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1 **Performance of industrial scale hollow-fibre membranes in a**
2 **submerged anaerobic MBR (HF-SAnMBR) system at**
3 **mesophilic and psychrophilic conditions**

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14
15 **Abstract**

16 The aim of this work was to evaluate the effect of temperature on the performance of
17 industrial hollow-fibre (HF) membranes treating urban wastewater in a submerged
18 anaerobic MBR system (SAnMBR). To this end, a demonstration plant with two
19 commercial HF ultrafiltration membrane modules (PURON[®], Koch Membrane
20 Systems, PUR-PSH31) was operated at 20, 25 and 33 °C. The mixed liquor total
21 solid (MLTS) level was a key factor affecting membrane permeability (K). K was
22 higher under psychrophilic than mesophilic conditions when operating at similar
23 transmembrane fluxes and MLTS, because the biomass activity of the psychrophilic
24 mixed liquor was lower than the mesophilic mixed liquor. Thus, lower extracellular
25 polymeric substances (EPS) and soluble microbial products (SMP) levels were
26 observed at psychrophilic conditions, which affected not only the three-dimensional
27 floc matrix, but also the fouling propensity. However, no chemical cleaning was
28 needed during the experimental period (almost one year) because no irreversible
29 fouling problems were detected.

31 **Keywords**

32 Extracellular polymeric substances (EPS); industrial hollow-fibre membranes;
33 membrane permeability; mesophilic and psychrophilic anaerobic conditions; soluble
34 microbial products (SMP).

35

36 **1. Introduction**

37

38 Aerobic membrane bioreactors (MBR) have recently become not only a legitimate
39 alternative to conventional activated sludge processes, but also the preferred choice for
40 urban wastewater treatment because of their reliability and efficiency [1]. The quality of
41 the effluent is very good but the operating costs of aeration and sludge handling remain
42 the biggest drawbacks of aerobic MBR technology [2]. High energy demand and high
43 waste generation are both at odds with sustainability principles.

44

45 In this respect, in recent years there has been increasing interest in the study of
46 anaerobic urban wastewater treatment at ambient temperatures, mainly focused on the
47 sustainability benefits of anaerobic processes as opposed to aerobic processes (lower
48 sludge production, lower energy demands, and energy recovery from methane
49 production). The main challenge of anaerobic biotechnology is to develop treatment
50 systems, such as anaerobic membrane bioreactors (AnMBR) that prevent biomass loss
51 and enable high sludge retention times (SRTs) in order to compensate for the low
52 growth rates of anaerobic microorganisms at ambient temperatures [3]. However,
53 operating membrane bioreactors at high SRTs may imply operating at high MLTS
54 levels. This is considered to be one of the main constraints on membrane operating [4]
55 because it can result in a higher membrane fouling propensity.

56

57 Besides MLTS levels, several sludge properties have been identified elsewhere as
58 key factors that affect membrane performance (because they can lead to the onset of
59 either irreversible or irrecoverable fouling), i.e. particle size distribution, extracellular
60 polymeric substances (EPS), soluble microbiological products (SMP), and biomass
61 concentration [5]. Moreover, the limitations of anaerobic metabolism at ambient
62 temperatures can cause non-complete organic matter degradation, leading to an increase
63 in colloidal and soluble components that increase the fouling propensity of membranes
64 [6]. Threshold EPS have been reported not only as the major sludge component keeping
65 the floc in a three-dimensional matrix, but also as a key membrane foulant in MBR
66 systems [7, 8, 9]. On the other hand, it is widely accepted that EPSs and SMPs are
67 identical concepts [1], and that SMPs easily accumulate in MBRs because they are
68 absorbed on the membrane surface where they block membrane pores and reduce
69 membrane permeability [10]. Moreover, SMPs influence the structure and porosity of
70 the cake layer formed on membrane surface [11]. Both EPSs and SMPs have been
71 directly related to the biomass concentration of the mixed liquor [12], as well as to
72 operating SRT [13]: a key factor in anaerobic biomass growth at ambient temperatures.

73

74 Several published studies have evaluated the effect of different sludge properties on
75 membrane fouling in SAnMBR technology on a laboratory scale [3, 4, 14, 15].
76 However, there is still a lack of knowledge about the assessment of the different fouling
77 mechanisms in SAnMBR technology treating low-strength wastewaters on an industrial
78 scale. Moreover, the effect of the main operating conditions on membrane fouling has
79 not been adequately evaluated on a laboratory scale because it depends considerably on
80 the membrane size, especially in the case of hollow-fibre (HF) membranes. Therefore,
81 further research is needed on HF-SAnMBR technology with industrial scale membranes
82 in order to facilitate the design and implementation of this technology in full-scale

83 WWTPs.

84

85 The main objective of this paper was to study the effect of temperature on the
86 performance of industrial hollow-fibre membranes. This study is innovative because it
87 studies membrane performance under specific conditions similar to those expected in
88 full-scale plants located in warm climate regions (e.g. Mediterranean ones). In this
89 respect, this study shows the long-term performance of industrial HF membranes at
90 mesophilic and psychrophilic conditions in an SAnMBR demonstration plant treating
91 effluent from a pre-treatment WWTP. The SAnMBR plant is located in Valencia
92 (Spain), where the average daily ambient temperature ranges from 15 and 35 °C approx.
93 during the year. The assessment of the impact of temperature upon membrane
94 performance will shed more light on the possible applications of this technology in the
95 treatment of urban wastewater at ambient temperatures.

96

97 **2. Materials and methods**

98

99 *2.1. Demonstration plant description*

100

101 Figure 1 shows the flow diagram of the HF-SAnMBR demonstration plant used in
102 this study. It consists of an anaerobic reactor with a total volume of 1.3 m³ (0.4 m³ head
103 space) connected to two membrane tanks each with a total volume of 0.8 m³ (0.2 m³
104 head space). Each membrane tank has one industrial HF ultrafiltration membrane unit
105 (PURON[®], Koch Membrane Systems (PUR-PSH31) with 0.05 µm pores). Each module
106 has 9 HF bundles, 1.8 m long, giving a total membrane surface of 30 m². In order to
107 improve the stirring conditions of the anaerobic reactor and to favour the stripping of
108 the produced gases from the liquid phase, a fraction of the produced biogas is

109 continuously recycled to this reactor. In order to minimise the cake layer formation,
110 another fraction of the produced biogas is also continuously recycled to the membrane
111 tanks through the bottom of each fibre bundle. To recover the bubbles of biogas in the
112 permeate leaving the membrane tank, two degasification vessels (DV) were installed:
113 each one between the respective MT and the vacuum pump. The funnel-shaped section
114 of conduit makes the biogas accumulate at the top of the DV. The resulting permeate is
115 stored in the clean-in-place (CIP) tank. In order to control the temperature when
116 necessary, the anaerobic reactor is jacketed and connected to a water heating/cooling
117 system.

118

119 Normally membranes are operated according to a specific schedule involving a
120 combination of different individual stages taken from a basic filtration-relaxation (F-R)
121 cycle. In addition to the classical membrane operating stages (filtration, relaxation, and
122 back-flush), two additional stages of membrane operation were also considered
123 (degasification and ventilation). Degasification stage consists of a period of high flow-
124 rate filtration that is carried out to enhance the filtration process efficiency by removing
125 the accumulated biogas from the top of the dead-end fibres. In the ventilation stage,
126 permeate is pumped into the membrane tank through the degasification vessel instead of
127 through the membrane. The aim of ventilation stage is to recover the biogas
128 accumulated in the degasification vessel. Thus, in terms of membrane cleaning,
129 ventilation performs as a relaxation stage since no transmembrane flux is applied whilst
130 maintaining a given gas sparging intensity.

