

# CONTENTS

Abstract .....	v
Resumen .....	vii
Resum .....	ix
List of Tables .....	xv
List of Figures .....	xv
<b>Chapter 1: Introduction .....</b>	<b>1</b>
1.1. Structure of the dissertation.....	5
<b>Chapter 2: Conceptual Background.....</b>	<b>7</b>
2.1. Knowledge production.....	7
2.1.1. Academic science and the Mertonian norms .....	8
2.1.2. Post-academic science .....	10
2.1.3. Mode 2 of knowledge production .....	12
2.2. Innovation: concepts and processes.....	15
2.2.1. The innovation concept .....	15
2.2.2. The innovation process.....	17
2.2.3. Systems of innovation.....	22
2.3. Defining science-society interactions .....	24
2.3.1. From technology transfer to knowledge exchange .....	25
2.3.2. The dimensions of knowledge exchange.....	28
<b>Chapter 3: Research Objectives and Overview of the Studies .....</b>	<b>33</b>
3.1. Objectives and Justification .....	33
3.2. Evolution of the dissertation.....	35
3.3. The studies .....	37
3.3.1. Study 1 – A comparative of scientific practices between social sciences and humanities (SSH) and the fields of science, technology, engineering and mathematics (STEM) .....	37
3.3.2. Study 2 – An analysis on the prevalence and persistence of informal collaborations in the social science and humanities .....	38
3.3.3. Study 3 – The extent of engagement of social sciences and humanities research groups in different knowledge transfer activities and its determinants.....	39

<b>Chapter 4: Context of the Studies</b> .....	41
4.1. The predecessor of the CSIC: the Board of Advanced Studies .....	41
4.2. The Spanish Council for Scientific Research (CSIC) .....	42
4.3. Social Sciences and Humanities in the CSIC .....	46
<b>Chapter 5 (Study 1): Are ‘STEM from Mars and SSH from Venus’? Challenging disciplinary stereotypes of research's social value</b> .....	55
5.1. Introduction .....	56
5.2. Social Sciences and Humanities context in the science system .....	58
5.3. Differences in the research and transfer practices .....	60
5.4. Data and methodology .....	68
5.4.1. Population and data collection .....	68
5.4.2. Variables and test considerations .....	72
5.5. Empirical results .....	76
5.5.1. Descriptive statistics .....	76
5.5.2. Statistical tests .....	79
5.6. Conclusions .....	84
5.7. References .....	87
5.8. Appendix .....	92
<b>Chapter 6 (Study 2): Informal collaborations between Social Sciences and Humanities researchers and non-academic partners</b> .....	95
6.1. Introduction .....	96
6.2. Literature Review .....	97
6.3. The context: Social Sciences and Humanities at CSIC .....	101
6.4. Informal collaborations in the SSH: an analysis .....	103
6.4.1. Introduction .....	103
6.4.2. Quantitative study .....	104
6.4.2.1. Data and methodology .....	104
6.4.2.2. Results .....	106
6.4.3. Exploring informality: a qualitative study .....	110
6.4.3.1. Data and methodology .....	110
6.4.3.2. The cases: the nature of the collaborations .....	111
6.5. Discussion and conclusions .....	116
6.6. References .....	122

6.7. Appendix .....	124
<b>Chapter 7 (Study 3): Knowledge transfer activities in Social Sciences and Humanities: explaining the interactions of research groups with non-academic agents .....</b>	<b>125</b>
7.1. Introduction .....	126
7.2. The context of the social sciences and humanities .....	128
7.2.1. The social sciences and humanities debate .....	128
7.2.2. Social sciences and humanities research characteristics.....	129
7.3. Knowledge transfer activities in social sciences and humanities .....	130
7.4. Determinants of knowledge transfer activities by research groups.....	133
7.4.1. Research group characteristics .....	134
7.4.2. Individual characteristics of the research group' leaders.....	136
7.5. Data, main variables and descriptive statistics.....	138
7.5.1. Study context .....	138
7.5.2. Sample and data collection.....	141
7.5.3. Main variables.....	142
7.5.4. Descriptive statistics .....	144
7.6. Determinants of knowledge transfer activities in social sciences and humanities .....	146
7.6.1. Regression model.....	146
7.6.2. Regression results .....	146
7.7. Discussion and conclusions.....	149
7.8. References .....	153
7.9. Appendix .....	160
<b>Chapter 8: General Conclusions .....</b>	<b>161</b>
8.1. Conclusions .....	161
8.2. Limitations and future research.....	166
<b>References .....</b>	<b>169</b>



## LIST OF TABLES

<b>Table 2.1:</b> Norms of academic science vs norms of industrial science.....	12
<b>Table 2.2:</b> Attributes of knowledge production in Mode 1 and Mode 2.....	12
<b>Table 4.1:</b> Main indicators of the CSIC (2006-2011).....	45
<b>Table 4.2:</b> Social sciences and humanities institutes of the CSIC .....	50
<b>Table 4.3:</b> Main indicators of the SSH field and its weight within the CSIC (2006-2011).....	52
<b>Table 5.1:</b> Population and sample distribution by area of knowledge (Study 1) .....	71
<b>Table 5.2:</b> Definitions of variables (Study 1).....	74
<b>Table 5.3:</b> Descriptive statistics (Study 1).....	78
<b>Table 5.4:</b> Results of statistical tests (U and $\chi^2$ ) (Study 1) .....	83
<b>Table 5.A.1:</b> Summary of the hypotheses (Study 1).....	92
<b>Table 5.A.2:</b> Structure of the questionnaire (Study 1) .....	93
<b>Table 6.1:</b> CSIC in figures (Study 2).....	102
<b>Table 6.2:</b> Partners collaborating with SSH institutes during the period 2002-2007 (Study 2).....	107
<b>Table 6.3:</b> Cases analysed (Study 2).....	112
<b>Table 6.A.1:</b> Social sciences and humanities institutes of the CSIC (Study 2) .....	124
<b>Table 7.1:</b> Population and sample distribution by institutes and fields (Study 3).....	140
<b>Table 7.2:</b> Descriptive statistics (Study 3).....	145
<b>Table 7.3:</b> Relationship between research groups' engagement in KT activities and characteristics of research group and individual (leader): binary logistic regressions (Study 3) .....	148
<b>Table 7.A.1:</b> Non-parametric correlations between independent variables (Study 3) .....	160

## LIST OF FIGURES

<b>Figure 2.1:</b> The dimensions of knowledge exchange.....	29
<b>Figure 3.1:</b> Scope and research questions of the three studies in the dissertation .....	37
<b>Figure 6.1:</b> Percentage of formal collaborations over total number of partners involved in collaborations with each SSH institute over the period 2002-2007 (Study 2) .....	109