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Factors that affect the permeability of commercial hollow-fibre membranes in a submerged anaerobic MBR (HF-SAnMBR) system

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Abstract

A demonstration plant with two commercial HF ultrafiltration membrane modules (PURON[®], Koch Membrane Systems, PUR-PSH31) was operated with urban wastewater. The effect of the main operating variables on membrane performance at sub-critical and supra-critical filtration conditions was tested. The physical operating variables that affected membrane performance most were gas sparging intensity and back-flush (BF) frequency. Indeed, low gas sparging intensities (around $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$) and low BF frequencies (30 second back-flush for every 10 basic filtration-relaxation cycles) were enough to enable membranes to be operated sub-critically even when levels of mixed liquor total solids were high (up to 25 g L^{-1}). On the other hand, significant gas sparging intensities and BF frequencies were required in order to maintain long-term operating at supra-critical filtration conditions. After operating for more than two years at sub-critical conditions (transmembrane flux between 9 and 13.3 LMH at gas sparging intensities of around $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ and MLTS levels from around 10 to 30 g L^{-1}) no significant irreversible/irrecoverable fouling problems were detected (membrane permeability remained above 100 LMH bar^{-1} and total filtration resistance remained below 10^{13} m^{-1}), therefore no chemical cleaning was conducted. Membrane performance was similar to the aerobic HF membranes operated in full-scale MBR plants.

32

33

Keywords

34

Back-flush frequency; biogas sparging; commercial hollow-fibre membranes;

35

submerged anaerobic membrane bioreactor; membrane permeability.

36

1. Introduction

38

1.1. Anaerobic treatment of urban wastewater using MBR technology

40

41 In recent years there has been increased interest in assessing the feasibility of the
42 anaerobic treatment of urban wastewater at ambient temperatures. This interest focuses
43 on the sustainable advantages of anaerobic rather than aerobic processes, i.e. anaerobic
44 processes generate little sludge due to the low anaerobic biomass yield; consume little
45 energy because no aeration is needed; and generate biogas that can be used as an energy
46 resource. The total greenhouse gas emissions of this technology are, therefore, low
47 because low energy consumption indirectly means low gas emissions. The main
48 challenge of anaerobic biotechnology is to develop treatment systems that prevent
49 biomass loss and enable high sludge retention times (SRTs) in order to offset the low
50 growth rates of anaerobic biomass at ambient temperatures (Lin *et al.*, 2010). In this
51 respect, submerged anaerobic membrane bioreactors (SAnMBRs) are a promising
52 technology for urban wastewater treatment. However, operating membrane bioreactors
53 with high SRTs may lead to high mixed liquor total solids (MLTS) at a specific reacting
54 volume. This is one of the main constraints of using membranes (Judd and Judd, 2011)
55 since it can result in high membrane fouling propensities.

56

1.2. Membrane fouling in SAnMBRs

58

59 The key challenge in SAnMBR technology is how to optimise membrane operating
60 in order to minimise any kind of membrane fouling, especially the
61 irrecoverable/permanent component that cannot be eliminated by chemical cleaning. The
62 extent of irrecoverable/permanent fouling is what ultimately determines the membrane
63 lifespan (Judd, 2008; Drews, 2010a; Patsios and Karabelas, 2011). Several strategies to
64 control fouling (see, for example, Liao *et al.*, 2006) aim to optimise filtration whilst
65 minimising investment and operating costs. In this respect, the SAnMBR design strategy
66 must be carefully selected. Depending on the design strategy, different design criteria can
67 be adopted. One such criterion is based on operating membranes in sub-critical filtration
68 conditions that are limited by the so-called critical flux (J_C) (Bachin *et al.*, 1995; Field *et*
69 *al.*, 1995). Operating membranes sub-critically gives membranes long lifespans, which
70 reduces replacement and maintenance costs (by minimising physical cleaning costs, i.e.
71 membrane scouring or back-flush). In this respect, MLTS has been widely identified as
72 one of the factors that affect J_C most. Thus, an investment compromise between operating
73 reactor volume and filtration area should be selected in order to keep MLTS at sub-
74 critical levels for a given transmembrane flux (J). Another design criterion is based on
75 operating membranes at critical or supra-critical filtration conditions. This reduces initial
76 investment costs because it requires lower operating volumes and/or lower membrane
77 surfaces than when operating membranes at sub-critical filtration conditions, however,
78 replacement, maintenance and operating costs are probably higher.

79

80 Regardless of the design criterion adopted, it is necessary to determine which
81 filtration conditions (Drews *et al.*, 2010b) are most suitable in order to optimise the
82 membrane module design and configuration. An exhaustive analysis in the different
83 potential operating conditions is, therefore, necessary in order to optimise both membrane
84 lifespan (i.e. membrane replacement cost) and operating and maintenance costs (i.e. the

85 cleaning mechanism). In this respect, it is necessary to assess the impact of the main
86 operating variables upon membrane performance, i.e. frequency and duration of the
87 physical cleaning stages (back-flush and relaxation); gas sparging intensity; cross-flow
88 sludge velocity over the membrane surface (for cross-flow membrane configurations);
89 up-flow sludge velocity in the membrane tank (submerged membrane configurations)
90 which determines the sludge concentration factor when the membranes are located in
91 external tanks; and maximum operating transmembrane pressure (TMP).

92

93 *1.3. Full-scale implementation of SAnMBRs*

94

95 Membrane technology has been used increasingly to treat wastewater over the last
96 decade (Lesjean and Huisjes, 2007) even in large urban WWTPs. The treatment capacity
97 of urban MBR WWTP has significantly increased (to maximum design flow rates of
98 more than $150000 \text{ m}^3 \text{ day}^{-1}$) in just a few years (Huisjes et al., 2009). As regards
99 membrane configuration, flat sheet (FS) membranes are used mostly in small plants (<
100 $5000 \text{ m}^3 \text{ d}^{-1}$), whilst hollow fibre (HF) membranes are used for the entire flow range and
101 prevail in large plants ($> 10000 \text{ m}^3 \text{ d}^{-1}$) and account for about 75% of all total MBR
102 installed capacity (Cote et al., 2012).

103

104 Nevertheless, it is important to highlight that all these urban MBR WWTPs are
105 aerobic wastewater treatments. Although MBR technology has not yet been applied to
106 full-scale anaerobic urban wastewater treatment, the scientific community is showing
107 increasing interest in the feasibility of its full-scale implementation because of the above-
108 mentioned advantages. Indeed, several studies which assess the feasibility of using
109 SAnMBR technology to treat urban wastewater at the laboratory scale have been
110 published (Jeison and van Lier, 2007; Huang et al., 2008; Lew et al., 2009). However, the

111 impact of the main operating conditions upon membrane fouling cannot be determined
112 exactly at the lab scale because they depend heavily on the membrane size. In HF
113 membranes in particular, HF length is a key performance parameter. In this respect, there
114 is still a lack of thorough knowledge about fouling mechanisms, mainly as regards
115 hydraulic performance and membrane permeability (Guglielmi *et al.*, 2007; Di Bella *et*
116 *al.*, 2010; Mannina *et al.*, 2011). In addition, it is expected that membrane fouling will be
117 affected to a considerable degree by the different characteristics of aerobic and anaerobic
118 mixed liquors, such as particle size distribution, extracellular polymeric substances
119 (EPS), soluble microbiological products (SMP), biomass concentration, inorganic and
120 organic compounds (Lin *et al.*, 2009), or pH values affecting both biofouling (Sweity *et*
121 *al.*, 2011) and formation of chemical precipitates.

122

123 Therefore, since membrane performance cannot be scaled up directly from laboratory
124 to plant dimensions, especially in the case of HF-based technology (Liao *et al.*, 2006),
125 further studies of HF-SAnMBR technology on an industrial scale are needed in order to
126 facilitate its design and implementation in full-scale wastewater treatment plants
127 (WWTPs).

128

129 To gain more insight into the optimisation of the physical separation process in a
130 SAnMBR system at the industrial scale, this paper shows the impact of the main
131 operating variables upon the performance of industrial HF membranes. Gas sparging
132 intensity, up-flow sludge velocity in the membrane tank, duration and frequency of the
133 different physical cleaning stages (relaxation and back-flush), and length of filtration
134 stage were evaluated in an SAnMBR system featuring commercial HF membrane
135 modules. The effect of these variables at two different membrane operating conditions
136 (sub-critical and critical/supra-critical filtration conditions) was assessed. The plant was

137 operated using Carraixet WWTP pre-treatment effluent (Valencia, Spain). On the basis of
138 the results obtained this study aims to provide guidelines for the sub-critical and critical
139 operation of commercial HF membranes in an SAnMBR system.