131

132 By using two membrane tanks in parallel, the plant was designed with high
133 operating flexibility, which allows working with either one membrane tank or both
134 tanks. Moreover, each tank allows recycling continuously the obtained permeate to the

135 anaerobic reactor. Specifically in this study, the obtained permeate from MT1 (see
136 Figure 1) was continuously recycled to the system in order to test different J_{20} without
137 affecting the hydraulic retention time (HRT) of the process. On the other hand, the
138 obtained permeate from MT2 was fed to the CIP tank and corresponds to the effluent
139 wastewater of the system (see Figure 1). Hence, different operating filtration modes
140 were set in MT2 to achieve the different HRTs that were programmed to assess the
141 biological process performance.

142

143 Numerous on-line sensors and automatic devices were installed in order to
144 automate and control the plant operation and provide on-line information about the state
145 of the process. In particular a group of on-line sensors was assigned to each membrane
146 tank consisting of: 1 pH-temperature transmitter; 1 level indicator transmitter; 1 flow
147 indicator transmitter for the mixed liquor feed pump; 1 flow indicator transmitter for the
148 permeate pump; and 1 liquid pressure indicator transmitter in order to control the TMP.
149 The group of actuators assigned to each membrane tank consisted of a group of on/off
150 control valves that determine the direction of the flow in order to control the different
151 membrane operating stages (filtration, back-flush, relaxation...) plus 3 frequency
152 converters. Each frequency converter controls the rotating speed of the permeate pump,
153 the mixed liquor feed pump, and the membrane tank blower. Further details about this
154 SAnMBR demonstration plant can be found in Giménez *et al.* [16].

155

156 2.2. *Demonstration plant operation*

157

158 The SAnMBR demonstration plant was operated at a constant SRT of 70 days and
159 three different temperatures (20, 25 and 33 °C). The pH of the mixed liquor remained
160 relatively stable at around 6.75 (the pH ranged from 6.5 to 7), and the alkalinity of the

161 mixed liquor remained at values of approximately $600 \text{ mgCaCO}_3 \text{ L}^{-1}$. During the
162 experimental period, the usual membrane operating mode was as follows: a 300-second
163 basic F-R cycle (250 s filtration and 50 s relaxation), 30 seconds of back-flush every 10
164 F-R cycles, 40 seconds of ventilation every 10 F-R cycles, and 30 seconds of
165 degasification every 50 F-R cycles. The up-flow sludge velocity in the membrane
166 surface was set to 2.7 mm s^{-1} ; and the average specific gas demand per square metre of
167 membrane (SGD_m) was $0.23 \text{ Nm}^3 \text{ m}^{-2} \text{ h}^{-1}$ (corresponding to a gas sparging velocity of
168 around 7 mm s^{-1}). The operating period shown in this work was divided into four
169 experimental periods taking into account both the $20 \text{ }^\circ\text{C}$ -normalised transmembrane flux
170 (J_{20}) and the controlled temperature values studied. Table 1 summarises the average
171 values for J_{20} , $20 \text{ }^\circ\text{C}$ -normalised critical flux ($J_{C,20}$), temperature and HRT in each
172 experimental period. As mentioned before, the J_{20} values were set by using MT1, whilst
173 the HRT values were set by using MT2.

174

175 Table 2 shows the average wastewater characteristics of the influent entering the
176 anaerobic reactor. This table highlights the significant influent sulphate levels, and also
177 the wide variation in the influent loads, reflected by the high standard deviation of each
178 parameter. The uncertainty associated with each value includes both the standard
179 deviation of the different samples analysed throughout the experiment and the variation
180 coefficient associated with the analytical methods.

181

182 *2.3. Analytical methods*

183

184 *2.3.1. Water quality analysis*

185

186 In addition to monitoring the process on-line, the performance of the biological

187 process was assessed by taking 24-hour composite samples from influent and effluent
188 streams, and taking grab samples of biogas and anaerobic sludge once a day. The
189 following parameters were analysed in influent, effluent and anaerobic sludge: total
190 solids (TS); volatile solids (VS); total suspended solids (TSS); volatile suspended solids
191 (VSS); volatile fatty acids (VFA); carbonate alkalinity (Alk); sulphate ($\text{SO}_4\text{-S}$); total
192 sulphide (measured as HS^-); nutrients (ammonium ($\text{NH}_4\text{-N}$) and orthophosphate ($\text{PO}_4\text{-}$
193 P)); and total and soluble chemical oxygen demand (COD_T and COD_S , respectively).
194 Particle size distribution, and EPS and SMP levels were measured twice a month.
195 Furthermore, a sludge sample was fixed for microbiological analysis once a week.

196

197 Solids, COD, sulphate, sulphide and nutrients were determined according to
198 Standard Methods [17]. Alk and VFA levels were determined by titration according to
199 the method proposed by WRC [18].

200

201 *2.3.2. Floc structure and particle size distribution*

202

203 Particle size distribution was measured twice a month using a
204 MASTERSIZER2000 coupled to Hydro 2000SM (A) with a detection range of 0.02 to
205 2000 μm . The sludge floc was examined by light microscopy and the images were
206 captured with a microscope Leica DM2500 and a Leica DFC420c digital camera.

207

208 *2.3.3. Microbiological analysis*

209

210 Microbiological analysis was performed once a week by using the FISH
211 (fluorescent in situ hybridization) technique [19] to identify the different species of
212 sulphate reducing bacteria (SRB) and methanogenic archaea (MA). Hybridized cells

213 were enumerated by capturing images with a Leica DM2500 epifluorescence
214 microscope and a Leica DFC420c digital camera and using automated bacteria
215 quantification software [20] programmed in Matlab[®]. Further details about the
216 microbiological analysis approach can be found in Giménez *et al.* [21].

217

218 2.3.4. EPS and SMP extraction and measurement

219

220 EPS and SMP extraction and measurement were carried out twice a month. Mixed
221 liquor was collected from the membrane tank and a sample of 150 mL was centrifuged
222 at 2000xG for 15 min at 4 °C (Eppendorf Centrifuge 5804R). The supernatant was
223 filtered with a 1.2 µm filter and the SMP levels (SMP_C and SMP_P, related to
224 carbohydrates and proteins, respectively) were measured. The EPS extraction was based
225 on the Cation Exchange Resin (CER) method proposed by Frølund *et al.* [22]. The
226 sludge pellets were resuspended to their original volume using a buffer consisting of 2
227 mM Na₃PO₄, 4 mM NaH₂PO₄, 9 mM NaCl and 1 mM KCl at pH 7. The EPS extraction
228 was performed as follows: 100 mL of the suspension was transferred to an extraction
229 container and 70 g/g MLVS of CER were added; the suspension was stirred at the
230 selected intensity (900 rpm) and extraction time (20 hours) at 4 °C. The extracted EPS
231 was harvested by centrifuging the CER/sludge suspension for 15 min at 12000xG and 4
232 °C to remove the CER and MLTS. The supernatant was taken and filtered with a 1.2 µm
233 filter and the extracted EPS levels (eEPS_C and eEPS_P, related to carbohydrates and
234 proteins, respectively) were measured. The carbohydrates and proteins of both SMP and
235 eEPS were determined by colorimetry according to the methodology proposed by
236 Dubois *et al.* [23] and Lowry *et al.* [24], respectively. Bovine serum albumin (BSA) and
237 glucose were used as protein and carbohydrate standards, respectively.

