understand consumer perception about the use of different applications of
50 nanotechnology in food packaging, is necessary to predict the acceptance of a technology
51 in the market, then deciding if it is worth investing all the necessary resources for the
52 safety assessment.

53 Therefore, for the commercial success of those food products for which
54 nanotechnology is employed to produce their packaging, it is necessary first to ensure that
55 consumers accept this technology, and second that they perceive a benefit of its use and
56 no possible risk. To date, perception and purchase intention studies into food packaging
57 have been conducted in different countries like Portugal (Martinho et al., 2015), Poland

58 (Barska & Wyrwa, 2016), Sweden (Lindh et al., 2016), Spain (Moya et al., 2020), the
59 Lebanon (Bou-Mitri et al., 2020), China (Li et al., 2020), or more than one country at a
60 time (Popovic et al., 2020). They show that consumer perception of packaging is
61 conditioned mainly by physical aspects (being attractive, high quality, hygienic) and
62 functional (useful for the function for which they were designed, informative, recyclable).
63 Nevertheless, to date there are no exhaustive studies aiming to evaluate consumer
64 perception of employing nanotechnology to produce advanced materials for food
65 packaging use. In this context, this work aimed to assess consumer perception of
66 employing certain packaging materials, in which the incorporation of nanotechnology
67 represents an improvement in their properties by making them more sustainable,
68 functional, intelligent and/or active, for food applications.

69

70 **2. Materials and methods**

71

72 *2.1. Identifying potential nanotechnology applications in food packaging design*

73

74 A literature review was carried out in the main research databases and the
75 “Nanotechnology Products Database” (Statnano, 2021a) in an attempt to identify the main
76 fields in which nanotechnology is applied to develop food packaging. To this end, both
77 applications still in a research phase and fully developed and commercialized ones were
78 reviewed. The following keywords were used: food nanotechnology, nanopackaging,
79 bionanocomposites, nanosensor, intelligent packaging, active packaging.

80 Of all the different applications found, seven products were selected to continue with
81 the second part of the study using as a criterion to cover different nanotechnology
82 functions in packaging: improved properties (IP), active packaging (AP) and smart

83 packaging (SP). This selection was based on the Spanish population's consumer habits,
84 selecting products from different food chain links (production, packaging and quality
85 control), and including both nanotechnology as nano-inside (the nanostructured system is
86 an integral part of food) and nano-outside (those that employ nanotechnology, but it does
87 not form part of ingested food).

88

89 2.2. *Survey*

90

91 An online survey in three parts was designed with Google Forms. The survey was
92 disseminated on different social networks (Facebook, Linked-in, Instagram, WhatsApp)
93 and by email between April and June 2021. The information provided in the
94 dissemination phase is shown in Supplementary Material Fig. S1. The sample included
95 713 Spanish consumers (valid cases) recruited by a random simple sampling method. An
96 estimated error of $\pm 3.75\%$ was obtained after considering a 95% confidence interval with
97 p and q equaling 0.5.

98 The survey was conducted by taking into account the ethical and professional
99 practices given by the Institute of Food Science and Technology (2015). Before starting
100 the survey, consumers were informed about the study purpose and framework and that
101 the responses were anonymous (Supplementary Material Fig. S1). They had to choose if
102 they wished to voluntarily participate in the study or not.

103

104 2.2.1. *Personal data*

105 The socio-demographic data were collected in this part of the survey, and a question
106 about knowledge and general opinion about nanotechnology was included.

107

108 *2.2.2. The Food Technology Neophobia Scale*

109 The FTNS questionnaire consisted of 13 items proposed by Cox and Evans (2008).
110 The Spanish version herein used was based on that of Schnettler et al. (2016) with some
111 minor modifications. The respondents had to indicate their level of agreement with each
112 item on a 7-point scale.

113

114 *2.2.3. Evaluation of nanotechnology food packaging applications*

115 Recreated images of the seven different types of nanotechnology food packaging
116 applications selected after the literature search (see section 3.1) were shown to consumers
117 to know their opinion and purchase intention.

118 For each of the applications, consumers had to score three opinion statements (“This
119 product seems novel”, “This product provides many nutrition/food safety/quality
120 benefits” and “This product poses no health risk”) according to their level of agreement.
121 They had to answer the question “How much do you think you would like this product?”
122 on a 7-point scale. Finally, they had to answer a question about purchase intention on a
123 5-point scale. If they answered that "I am sure I would not buy it", another open-ended
124 question was included to ask them why.

125

126 *2.3. Statistical analysis*

127

128 Descriptive analyses of the data obtained with both the FTNS and the evaluation of
129 nanotechnology applications were performed to calculate the mean values and standard
130 deviation.

131 Cronbach’s alpha was calculated to determine the validity of the Spanish version of
132 the FTNS herein used. In addition, to summarize the information given by the 13 FTNS

133 items, a Factorial Analysis was conducted with Principal Component Analysis (PCA) as
134 the extraction method using varimax rotation. Only the factors with eigenvalues higher
135 than 1 were considered. The sample's factorability was tested by the Kaiser-Meyer-Olkin
136 (KMO) test and Bartlett's sphericity test. The reliability of the factors obtained in the
137 PCAs was determined by calculating Cronbach's alpha.

138 A Hierarchical Cluster Analysis (HCA) was carried out to determine whether there
139 were groups of consumers with different neophobia levels by considering Euclidean
140 distances (dissimilarity), Ward's method as the agglomeration method and automatic
141 truncation, as in other studies (Coutinho et al., 2021). To evaluate the differences in the
142 responses between clusters, Kruskal-Wallis analyses were performed for each FTNS
143 item, for the responses to the questions about knowledge and opinion about
144 nanotechnology and also for the responses of the evaluation of nanotechnology food
145 packaging applications questionnaire. Dunn's procedure with Bonferroni correction was
146 used to test for differences at the 5% significance level. A chi-square analysis was carried
147 out to evaluate differences in the socio-demographic data depending on cluster.