140

141 **2. Materials and methods**

142

143 ***2.1. Demonstration plant description***

144

145 Figure 1 shows the flow diagram of the HF-SAnMBR demonstration plant used in
146 this study. It consists mainly of an anaerobic reactor with a total volume of 1.3 m³ (0.4 m³
147 head space) connected to two membrane tanks each with a total volume of 0.8 m³ (0.2 m³
148 head space). Each membrane tank has one industrial HF ultrafiltration membrane unit
149 (PURON[®], Koch Membrane Systems (PUR-PSH31) with 0.05 µm pores). Each module
150 has 9 HF bundles, 1.8 m long, giving a total membrane surface of 30 m². Normal
151 membrane operating entails a specific schedule involving a combination of different
152 individual stages taken from a basic filtration-relaxation (F-R) cycle. In addition to
153 traditional membrane operating stages (filtration, relaxation and back-flush), another two
154 stages of membrane operation were considered: degasification and ventilation.

155

156 For further details of this SAnMBR demonstration plant see Giménez *et al.* (2011)
157 and Robles *et al.* (2012a).

158

159 ***2.2. Operating conditions***

160

161 The demonstration plant was fed with effluent from pre-treatment of a full-scale
162 WWTP (screening, degritter, and grease removal), which main component is domestic

163 type. It is important to emphasise the great variation in the characteristics of the anaerobic
164 reactor influent (e.g. $186 \pm 61 \text{ mg L}^{-1}$ of TSS and $388 \pm 95 \text{ mg L}^{-1}$ of total COD), which
165 is reflected by the high standard deviation of each parameter. The plant was operated
166 using an SRT of 70 days on operating days 1 to 445, and an SRT of 40 days on operating
167 days 446 to 600. Hydraulic retention times (HRTs) ranged from 5 to 24 hours. The
168 temperature varied from around 33 to 15 °C. The pH of the mixed sludge ranged from 6.5
169 to 7.1, and carbonate alkalinity remained at values of around $600 \text{ mgCaCO}_3 \text{ L}^{-1}$.

170

171 We studied how membranes operate in both short-term trials and in the long term. In
172 the latter instance, the membrane underwent 300-second basic F-R cycles (250 s filtration
173 and 50 s relaxation) with 30 seconds of back-flush every 10 cycles, 40 seconds of
174 ventilation every 10 cycles, and 30 seconds of degasification every 50 cycles. In addition,
175 six different J_{20} and temperature conditions were tested: 13.3, 10, 12, 13.3, 11 and 9
176 LMH, at controlled temperatures of 33, 33, 25, and 20 °C, spring and summer ambient
177 temperatures (from approx. 20 to 30 °C), and autumn and winter ambient temperatures
178 (from approx. 30 to 14 °C), respectively. Hence, the overall operating period was divided
179 into six experimental periods (periods i, ii, iii, iv, v and vi) taking into account both J_{20}
180 and temperature. The average specific gas demand per membrane area (SGD_m) was 0.23
181 $\text{Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$. A maximum TMP safety value of 0.4 bars was set. The flow of sludge
182 through the membrane tank was set to 2700 L h^{-1} , giving an up-flow sludge velocity of
183 2.7 mm s^{-1} .

184

185 In order to evaluate the critical filtration conditions throughout the long-term
186 membrane performance, different short-term trials (flux-step type, see Robles et al.,
187 2012a) were carried out. For instance, on day 125 and day 590 (operating with MLTS of
188 23 g L^{-1} and SGD_m of $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$), the critical flux resulted in 14 and 10.5 LMH,

189 respectively. Therefore, the critical flux remained generally at values over 10.5 – 14
190 LMH during the operating period since SGD_m was maintained at $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ and
191 MLTS remained mostly below 23 g L^{-1} . Hence, the long-term operating shown in this
192 study was mainly carried out at sub-critical filtration conditions (J_{20} was varied from 9 to
193 13.3 LMH).

194

195 In addition, several short-term trials were conducted at sub-critical and supra-critical
196 filtration conditions with varying gas sparging intensities, up-flow sludge velocities in the
197 membrane tank, durations and frequencies of the different physical cleaning stages
198 (relaxation and back-flush), and lengths of filtration. Normally, the membrane was
199 operated with 300-second basic F-R cycles (250 s filtration and 50 s relaxation), 30
200 seconds of back-flush every 10 cycles, 40 seconds of ventilation every 10 cycles, and 30
201 seconds of degasification every 50 cycles, whilst the operating J_{20} was 10 LMH, the
202 average SGD_m was $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$, and the up-flow sludge velocity was 2.7 mm s^{-1} .
203 Table 1 summarises the values of the operating variables studied in each short-term trial.
204 In each trial, the sub-critical and supra-critical conditions were determined by the
205 different levels of MLTS. The J_{20} in these short-term trials was set to 10 LMH, whilst the
206 other operating variables of the membrane operating mode were established in the same
207 way as the general, long-term operating conditions mentioned above.

208

209 ***2.3. Analytical methods***

210

211 *2.3.1. Analytical monitoring*

212

213 In addition to the on-line process monitoring, the performance of the biological
214 process was assessed by taking 24-hour composite samples of the influent and effluent

215 streams, and taking grab samples of anaerobic sludge once a day. The following
216 parameters were analysed daily: total solids (TS); total suspended solids (TSS); volatile
217 suspended solids (VSS); carbonate alkalinity; and nutrients (ammonium (NH₄-N) and
218 orthophosphate (PO₄-P)). The total and soluble chemical oxygen demand (COD_T and
219 COD_S, respectively) were determined once a week.

220

221 Solids, COD, and nutrients were determined according to Standard Methods (2005).
222 Carbonate alkalinity was determined by titration according to the method proposed by
223 WRC (1992).

224

225 2.3.2. Membrane performance indices

226

227 The 20 °C-normalised membrane permeability (K₂₀) was calculated using a simple
228 filtration model (Equation 1) that takes into account the TMP and J data monitored
229 online. This simple filtration model includes temperature correction (Equation 2) to
230 account for the dependence of permeate viscosity on temperature (Rosenberger *et al.*,
231 2006), and therefore the 20 °C-normalised transmembrane flux (J₂₀) was calculated by
232 applying Equation 3. Relative membrane permeability (K₀) was used to assess the effect
233 of the different operating factors on membrane performance. This relative permeability
234 was defined as shown in Equation 4. Total membrane resistance (R_T) was theoretically
235 represented by the following partial resistances (Equation 5): membrane resistance (R_M);
236 cake layer resistance (R_C); irreversible layer resistance (R_I); and irrecoverable layer
237 resistance (R_{IC}).

238

$$239 \quad K_{20} = \frac{J_T f_T}{TMP} \quad (\text{Eq. 1})$$

240 $f_T = \exp(-0.0239 (T - 20))$ (Eq. 2)

241 $J_{20} = J_T \cdot \exp(-0.0239 (T - 20))$ (Eq. 3)

242 $K_0(t) = \frac{K_{20}(t)}{K_{20}(t=0)}$ (Eq. 4)

243 $R_T = R_M + R_C + R_I + R_{IC}$ (Eq. 5)

244

245 Moreover, J_C was determined by applying a modified flux-step method based on the
246 method proposed by van der Marel *et al.* (2009). J_C was calculated according to its weak
247 concept: the flux below which TMP and J are not directly related. When applying this
248 method, the duration of both filtration and relaxation stages was set to 15 min. Flux-
249 stepping was arbitrarily set to 1.22 LMH of J_{20} (equivalent to a permeate flow rate of 50
250 L h⁻¹). The relaxation stages were conducted using the same SGD_m as in the filtration
251 stages. For further details about the applied flux-step method, see Robles *et al.* (2012a).

252

253 **3. Results and discussion**

254

255 **3.1. Long-term performance**

256

257 Figure 2 depicts the average daily K_{20} (Figure 2a) and the average daily R_T (Figure
258 2b) obtained during the operating period, and the average daily MLTS in the anaerobic
259 sludge entering the membrane tank. It must be said that the MLTS level in the membrane
260 tank increased by up to 5 g L⁻¹, depending on the ratio between the net permeate flow rate
261 and the sludge flow rate entering the membrane tank. The results shown in Figure 2 can
262 be divided in two different long-term operating periods according to the irreversible/
263 irrecoverable fouling component observed: (1) days 1 to 300; and (2) days 300 to 600. It

264 is important to note that since no chemical cleaning was conducted throughout the
265 operating period, it was not possible to determine the single contribution to R_T of both R_I
266 and R_{IC} .

267

268 Up to operating day 300, no significant irreversible/irrecoverable fouling was
269 observed, since K_{20} and R_T recovered to values very close to the values obtained at the
270 beginning of the long-term operation as MLTS decreased. This behaviour indicated that
271 throughout this operating period, R_T was mainly related to R_C (R_I was negligible), whilst
272 a relatively constant contribution of about $5 \cdot 10^{11} \text{ m}^{-1}$ (at 650 LMH bar^{-1} of K_{20} treating
273 clean water in similar operating conditions) was attributed to R_M . This behaviour means
274 that the MLTS level is a key factor as regards membrane permeability in this HF-
275 SAnMBR system (Robles *et al.*, 2012b). In this respect, Figure 2a illustrates how every
276 variation in MLTS was inversely reflected by K_{20} . Nevertheless, it is important to note
277 that even at high MLTS levels (up to 25 g L^{-1}) and relatively low SGD_m values (around
278 $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$), K_{20} and R_T remained at sustainable values, above 100 LMH bar^{-1} and
279 below $3 \cdot 10^{12} \text{ m}^{-1}$, respectively. At MLTS of more than 25 g L^{-1} , K_{20} showed fell sharply
280 because the $20 \text{ }^\circ\text{C}$ -normalised J_C was exceeded: 10 and 13 LMH when operating at 0.23
281 $\text{Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ of SGD_m and MLTS levels of 28 and 23 g L^{-1} , respectively (close to the
282 operating membrane fluxes). Thus, at MLTS levels higher than 25 g L^{-1} , an SGD_m of 0.23
283 $\text{Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ was not enough to maintain sub-critical filtration conditions.

