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Additional Information

1	Advanced control system for optimal filtration in submerged
2	anaerobic MBRs (SAnMBRs)
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14	Abstract
15	The main aim of this study was to develop an advanced controller to optimise
16	filtration in submerged anaerobic MBRs (SAnMBRs). The proposed controller was
17	developed, calibrated and validated in a SAnMBR demonstration plant fitted with
18	industrial-scale hollow-fibre membranes with variable influent flow and load. This
19	2-layer control system is designed for membranes operating sub-critically and
20	features a lower layer (on/off and PID controllers) and an upper layer (knowledge-
21	based controller). The upper layer consists of a MIMO (multiple-input-multiple-
22	output) control structure that regulates the gas sparging for membrane scouring and
23	the frequency of physical cleaning (ventilation and back flushing). The filtration
24	process is monitored by measuring the fouling rate on-line. This controller
25	demonstrated its ability to keep fouling rates low (close to 0 mbar min <sup>-1</sup> ) by applying
26	sustainable gas sparging intensities (approx. 0.23 Nm <sup>3</sup> h <sup>-1</sup> m <sup>-2</sup> ). It also reduced the
27	downtimes needed for ventilation and back-flushing (less than 2% of operating
28	time).
29	
30	

1	Keywords			
2	Advanced control syste	Advanced control system; energy savings; industrial-scale hollow-fibre membranes;		
3	knowledge-based contr	oller; submerged anaerobic MBR		
4				
5	Nomenclature			
6	Alk	carbonate alkalinity		
7	AnR	anaerobic reactor		
8	В	back-flush		
9	<i>B-1</i>	biogas recycling blower		
10	BRF	biogas recycling flow		
11	BRF <sub>MAX</sub>	maximum BRF		
12	BRF <sub>MIN</sub>	minimum BRF		
13	BRF <sub>SP</sub>	set point BRF		
14	$BRF_{SP}(t)$	BRF <sub>SP</sub> at sample time		
15	$BRF_{SP}(t - CT)$	BRF <sub>SP</sub> at previous sample time		
16	$\Delta BRF_{SP}$	modification in the BRF set point		
17	с	centre of Gaussian membership function		
18	CI	confidence interval		
19	CIP	clean in place		
20	COD	chemical oxygen demand		
21	CODs	soluble COD		
22	CODT	total COD		
23	СТ	control time		
24	DV	degasification vessel		
25	eFR <sub>C</sub>	error in $FR_C$		
26	$\Delta eFR_{C}$	difference in $FR_C$		
27	$\Delta eFRC$ (t)	difference in fouling rate error at control time		
28	$\Sigma eFR_{C}$	accumulated error in $FR_C$		
29	$\Sigma eFRC(t)$	accumulated error in fouling rate at control time.		
30	$\Sigma eFRC (t - CT)$	accumulated error in fouling rate at previous control time		
31	EPS	extracellular polymeric substances		

1	FC	frequency converter
2	FC-P11	rotating speed of permeate pump
3	FC-P12	rotating speed of sludge recycling pump
4	FIT	flow indicator transmitters
5	FIT-P11	permeate flow
6	FIT- P11 <sub>SP</sub>	permeate flow set point
7	FIT-P12	sludge flow entering membrane tank
8	F-R	filtration-relaxation
9	FR	fouling rate
10	FRc	FR related to cake-layer formation
11	$FR_{C}(t)$	$FR_C$ at sample time
12	FR <sub>C_SP</sub>	$FR_C$ set point
13	FR <sub>M</sub> '	intrinsic variation of FR due to change in $J_{20}$
14	$FR_{M'}(t)$	$FR_{M'}$ at sample time
15	FRT	measured FR
16	$FR_T(t)$	measured FR at sample time
17	FS	flat sheet
18	HF	hollow fibre
19	HN	high negative
20	HP	high positive
21	HRT	hydraulic retention time
22	HS <sup>-</sup>	total sulphide expressed as HS <sup>-</sup>
23	J	transmembrane flux
24	$J_{20}$	20 °C-normalised J
25	$\Delta J_{20}$	change in $J_{20}$
26	$\left(\frac{\partial J_{20}}{\partial t}\right)$	decrease in $J_{20}$ between two sample times
27	$\left(\frac{\partial J_{20}}{\partial t}\right)_{MAX}$	maximum decrease in $J_{20}$
28	<b>J</b> 20,MIN	minimum $J_{20}$
29	<b>J</b> 20,MIN ( <b>t</b> )	$J_{20,MIN}$ at sample time
30	<b>J</b> 20 SP	J <sub>20</sub> set point