148 A Kruskal-Wallis analysis was also performed to determine whether the different
149 nanotechnology food packaging applications obtained significantly different scores.

150 The statistical program used was XLSTAT 2020.3.1 (New York, USA) (Addinsoft,
151 2020).

152

153 **3. Results and discussion**

154

155 *3.1. Identification of potential nanotechnology applications in food packaging design*

156

157 From the literature review it was concluded that there were three potential fields of
158 nanotechnology applications to develop food packaging. Of all the possible identified
159 uses, those that provided a solution to various food groups that are normally consumed
160 packaged (beverages, dairy products, meat, fish, fruit, vegetables) were selected.

161 The first application field includes the use of nanostructured systems (metal oxides,
162 nanoclays, carbon nanotubes, etc.) to improve physical properties (mechanical and/or
163 thermal resistance, moisture and/or gas barrier properties, durability or flexibility, etc.) of
164 the polymers employed to manufacture packaging (Kuswandi & Moradi, 2019). An
165 example of a polymer with improved properties (IP) achieved by incorporating
166 nanotechnology consisted of including bentonite nanoclay in the polyethylene
167 terephthalate (PET) structure, which is one of the most widely used polymers for the
168 packaging of beverages or dairy products. This incorporation improved its resistance and
169 stability without increasing the amount of plastic (Statnano, 2021b). This material applied
170 to yogurt packaging was the inspiration for the first surveyed application (**IP1**). This same
171 approach can also serve to improve the properties of either the new biopolymers
172 synthesized from renewable sources or those that are easily biodegradable or
173 biocompostable, such as polylactic acid, chitosan, cellulose or starch. One example of
174 this possibility is the inclusion of nanocellulose crystals in renewable containers made of
175 polylactic acid to improve its properties, and confer it lower permeability to water and a
176 better barrier to oxygen (Fortunati et al., 2012). This approach can be used for the
177 packaging of certain products consumed on a daily basis, such as salads, using renewable
178 sources of polymers (**IP2**).

179 The second major field of nanotechnology applications to packaging manufacturing
180 is active packaging (AP) design. This packaging is characterized by its ability to extend
181 the food consumption deadline or to improve packaged food conditions. Two approaches

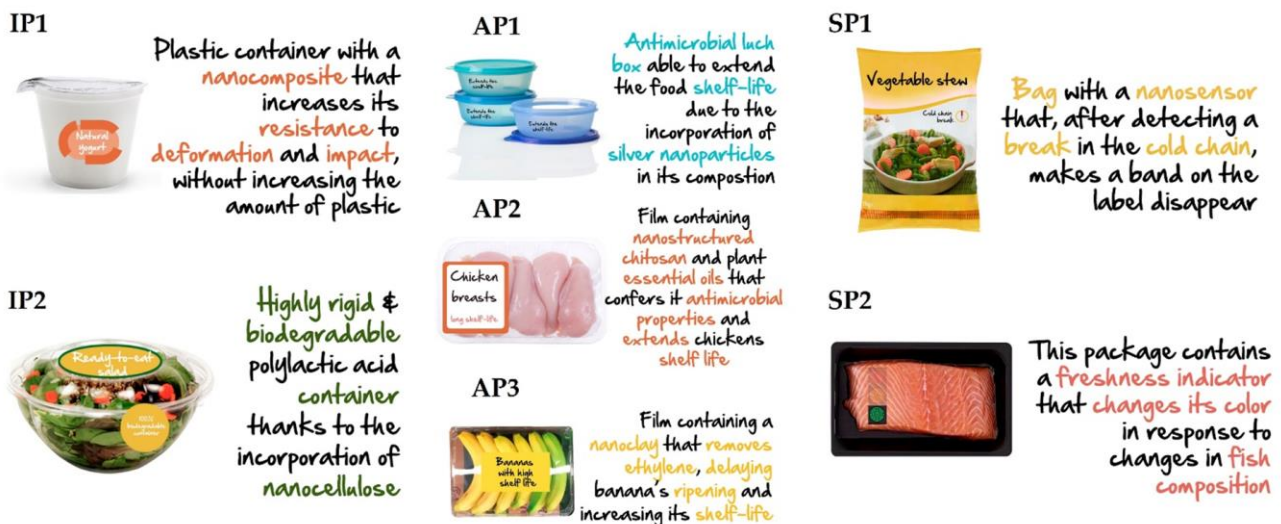
182 exist to reach this goal: the incorporation of compounds which, after being released to the
183 environment, exert some type of antimicrobial action, or those components that absorb or
184 eliminate the compounds responsible for food deterioration, such as oxygen or ethylene.