238

239 2.3.5. Membrane performance indices

240

241 The 20 °C-normalised membrane permeability (K_{20}) was calculated using a simple
242 filtration model (Eq. 1) that takes into account the TMP and J values monitored on line.
243 This simple filtration model includes a temperature correction (Eq. 2) to take into
244 account the dependence of permeate viscosity on temperature. The same temperature
245 correction was used for J (Eq. 3). The total membrane resistance (R_T) was represented
246 theoretically by the following partial resistances (Eq. 4): membrane resistance (R_M);
247 cake layer resistance (R_C); and irreversible layer resistance (R_I).

248

$$249 \quad K_{20} = \frac{J_T f_T}{TMP} \quad (\text{Eq. 1})$$

$$250 \quad f_T = e^{-0.0239(T-20)} \quad (\text{Eq. 2})$$

$$251 \quad J_{20} = J_T \cdot e^{-0.0239(T-20)} \quad (\text{Eq. 3})$$

$$252 \quad R_T = R_M + R_C + R_I \quad (\text{Eq. 4})$$

253

254 Moreover, a modified flux-step method [25] was carried out in order to determinate
255 the $J_{C,20}$ of each operating interval. Each $J_{C,20}$ was calculated according to the weak
256 definition of this concept, i.e. the flux above which the relationship between J_{20} and
257 TMP becomes non-linear. Table 1 shows the obtained results for $J_{C,20}$ in each
258 experimental period. These values were obtained at 23 g L⁻¹ of MLTS and SGD_m of
259 0.23 Nm³ h⁻¹ m⁻².

260

261 3. Results and discussion

262

263 *3.1. Long-term membrane performance at mesophilic and psychrophilic conditions*

264

265 Table 1 shows the obtained results for $J_{C,20}$ in each experimental period (determined
266 at 23 g L^{-1} of MLTS and SGD_m of $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$). For instance, on day 125 and day
267 240, $J_{C,20}$ resulted in 14 LMH in both trials. Therefore, the critical flux remained
268 generally at values over 14 LMH during the operating period since SGD_m was
269 maintained at $0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ and MLTS remained generally below 23 g L^{-1} (see days
270 1-125 and 240-310). Hence, the long-term operating shown in this study was mainly
271 carried out at sub-critical filtration conditions since J_{20} was varied from 10 to 13.3 LMH
272 [26].

273

274 Figure 2 shows the average daily K_{20} (calculated with Eq. 1 and Eq. 2) obtained
275 during the operating period, and the average daily MLTS level in the anaerobic sludge
276 entering the membrane tank. Notice that the MLTS level in the membrane tank
277 increases in proportion to the ratio between the net permeate flow rate and the sludge
278 flow rate entering the membrane tank. Therefore, the operating MLTS in the membrane
279 tank was actually higher (up to 5 g L^{-1}) than the ones shown in this work, since the data
280 presented correspond to the MLTS level entering the membrane tank.

281

282 Figure 2 shows the considerable extent to which the MLTS level affects K_{20} in the
283 four experimental periods in this study (the MLTS decrease observed on day 170 was
284 caused by a problem in the sludge wasting system). Every variation of the MLTS level
285 was inversely reflected on K_{20} . It is important to note that even at high MLTS levels (up
286 to 25 g L^{-1}), K_{20} remained at sustainable values. As can be seen in period ii, K_{20}
287 remained at values above 100 LMH bar^{-1} until a MLTS level of around 25 g L^{-1} was
288 reached. Similar behaviour was observed in period iii. This figure also shows that at

289 relatively stable MLTS levels (see days 90 - 110 or days 120 - 135), K_{20} remained quite
290 stable. This K_{20} stability could be due to the low TMP achieved during this period
291 (below 0.1 bars), which minimises membrane compression and causes a stable R_M .
292 Moreover, as can be observed in period iv, K_{20} improved when MLTS decreased, which
293 indicates the absence of irreversible fouling components on R_T . Hence, the higher K_{20}
294 obtained during the first months of operation was related to a lower cake layer
295 formation rate due to lower MLTS levels. It is important to highlight the two different
296 effects that determine R_C : the cake layer formation rate (due to the filtration process)
297 and the cake layer removal rate (due mainly to biogas sparging). It is well known that at
298 a given SGD_m the cake layer removal efficiency decreases when the MLTS level
299 increases. Therefore, in our study, which was carried out at a constant SGD_m , the
300 decrease in K_{20} caused by a higher MLTS level was mainly due to an increase in the
301 cake layer formation rate. However, no irreversible fouling was detected, mainly as a
302 result of both working at sub-critical filtration conditions and establishing an adequate
303 membrane operating mode.

304

305 Figure 2 shows the different membrane performances in period i (mesophilic
306 conditions) and period iv (psychrophilic conditions), which were conducted at identical
307 J_{20} . Similar K_{20} values were achieved even though membranes operated at higher MLTS
308 levels in period iv than in period i. This behaviour can be observed better in Figure 3.

309

310 *3.2. Sludge properties affecting membrane performance at mesophilic and*
311 *psychrophilic conditions*

312

313 *3.2.1. Effect of MLTS on membrane performance*

314

315 Figure 3 shows how the MLTS level affects K_{20} in three of the four series carried
316 out during different operating periods. As can be observed in this figure, under the
317 selected operating conditions ($0.23 \text{ Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ of SGD_m), a linear dependency of K_{20}
318 on MLTS was observed for each J_{20} . Any increase in the MLTS level caused a
319 proportional decrease in K_{20} . As this figure illustrates, the behaviour in the two
320 experimental series carried out at $33 \text{ }^\circ\text{C}$ (13.3 and 10 LMH of J_{20}) is similar since both
321 series were carried out at the same mesophilic operating conditions. Despite observing
322 no clear differences between the two series conducted at mesophilic conditions, it can
323 be concluded that at similar MLTS levels the higher the J_{20} applied the lower the K_{20}
324 obtained. This difference can also be observed in the slope of the linear regression
325 between the MLTS level and K_{20} . This slope was slightly higher with a J_{20} of 13.3 LMH
326 than of 10 LMH, which indicated a higher reversible fouling propensity at higher fluxes.
327 Moreover, both mathematical equations seem to indicate that the dependency of K_{20} on
328 MLTS starts becoming independent of J_{20} when the MLTS level tends to zero since both
329 intercept terms present similar values. On the contrary, the impact of J_{20} on K_{20} gets
330 higher as MLTS increases. This behaviour tallies well with the classical definition of
331 membrane permeability treating pure water. On the other hand, Figure 3 shows clear
332 differences in the resulting K_{20} between both mesophilic and psychrophilic conditions.
333 In this respect, K_{20} is considerably higher when the system is operated at psychrophilic
334 than at mesophilic conditions. For instance, as can be deduced from the slope of the
335 linear regressions resulting from the experimental series conducted at 13.3 LMH, K_{20} is
336 more sensitive to changes in MLTS when operating at $20 \text{ }^\circ\text{C}$ than at $33 \text{ }^\circ\text{C}$. Figure 3
337 illustrates that the differences in K_{20} observed between mesophilic and psychrophilic
338 conditions are higher when the MLTS level decreases. In contrast, when the MLTS
339 level increases, this parameter becomes a key factor affecting membrane performance in
340 the operating conditions studied. Hence, it is possible to state that the influence of

341 MLTS on K_{20} under mesophilic and psychrophilic operating conditions is also
342 conditioned by other operating factors.