1	$J_{20 SP}(t)$	$J_{20 SP}$ at sample time
2	% <b>J</b> <sub>20 SP</sub>	maximum decrease in $J_{20}$ referred to the established $J_{20SP}$
3	$\mathcal{G}J_{20SP}\left(t ight)$	$\% J_{20 SP}$ at sample time
4	Jc	critical flux
5	K	permeability
6	<b>K</b> <sub>20</sub>	20 °C-normalised K
7	%K20	maximum decrease in highest $K_{20}$ recorded during filtration
8	<b>K</b> 20,MAX,BF	maximum back-flushing $K_{20}$
9	<b>K</b> <sub>20,MAX,F</sub>	maximum $K_{20}$ during filtration
10	<b>K</b> 20,MIN	minimum K <sub>20</sub>
11	Kc	controller gain
12	<b>К</b> М',20	intrinsic membrane permeability
13	LN	low negative
14	LP	low positive
15	MBR	membrane bioreactor
16	MIMO	multiple-input-multiple-output
17	MLTS	mixed liquor total solids
18	MLTSAnR	MLTS in AnR (MLTS entering MT)
19	MLTS <sub>MT</sub>	MLTS in MT
20	MLTS <sub>MT,SP</sub>	set point of MLTS returning to AnR
21	MT	membrane tank
22	N	negative
23	NH4-N	ammonium measured as nitrogen
24	OLR	organic loading rate
25	OPC	OLE for process control
26	Р	positive
27	P-11	permeate pump
28	P-12	sludge recycling pump
29	PID	proportional-integrative-derivative
30	PIT	pressure indicator transmitter
31	PLC	programmable logic controller

1	PO <sub>4</sub> -P	orthophosphate measured as phosphorous
2	<b>R</b> <sub>C</sub>	cake-layer resistance
3	Rı	irreversible layer resistance
4	R <sub>M</sub>	membrane resistance
5	<b>R</b> <sub>T</sub>	total membrane resistance
6	SAnMBR	submerged anaerobic MBR
7	SCADA	supervisory control and data acquisition
8	SD	standard deviation
9	SGD <sub>m</sub>	specific gas demand per membrane area
10	$SGD_p$	specific gas demand per permeate volume
11	SISO	single-input-single-output
12	SIT	solids concentration indicator transmitter
13	SMP	soluble microbiological products
14	<i>SO</i> <sub>4</sub> - <i>S</i>	sulphate measured as sulphur
15	SRF	sludge recycling flow
16	SRF <sub>MAX</sub>	maximum SRF
17	SRF <sub>MIN</sub>	minimum SRF
18	<b>SRF</b> <sub>SP</sub>	SRF set point
19	SRT	sludge retention time
20	ST	sample time
21	Τ	temperature
22	TS	total solids
23	TSS	total suspended solids
24	t <sub>F,MAX</sub>	maximum filtering time
25	$\Delta t_{FR}$	time interval used in FR calculations
26	TMP	transmembrane pressure
27	TMP(t)	TMP at sample time
28	$TMP (t - \Delta t_{FR})$	<i>TMP at start of</i> $\Delta t_{FR}$
29	$\Delta TMP$	change in TMP
30	$\Delta TMP_{M'}$	change in TMP associated with $K_{M',20}$ due to a change in $J_{20}$
31	$\Delta TMP_{M'}(t)$	$\Delta TMP_{M'}$ at sample time

1	ТМР <sub>МАХ</sub>	maximum TMP
2	u	control action
3	V	ventilation
4	VFA	volatile fatty acids
5	VS	volatile solids
6	VS	volatile suspended solids
7	WWTP	wastewater treatment plant
8	Ζ	zero
9	ZMIN	minimum quantity of filtration phase data
10	σ	amplitude of Gaussian membership function
11	δ	modifying algebraic factor
12	η	permeate viscosity
13	$\mu(p)$	degree of membership of input variable p
14	t <sub>I</sub>	constant of integrative time
15	tD	constant of derivative time

## **1. Introduction**

In recent years there has been increased interest in the feasibility of using
SAnMBRs to treat municipal wastewater at ambient temperatures, focussing not only on
the main advantages of MBRs (i.e. clarified and partially disinfected effluent; smaller
environmental footprint of WWTPs) but also on the greater sustainability of anaerobic
rather than aerobic processes: low sludge production due to the low anaerobic biomass
yield, low energy consumption because no aeration is needed, and biogas generation
that can be used as an energy resource.