185 Of the most frequently used antimicrobial nanoparticles for developing antimicrobial
186 AP, it is worth highlighting metallic compounds, especially silver nanoparticles. Of these,
187 it is easy to find in the market in countries such as United Kingdom lunch boxes made of
188 polymers in which colloidal silver particles are embedded (**AP1**), exhibiting antibacterial
189 and antifungal capacity (Statnano, 2021c). Another approach to design antimicrobial
190 packaging consists of employing polymers that, per se, have antimicrobial capacity (Dutta
191 et al., 2009). Along these lines, Shapi'i et al. (2020) demonstrated the ability of a film
192 containing chitosan nanoparticles to extend the shelf-life of highly perishable food. The
193 application of this approach to preserve chicken breasts inspired the surveyed product
194 **AP2**. A different approach to create AP that is capable of extending the food shelf-life
195 (especially fruit), and does not involve bacterial inactivation, are those that incorporate
196 nanomaterials that are able to eliminate or capture naturally generated ethylene (Sadeghi
197 et al., 2021). Following this principle, the Green Bags developed by the Evert Fresh Co.
198 brand (USA), contain a zeolite, which has the ability to absorb ethylene and, therefore,
199 increase a product's shelf-life (Sadeghi et al., 2021). Applying this technology to extend
200 shelf-life to one of the fastest ripening fruits (bananas) is considered to be the **AP3**
201 application.

202 Finally, by including nanomaterials in packaging, they also offer the ability to
203 monitor packaged food properties and/or status, and to communicate them to consumers,
204 which generates smart packaging (SP). These containers are characterized by the
205 incorporation of some type of nanosensor that comes in the form of labels, dyes or
206 enamels, and some of its properties (color, luminescence, etc.) change in response to an

207 alteration to the environment. For example, when the cold chain is broken, the Topcryo
 208 ® labels commercialized by the company Cryolog (France) with the approval of EFSA
 209 change from green to maroon to indicate that food is unsuitable to eat (SP1) (Enescu et
 210 al., 2019). Likewise, when ammonia and/or simple amines are generated from fish
 211 decomposition, they can be detected by a color change in polymers, whose composition
 212 includes fluoro-functionalized graphene (SP2) (Rouhani, 2019). More examples of
 213 nanotechnology applications to develop packaging with improved properties and/or
 214 functionality can be found in Supplementary Material Table S1. The set of these
 215 applications demonstrates the high potential of using nanotechnology to develop new
 216 packaging with improved properties for food industry applications.

217 After selecting different suitable nanotechnology applications in the food packaging
 218 sector, images were created (Fig. 1) to depict the possible appearance of the product on
 219 the market. These images were included as a visual reference of the selected applications
 220 in the survey.



222 Fig. 1. Representation of selected applications of nanotechnology to create packaging
 223 with improved properties (IP), active packaging (AP) and smart packaging (SP).

224
 225

226 3.2. Survey results

227

228 3.2.1. Consumer data and purchasing habits

229 In this study 713 people aged between 18 and 78 years participated, of whom 65%
 230 were women. The majority age range was 18-29 years, which represented 43.9% of the
 231 total sample. The vast majority of the respondents had completed Higher Education
 232 (72.51%) (Table 1). These two percentages could be due to the fact that most of the
 233 surveys were sent to a university environment, and these age groups are normally the
 234 most active ones in social networks, which was how the survey was sent. Of all the
 235 respondents, 44.60% were related in some way to the agri-food sector.

236

237 **Table 1**

238 Sociodemographic data of consumers.

		Total	
		n	%
		713	100
Gender	Female	463	64.9
	Male	250	35.1
	Other	0	0
Age	18-29	313	43.9
	30-49	285	40.0
	50-65	106	14.9
	>65	9	1.3
Educational level	No qualification	0	0
	Secondary	39	5.5
	High School	157	22.0
	Bachelor degree or higher	517	72.5
Relationship agri-food sector	Yes	318	44.6
	No	395	55.4

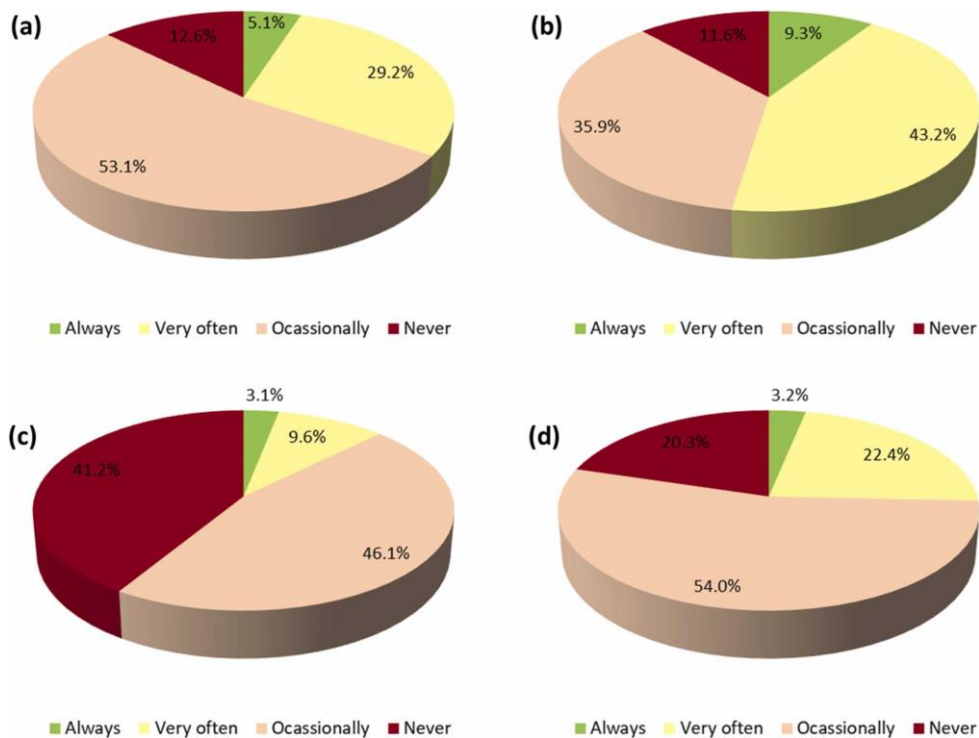
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240 Consumer knowledge and opinion about nanotechnology were also evaluated
 241 (Supplementary Material Fig. S2). A low percentage of all the participants stated not
 242 being sure about knowing this technology, and 21.6% did not know anything about it. On
 243 the contrary, 75.17% stated that they knew "little", "something" or "a lot" about

244 nanotechnology. Regarding their opinion about this new technology, 35.34% of the
 245 respondents stated that they had no opinion about it, 19.50% had a neutral opinion (neither
 246 positive nor negative), and only one person had a negative opinion about nanotechnology.
 247 No participant had a very negative opinion. On the contrary, almost half the population
 248 stated having a positive or very positive opinion.