284

285 After operating day 300, a slight downward trend in K_{20} and a slight upward trend in
286 R_T were observed even when operating at a more or less stable MLTS level (see period
287 day 300 to 400). This behaviour revealed a progressive accumulation of
288 irreversible/irrecoverable fouling over the membrane surface after one year of operation
289 and R_I/R_{IC} was detected along this period. Nevertheless, it must be emphasised that the

290 membranes did not require any chemical cleaning after more than two years of operation,
291 even with high MLTS and temperature shocks affecting the biomass population and the
292 derived compounds. These results revealed that reversible fouling was successfully
293 removed from the membrane surface and that irreversible fouling was low, mainly due to
294 applying physical cleaning mechanisms (relaxation, back-flush and shear intensity gas
295 sparging) and operating membranes under sub-critical filtration conditions. These results
296 suggested that operating membranes under sub-critical filtration conditions during long-
297 term operation minimises the likelihood of membranes being irreversibly fouled.
298 However, it is well known that operating membranes under sub-critical rather than
299 critical levels implies a higher total filtration area at a given J_{20} . Nevertheless, this larger
300 filtration area will probably increase the membrane lifespan whilst decreasing
301 maintaining necessities. Hence, a reduction in replacement, maintenance and operating
302 costs can be achieved.

303

304 ***3.2. Short-term trials: main factors affecting membrane performance***

305

306 *3.2.1. Effect of gas sparging intensity*

307

308 Different sub-critical short-term trials were carried out at 0.17, 0.23, 0.33 and 0.40
309 $\text{Nm}^3 \text{h}^{-1} \text{m}^{-2}$ of SGD_m and MLTS of 20g L^{-1} . An almost stable K_0 close to 1 (i.e. $K_{20}(t)$
310 remained very close to $K_{20}(t=0)$) was achieved in all trials. Thus, low fouling rates were
311 observed (lower than 10mbar min^{-1}). Membrane permeability recovered to the initial
312 value of the short-term trial, indicating that no irreversible fouling component was
313 detected. These results reveal that a SGD_m of $0.17 \text{Nm}^3 \text{h}^{-1} \text{m}^{-2}$ (equal to 5cm s^{-1} i.e. the
314 minimum value supplied by the blower) was enough to completely remove the reversible
315 fouling from the membrane surface.

316

317 *3.2.1.1. Major role of gas sparging intensity when operating supra-critically*

318

319 Figure 3 shows the resulting K_0 at different SGD_m when the membranes were
320 operated with high MLTS, and thereby at supra-critical filtration conditions. The SGD_m
321 was set to $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ when the MLTS level fed to the membrane tank was 28 and
322 31.5 g L^{-1} , whilst the SGD_m was set to $0.17 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ when operating at an MLTS of
323 30 g L^{-1} . As it can be observed in Figure 3, even operating at similar MLTS levels, the
324 two short-term trials carried out at MLTS of 28 and 30 g L^{-1} and different SGD_m resulted
325 in quite different behaviours. A sharp decrease in K_0 was detected in the short-term trial
326 conducted at the lowest SGD_m ($0.17 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$). In these operating conditions, a
327 considerable increase of the reversible fouling rate was observed throughout the trial (up
328 to 80 mbar min^{-1}). In this case, the SGD_m applied was not enough to fulfil the membrane
329 scouring necessities, and the filtration process was stopped because the maximum TMP
330 (safety value set to 0.4 bars) was reached. On the other hand, in the trial carried out at
331 $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ (equal to 7 cm s^{-1}) and an MLTS of 28 g L^{-1} , K_0 did not reach
332 unsustainable values (the reversible fouling rate remained at values lower than 25 mbar
333 min^{-1}). However, K_0 decreased continuously throughout the trial as the reversible fouling
334 was accumulated over the membrane. This accumulation could lead to a high
335 irreversible/irrecoverable fouling propensity.

336

337 It is important to highlight that the SGD_m applied in these short-term trials (0.23 Nm^3
338 $\text{h}^{-1} \text{ m}^{-2}$) was quite low compared to the typical operating range the supplier proposed for
339 aerobic processes (from 0.3 to $0.7 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$).

340

341 As Figure 3 shows, an increase in MLTS from 28 to 31.5 g L^{-1} at the same SGD_m

342 (0.23 Nm³ h⁻¹ m⁻²) resulted in a significant decrease of K₀. In the trial with an MLTS of
343 31.5 g L⁻¹, an increase in the membrane reversible fouling rate of up to 80 mbar min⁻¹ was
344 observed. The maximum TMP value was reached after 140 minutes and the trial was
345 stopped. Hence, as a result of operating under critical filtration conditions, small
346 variations in the MLTS concentration affected membrane performance considerably.
347 Nevertheless, previous studies (flux-step type, see Robles *et al.*, 2012c) showed that it is
348 theoretically possible to operate sub-critically at 10 LMH of J₂₀ and MLTS of 28 g L⁻¹
349 when SGD_m is about 0.25 Nm³ h⁻¹ m⁻². Therefore, it is assumed that gas sparging
350 intensities around 0.3 – 0.5 Nm³ h⁻¹ m⁻² may keep K₀ at proper values when operating at
351 MLTS levels around 30 – 31.5 g L⁻¹.

352

353 The results shown in Figure 3 suggest that when membranes are operated supra-
354 critically at low specific SGD_m the duration and/or frequency of the physical cleaning
355 stages (relaxation and back-flush) must be increased considerably. On the other hand, in
356 order to operate the membranes sub-critically at 13.3 LMH of J₂₀ and MLTS levels of 23
357 and 28 g L⁻¹, the theoretical SGD_m required is approx. 0.23 and 0.45 Nm³ h⁻¹ m⁻² (both
358 calculated using the flux-step method), respectively. In contrast, SGD_m values lower than
359 0.1 Nm³ h⁻¹ m⁻² are theoretically needed when operating sub-critically at 13.3 LMH of J₂₀
360 and MLTS levels of 11.5 g L⁻¹, which are quite low when compared with aerobic MBR
361 technology operating in similar conditions.

362

363 *3.2.1.2. Gas sparging intensity as a key operating parameter for optimising SAnMBRs at*
364 *the industrial scale*

365

366 The results obtained confirm the need to optimise the gas sparging intensity in all
367 membrane operating conditions. The gas sparging intensity poses a major challenge since

368 it must be minimised in order to maximise energy savings. It is important to emphasise
369 that aeration energy can account for up to 50 - 75% of all the energy consumed by
370 aerobic MBR technology (Verrecht *et al.*, 2010). Not only can considerable energy
371 savings be achieved but also appropriate long-term operating because the onset of
372 irreversible/irrecoverable fouling problems can be minimised.

373

374 Hence, controlling gas sparging to ensure appropriate membrane scouring is
375 mandatory in order to optimise the economic feasibility of operating HF membranes in
376 full-scale SAnMBR systems. In this respect, several recently-published studies assess
377 different monitoring strategies designed to save energy in aerobic MBR technology (see
378 e.g. Huyskens *et al.*, 2011; Ferrero *et al.*, 2012). Nevertheless, the applicability of these
379 control strategies for saving energy in SAnMBR technology on an industrial scale has yet
380 to be evaluated.

381

382 *3.2.2. Effect of up-flow sludge velocity in the membrane tank*

383

384 Figure 4 illustrates how the up-flow sludge velocity in the membrane tank affects
385 membrane performance. This operating variable is related to the sludge concentration
386 factor resulting from the ratio between the sludge flow entering the membrane tank and
387 the net permeate flow. For instance, Equation 6, 7 and 8 show the expected MLTS level
388 in the membrane tank as a function of the MLTS level in the sludge fed to the membrane
389 tank when the up-flow sludge velocity is set to 1.0, 2.2 and 2.7 mm s⁻¹, respectively. This
390 expected MLTS was calculated on the basis of a mass balance according to the above-
391 mentioned ratio between the sludge flow entering the membrane tank and the net
392 permeate flow (i.e. according to the applied up-flow sludge velocity in the membrane
393 tank). The permeate flow rate was set to a constant value of 300 L h⁻¹ (J₂₀ of 10 LMH).