MBRs usually operate at high MLTS levels which contribute to membrane fouling:
one of the main handicaps of membranes [1]. Fouling reduces K and increases operating

and maintenance costs [2]. In this respect, MBR installations still consume more energy
than conventional activated sludge systems, calling for further study into economical
and sustainability considerations [3]. Therefore, one key operating challenge of
SAnMBR technology is how membrane performance can be optimised whilst
minimising membrane fouling – in particular the irreversible/permanent component that
cannot be eliminated by chemical cleaning and ultimately determines the membrane
lifespan [4, 5, 6].

8

9 One such fouling control strategy consists of operating membranes at sub-critical 10 filtration conditions [7] delimited by  $J_{C}$  [8, 9]. On the other hand, in order to minimise membrane fouling, a suitable physical and chemical membrane cleaning protocol must 11 12 be applied to given filtration conditions. Gas sparging intensity, usually measured as  $SGD_m$  or  $SGD_p$ , is one of the factors that affects  $J_C$  most (at a specific MLTS level). The 13 14 gas sparging intensity in each operating range must, therefore, be optimised in order to 15 minimise membrane fouling and maximise energy savings in SAnMBR systems. It is important to emphasise that aeration can account for up to 50 - 75% of all the energy 16 17 consumed by aerobic MBR technology [10]. Furthermore, minimising total operating downtime whilst using other physical cleaning protocols (relaxation and back-flushing) 18 19 is a major challenge that must be solved if SAnMBR technology is to become 20 economically feasible.

21

Several studies published recently have theoretically analysed and experimentally
validated the energy savings of different types of advanced control (mainly model-based
or knowledge-based) in aerobic MBR technology.

25

26 One of the model-based control systems, Drews *et al.* [11, 12], aimed to improve

1 the efficiency of the filtration process in MBR technology by applying mathematical 2 models to enable appropriate action to increase permeability over time. Busch et al. [13] proposed a model-based run-to-run (or run-by-run, batch-to-batch) process control 3 4 system that optimised the adjusted variables (filtration and back-flushing stages) after 5 each filtration cycle. However, the main drawback of such approaches is that the 6 complexity of the mechanisms involved makes it impossible to describe fouling exactly 7 or build a deterministic filtration model [14]. Due to the highly non-linear relations found throughout the physical separation processes and the large number of filtration 8 mechanisms, the results achieved by model-based controllers are only acceptable when 9 10 the process dynamics are bounded by a well-defined linear zone. 11 12 A variety of knowledge-based control laws, on the other hand, have been widely implemented in wastewater treatment in recent decades and been successful in several 13 MBR applications. Huyskens *et al.* [3] validated an advanced knowledge-based control 14 15 system that evaluated the reversible fouling propensity by using MBR-VITO (a specific on-line fouling measuring tool) [15]; Monclús et al. [16] developed and validated a 16 17 knowledge-based control module for optimising MBR start-up procedures and 18 minimising fouling; and Ferrero et al. [17, 18, 19] developed a knowledge-based control system to supervise filtration in aerobic MBRs, achieving considerable energy savings 19 (up to 21%) in membrane scouring. 20

21

Several simple operating strategies to control membrane fouling instead of
advanced controllers have been experimentally validated. Jeison and van Lier [20]
developed an on-line cake-layer management protocol that monitored critical flux
constantly and prevented excessive cake-layer from building up on the membrane
surface; Smith *et al.* [21] developed a control system to optimise back-flushing which

reduced the water needed for back-flushing by up to 40%; Vargas *et al.* [22] established
a control algorithm for fouling prevention which regulated back-flushing by constantly
measuring TMP and J; and Park *et al.* [23] studied how membrane fouling could be
reduced by successively increasing and decreasing the gas sparging intensities, and
recorded the effectiveness in reducing membrane fouling.