249 Their frequency of purchasing packaged fish, meat, fruit and vegetables was
 250 evaluated (Fig. 2). Regarding fish (Fig. 2.a), a low percentage indicated always buying
 251 this product packaged, 19.15% buy it quite frequently, approximately half the respondents
 252 occasionally buy packaged fish and 12.64% stated never buying it. These data confirm
 253 the preference for buying fish over the counter and not on trays like other studies report
 254 (Groot & Albisu, 2014).

255



256

257 Fig. 2. Frequency of purchasing (a) packaged fish; (b) packaged meat; (c) packaged fruit;

258 (d) packaged vegetables.

259 Regarding meat (Fig. 2.b), only 9.26% indicated always buying it packaged and the
260 highest percentage buys it quite frequently (43.20%). On the contrary, 35.90% buy it
261 occasionally and 11.64% never do.

262 About packaged fruit purchases (Fig. 2.c), a very low percentage of consumers
263 always buy this packaged product and 9.56% do so quite frequently. The highest
264 percentage of participants buy it occasionally, followed by those who never do.

265 With packed vegetables (Fig. 2.d), only a very low percentage of the respondents
266 stated always buying them (similar to fruit), while 22.44% buy packed vegetables quite
267 frequently and half the respondents do so occasionally. On the contrary, 20.34% never
268 do.

269 After analyzing the obtained data, of the four studied products, those most frequently
270 purchased and packaged were fish, and especially meat. Conversely, vegetables and fruit
271 were the least purchased packaged products, with the highest percentage of consumers
272 who never buy them packaged. In general, the percentages of consumers who always buy
273 packaged food were relatively low because, in recent years, consumers have become
274 increasingly concerned about the environment and plastic waste. Lindh et al. (2016)
275 showed that the foods whose employed packaging material is considered especially
276 excessive is fruit and vegetables. For highly perishable fresh food like meat or fish, the
277 population could have evaluated the safety of packaged food more than respecting the
278 environment (Otto et al., 2021).

279

280 3.2.2. Food Technology Neophobia Scale (FNNTS)

- 281 • Validation of the Spanish FNNTS

282 The Food Technology Neophobia Scale was used as a psychometric scale to
283 determine consumers' fears and awareness about new food technologies (Garrido et al.,

284 2021). FNTS reliability was determined with Cronbach's alpha. A scale used to measure
285 a given construct is generally considered valid when Cronbach's alpha exceeds 0.70. The
286 Spanish version of the FNTS herein employed was that proposed by Schnettler et al.
287 (2016) with some minor modifications (Table 2). These authors reported a low
288 Cronbach's alpha value (0.621) on the scale with 13 items, while this value was 0.786 in
289 the present study, which indicates the good internal consistency of the Spanish scale used
290 in this work. Cronbach's alpha value increased to 0.826 when item 13 (related to media)
291 was deleted, which indicates that the internal consistency of the FNTS was higher when
292 this statement is not considered.

293 A factor analysis (FA) of the 13 statements was conducted to summarize the
294 information provided by the 13 items. Before the FA, the adequacy of the sample was
295 tested by Kaiser-Meyer-Olkin (KMO) and the Barlett's test of sphericity. The KMO value
296 was 0.860, with $p < 0.0001$ in the Barlett's test of sphericity. These findings (KMO $>$
297 0.800 and $p < 0.05$ in the Barlett's test of sphericity) show that the FA is an adequate
298 approach to evaluate these data.

299 The first two FA dimensions explained 47.75% of variability. Although this figure
300 obtained a low explanatory value, it is worth mentioning that similar values have been
301 reported in consumer studies (Coimbra et al., 2020; Coutinho et al., 2021; Vidigal et al.,
302 2015), in which the percentage of variance explained by any mathematical model of data
303 projection has usually been reported to be lower than 70% (Santos et al., 2019). The first
304 FA dimension (33.43% of the total variance) was linked with statements 1-5 and 9-12,
305 with the second FA dimension linked with statements 6-8 and 13 (Table 2). This
306 classification is similar to the results reported by Coutinho et al. (2021) in Brazil, with
307 the only difference being that item 7 fell on dimension 2. However, four factors have been
308 identified in other studies (Cox & Evans, 2008; Kuang et al., 2020): F1: New food

309 technologies are unnecessary (items 1-5, 12); F2: Perception of risks (items 6, 9-11); F3:
310 Health choice (items 7 and 8), F4: Information/media (item 13). In the present study, F1
311 would be related to New food technologies are unnecessary and Perception of risks, while
312 F2 would be related mainly to Healthy choices and Information/media.

313 Cronbach's alpha for the scale of F1 was 0.842 and 0.606 for F2, and rose to 0.688
314 when item 13 was removed. Once again, this confirmed that this item negatively affects
315 to the scale's consistency.