394 As indicated by Equations 6 to 8, MLTS could theoretically rise to 43, 16 and 12% when
395 the up-flow sludge velocity is set to 1.0, 2.2 and 2.7 mm s⁻¹, respectively. Hence, the
396 MLTS in the membrane tank could reach prohibitive values when the concentration in the
397 sludge entering the membrane tank has considerably high values. For instance, when the
398 MLTS entering the membrane tank is 25 g L⁻¹ and the up-flow sludge velocity is 2.7 mm
399 s⁻¹ (the maximum studied value), the MLTS recycled to the anaerobic reactor is expected
400 to be around 28 g L⁻¹.

401

$$402 \textit{Theoretical MLTS}_{\textit{Outlet}} = 1.43 \cdot \textit{MLTS}_{\textit{Inlet}} \quad (\text{Equation 6})$$

$$403 \textit{Theoretical MLTS}_{\textit{Outlet}} = 1.16 \cdot \textit{MLTS}_{\textit{Inlet}} \quad (\text{Equation 7})$$

$$404 \textit{Theoretical MLTS}_{\textit{Outlet}} = 1.12 \cdot \textit{MLTS}_{\textit{Inlet}} \quad (\text{Equation 8})$$

405

406 Figure 4 shows the short-term trials carried out with MLTS of 18 g L⁻¹ and an up-
407 flow sludge velocity of 1 mm s⁻¹ (i.e. sub-critical conditions), and MLTS of 28 g L⁻¹ and
408 an up-flow sludge velocity of 1, 2.2 and 2.7 mm s⁻¹ (i.e. critical/supra-critical conditions).
409 Figure 4 shows that K₀ remained at values close to 1 when operating membranes sub-
410 critically. In this respect, the reversible fouling rate remained at values lower than 10
411 mbar min⁻¹. Up-flow sludge velocities of less than 1 mm s⁻¹ when operating with MLTS
412 of 18 g L⁻¹ resulted in critical filtration conditions (data not shown) as a result of the
413 corresponding increase in MLTS in the membrane tank. On the other hand, this figure
414 illustrates that the up-flow sludge velocity had a significant effect on K₀ when the
415 membranes were operated at high MLTS levels (around 28 g L⁻¹, i.e. critical/supra-
416 critical filtration conditions). For instance, at an up-flow sludge velocity of 1 mm s⁻¹, the
417 maximum TMP value was reached at minute 50, so the filtration process was promptly
418 stopped. In this case, a maximum reversible fouling rate of about 90 mbar min⁻¹ was
419 observed. On the other hand, when the up-flow sludge velocity was set to 2.7 and 2.2 mm

420 s⁻¹ a maximum reversible fouling rate of around 10 and 20 mbar min⁻¹ was achieved,
421 respectively. In both cases, K₀ recovered to values lower than 10 mbar min⁻¹ after back-
422 flushing. Hence, it was possible to keep the filtration process operating at appropriate
423 TMP values.

424

425 These results show that in order to keep the filtration process working properly, the
426 operating up-flow sludge velocity must be selected carefully depending on the operating
427 conditions. When the membranes are operated at high MLTS levels, the up-flow sludge
428 velocity in the membrane tank has to be high enough not only to keep MLTS at suitable
429 levels, but also to minimise the energy consumption needed to keep J₂₀ at sub-critical
430 levels (e.g. required SGD_m). Nonetheless, up-flow sludge velocity must be minimised in
431 order to maximise energy savings since pumping energy accounts for up to 15 – 20% of
432 all the energy consumed by aerobic MBR technology (Verrecht *et al.*, 2010). Hence, it is
433 advisable for the up-flow sludge velocity to be regulated in order to optimise the
434 economic feasibility of HF membranes in full-scale SAnMBR systems.

435

436 Another aspect that must be taken into account is whether or not the up-flow sludge
437 is well distributed over the filtration area. A sludge flow distributed evenly across the
438 membrane tank helps remedy any death zones and minimises the likelihood of clogging.
439 Consequently, a minimum up-flow sludge velocity is required to ensure that the sludge is
440 adequately distributed over the filtration area. The configuration of the membrane tank is
441 important in this respect.

442

443 3.2.3. *Effect of back-flush frequency*

444

445 Several short-term trials were carried out in order to assess the effect of the duration

446 and frequency of the different physical cleaning stages (relaxation and back-flush) on
447 membrane performance. Figure 5 shows the effect of back-flush frequency on membrane
448 permeability with MLTS of 24, 28 and 31.5 g L⁻¹. Two different back-flush frequencies
449 were tested: 30 seconds of back-flush every 10 F-R basic cycles (1:10) and 30 seconds of
450 back-flush every 30 F-R basic cycles (1:30) (Figure 5a and Figure 5b, respectively).

451

452 Figure 5 shows that at MLTS levels of less than 24 g L⁻¹, the K₀ performance was
453 independent of the back-flush frequency, at the selected operating conditions. In these
454 short-term trials, the reversible fouling rate remained less than 5 mbar min⁻¹, and no
455 residual fouling component was observed. Hence, a complete recovery of K₀ was
456 achieved after each relaxation stage. At MLTS levels above 24 g L⁻¹, a significant
457 decrease in K₀ was detected, making it necessary to increase the back-flush frequency
458 from 1:30 to 1:10 in order to keep the filtration process working below the TMP safety
459 value mentioned earlier. In this respect, when the back-flush frequency was set to 1:30,
460 the maximum reversible fouling rate reached was around 25 and 80 mbar min⁻¹, at MLTS
461 levels of 28 and 31.5 g L⁻¹, respectively. On the other hand, when the back-flush
462 frequency was increased to 1:10, the maximum reversible fouling rate at an MLTS level
463 of 28 g L⁻¹ decreased to values around 20 mbar min⁻¹. However, this higher back-flush
464 frequency had no noticeable effect on membrane performance when the MLTS level was
465 31.5 g L⁻¹, i.e. K₀ quickly returned to its previous values after back-flushing.

466

467 Hence, these results showed that values of MLTS above 30 g L⁻¹ are not advisable
468 since increasing the back-flush frequency from 1:30 to 1:10 did not improve the
469 membrane performance. However, at MLTS levels lower than 28 g L⁻¹, it was possible to
470 improve membrane performance considerably without significantly increasing the back-
471 flush frequency.

472

473 It is a well-known fact that back-flush frequency affects the economic feasibility of
474 the process not only because of the pumping cost but also due to the resulting decrease in
475 the net J_{20} . Hence, it is essential to control the back-flush frequency to ensure that the
476 membrane is physically cleaned correctly and thereby maximise the J_{20} at minimum
477 operating costs.

478

479 *3.2.4. Effect of relaxation stage duration*

480

481 Different sub-critical short-term trials were carried out to assess how the duration of
482 the relaxation stage affects membrane performance. Relaxation stages of 50 and 30
483 seconds were tested when operating at MLTS of 25 g L^{-1} and sub-critical filtration
484 conditions, and at MLTS of 28 g L^{-1} and supra-critical filtration conditions. An almost
485 complete recovery of K_0 was achieved when the MLTS level was 25 g L^{-1} . Hence, it was
486 observed that membrane performance was not critically affected by relaxation stages of
487 between 30 and 50 seconds at the selected operating conditions when operating at MLTS
488 levels below 25 g L^{-1} . In this case, reversible fouling rates lower than 5 mbar min^{-1} were
489 achieved. On the other hand decreasing the relaxation stage duration from 50 to 30
490 seconds at MLTS levels of 28 g L^{-1} slightly affected membrane performance, i.e. a slight
491 increase in the reversible fouling component that accumulated on the membrane surface
492 was observed (see Figure 6). In this case, the reversible fouling rate reached values of
493 around 10 mbar min^{-1} . However, the TMP recovered to values lower than 0.1 bars after
494 back-flushing.

495

496 These results showed that combining relaxation stages with an appropriate back-
497 flush frequency keep TMP stable at quite low values. However, the prolonged

498 accumulation of reversible fouling components upon over the membrane surface could
499 lead to an increased likelihood of irreversible fouling. For that reason, reducing the
500 relaxation stage from 50 to 30 seconds when membranes are operated at MLTS levels
501 higher than 25 g L^{-1} is not recommendable, if the other operating conditions are kept
502 constant (250-second filtration stage, 30 seconds of back-flush every 10 F-R cycles, 10
503 LMH of J_{20} , and SGD_m at $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$).

504

505 Relaxation stage duration affects the economic feasibility of the process because of
506 the resulting decrease in the net J_{20} . Hence, it is advisable to control the length of the
507 relaxation stage in order to ensure that the membrane is correctly cleaned physically and
508 thereby minimise the decrease in the net transmembrane flux whilst minimising the
509 operating cost per unit of treated water (e.g. reducing the specific gas demand per volume
510 of permeate).

511

512 *3.2.5. Effect of filtration stage duration*

513

514 Figure 7 shows an example of the short-term trials carried out in order to assess the
515 effect of filtration stage duration on membrane performance. Duration was set to 250, 350
516 and 450 seconds. In this case, the MLTS level in the sludge fed to the membrane tank
517 was 23 and 31.5 g L^{-1} and the back-flush frequency was set to 30 seconds of back-
518 flushing every 10 F-R basic cycles (Figure 7a), and 30 seconds of back-flushing every 30
519 F-R basic cycles (Figure 7b).