6

7 Nevertheless, further study is required into control strategies of this type (designed to save energy in SAnMBR technology on an industrial scale) due to the lack of 8 9 knowledge about fouling in anaerobic MBRs. In this respect, knowledge-based 10 controllers may be a powerful tool for filtration control in SAnMBR technology because 11 they are easily applied to non-linear processes. Fuzzy-logic controllers [24] in particular 12 can optimise a variety of processes in dynamic operating and loading conditions by applying valuable expert knowledge [25, 26, 27]. In addition, control strategies of this 13 14 type do not require a large amount of data and/or a rigorous mathematical model, and 15 also allow MIMO control schemes to be developed.

16

17 To gain more insight into the optimisation of a SAnMBR system on an industrial scale, we designed a new control approach to minimise energy consumption during sub-18 critical filtration in a SAnMBR demonstration plant. To obtain representative results 19 20 that could be extrapolated to full-scale plants, the SAnMBR system featuring industrial 21 HF membrane units was operated using effluent from the pre-treatment of the Carraixet WWTP (Valencia, Spain). The main aim was to design a competitive and feasible 22 23 control system capable of enhancing filtration in industrial-scale SAnMBR systems with 24 minimum operating costs. This advanced control system was developed taking 25 advantage of the industrially feasible on-line sensors now available for monitoring key 26 physical variables in filtration processes (i.e. pressure, flow and total solids).

- 2. Materials and methods
- 3

4

2

## 2.1. SAnMBR plant description

5

6 Figure 1 shows a simplified lay-out of the SAnMBR plant used in this study 7 including the main instrumentation and controllers. The plant consists of an anaerobic reactor with a total volume of 1.3 m<sup>3</sup> (0.4 m<sup>3</sup> head space for biogas) connected to two 8 membrane tanks each with a total volume of  $0.8 \text{ m}^3$  ( $0.2 \text{ m}^3$  head space for biogas). 9 10 Each membrane tank (MT) has one industrial HF ultrafiltration membrane unit (PURON<sup>®</sup>, Koch Membrane Systems (PUR-PSH31) with 0.05 µm pores). Each module 11 has a total membrane surface of  $30 \text{ m}^2$ . To recover the bubbles of biogas in the permeate 12 13 leaving the membrane tank, two degasification vessels (DV) were installed: one 14 between each MT and the respective vacuum pump. The funnel-shaped section of 15 conduit makes the biogas accumulate at the top of the DV. The resulting permeate is stored in the CIP tank. The two parallel membrane tanks make plant operating very 16 17 flexible because it can work with one membrane tank or the other or both. Moreover, each tank enables the resulting permeate to be constantly recycled back into the 18 19 anaerobic reactor, enabling different transmembrane fluxes to be tested without 20 affecting HRT. The filtration results given in this paper are experimental data obtained 21 from a membrane tank constantly recycling permeate back into the system. The HRTs 22 tested to assess biological performances were, therefore, obtained from another 23 membrane tank running in parallel.

24

Aspects of membrane operating taken into account included not only the classic
membrane operating stages (filtration, relaxation and back-flushing) but also

1	ventilation. In the ventilation stage, permeate is pumped into the membrane tank
2	through the degasification vessel instead of through the membrane. The aim of
3	ventilation is to recover the biogas that accumulates in the degasification vessel. Thus,
4	in terms of membrane cleaning, ventilation acts as a relaxation since no transmembrane
5	flux is applied whilst maintaining a given gas sparging intensity.
6	
7	For further details about this SAnMBR system, see Giménez et al. [28] and Robles
8	<i>et al.</i> [7].
9	
10	2.2. Monitoring system description
11	
12	Many on-line sensors and automatic devices were installed in order to automate and
13	control plant operating and provide on-line information about the state of the process
14	(see Figure 1). All instrumentation is labelled according to the name of the tank or
15	equipment (i.e. pump or blower) where the sensor is installed. The main features of the
16	installed equipment are: on-line availability and industrial feasibility, low-cost,
17	corrosion resistance, long lifespan, and low and easy maintenance. The instrumentation
18	is connected to a network system featuring several transmitters, a PLC and a PC to
19	perform multi-parameter control and data acquisition. Both the operating data logging
20	and the plant control are carried out by a SCADA system installed in the PC, which
21	centralises all the signals from the sensors and actuators installed in the plant. In
22	addition, the SCADA is linked to an OPC system that enables communication with
23	external dedicated applications featuring upper-layer controllers.
24	
25	The group of on-line sensors used in this study, shown in Figure 1, consists of the

contraction following: one solids concentration indicator transmitter (Hach Lange model TSS EX1