343

344 3.2.2. *Effect of particle size distribution on membrane performance*

345

346 Figure 4 shows the distribution of the average particle size in the mixed liquor
347 corresponding to the three temperatures studied. For each temperature period, only one
348 distribution is shown since the mean particle size throughout each temperature period
349 depicted the same distribution shape. As can be seen in this figure, a unimodal floc size
350 distribution was observed in every experimental period, which indicates that only one
351 population of aggregates was present in the sludge. As ascertained by other authors [4],
352 the single-peak distribution was demonstrated by microscopic observations of the flocs
353 in the mixed liquor (see Figure 5). In these microscopic observations, a large amount of
354 fine flocs in the mixed liquor was not observed. Thus, a low membrane fouling
355 propensity, i.e. a low probability of permeability decrease, was expected [4, 27].
356 However, a slight decrease in the average value of these unimodal floc size distributions
357 was detected when the temperature was reduced. These results were corroborated by
358 examining the flocs in the mixed liquor by light microscopy. The mean floc sizes
359 observed under psychrophilic conditions were smaller than those observed at mesophilic
360 ones. Therefore, at psychrophilic conditions lower cake layer porosities may be reached
361 as a result of the small average particle sizes. Moreover, as a result of the operating
362 pressure, lower cake layer porosities may lead to higher cake layer tortuosity, which
363 implies a higher specific cake layer resistance [28]. Nevertheless, Figure 4 shows that
364 no particles lower than $0.3 \mu\text{m}$ were detected. Hence, considering that the mean pore
365 size of the membranes is $0.05 \mu\text{m}$, these results predict that, for our case study, this
366 decrease of the particle sizes due to the decrease of temperature could only affect the

367 cake layer formation and/or consolidation over the membrane surface, but no other
368 membrane filtration resistances related to MLTS, such as the one related to the internal
369 fouling due to the blockage of pore channels.

370

371 *3.2.3. Effect of biomass population, and EPS and SMP compounds on membrane*
372 *performance*

373

374 Figure 5 shows a sample of the microscopic observations of floc size and structure
375 in the mixed liquor under mesophilic (Figure 5a) and psychrophilic (Figure 5b)
376 conditions. This figure illustrates that the mean floc size in the mixed liquor was lower
377 under psychrophilic conditions (approx. from 25 to 100 μm) than under mesophilic
378 conditions (approx. from 50 to 200 μm). This reduction in floc size can be attributed to
379 the impact of temperature upon the anaerobic biomass growth rate. Since the SRT was
380 set constant to 70 days throughout the operating period, biomass activity declined
381 sharply when the temperature was decreased (see Table 3). Thus, lower biomass
382 concentrations were detected under psychrophilic conditions, which resulted in a lower
383 enzymatic activity that could affect the sludge conglomeration.

384

385 Table 3 shows the average values derived from the anaerobic biomass activity in
386 both mesophilic and psychrophilic operating periods. The uncertainty associated with
387 each value includes both the standard deviation of the different samples analysed
388 throughout the experimental period and the coefficient of variation associated with the
389 analytical methods. This table shows a lower biomass concentration (referred to SRB
390 and MA) at psychrophilic conditions than at mesophilic ones. This lower biomass
391 concentration resulted in a considerably lower concentration of EPS in the mixed liquor,
392 and also a lower SMP production. It is important to note that the EPS level is considered

393 to be one of the main sludge components that keeps the floc in a three-dimensional
394 matrix. This fact was also observed in Figure 5, i.e. the average sizes of the
395 psychrophilic flocs were lower than the mesophilic flocs, probably as a result of the
396 lower EPS levels shown in Table 3.

397

398 Table 3 shows a considerably higher fraction of proteins than carbohydrates in both
399 eEPS and SMP. The protein (P)/carbohydrate (C) ratio of SMP was 16.4 and 7.0 for
400 mesophilic and psychrophilic sludge, respectively. The P/C ratio of eEPS was 3.6 and
401 3.1 for mesophilic and the psychrophilic sludge, respectively. Liao *et al.* [29] observed
402 that an increase in the P/C ratio resulted in an increase of the hydrophobicity of the floc,
403 thus increasing the cake layer formation propensity. Since no clear differences were
404 observed in the eEPS-P/C ratios, it was assumed that this parameter made no critical
405 contribution to the differences observed in this study concerning the consolidation of the
406 cake layer upon the membrane surface under mesophilic and psychrophilic conditions.
407 A considerable difference was, however, observed between both SMP-P/C ratios under
408 mesophilic and psychrophilic conditions (more than double). Therefore, the SMP level
409 (and SMP_P particularly) was identified as one key factor affecting K_{20} in this work.
410 Pollice *et al.* [12] established that there is proportionality between biomass
411 concentration and SMP production due to the increased release of organic material from
412 cell lysis. In this sense, results from Table 3 show both higher biomass concentrations
413 and higher SMP and eEPS levels under mesophilic conditions than under psychrophilic
414 conditions. It is well known that the amount of SMP and EPS in mixed liquor directly
415 affects membrane permeability. This effect was also observed in our study because
416 lower values of K_{20} were reached when the SMP and eEP_C levels in the mixed liquor
417 were higher, i.e. at higher temperatures. Moreover, Huang *et al.* [10] observed that the
418 SMP could induce inter-particle pore blocking when they pass through the cake layer,

419 resulting in a higher cake layer formation rate. In this respect, a given gas sparging
420 intensity could be less effective in detaching the cake layer from the membrane surface
421 when there is a higher SMP level in the system, as a result of a higher propensity of
422 cake layer formation and consolidation upon the membrane surface [7]. In addition,
423 some studies have shown that when membranes are operated at sub-critical filtration
424 conditions (as in our study), SMP and EPS are the main factors affecting membrane
425 fouling since these compounds are accumulated in the system [12].

426

427 Hence, the differences observed in this study between K_{20} under mesophilic and
428 psychrophilic operating conditions can be explained by a higher fouling propensity at
429 mesophilic than psychrophilic conditions due to a higher biomass concentration
430 resulting in higher SMP and eEPS levels in the mixed liquor. In either case, since the
431 level of EPS and SMP in the mixed liquor influences the structure and porosity of the
432 cake layer created over the membrane surface [11], this higher fouling propensity was
433 related to the reversible cake layer resistance. This hypothesis was strengthened because
434 the K_{20} returned to its previous values when the MLTS level decreased.

435

436 *3.2.4. Other factors minimising the onset of irreversible fouling problems*

437

438 As it has been mentioned before K_{20} returned to initial values when the MLTS
439 concentration decreased (see Figure 2). The recovery of K_{20} was achieved without any
440 chemical cleaning of the membrane. Hence, after almost one year of operation, no
441 irreversible fouling problems were detected, even with high MLTS and temperature
442 shocks affecting biomass population and its derived compounds. Moreover, it is
443 important to highlight that the total filtering resistance remained at similar values
444 throughout the whole operating period, when operating at similar MLTS levels. The

445 total filtering resistance was $1.5 \cdot 10^{12} \text{ m}^{-1}$ in average. Further details on the absence of
446 irreversible fouling in this system can be found in Robles *et al.* [26].