520

521 Figure 7 shows that with MLTS of 31.5 g L^{-1} , increasing the back-flush frequency
522 from 1:30 to 1:10 did not improve membrane performance. It was not possible to test
523 filtration lasting more than 250 seconds because the maximum TMP value was reached in

524 both 250-second trials.

525

526 In the short-term trials carried out with MLTS of 23 g L^{-1} , an increase in the filtration
527 stage duration from 250 to 450 seconds resulted in the incomplete removal of the
528 reversible fouling component from the membrane surface. Despite no high reversible
529 fouling rates having been reached, a slight and continuous decrease of K_0 over time was
530 observed when the filtration stage duration was set to 450 seconds: an effect that was
531 slightly accentuated when the back-flush frequency was reduced from 1:10 to 1:30.

532

533 Increasing the duration of the filtration stage causes an increase in net J_{20} . However,
534 as observed in this trial, it is essential to strike a balance between maximising net J_{20} and
535 minimising maintenance and operating costs.

536

537 *3.2.5. Overall effect of MLTS and sustainable operating MLTS level*

538

539 On the basis of the results shown in this study, we established a critical value for
540 long-term membrane operating of around $20 - 25 \text{ g L}^{-1}$. Since several operating variables
541 considerably affect the appearance of reversible fouling at short-term, this maximum
542 operating MLTS was established for the following scenario: 300-second basic F-R cycles
543 (250 s filtration and 30-50 s relaxation) with 30 seconds of back-flush every 10 cycles; J_{20}
544 of about 10 LMH; SGD_m of $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$; and up-flow sludge velocity of 2.7 mm s^{-1} .
545 For this specific scenario, increasing the filtration stage duration over 250 s will lead to a
546 progressively reduction in K_0 over time, being this effect greater when the back-flush
547 frequency is decreased to 1 back-flush every 30 F-R cycles (see Figure 7). On the other
548 hand, back-flushing can be decreased from a frequency of 1 back-flush every 10 F-R
549 cycles to 1 back-flush every 30 F-R cycles when membranes are operated at MLTS levels

550 lower than 24 g L^{-1} , whilst the same increase considerably affects K_0 when the MLTS is
551 around 28 g L^{-1} (see Figure 5). As regards gas sparging intensity, an SGD_m of around
552 $0.17 - 0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ allows maintaining proper long-term operation when the MLTS
553 entering the membrane tank is around 20 g L^{-1} , whilst this value is not enough to properly
554 operate at MLTS levels around 28 g L^{-1} (see Figure 3). Finally, reducing the up-flow
555 sludge velocity from 2.7 to 2.2 mm s^{-1} may lead to a considerable decrease in K_0 , due to a
556 significant increase in the MLTS level when its concentration entering the membrane
557 tank is around 28 g L^{-1} (see Figure 4).

558

559 Functioning with similar operating modes may allow reducing the offset of
560 irreversible/irrecoverable fouling at long-term operation since the accumulation of
561 reversible fouling component over the membrane surface can be minimised.

562

563 ***3.3. Overall membrane operation compared to full-scale aerobic MBR plant.***

564

565 On the basis of the long-term results obtained in this work, MLTS levels above 25 g
566 L^{-1} are not recommended for commercial HF membranes because J_C drops to less than 10
567 LMH , making the filtration process unnecessarily expensive. On the basis of the short-
568 term results, two opposite design strategies could be applied depending on the operating
569 regime adopted. If the design strategy is based on sub-critical operating, the installed
570 filtration area must be increased – which increases the initial investment. On the other
571 hand, if the design strategy selected is based on supra-critical operating, then high back-
572 flush frequencies and/or unsustainable SGD_m are required – which increases operating
573 and maintenance/replacement costs. This may result in low process efficiency per unit of
574 treated water (i.e. a decrease in net J_{20}) or high energy consumption, respectively.

575

576 The long-term membrane performance shown in this study, demonstrates that
577 working at sub-critical filtration conditions is an adequate operating strategy for
578 SAnMBR technology because no considerable irreversible/irrecoverable fouling
579 component was detected after operating for almost two years. Indeed, membranes did not
580 required chemical cleaning. Nevertheless, an exhaustive economic analysis is needed to
581 accurately demonstrate the feasibility of working at sub-critical or critical/supra-critical
582 levels in an specific scenario. However, in order to shed more light upon the economic
583 feasibility of SAnMBR technology for treating urban wastewater, the long-term operating
584 strategy proposed in our study is compared in tables 2 and 3 with some available data
585 related to full-scale aerobic MBR operations.

586

587 *3.3.1. Average operating values for transmembrane flux, membrane permeability and*
588 *specific gas demand*

589

590 Table 2 shows a summary of data for full-scale aerobic plants treating both urban and
591 industrial wastewater with submerged MBR (extracted from Judd and Judd, 2011) and
592 the average values obtained throughout the long-term operation of our study.

593

594 Using FS membranes in urban wastewater treatment enables higher transmembrane
595 fluxes (19.4 LMH) and membrane permeability (261 LMH bar⁻¹) in comparison with the
596 results obtained in our work: transmembrane fluxes of around 11 LMH, resulting in
597 membrane permeability of 135 LMH bar⁻¹ in average. However, higher SGD_m and higher
598 specific gas demand with respect to permeate volume (SGD_p) are commonly required in
599 FS technology (see Table 2). It is well known that HF technology allows some degree of
600 lateral movement which enables greater cake layer detachment at lower gas sparging
601 intensities than in FS technology. On the other hand, when using FS membranes to treat

602 industrial wastewater, commonly operating at high MLTS levels, the transmembrane
603 fluxes and membrane permeability are similar to those obtained in our study. However,
604 considerably higher SGD_m and SGD_p are reported when using FS membranes.

605

606 As regards HF technology, the results from full-scale aerobic operation are similar to
607 the results obtained in our study. Both aerobic and anaerobic operation result in
608 reasonably adequate transmembrane fluxes and membrane permeability by applying low
609 air/gas demands. In this respect, even though the resulting J_{20} was lower in the case of
610 anaerobic HF membranes (around 11 LMH vs. approx. 17 LMH), higher K_{20} levels were
611 obtained (around 135 LMH bar^{-1} vs. approx. 75 LMH bar^{-1}) whilst applying similar
612 SGD_m (around $0.25 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$) in both anaerobic and aerobic HF. Moreover, SGD_p
613 remained in a similar range (from approx. 15.5 to approx. 20.5) in both cases. Some
614 studies suggested that the cake layer formed with aerobic and anaerobic sludge might
615 have different removability (see e.g. Meng *et al.*, 2009). Nevertheless, based on
616 comparable results for the aerobic and anaerobic operation of HF membranes, it can be
617 assumed in this study that differences between anaerobic and aerobic sludge properties
618 (i.e. particle size distribution, EPS, SMP and biomass concentration, etc.) did not
619 critically determine the removability of the cake layer from the membrane surface. In this
620 respect, HF technology is a promising, competitive technology for the anaerobic
621 treatment of urban wastewater.

622

623 *3.3.2. Physical and chemical cleaning requirements*

624

625 A summary of the physical cleaning protocols for full-scale aerobic MBRs treating
626 urban wastewater (extracted from Judd and Judd, 2011) and the average values applied
627 throughout the long-term operation of our study are shown in table 3. Full-scale results

628 from aerobic MBR technology reveal a relaxation downtime of around 10% of the
629 operating time in both FS and HF configurations. This value is significantly lower than
630 the resulting relaxation downtime obtained in our study (around 16.7% of the operating
631 time). However, the relaxation stage duration applied in our work can be considered as a
632 quite conservative value – selected in order to avoid possible problems when operating at
633 large MLTS concentrations – since the results from the short-term trials showed that it is
634 possible to reduce this parameter to a value of 30 seconds with a minimum impact on
635 membrane performance when operating at MLTS levels below 25 g L^{-1} . This decrease in
636 the duration of the relaxation stage results in a downtime of around 10% of operating
637 time, which is similar to the average downtime shown in table 3 for full-scale aerobic
638 MBRs. On the other hand, a back-flush downtime of around 6 – 9% of operating time
639 was reported by Judd and Judd (2011) in the aerobic treatment of urban wastewater in
640 full-scale MBRs. In this respect, only an additional downtime of around 1% of the
641 operating period was obtained in our study (carried out with a back-flush frequency of 0.5
642 min every 10 F-R cycles). This gives a total average downtime for physical cleaning of
643 17.7% of operating time throughout the long-term operation of HF membranes shown in
644 our study (instead of an average downtime of around 16 – 19% when using HF
645 technology to treat urban wastewater aerobically). Moreover, it is important to emphasise
646 that the membranes in our study did not require chemical cleaning after operating for
647 more than two years – despite operating at high MLTS levels and with temperature
648 shocks that affected mixed sludge properties – which is a considerably longer than the
649 periods usually employed in aerobic MBR technology.

650

651 Hence, the results of our study predict that HF membranes will result in a sustainable
652 approach for SAnMBR technology compared to the full-scale results reported for aerobic
653 MBR technology.