1	sc), $MLTS_{AnR}$ , located in the anaerobic reactor; two flow indicator transmitters
2	(Endress+Hauser model Proline Promag 50), FIT-P11 and FIT-P12, i.e. one for the
3	permeate pump (JUROP VL02 NBR, P-11) and another for the mixed liquor feed pump
4	(CompAir NEMO, P-12); one flow indicator transmitter (Iberfluid model VORTEX
5	84F), FIT-B1, for the membrane tank blower (FPZ 30HD, B-1); one pH-temperature
6	sensor (Endress+Hauser model Liquiline M pH-ORP CM42), pHT-MT, located in the
7	membrane tank; and one liquid pressure indicator transmitter (Endress+Hauser model
8	Cerabar M PMC41), PIT-P11, to monitor the TMP. The group of actuators used in this
9	study consists of a group of on/off flow-direction valves to control the different
10	membrane operating stages (filtration, back-flushing, ventilation), and three
11	frequency converters (Micromaster Siemens 420) FC-P11, FC-P12 and FC-B1 to
12	control the rotating speed of the permeate pump (P-11), the mixed liquor feed pump (P-
13	12) and the membrane tank blower (B-1), respectively.
14	
15	The composition of the biogas (CH <sub>4</sub> , CO <sub>2</sub> , H <sub>2</sub> and H <sub>2</sub> S) was measured online using
16	an X-STREAM enhanced analyser (EMERSON PROCESS Analytical GmbH). This
17	equipment combines four measuring channels: two non-dispersive infrared channels for
18	measuring $CH_4$ and $CO_2$ ; one thermal conductivity channel for measuring $H_2$ ; and one
19	non-dispersive ultraviolet channel for measuring H <sub>2</sub> S.
20	
21	2.3. Sampling and analytical monitoring
22	
23	The performance of the biological treatment was assessed by taking 24-hour
24	composite samples of influent and effluent plus grab samples of biogas and anaerobic
25	sludge once a day. The following parameters of influent, effluent and anaerobic sludge

26 were analysed: TS, VS, TSS, VSS, VFA, Alk, SO<sub>4</sub>-S, total sulphide (expressed as HS<sup>-</sup>),

1 nutrients (NH<sub>4</sub>-N and PO<sub>4</sub>-P), and COD<sub>T</sub> and COD<sub>S</sub>.

2

Levels of solids, COD, sulphate, total sulphide and nutrients were determined by
Standard Methods [29], and Alk and VFA levels by titration according to the method
proposed by WRC [30].

- 6
- 7 2.4. Operating conditions
- 8

9 The SAnMBR plant in this study was fed with effluent from the pre-treatment 10 phase of a full-scale urban WWTP (screening, degritter and grease removal). Table 1 shows the average properties of this influent wastewater. This highlights its significant 11 12 sulphate content in comparison with typical domestic wastewater, and also the wide 13 variation in influent loads as shown by the high standard deviation of each parameter. The uncertainty of each value takes into account both the SD of the different samples 14 15 analysed and the variation coefficient of the analytical methods. Table 1 also shows the median, minimum and maximum values and 95 % CI. 16 17

During the 3-year experimental period, the plant was operated continuously under a variety of operating conditions to study the biological process performance: SRT ranged from 20 to 70 days; HRT ranged from 5 to 24 hours, resulting in OLR of 0.5 to 2 kgCOD m<sup>-3</sup> d<sup>-1</sup>; and temperatures, from 14 to 33°C.

22

23 3. Advanced control system description

24

25 The proposed controller aims to optimise the filtration process in a SAnMBR

system, maintaining sub-critical filtration conditions and minimising operating costs. In

this respect, this control system aims to operate membranes at fouling rates close to zero
by modifying not only the gas sparging intensity for membrane scouring in the
membrane tank, but also the starting time and frequency of both ventilation and backflushing.