316

317 • The Food Technology Neophobia Scale Results

318 Global scale values (after considering the responses of all 13 items) can range from
319 13 to 91. The higher the global value, the higher the neophobia level. In the present work,
320 this value was 51.10 (Table 2), which was slightly lower than the central value of 52
321 (neutral position), with a minimum value of 18 and maximum one of 90. The mean values
322 for all 13 items ranged between 3.0 and 4.5 (Table 2), with a mean value of 3.9 that was
323 slightly lower than the neutral mean value (4). These results show a medium neophobia
324 level to new food technologies for the Spanish population and a wide variability in the
325 range of responses, which could indicate consumer groups with different neophobia
326 levels. The FNTS values herein obtained were slightly higher than those reported in recent
327 studies in the USA, with mean values of individual items of 3.87, or global values of
328 44.22 and 46.4 (Garrido et al., 2021; Kuang et al., 2020). In Brazil (Coutinho et al., 2021),
329 the FNTS global values were 46.2. However, higher values were obtained in other studies
330 in Australia, Canada or Italy, with global values of 54, 59 and 61, respectively (Evans et
331 al., 2010; Matin et al., 2012; Verneau et al., 2014). It is worth noting that the neophobia
332 level could have changed since data were collected in these last studies because consumer
333 opinions and perceptions are not static in time.

334 **Table 2.** Spanish version of the Food Technology Neophobia Scale (FNTS) of Cox and
 335 Evans (2008). Mean scores and standard deviation (SD) and rotated factor loadings.

FNTS item	English items (Spanish version)	Mean (SD)	D1	D2
1	New food technologies are something I am uncertain about (Las nuevas tecnologías alimentarias son algo que me genera incertidumbre).	4.2 (1.9)	0.581	-0.058
2	New foods are not healthier than traditional foods (Los nuevos alimentos NO son más saludables que los alimentos tradicionales).	4.4 (1.8)	0.607	-0.030
3	The benefits of new food technologies are often grossly overstated (A menudo se exageran los beneficios de las nuevas tecnologías de producción de alimentos).	4.5 (1.6)	0.594	-0.243
4	There are plenty of tasty foods around so we don't need to use new food technologies to produce more (Existe suficiente cantidad de alimentos sabrosos, por lo que no es necesario usar nuevas tecnologías para producir más).	3.6 (1.8)	0.770	-0.105
5	New food technologies decreases the natural quality of food (Las nuevas tecnologías de producción de alimentos disminuyen la calidad natural de los mismos).	3.7 (1.8)	0.782	-0.026
6	New food technologies are unlikely to have long term negative health effects (Es improbable que las nuevas tecnologías de producción de alimentos tengan efectos negativos para la salud a largo plazo) ^(a) .	3.9 (1.5)	0.225	0.676
7	New food technologies gives people more control over their food choices (Las nuevas tecnologías de producción de alimentos les otorgan a las personas más control sobre sus elecciones de alimentos) ^(a) .	3.0 (1.4)	0.349	0.710
8	New products produced using new food technologies can help people have a balanced diet (Los nuevos productos obtenidos con las nuevas tecnologías de producción de alimentos pueden ayudar a que las personas tengan una dieta equilibrada) ^(a) .	3.2 (1.6)	0.377	0.691
9	It can be risky to switch to new food technologies too quickly (Puede ser arriesgado cambiar a las nuevas tecnologías de producción de alimentos demasiado rápido).	4.1 (1.6)	0.627	0.004
10	New food technologies may have long term negative environmental effects (Las nuevas tecnologías de producción de alimentos pueden tener efectos negativos sobre el medioambiente a largo plazo).	4.3 (1.5)	0.628	-0.006
11	Society should not depend heavily on technologies to solve its food problems (La sociedad no debería depender en gran medida de las nuevas tecnologías para resolver sus problemas de alimentación).	4.5 (1.7)	0.560	-0.106
12	There is no sense trying out high-tech food products because the ones I eat are already good enough (No tiene sentido desarrollar alimentos con alta tecnología porque los que como actualmente son suficientemente buenos).	3.3 (1.6)	0.745	-0.155
13	The media usually provides a balanced and unbiased view of new food technologies (Los medios de comunicación generalmente proporcionan una visión equilibrada e imparcial de las nuevas tecnologías de producción de alimentos) ^(a) .	4.5 (1.7)	-0.333	0.560
Global mean value of the 13 items		51.10 (11.5)		

336 (a) Indicates reverse scored items.

337

338

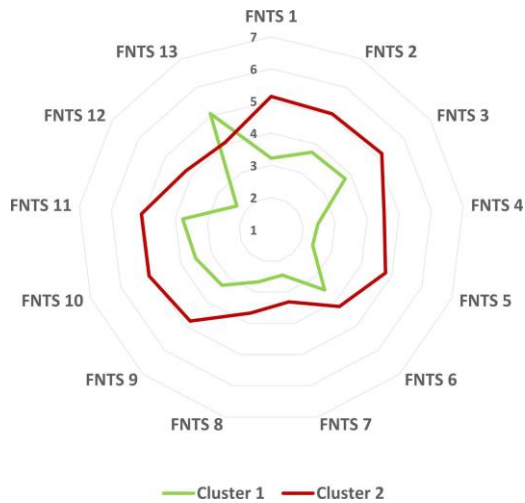
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340

341 The position of the respondents was neutral (neither agree nor disagree) for the items
342 related to risk (items 6 and 9), but they did not agree with items 4 and 12 (related to the
343 fact that "new technologies are unnecessary"), nor with items 7 and 8 (new food
344 technologies allow people to better control their choices and new products could help
345 people to eat a balanced diet). On the contrary, they gave higher scores (between 4 and 5
346 on a 7-point scale) to items 2, 3 and 11. Therefore, they partially agree with statements
347 like "new foods are no healthier than traditional ones", "the benefits of new foods are
348 often grossly overstated" and "society should not depend heavily on technologies to solve
349 its food problems". Finally, it is important to note that consumers do not believe that
350 media usually provide a balanced and unbiased view of new food technologies (item 13).
351 This falls in line with other studies, which have indicated low levels of trusting the
352 technology information provided by the media (Capon et al., 2015; Erdem., 2018).