447

448 Apart from operating at sub-critical filtration conditions and establishing an
449 adequate membrane operating mode, no chemical cleaning was necessary probably
450 because of the pH of the mixed liquor, which was always kept at values below 7 by
451 recycling the biogas produced for in-situ sparging purposes (i.e. the CO₂ remained in
452 the mixed liquor, resulting in alkalinity values of approx. 600 mgCaCO₃ L⁻¹). pH values
453 below 7 may result in a negligible formation of chemical precipitates (e.g. struvite),
454 which favours the absence of chemical fouling problems [26]. Low pH indirectly means
455 low fouling propensity due to low dispersion of sludge flocs resulting in sub-products
456 generation directly related to biofouling, i.e. colloids and solutes or biopolymers [30].
457 Moreover, it has been observed that low pH levels result in a low adherence and fouling
458 propensity of EPS [31]. Nevertheless, further research is needed in order to assess the
459 actual effect of pH on membrane fouling in anaerobic systems.

460

461 *3.3. Overall biological process performance*

462

463 The SAnMBR plant was operated at a SRT of 70 days and the HRT was ranged
464 from approx. 5 to 24 hours. As regards the COD removal efficiency no significant
465 differences were observed under both mesophilic and psychrophilic operating
466 conditions, taking also into account the considerable dynamics in the influent load.
467 COD removal efficiencies of around 85 % and low effluent COD concentrations (< 100
468 mg L⁻¹) were achieved. No significant differences were observed throughout the period,
469 mainly due to the high retention of solids achieved by the physical process and the
470 significant operating SRT. On the other hand, the decrease in the temperature resulted in

471 an increase in the average sludge production (approx. 30%): from about 0.16 to 0.23 kg
472 VS kg⁻¹ COD_{REMOVED}. This increase was attributed to the decline of the biomass activity
473 observed when the temperature was reduced, particularly due to a decrease in the
474 hydrolysis rate. This decrease in the hydrolysis rate resulted in an accumulation of
475 solids in the system. Nevertheless, the sludge production at psychrophilic temperature
476 conditions was still lower than the common values observed in aerobic treatment of
477 urban wastewaters (≈ 0.5 kg VS kg⁻¹ COD_{REMOVED}). Concerning the biogas production,
478 the decrease in the temperature resulted in a decrease in the methane production
479 (approx. 20%), which was also related to the decrease in the hydrolysis rate.
480 Nevertheless, a significant average biogas production (around 100 L d⁻¹) was observed
481 throughout the whole experimental period, which evidenced a suitable biological
482 process performance under both mesophilic and psychrophilic operating conditions.
483 Regarding the sulphate reducing activity, influent sulphate was almost completely
484 reduced to sulphide for the whole operating period (around 95%). It resulted in a
485 composition of hydrogen sulphide in the biogas of 1.3% in average.

486

487 **4. Conclusions**

488

489 MLTS was identified as one of the key factors that affects K₂₀. Nevertheless, K₂₀
490 remained at sustainable values even at high MLTS (up to 25 g L⁻¹). The floc analysis
491 showed a smaller mean floc size under psychrophilic than under mesophilic conditions,
492 mainly due to a lower biomass activity, and thus lower EPS levels. Higher membrane
493 fouling propensities were observed under mesophilic than under psychrophilic
494 conditions due to higher SMP production. Nevertheless, after almost one year of
495 operating, no irreversible fouling problems were detected. The long-term membrane
496 performance demonstrated that HF-SAnMBR is a promising technology for urban

497 wastewater treatment.

498

499 **Acknowledgements**

500

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619 **Table and figure captions**

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621 **Table 1.** Average values for the 20 °C-normalised transmembrane flux (J_{20}), 20 °C-normalised critical
622 flux ($J_{C,20}$), controlled temperature (T), and hydraulic retention time (HRT) in each operating period. J_{20}
623 was studied in MT1 and HRT in the system was controlled with MT2. $J_{C,20}$ determined in MT1 at MLTS
624 of 23 g L⁻¹ and SGD_m of 0.23 Nm³ h⁻¹ m⁻². N.D.: not determined.

625 **Table 2.** Average influent wastewater characteristics.

626 **Table 3.** Average sludge characteristics. Nomenclature: **SRB**: sulphate reducing bacteria; **MA**:
627 methanogenic archaea; **SMP**: soluble microbial products; **EPS**: extracellular polymeric substances; **C**:
628 carbohydrates; and **P**: proteins.

629

630 **Figure 1.** Flow diagram of the demonstration plant. Nomenclature: **RF**: rotofilter; **ET**: equalization tank;
631 **AnR**: anaerobic reactor; **MT**: membrane tanks; **DV**: degasification vessel; **CIP**: clean-in-place; **P**: pump;
632 and **B**: blower.

633 **Figure 2.** Evolution of membrane permeability and MLTS during the operating period. Experimental
634 period: (i) J_{20} at 13.3 LMH and 33 °C; (ii) J_{20} at 10 LMH and 33 °C; (iii) J_{20} at 12 LMH and 25 °C; and
635 (iv) J_{20} at 13.3 LMH and 20 °C.

636 **Figure 3.** Linear dependence of K_{20} upon MLTS and mathematical equation for three of the four
637 experimental series: J_{20} at 13.3 LMH and 33 °C; J_{20} at 10 LMH and 33 °C; and J_{20} at 13.3 LMH and 20°C.

638 **Figure 4.** Distribution of mean particle size during the experimental period: (i) J_{20} at 13.3 LMH and 33
639 °C; (ii) J_{20} at 10 LMH and 33 °C; (iii) J_{20} at 12 LMH and 25 °C; and (iv) J_{20} at 13.3 LMH and 20 °C.

640 **Figure 5.** Microscopic observation of mixed liquor at (a) mesophilic and (b) psychrophilic conditions
641 (bar = 100µm).