5

6 As Figure 1 shows, the proposed control system consists of a combination of 5 7 lower-layer controllers (3 PID, 1 proportional and 1 on/off) and 1 upper-layer controller 8 (decision-support controller). The lower-layer controllers are based on classic on-off 9 and feedback PID (proportional-integral-derivative) controllers consisting of SISO 10 control structures. The upper-layer controller allows the different set points for the 11 controlled variables in the lower-layer controllers to be established according to the data 12 gathered from the different sensors installed in the plant. The upper-layer controller is 13 based on knowledge-based theory and consists of a MIMO control structure.

14

15 *3.1. Lower-layer controllers* 

16

17 The group of lower-layer controllers used in this study, shown in Figure 1, consists 18 of the following: three PID controllers to adjust the rotating speed of the sludge recycling pump (P-12), the permeate pump (P-11) and the biogas recycling blower (B-19 1) by the corresponding frequency converter (FC-P12, FC-P11 and FC-B1 respectively) 20 21 in order to keep the corresponding flow close to its set point value; one on-off controller 22 that determines the membrane operating stage by changing both the position of the 23 corresponding on-off valves and the flux direction of the permeate pump; and one 24 proportional controller that determines the  $SRF_{SP}$  through the membrane tank 25 depending on the FIT-P11<sub>SP</sub> and the MLTS in the anaerobic reactor (measured by 26 MLTS<sub>AnR</sub>). The PID controllers were fine-tuned by trial and error.

2 The aim of the proportional controller is to reduce the energy consumption of both 3 sludge and permeate pumping. When the anaerobic reactor is operated at high MLTS 4 levels, the SRF must be high enough not only to maintain suitable levels of MLTS in 5 the membrane tank, but also to minimise the energy consumed by permeation. It must 6 be emphasised that, depending on the sludge concentration factor (the ratio between the 7 sludge flow entering the membrane tank and the net permeate flow), the MLTS in the 8 membrane tank could reach prohibitive levels. It must also be said that MLTS is a key 9 operating factor as regards membrane permeability [31] which therefore affects the 10 energy required for permeate pumping. Nonetheless, SRF must be minimised in order to 11 maximise energy savings since sludge pumping energy accounts for 15 - 20% of all the 12 energy consumed by aerobic MBR technology [10]. Hence, it is advisable for SRF to be regulated in order to optimise the economic feasibility of full-scale SAnMBR systems. 13 14 Therefore, the proposed advanced control system features a control strategy based on 15 proportional action taking into account both the MLTS entering the membrane tank and the permeate flux. 16 17

This proportional controller calculates the SRF<sub>SP</sub> by applying a simple mass balance (MLTS mass balance) to the membrane tank (see Eq. 1). The left and right sides of Eq.1 are the input and output terms of the mass balance, respectively. In this mass balance, the effluent MLTS concentration is assumed to be zero (see second term on the right side of Eq.1). Accumulation and generation terms are not considered.

24 
$$MLTS_{AnR} \cdot SRF = MLTS_{MT} \cdot (SRF - FIT-P11) + 0 \cdot FIT-P11$$
 (Eq. 1)

Hence Eq.1 can be used to calculate the SRF<sub>SP</sub> theoretically required to maintain a 1 2 given MLTS<sub>MT,SP</sub> as a function of the recorded values of MLTS<sub>AnR</sub> and FIT-P11 (see 3 Eq. 2). 4  $SRF_{SP} = \frac{FIT-P11 \cdot MLTS_{MT,SP}}{MLTS_{MT,SP} - MLTS_{ADR}}$ 5 (Eq. 2) 6 7 SRF<sub>SP</sub> was only modified within a pre-defined range delimited by the minimum and maximum flows provided by the sludge recycling pump:  $SRF_{MIN}$  (1.0 m<sup>3</sup> h<sup>-1</sup>) and 8  $SRF_{MAX}$  (2.7 m<sup>3</sup> h<sup>-1</sup>), respectively. 9 10 3.2. Upper-layer controller 11 12 13 The flow chart of the proposed upper-layer controller (Figure 2) shows how this upper-layer controller is divided in three subsections: (i) initialisation where the control 14 15 variables are calculated; (ii) a preliminary group of knowledge-based rules; and (iii) a fuzzy-logic controller. As mentioned before, this control system aims to operate 16 17 membranes sub-critically, keeping the fouling rate close to zero. Basically, the fouling rate is controlled by adjusting the BRF through the membrane tank by means of the 18 fuzzy-logic controller, and the membrane operating stage (filtration, ventilation or back-19 20 flushing) by the preliminary knowledge-based rules. In addition to the FR, the control 21 variables of this MIMO control structure are TMP, K and J.