353 A Cluster analysis (CA) was carried out to determine if there were groups of people
354 with different neophobia levels, obtaining two groups or clusters. The automatic
355 truncation method was used to obtain the groups, as explained in section 3.4. Fig. 3
356 depicts the results of the mean scores in each cluster. Higher scores were obtained for all
357 the items for Cluster 2, except for item 13 about credibility of the information on new
358 food technologies provided by the media. These results indicated that the respondents in
359 Cluster 2 were more neophobic than those in Cluster 1. The global value in Cluster 1 was
360 42.15 (minimum value of 18, maximum value of 61) and the mean value of the 13 items
361 was 2.2. In Cluster 2, the global value was 59.08 (minimum of 39 and maximum of 90)
362 and the mean value was 4.5. The Kruskal-Wallis analysis carried out to check if there
363 were any significant differences between both clusters revealed for the 13 statements that
364 the differences found between Clusters 1 and 2 were significant ($p < 0.001$ in all cases).

365



366

367 Fig. 3. Mean scores given by consumers in the survey of Food Technology Neophobia
 368 (FNTS), by clusters. Values of FNTS 6, 7, 8 and 13 were reversed so that a higher value
 369 would indicate a higher neophobia level. Meaning of the codes of the 13 items is given
 370 in Table 2.

371

372 A Chi-square analysis was used to determine if there were significant differences in
 373 consumers' personal data, and their knowledge of and opinion about nanotechnology
 374 (Supplementary Material Table S2). Only for sex were the differences between clusters
 375 non significant ($p > 0.05$). Significant differences were observed for the other variables.
 376 Cluster 1 (the least neophobic) included the higher percentage of people within the age
 377 range of 18-29 years and the higher percentage of people with a university degree, while
 378 the majority age range of Cluster 2 was 30-49 years. The results obtained for Cluster 1
 379 are similar to those reported by Garrido et al. (2021), who worked with consumers from
 380 the USA (the FNTS mean global score was 44.22), whose respondents' average age was
 381 33 years (33.7 in Cluster 1) and the majority had a high level of education.

382 Cluster 1 had a higher percentage of consumers who were related in some way to the
 383 agri-food sector, and 60.7% of the respondents in this cluster stated knowing something
 384 or a lot about nanotechnology. In Cluster 2, 63.9% stated knowing little or nothing about

385 it. Finally, their opinion about nanotechnology was also cluster-dependent. In Cluster 1,
386 64.29% of the consumers had a positive or very positive opinion about nanotechnology,
387 and no one had a negative or very negative opinion. Conversely in Cluster 2, almost 50%
388 stated they did not have an opinion about this new technology, 22.02% had neither a
389 positive nor a negative opinion, 27.85% had a positive or very positive opinion, and only
390 one person reported having a negative opinion.

391 Bearing in mind that the population in Cluster 2 was more neophobic and, that in this
392 group, 63.93% admitted knowing little or nothing about nanotechnology, it can be stated
393 that having less knowledge about new technologies can imply being more neophobic
394 about these technologies. The results also demonstrate that the more knowledge people
395 have about nanotechnology, the better they view it. These findings agree with other
396 studies, which have shown that familiarity with nanotechnology is associated with a
397 reduced risk perception (Capon et al., 2015).

398 It can also be stated that the younger the population, the higher the level of studies,
399 and the closer their relation to the agri-food sector, the less neophobic they are to new
400 food technologies. These results fall in line with the studies, like that by Viscecchia et al.
401 (2018), who stated that the higher the consumer's level of education, the lower the
402 perceived risk in relation to nanotechnology and the greater their willingness to buy.