654

655 **4. Conclusions**

656

657 The membrane performance demonstrated that HF-SAnMBR may be a promising
658 technology for urban wastewater treatment since low maintenance and operating costs
659 related to membrane separation process can be achieved. According to the results, gas
660 sparging intensity and back-flush frequency are the physical variables that affect
661 membrane performance most. In our study, low gas sparging intensities (around 0.23
662 $\text{Nm}^3 \text{h}^{-1} \text{m}^{-2}$) and low BF frequencies (30 seconds of BF every 10 basic F-R cycle) were
663 enough to operate membranes sub-critically even at high levels of MLTS (up to 25 g L^{-1}).
664 On the other hand, operating at critical filtration conditions involves significant physical
665 cleaning (gas sparging intensity and BF frequency) to ensure that membranes operate
666 correctly. The results of our study show that establishing a suitable physical cleaning
667 schedule (relaxation, back-flush and gas sparging intensity) enhances the removal of the
668 reversible fouling component accumulated on the membrane surface, and thus minimises
669 the irreversible fouling propensity. After more than two years of sub-critical operation
670 (transmembrane flux between 9 and 13.3 LMH at gas sparging intensities of around 0.23
671 $\text{Nm}^3 \text{h}^{-1} \text{m}^{-2}$ and MLTS levels in the mixed liquor entering the membrane tank of around
672 10 to 30 g L^{-1}) no significant irreversible/irrecoverable fouling problems were detected
673 (membrane permeability remained above 100 LMH bar^{-1} and total filtration resistance
674 remained below 10^{13}m^{-1}), thus no chemical cleaning was conducted. Membrane
675 performance was similar to the aerobic HF membranes operated in full-scale MBR plants.
676 On the basis of the different experiments carried out, different control strategies will be
677 developed with a view to optimising membrane performance in both sub-critical and
678 critical/supra-critical operating. Nevertheless, an exhaustive economic analysis is needed
679 to make the best choice between the two different operating regimes in a specific

680 scenario: working at sub-critical levels or critical/supra-critical levels.

681

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683

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688

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690

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770

771 **Figure and table captions**

772

773 **Table 1.** Short-term trials operating conditions (Nomenclature: **MLTS**: mixed liquor total solid; **SGD_m**:
774 specific gas demand per membrane area; **BF**: back-flush; **F-R**: filtration-relaxation)

775 **Table 2.** Summary of full-scale plant data for urban wastewater treatment: Average data for submerged
776 MBRs (adapted from Judd and Judd (2011)). Nomenclature: **FS**: Flat-sheet; **HF**: hollow-fibre; **WW**:
777 wastewater; **J**: transmembrane flux; **K**: membrane permeability; **S(A/G)D_m**: specific air/gas demand per
778 membrane area; **S(A/G)D_p**: specific air/gas demand per permeate volume.

779 **Table 3.** Summary of full-scale urban physical cleaning protocols. Average data on submerged MBRs
780 (adapted from Judd and Judd (2011)). Nomenclature: **FS**: Flat-sheet; **HF**: hollow-fibre; **WW**: wastewater;
781 **F**: Filtration stage duration; **R**: Relaxation stage duration; **BF**: Back-flush stage duration.

782

783 **Figure 1.** Flow diagram of the pilot plant. Nomenclature: **RF**: rotofilter; **ET**: equalization tank; **AnR**:
784 anaerobic reactor; **MT**: membrane tanks; **DV**: degasification vessel; **CIP**: clean-in-place; **P**: pump; and **B**:
785 blower.

786 **Figure 2.** Long-term operation: evolution of (a) K_{20} and MLTS; and (b) R_T and MLTS. Experimental
787 periods: (i) J_{20} of 13.3 LMH and temperature of 33 °C; (ii) $J_{20} = 10$ LMH and $T = 33$ °C; (iii) $J_{20} = 12$ LMH
788 and $T = 25$ °C; (iv) $J_{20} = 13.3$ LMH and $T = 20$ °C; and (v) $J_{20} = 11$ LMH and ambient temperature (spring
789 and summer, from about 20 to 30 °C); and (vi) $J_{20} = 9$ LMH and ambient temperature (autumn and winter,
790 from about 30 to 15 °C).

791 **Figure 3.** Short-term trial 1: Effect of gas sparging intensity on membrane permeability at MLTS level of
792 28, 30 and 31.5 g L⁻¹. Nomenclature: **MLTS**: mixed liquor total solids; **K₀**: unit-normalised membrane
793 permeability; **BF**: back-flush.

794 **Figure 4.** Short-term trial 2: Effect of up-flow sludge velocity on membrane permeability at MLTS levels
795 of 18 and 28 g L⁻¹, and up-flow sludge velocity of 1.0, 2.2, and 2.7 mm s⁻¹. Nomenclature: **MLTS**: mixed
796 liquor total solids; **TS**: total solids; **K₀**: unit-normalised membrane permeability; **BF**: back-flush.

797 **Figure 5.** Short-term trial 3: Effect of back-flush frequency on membrane permeability at MLTS of 24, 28
798 and 31.5 g L⁻¹ and (a) 30 seconds of back-flush every 10 F-R cycles; and (b) 30 seconds of back-flush
799 every 30 F-R cycles. Nomenclature: **K₀**: unit-normalised membrane permeability; **BF**: back-flush.

800 **Figure 6.** Short-term trial 4: Effect of relaxation stage duration on membrane permeability at MLTS level

801 of 28 g L⁻¹. Nomenclature: **K₀**: unit-normalised membrane permeability; **BF**: back-flush.

802 **Figure 7.** Short-term trial 5: Effect of filtration stage duration on membrane permeability at **(a)** MLTS

803 levels of 23 and 31.5 g L⁻¹ and back-flush frequency of 1 back-flush every 10 F-R cycles; and **(b)** MLTS

804 levels of 23 and 31.5 g L⁻¹ and back-flush frequency of 1 back-flush every 30 F-R cycles. Nomenclature:

805 **K₀**: unit-normalised membrane permeability; **BF**: back-flush.

Table 1. Short-term trials operating conditions (Nomenclature: **MLTS**: mixed liquor total solid; **SGD_m**: specific gas demand per membrane area; **BF**: back-flush; **F-R**: filtration-relaxation)

Trial	Variable studied	Sub-critical conditions		Supra-critical/Critical conditions	
		Value	MLTS (g L ⁻¹)	Value	MLTS (g L ⁻¹)
1	SGD _m (Nm ³ h ⁻¹ m ⁻²)	0.17, 0.23, 0.3,	20	0.17	30
		0.4		0.23	
2	Up-flow sludge velocity (mm s ⁻¹)	1.3	18	1.0, 2.2, 2.7	28
3	BF frequency (BF:F-R)	1:10, 1:30	24	1:10, 1:30	28, 31.5
4	Relaxation stage duration (seconds)	30, 50	25	30, 50	28
5	Filtration stage duration (seconds)	250, 350, 450 (1BF:10F-R)	23	250 (1BF:10F-R)	31.5
		250, 350, 450 (1BF:30F-R)		250 (1BF:30F-R)	

Table 2. Summary of full-scale plant data for urban wastewater treatment: Average data for submerged MBRs (adapted from Judd and Judd (2011)). Nomenclature: **FS**: Flat-sheet; **HF**: hollow-fibre; **WW**: wastewater; **J**: transmembrane flux; **K**: membrane permeability; **S(A/G)D_m**: specific air/gas demand per membrane area; **S(A/G)D_p**: specific air/gas demand per permeate volume.

Technology	Treatment	J (LMH)	K (LMH bar⁻¹)	S(A/G)D_m (Nm³ h⁻¹ m⁻²)	S(A/G)D_p
FS	Aerobic; Urban WW	19.4	261	0.57	27.5
FS	Aerobic; Industrial WW	13.4	--	0.80	91.9
HF	Aerobic; Urban WW	19.5	104	0.30	15.4
HF	Aerobic; Industrial WW	15.4	47	0.23	16.5
This study (HF)	Anaerobic; Urban WW	11.1	135	0.23	20.7

Table 3. Summary of full-scale urban physical cleaning protocols. Average data on submerged MBRs (adapted from Judd and Judd (2011)). Nomenclature: **FS**: Flat-sheet; **HF**: hollow-fibre; **WW**: wastewater; **F**: Filtration stage duration; **R**: Relaxation stage duration; **BF**: Back-flush stage duration.