22

23 *3.2.1. Determining the control variables* 

1 Control variables TMP and J were calculated by a 15 second, mobile average in 2 order to filter the typical signal noise from the corresponding sensors (ST set to 5 3 seconds). Therefore, a minimum quantity of filtration phase data ( $z_{MIN}$ ) was needed to 4 calculate the control parameter. The  $J_{20}$  was calculated using Eq. 3 in order to reflect the 5 dependence of  $\eta$  on T, and the  $K_{20}$  was calculated using a simple filtration model (Eq. 4) 6 that takes into account the TMP and  $J_{20}$  data monitored on-line. In this classic filtration 7 model,  $R_T$  was theoretically represented by  $R_M$ ,  $R_I$ , and  $R_C$ .

8

9 
$$J_{20} = J_T \cdot e^{-0.0239 (T-20)}$$
 (Eq. 3)

10 
$$K_{20} = \frac{1}{\eta \cdot R_T} = \frac{1}{\eta \cdot (R_M + R_I + R_C)} = \frac{J_{20}}{TMP}$$
 (Eq. 4)

11

As regards the control variable, i.e. the fouling rate, several techniques to monitor membrane fouling are described in literature. In most of them, however, membrane fouling cannot be measured on-line because they are too invasive and require subsequent chemical cleaning, or require new instrumentation which increases their operating costs [32]. In our study membrane fouling was measured on-line as the change in TMP over time (Eq. 5).

19 
$$FR_T(t) = \frac{\Delta TMP}{\Delta t} = \frac{TMP(t) - TMP(t - \Delta t_{FR})}{\Delta t_{FR}}$$
 (Eq. 5)

20

From Eq. 4 it can be assumed that any change in  $J_{20}$  ( $\Delta J_{20}$ ) results in a proportional change in TMP ( $\Delta TMP$ ) when treating clean water. In this case,  $K_{M',20}$  can be assumed to be constant and proportional to the sum of both the membrane and irreversible fouling resistances in series (see Eq. 6). Membrane and irreversible fouling resistances
 can be assumed to be constant because the tortuosity of both the membrane and the
 irreversible fouling layer is not expected to increase due to pressure in low-pressure
 filtration processes.

5

6 
$$K_{M',20} = \frac{1}{\eta \cdot (R_M + R_I)} = \frac{\Delta J_{20}}{\Delta T M P}$$
 (Eq. 6)

7

8 On the basis of this assumption, the fouling rate calculated by Eq. 5 was not 9 adopted as the control variable of the control system. The control variable adopted was 10  $FR_C$  calculated by Eq. 7. The intrinsic variation of the fouling rate caused by a change in 11  $J_{20}$  was not considered in order to minimise the total energy consumption since this 12 fouling rate component cannot be remedied/minimised by increasing BRF.  $FR_C$  variable 13 is obtained from the total measured fouling rate (Eq. 5) and the intrinsic variation of the 14 fouling rate due to a change in  $J_{20}$  (FR<sub>M'</sub>, Eq.8).

15

16 
$$FR_{C}(t) = FR_{T}(t) - FR_{M'}(t)$$
 (Eq. 7)

17

18 
$$FR_{M'}(t) = \frac{\Delta TMP_{M'}(t)}{\Delta t_{FR}}$$
 (Eq. 8)

19

In order to calculate  $\Delta TMP_{M'}$  using Eq. 6,  $K_{M',20}$  must be estimated. This is done by using the simple filtration model given in Eq. 4 during back-flushing, determining the maximum back-flushing permeability, i.e.  $K_{20,MAX,BF}$  which is considered to be the maximum filtering permeability of the membrane under study. This assumption is based on the fact that after a significant back-flushing period, cake layer resistance is