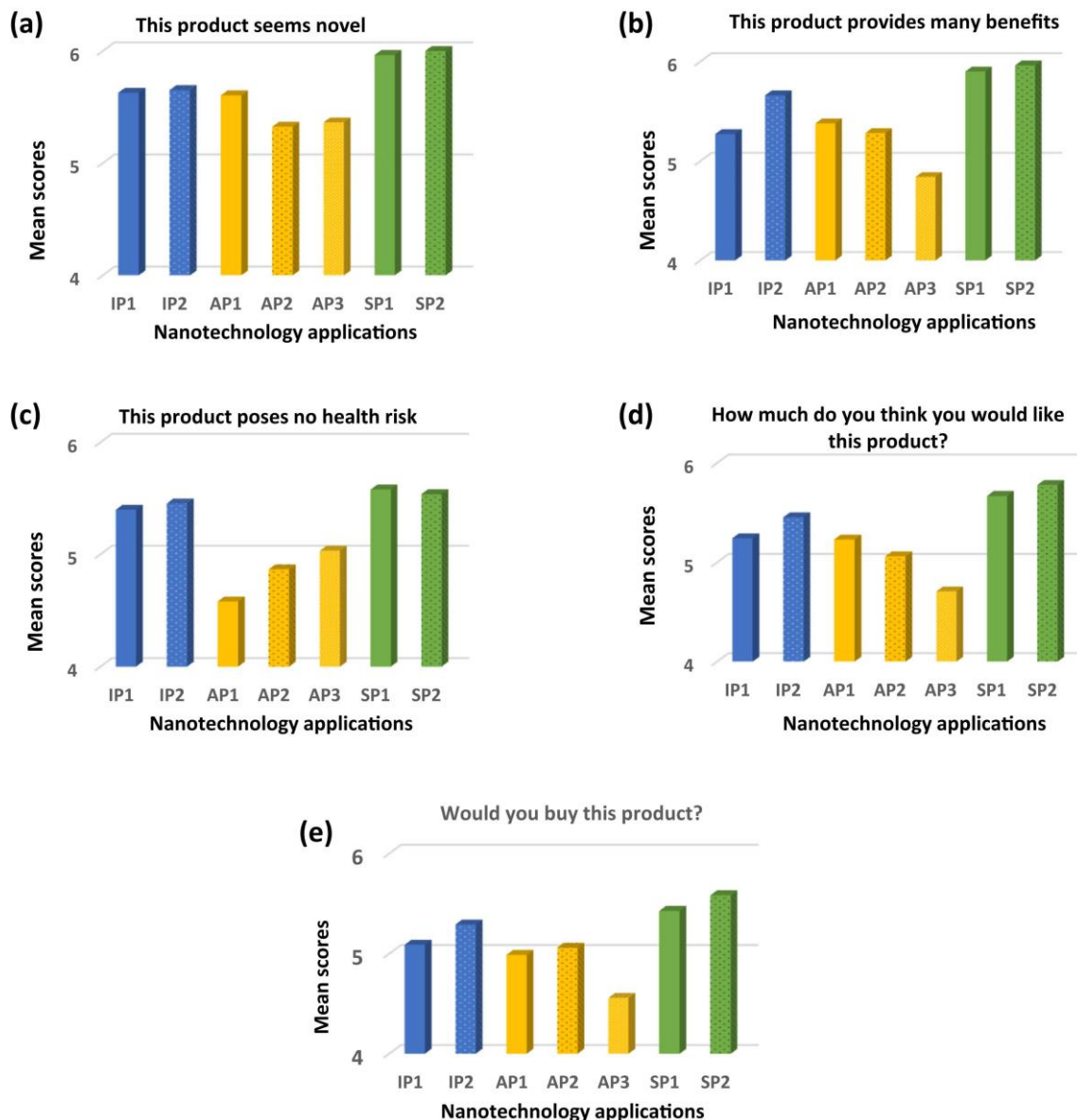
403

404 *3.2.3. Results of evaluating nanotechnology food packaging applications*

405 Seven different nanotechnology food packaging applications were evaluated. As
406 explained in Section 2.1., two corresponded to IP, three to AP and two to SP. Fig. 4 offers
407 the scores that consumers gave to each item on a 7-point scale.

408

409



410

411 Fig. 4. Mean scores (7 point-scale) given by consumers to the evaluation items of the
 412 seven nanotechnology food packaging applications (a) novelty; (b) nutritional /food
 413 safety /quality benefits; (c) health risk; (d) overall liking; (e) purchase intention. IP1:
 414 Yogurt packaged in a resistant container; IP2: Salad packaged in a rigid & biodegradable
 415 container; AP1: Antimicrobial lunch box; AP2: Chicken breast packaged in an
 416 antimicrobial film; AP3: Bananas packaged in a film that removes ethylene; SP1: Frozen
 417 vegetable stew packaged in a film containing Time Temperature Indicator; SP2: Salmon
 418 packaged in a film containing a freshness indicator.

419

420 Items were related to the novelty, nutrition/food safety/food quality benefits, risk of
421 the technology, global acceptance and purchase intention. All the applications were
422 highly valued with scores above 4, which is the scale's mean point. The best evaluated
423 product was salmon in a packaging with a nanosensor indicator of freshness (SP2), which
424 reacts to changes in fish composition due to loss of freshness by modifying the label's
425 color. This application obtained the best results for the items about novelty and
426 nutrition/food safety/food quality benefits. It was the one that the respondents would like
427 the most, and the best valued in purchase intention terms.

428 The second best valued one was vegetable stew with a TTI (Time Temperature
429 Indicator) nanosensor (SP2) in its packaging, where a band disappears on the label if the
430 cold chain is broken. In this case, the scores given by respondents were similar to those
431 of the freshness nanosensor. This application was associated with the lowest health risk
432 (the best valued in this item). The differences in the evaluation of both applications (SP1
433 and SP2) were not significant (Supplementary Material Table S3).

434 The third best valued product was the salad in biodegradable packaging of polylactic
435 acid, which is very rigid thanks to nanocellulose being incorporated (IP2). This good
436 assessment coincides with the population's growing concern about using biodegradable
437 and more environmentally friendly packaging (Popovic et al., 2020). For some items,
438 there were no differences between this product and yogurt in a plastic container with a
439 nanocompound whose resistance to deformation and impact increases, but without using
440 more plastic (nanoreinforced container, IP1). This was the fourth best positioned
441 application. Both products could have been so positively evaluated because, in addition
442 to being widely placed on the market, which implies certain security for consumers, the
443 nanotechnology in these cases simply improved packaging, and there was no interaction
444 between the container and food, which was the case of SP1 and SP2.

445 The next application in the valuation ranking was food container, which contains
446 silver nanoparticles with antimicrobial properties (AP1). This application was that which
447 consumers associated with the highest health risk and was also that which obtained the
448 least purchase intention, although scores were above 4 points in all the items.