Technology	Treatment	F (min)	R (min)	BF (min)
FS	Aerobic; Urban WW	22.0	2.2	---
HF	Aerobic; Urban WW	10.0	1.0	0.43
This study (HF)	Anaerobic; Urban WW	4.2	0.8	0.50

Figure 1

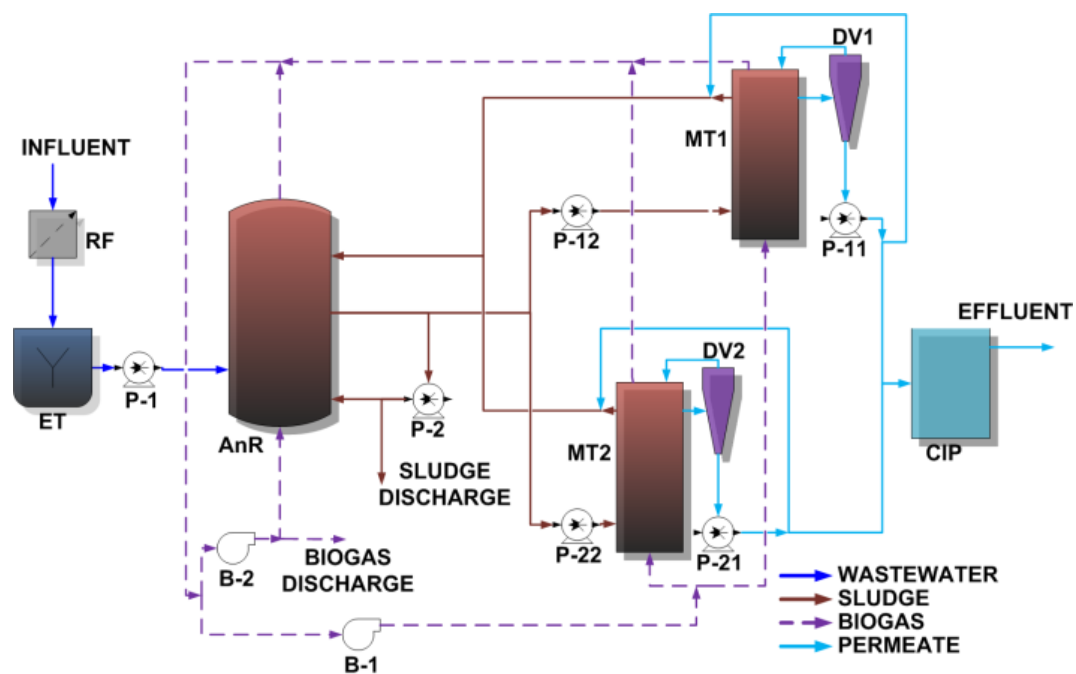
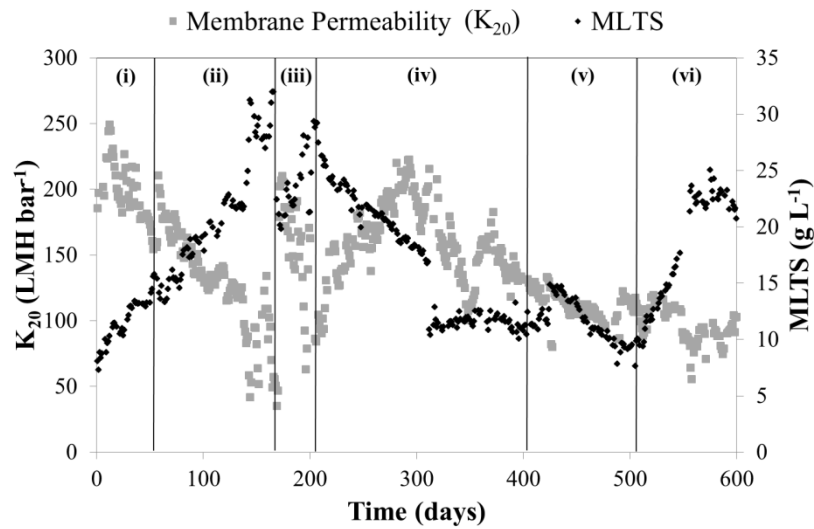
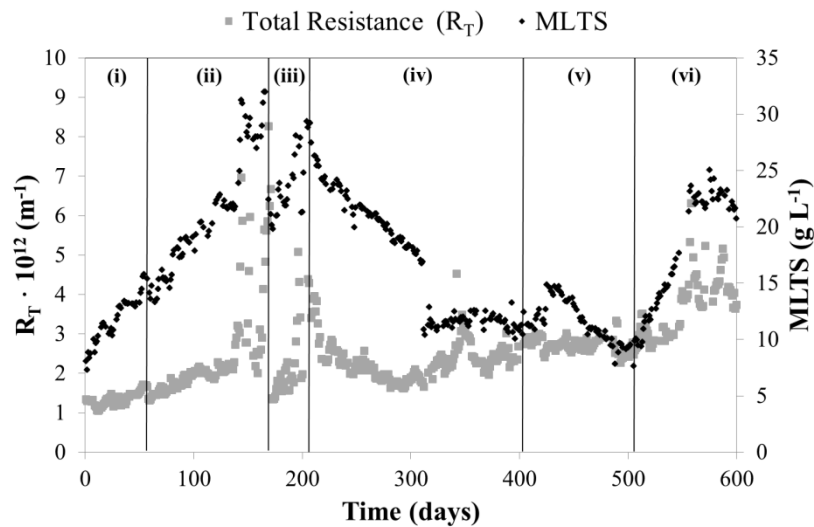


Figure 1. Flow diagram of the pilot plant. Nomenclature: **RF**: rotofilter; **ET**: equalization tank; **AnR**: anaerobic reactor; **MT**: membrane tanks; **DV**: degasification vessel; **CIP**: clean-in-place; **P**: pump; and **B**: blower.



(a)



(b)

Figure 2. Long-term operation: evolution of (a) K_{20} and MLTS; and (b) R_T and MLTS. Experimental periods: (i) J_{20} of 13.3 LMH and temperature of 33 °C; (ii) $J_{20} = 10$ LMH and $T = 33$ °C; (iii) $J_{20} = 12$ LMH and $T = 25$ °C; (iv) $J_{20} = 13.3$ LMH and $T = 20$ °C; and (v) $J_{20} = 11$ LMH and ambient temperature (spring and summer, from about 20 to 30 °C); and (vi) $J_{20} = 9$ LMH and ambient temperature (autumn and winter, from about 30 to 15 °C).

Figure 3

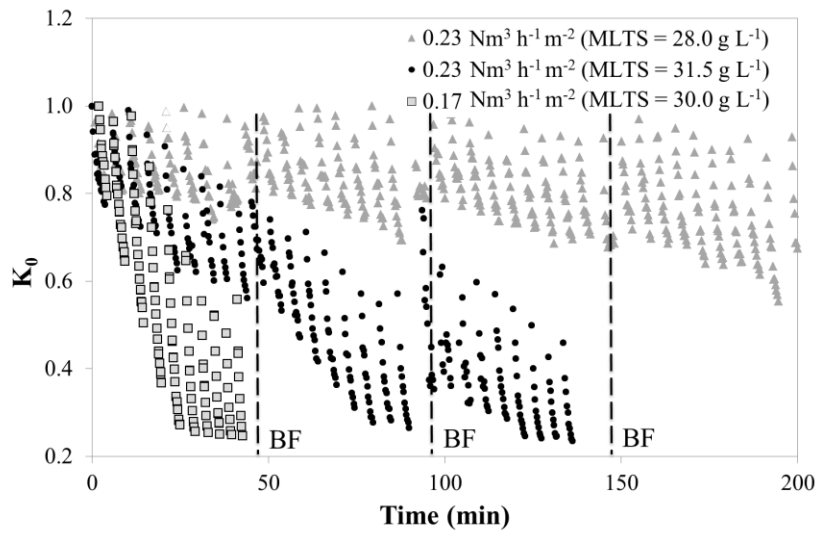


Figure 3. Short-term trial 1: Effect of gas sparging intensity on membrane permeability at MLTS level of 28, 30 and 31.5 g L^{-1} . Nomenclature: **MLTS**: mixed liquor total solids; **K_0** : unit-normalised membrane permeability; **BF**: back-flush.