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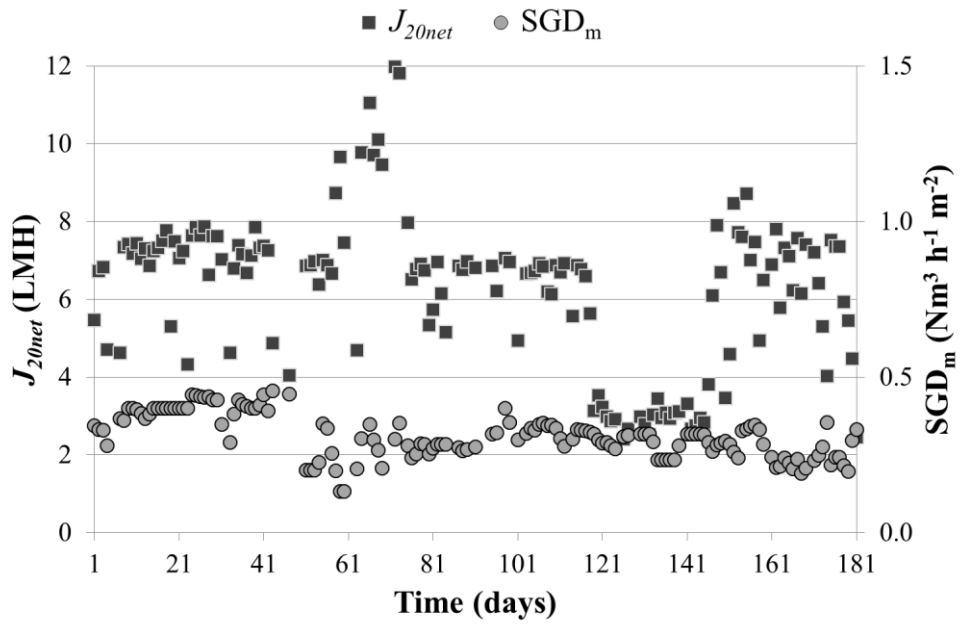
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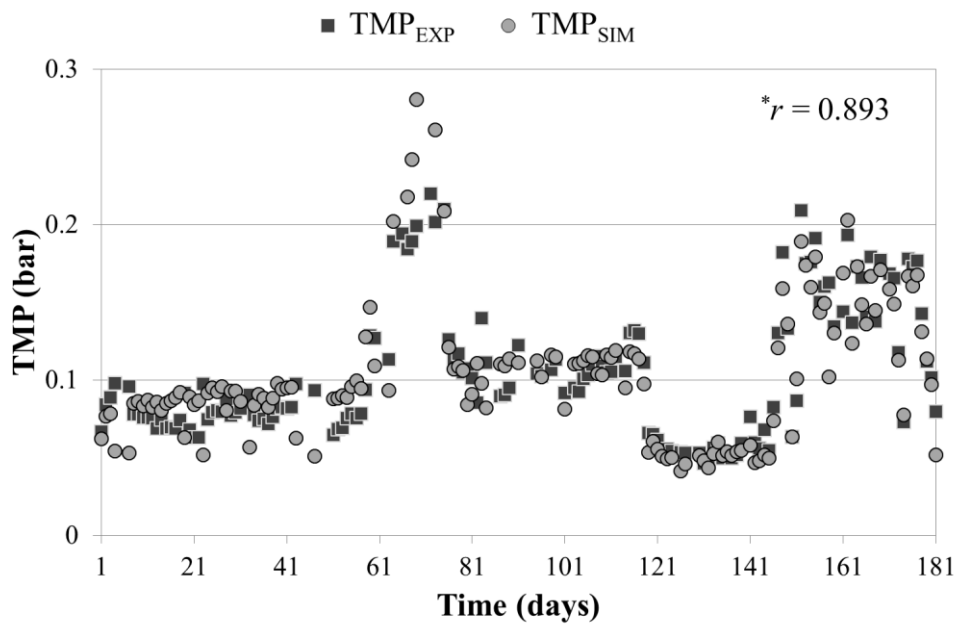
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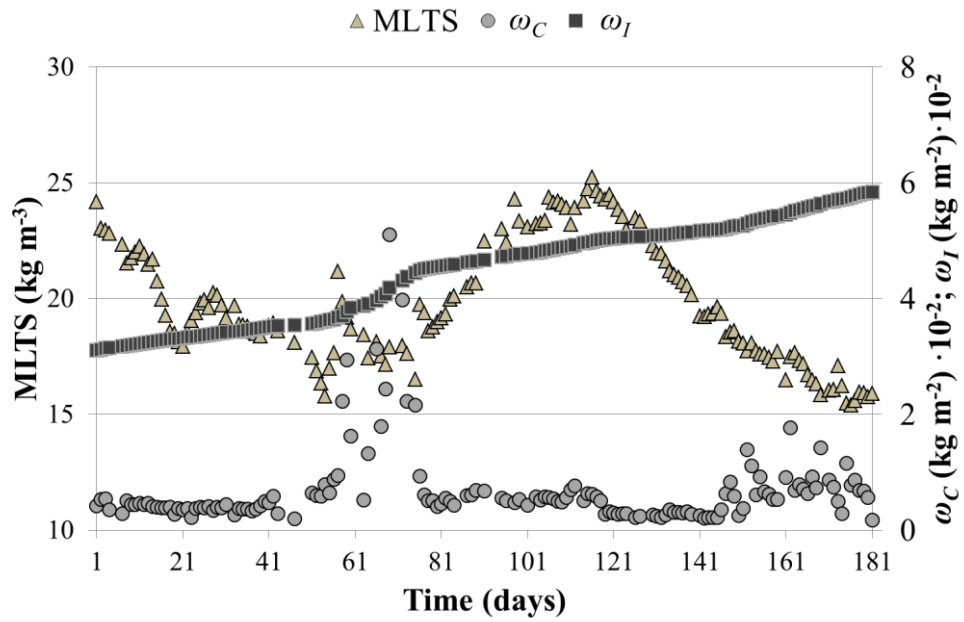
653 **Figure 1.** Long-term model validation using heavily-fouled membranes. Daily average values of: (a) J_{20}
 654 and SGD_m ; and (b) TMP_{EXP} and TMP_{SIM} . * r represents the Pearson Product-Moment correlation
 655 coefficient between TMP_{EXP} and TMP_{SIM} .