449 In the last two positions came: chicken meat packed with a film containing
450 nanostructured chitosan and essential oils (AP2), which provide antimicrobial properties;
451 bananas packed with a film with nanoclays that eliminate ethylene (AP3), which was the
452 worst rated application. This product scored significantly lower than the other items
453 related to benefits from the nutrition, safety, or quality points of view, and for the
454 questions about how much you think you would like the product and if you would buy it.
455 The fact that the ethylene-eliminating container (AP3) delays fruit ripening was the worst
456 valued could also be related to the frequency of eating fresh packed fruit as 41.2% never
457 bought packaged fruit and 46.1% only bought it occasionally. It could also be because, in
458 the specific case of bananas, this fruit is normally not packaged in Spanish markets,
459 supermarkets and greengrocers, unlike other fruit that consumers usually find packed,
460 such as strawberries. Some of the participants' answers to justify rejecting purchases was
461 because they considered that bananas are already naturally protected with their skins, or
462 they felt that packaging could transmit toxic substances to bananas.

463 It should be noted that, of all the obtained mean values, the lowest value was 4.6.
464 This value indicated that none of the products, not even the worst rated one, received a
465 negative rating. These results agree with several studies carried out to know consumer
466 opinion about nanotechnology in the food industry in several countries like Italy
467 (Viscecchia et al., 2018), the UK (Feindt & Poortvliet, 2020), Ireland (Henchion et al.,
468 2019) and the USA (Kuang et al., 2020). In most of them, the result revealed that
469 consumers do not flatly reject nanotechnology.

470 The reason why the best valued products were those included in SP and IP categories
471 and, conversely, the worst valued were those in AP categories could be because a
472 proportion of consumers associate packaging active ingredients with the possible
473 migration of compounds to food (Enescu et al., 2019). In other studies carried out on
474 different types of packaging obtained without using nanotechnology, similar results were
475 obtained, with intelligent packaging being more accepted than active packaging
476 (O'Callaghan & Kerry, 2016).

477 Consumers accept a product more if they have knowledge about it (Bieberstein et al.,
478 2013). According to a study published by Barska and Wyrwa (2016), of all the SP and
479 AP, the best known ones to the participants were temperature indicator sensors, which
480 was the best evaluated one in this work.

481 In order to study if neophobia levels to new food technologies influenced evaluations
482 of nanotechnology packaging applications, the scores given by the Cluster 1 consumers
483 (low neophobia level) for the seven products were compared to those given by the Cluster
484 2 respondents (higher neophobia level) (Supplementary Material Fig. S3). The
485 participants in Cluster 1 rated all the products with significantly higher scores than those
486 in Cluster 2, with differences between 0.6-1 points (on a 7-point scale) depending on the
487 item. However, it must be stated that, despite Cluster 2 being more neophobic, the
488 evaluation of products was always scored higher than 4, so products were positively
489 valued. These results show that neophobia levels to new food technologies are negatively
490 associated with accepting nanotechnology food packaging applications. These findings
491 agree with other studies, which concluded that willingness to try foods produced using
492 nanotechnology and the acceptance of packaging and foods produced with
493 nanotechnology were lower in those groups of people with higher levels of neophobia
494 (Vidigal et al., 2015; Schnettler et al., 2013).

495 It is important to note that the demographic distribution of Spain does not correspond
496 exactly to the distribution of the participants in this study, since in this work there was a
497 high participation of young people with high level of education linked to the agri-food
498 field. This sector of the population showed a lower level of neophobia and gave higher
499 scores to the products (Cluster 1). For this reason, the mean scores of the seven food
500 packaging applications obtained in this study might be slightly lower in the whole
501 population of Spain. Despite this bias, the evaluation of the products was higher than 4
502 for both segments of the respondents (with different demographic characteristics), so it
503 could be expected that the products on the market would be positively valued by the
504 Spanish population.

505

506 **4. Conclusions**

507

508 Three main nanotechnology application fields were identified for developing food
509 packaging: to improve its mechanical and physical properties, and to design active and/or
510 smart packaging, in response to current consumer demands: making packaging more
511 sustainable and functional.

512 The consumer opinion about all the products including nanotechnology in their
513 packaging was generally positive. The best valued applications were those in which
514 nanotechnology provides information on product quality/safety, specifically the time-
515 temperature indicator and the cold chain loss indicator, both of which are included in the
516 smart packaging field. On the contrary, the applications that consumers valued the worst
517 were those whose nanomaterials interact with food itself, such as the studied active
518 packaging. The packaged food type could have also influenced this assessment because

519 the best valued applications were those applied to fish, frozen vegetable stew, salad and
520 yogurt, which coincided with foods that are very often packaged.

521 The socio-demographic characteristics of the study population affected their
522 perception of these products. The groups with a higher level of education, who were
523 younger, had some relation to the food sector and more knowledge about nanotechnology
524 were those with less neophobia to new technologies, and who also more positively valued
525 these food packaging nanotechnology applications.

526 Finally, applying nanotechnology to the food packaging sector had no negative
527 impact on product image, being smart packaging the field most widely accepted by
528 consumers. These results should encourage researchers and packaging manufacturers to
529 continue investing in developing a new generation of packaging that contributes to
530 improve sustainability, food quality and safety by means of nanotechnology.

531

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536

537 **CRedit authorship contribution statement**

538

539 **Édgar Pérez-Esteve:** Conceptualization, Methodology, Investigation, Data curation,
540 Supervision, Writing – original draft. **Ana Alcover:** Investigation, Formal analysis,
541 Writing – original draft. **Jose M. Barat:** Conceptualization, Resources, Funding
542 acquisition. **Isabel Fernández-Segovia:** Methodology, Formal analysis, Supervision,
543 Data curation, Validation, Writing – review & editing.

544

545 **Declaration of competing interest**

546

547 There is not any conflict of interests.

548

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550

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553

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