Figure 4

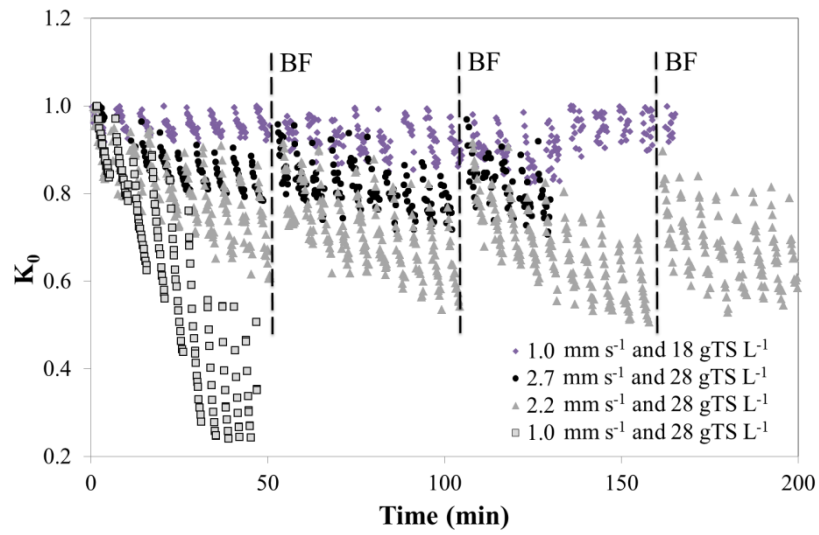
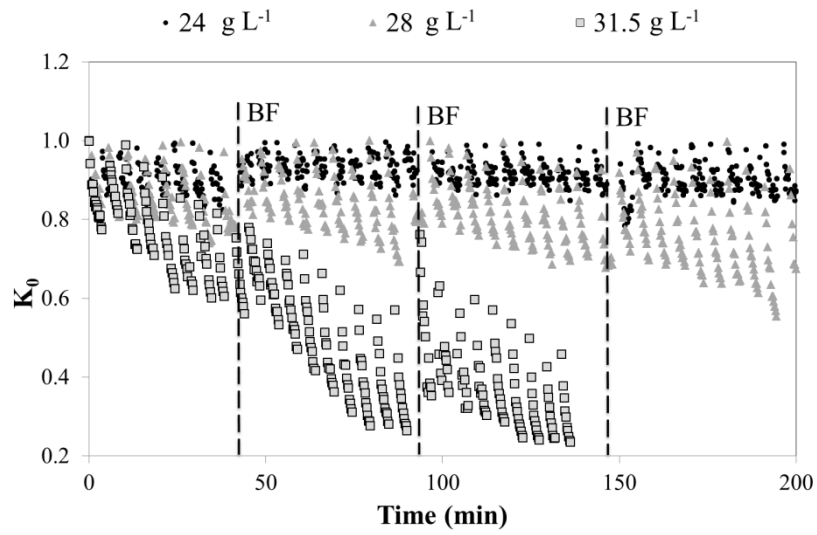
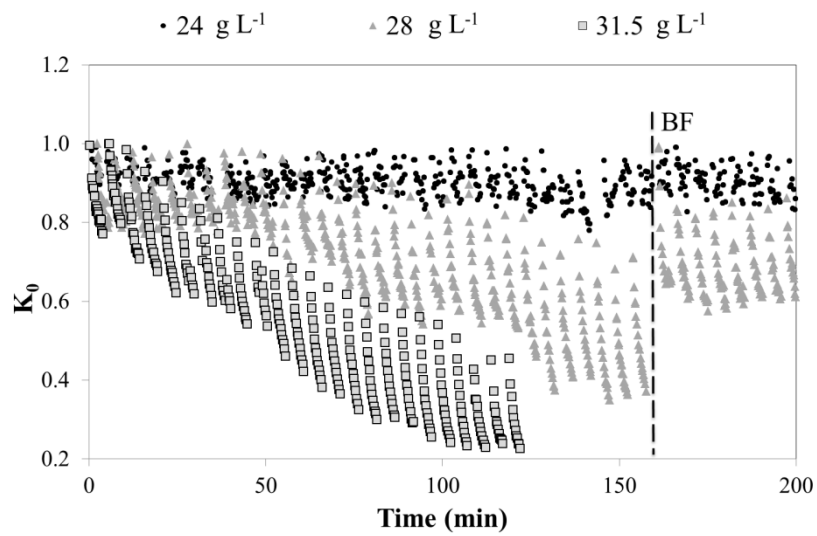


Figure 4. Short-term trial 2: Effect of up-flow sludge velocity on membrane permeability at MLTS levels of 18 and 28 g L⁻¹, and up-flow sludge velocity of 1.0, 2.2, and 2.7 mm s⁻¹. Nomenclature: **MLTS**: mixed liquor total solids; **TS**: total solids; **K₀**: unit-normalised membrane permeability; **BF**: back-flush.

Figure 5



(a)



(b)

Figure 5. Short-term trial 3: Effect of back-flush frequency on membrane permeability at MLTS of 24, 28 and 31.5 g L⁻¹ and (a) 30 seconds of back-flush every 10 F-R cycles; and (b) 30 seconds of back-flush every 30 F-R cycles. Nomenclature: K_0 : unit-normalised membrane permeability; **BF**: back-flush.

Figure 6

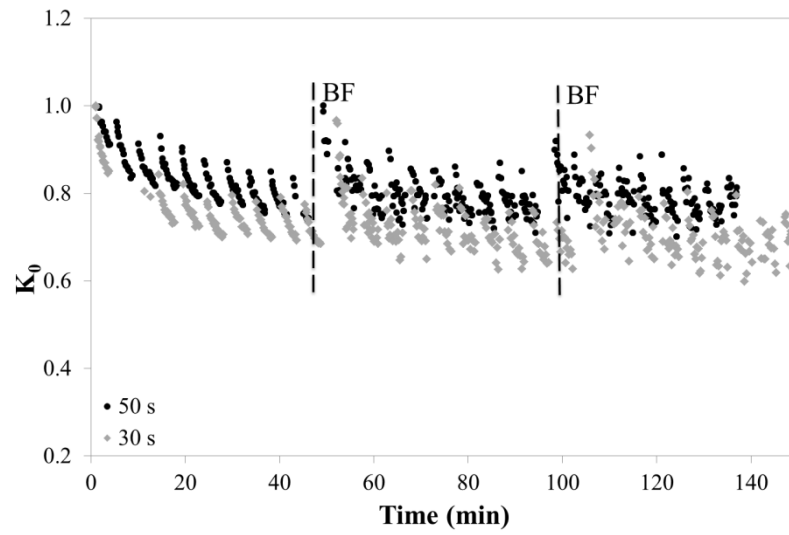
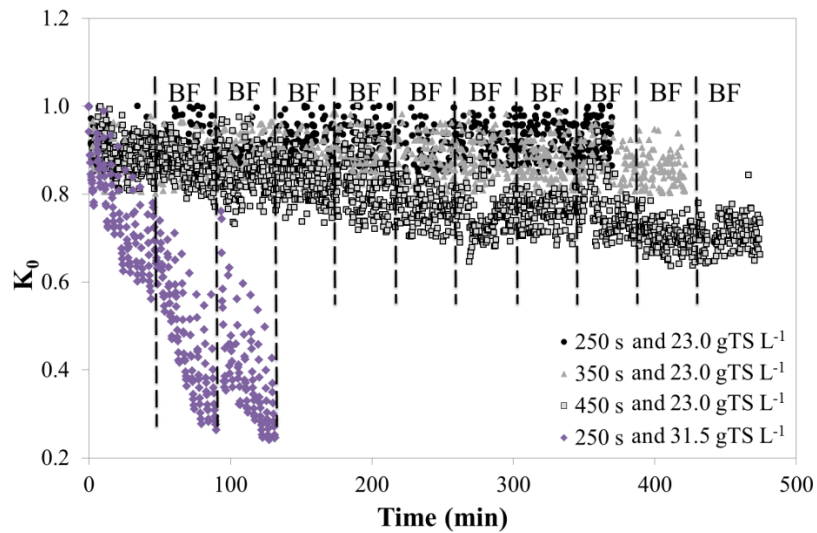
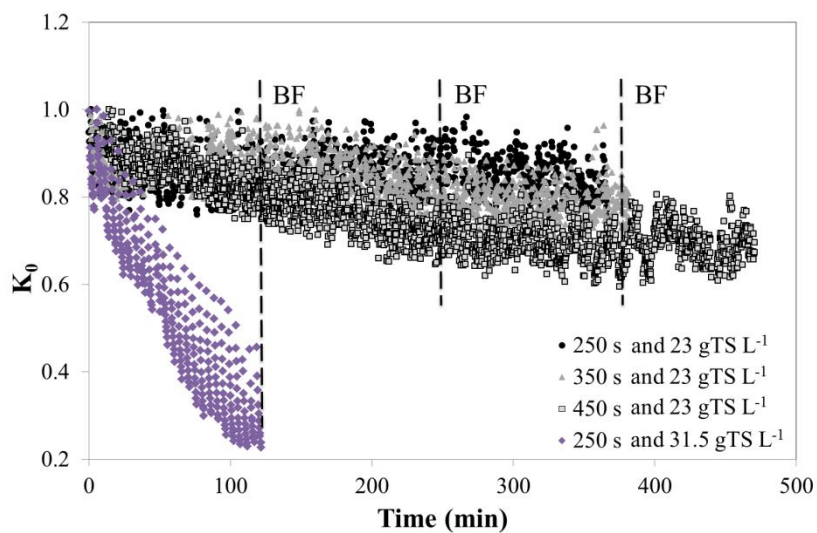


Figure 6. Short-term trial 4: Effect of relaxation stage duration on membrane permeability at MLTS level of 28 g L^{-1} . Nomenclature: K_0 : unit-normalised membrane permeability; **BF**: back-flush.



(a)



(b)

Figure 7. Short-term trial 5: Effect of filtration stage duration on membrane permeability at (a) MLTS levels of 23 and 31.5 g L⁻¹ and back-flush frequency of 1 back-flush every 10 F-R cycles; and (b) MLTS levels of 23 and 31.5 g L⁻¹ and back-flush frequency of 1 back-flush every 30 F-R cycles. Nomenclature: **K₀**: unit-normalised membrane permeability; **BF**: back-flush.