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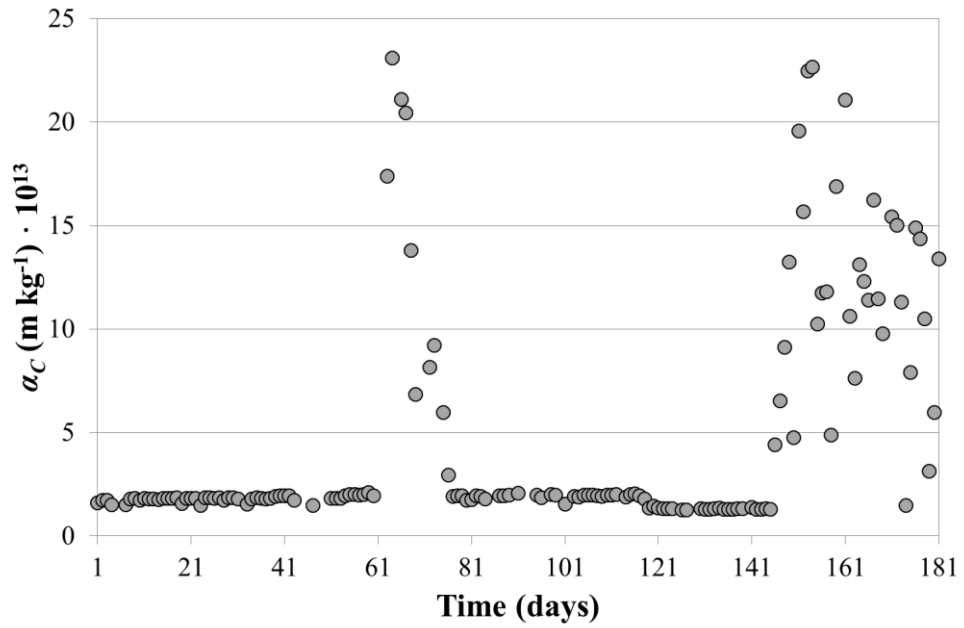
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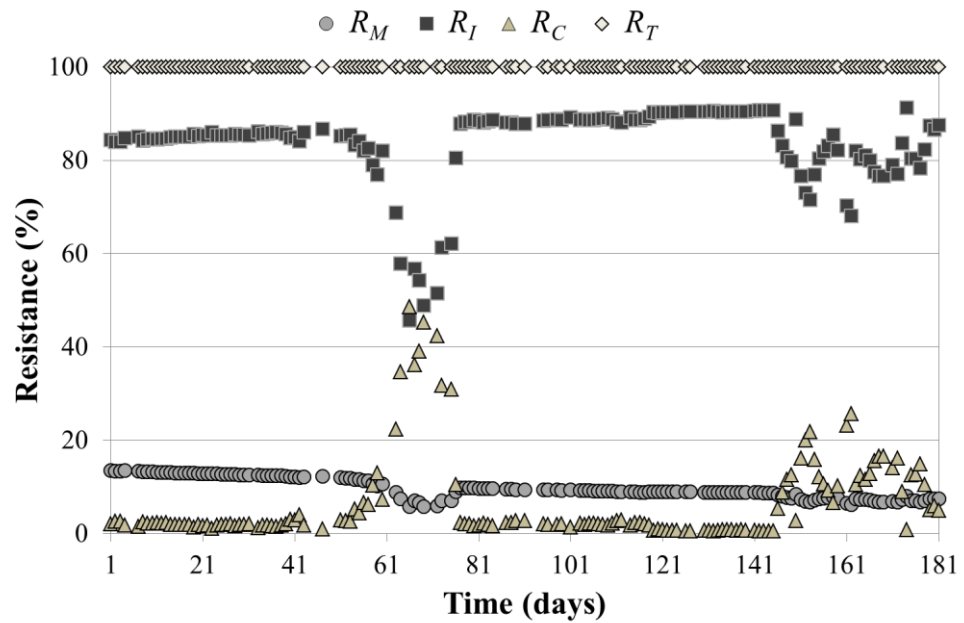
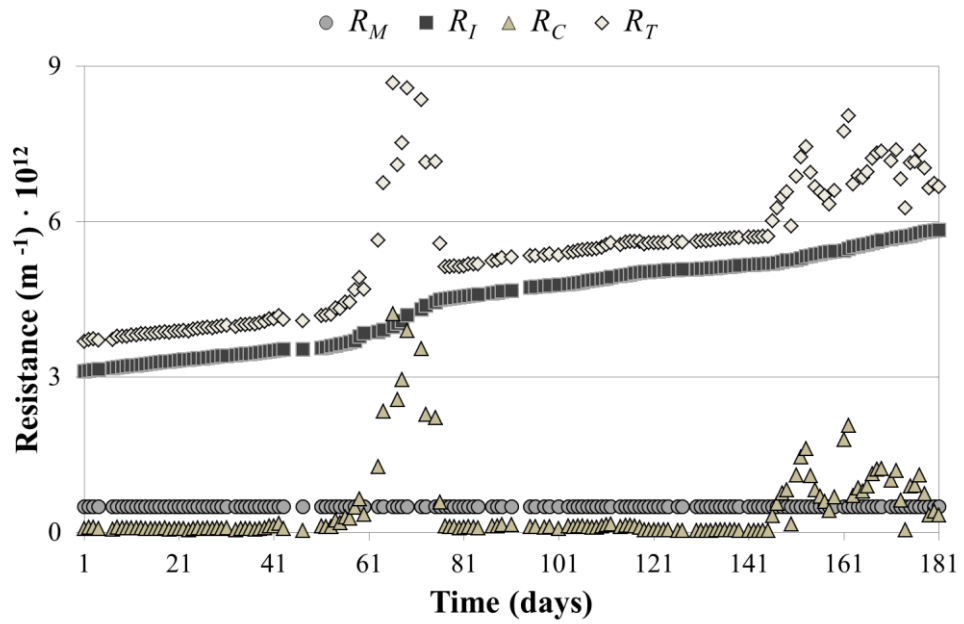
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664 **Figure 2.** Long-term model validation using heavily-fouled membranes. Daily average values of: (a)
665 MLTS, ω_C and ω_I , and (b) α_C .

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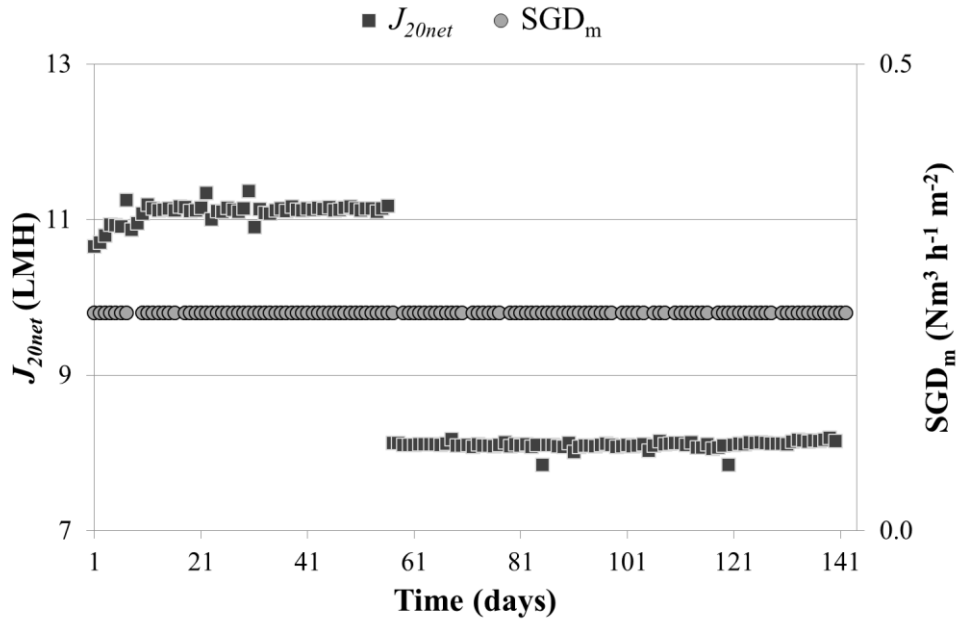


675 **Figure 3.** Long-term model validation using heavily-fouled membranes. Daily average values of R_M , R_I ,
 676 R_C and R_T in: (a) absolute terms (m^{-1}); and (b) weighted average distribution (%).

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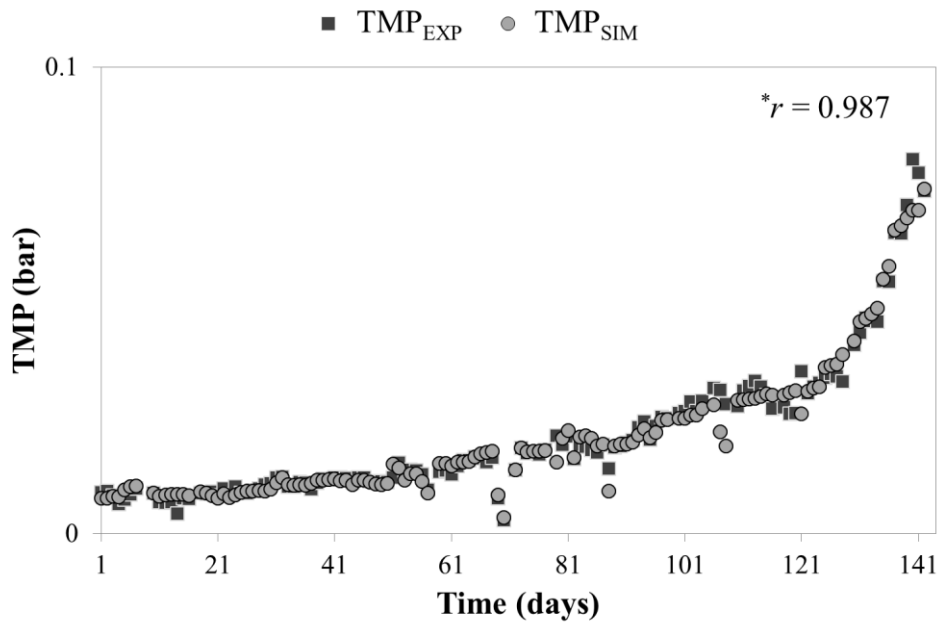
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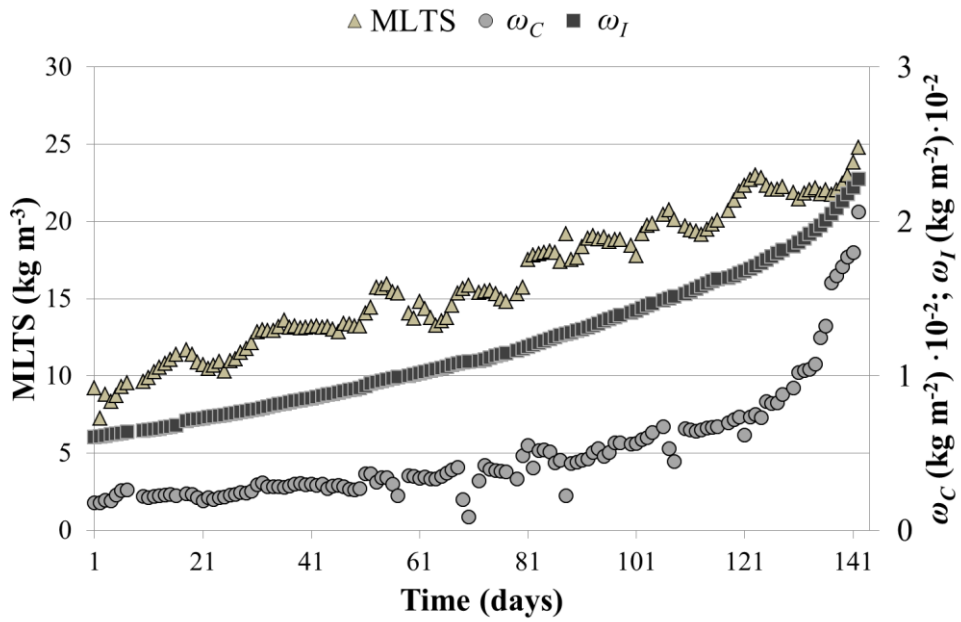
684 **Figure 4.** Long-term model validation using lightly-fouled membranes. Daily average values of: (a) J_{20}
 685 and SGD_m ; and (b) TMP_{EXP} and TMP_{SIM} . * r represents the Pearson Product-Moment correlation
 686 coefficient between TMP_{EXP} and TMP_{SIM} .

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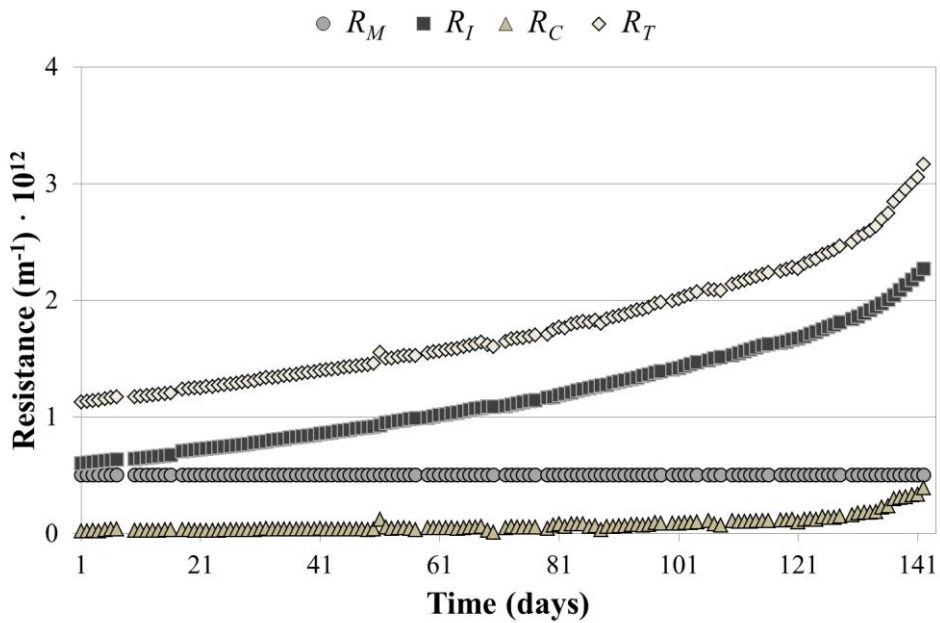
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695 **Figure 5.** Long-term model validation using lightly-fouled membranes. Daily average values of: (a)

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MLTS, ω_C and ω_I ; and (b) R_M , R_I , R_C and R_T .

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704 **Table 1.** Average values for the 20 °C-normalised transmembrane flux (J_{20}), 20 °C-normalised critical
 705 flux ($J_{C,20}$), controlled temperature (T), and hydraulic retention time (HRT) in each operating period. J_{20}
 706 was studied in MT1 and HRT in the system was controlled with MT2. $J_{C,20}$ determined in MT1 at MLTS
 707 of 23 g L⁻¹ and SGD_m of 0.23 Nm³ h⁻¹ m⁻². N.D.: not determined.

Variable	Period i (days 1 to 58)	Period ii (days 59 to 170)	Period iii (days 171 to 206)	Period iv (days 207 to 310)
J_{20} in MT1 (LMH)	13.3	10	12	13.3
$J_{C,20}$ in MT1 (LMH)	N.D.	14	13.5	14
Controlled T (°C)	33	33	25	20
HRT (h)	16.5	5.5, 9.5, 12	5.5	24.5

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728 **Table 2.** Average influent wastewater characteristics.

Parameter	Unit	Mean ± SD
TSS	mgTSS L ⁻¹	242 ± 189
VSS	mgVSS L ⁻¹	199 ± 148
Total COD	mgCOD L ⁻¹	459 ± 263
Soluble COD	mgCOD L ⁻¹	81 ± 23
VFA	mgCOD L ⁻¹	7 ± 6
SO ₄ -S	mgS L ⁻¹	107 ± 28
NH ₄ -N	mgN L ⁻¹	28.6 ± 9.0
PO ₄ -P	mgP L ⁻¹	3.1 ± 1.3
Alk	mgCaCO ₃ L ⁻¹	309.7 ± 44.8

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752 **Table 3.** Average sludge characteristics. Nomenclature: **SRB**: sulphate reducing bacteria; **MA**:
 753 methanogenic archaea; **SMP**: soluble microbial products; **EPS**: extracellular polymeric substances; **C**:
 754 carbohydrates; and **P**: proteins.

Parameter	Unit	Mean \pm SD	
		Mesophilic (33 °C)	Psychrophilic (20 °C)
SRB	%	6 \pm 2	3 \pm 1
MA	%	4 \pm 2	2 \pm 1
SRB + MA	%	10 \pm 4	5 \pm 2
Specific SMP _C	mg g ⁻¹ MLVS	5 \pm 1	2 \pm 1
Specific SMP _P	mg g ⁻¹ MLVS	82 \pm 3	14 \pm 5
SMP-P/C ratio	mgSMP _P mg ⁻¹ SMP _C	16.4	7.0
eEPS _C	mg g ⁻¹ MLVS	34 \pm 4	24 \pm 6
eEPS _P	mg g ⁻¹ MLVS	121 \pm 9	74 \pm 13
eEPS-P/C ratio	mgEPS _P mg ⁻¹ EPS _C	16.4	7.0

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