1	negligible, and the resulting membrane resistance is the sum of both membrane and
2	irreversible fouling resistances. This permeability is therefore calculated when the TMP
3	during back-flushing remains stable over time at a given J. This calculation is done once
4	a day when the maximum filtering time $(t_{F,MAX})$ is reached (see Figure 2). This
5	maximum filtering time (set to 1 day in this study) is defined in order to apply at least
6	one back-flush per day, when the filtration stage is not interrupted by other conditions
7	defined in the control system.
8	
9	Calculating maximum back-flushing permeability is an useful way of monitoring the
10	reduction in permeability during long-term membrane operating and deciding the right
11	time to conduct chemical membrane cleaning or recovery.
12	
13	In addition to $K_{20,MAX,BF}$ , $K_{20,MAX,F}$ was another input variable for the preliminary
14	knowledge-based rules. This variable was defined as the maximum $K_{20}$ calculated by
15	Eq. 4 during each filtration stage.
16	
17	Thus, as Figure 2 shows, the first subsection of the flow chart (i) represents all the
18	calculations needed to obtain the final values of the control variables at each CT: $FR_C$ ,
19	$K_{20}$ , TMP and $J_{20}$ . In this study, CT was set to 20 seconds.
20	
21	3.2.2. Preliminary knowledge-based rules
22	
23	Similar to Vargas et al. [22], different knowledge-based rules have been included in
24	the proposed advanced control system. The aim of these control rules was to decide
25	when to initiate both ventilation (also acting as relaxation) and back-flushing. An

1	additional rule designed to determine the right time for the chemical cleaning or
2	recovery of membranes was also taken into account.
3	
4	As Figure 2 shows (subsection ii), at each time interval between two control actions
5	(CT), the control system applies the different knowledge-based rules to decide whether
6	or not to start ventilation or back-flushing.
7	
8	3.2.2.1. Ventilation initiation
9	
10	As mentioned above, the aim of ventilation is to recover the biogas that
11	accumulates in the degasification vessel thus reducing the amount of methane expelled
12	with the effluent. For this reason, a degasification vessel was installed in the membrane
13	tank. This degasification vessel accumulates the biogas released from the extracted
14	permeate.
15	
16	Ventilation takes place when the system detects that some of the biogas accumulated
17	in the degasification vessel is extracted with the effluent during filtration. This is revealed
18	by the rotating speed of the permeate pump suddenly increasing to its maximum operating
19	value without reaching the permeate flow set point. Ventilation is activated at this stage
20	in order to recover the biogas remaining in the degasification vessel by recycling it into
21	the membrane tank. As mentioned before, ventilation causes membrane permeability to
22	fall to previous values because it acts as relaxation in terms of membrane physical
23	cleaning. The corresponding control action is expressed by Rule 1.
24	
25	$IF\left[J_{20}\left(t\right) < J_{20,MIN}\left(t\right)\right] AND\left[\left(\frac{\partial J_{20}}{\partial t}\right) > \left(\frac{\partial J_{20}}{\partial t}\right)_{MAX}\right] THEN \left[Ventilation \ stage\right] $ (Rule 1)

1	$J_{20,MIN}(t)$ is calculated by Eq. 9.
2	
3	$J_{20,MIN}(t) = \% J_{20_{SP}} \cdot J_{20_{SP}}(t) $ (Eq. 9)
4	$%J_{20 SP}(t)$ was set to 95% in our study.
5	
6	3.2.2.2. Back-flushing initiation
7	
8	Back-flushing minimises the long-term build-up of a reversible cake layer on the
9	membrane surface. Two different rules for back-flushing initiation were defined in the
10	proposed advanced control system: (1) when membrane permeability is below a
11	minimum value (Rule 2); and (2) when a maximum TMP value (Rule 3) is reached.
12	
13	$IF\left[K_{20}\left(t\right) < K_{20,MIN}\right]THEN\left[Back - flushing stage\right] $ (Rule 2)
14	$K_{20,MIN}$ is calculated by Eq. 10.
15	
16	$K_{20,MIN} = \% K_{20} \cdot K_{20,MAX,F}$ (Eq. 10)
17	$\% K_{20}$ was set to 65% in our study.
18	
19	$IF [TMP (t) > TMP_{MAX}] THEN [back - flushing stage] $ (Rule 3)
20	$TMP_{MAX}$ was set to 450 mbar in our study.
21	
22	3.2.3. Fuzzy-logic controller
23	
24	The fuzzy-logic controller determines the variation in the set point of the biogas

1 recycling flow (i.e. 
$$\Delta BRF_{SP}$$
) on the basis of three inputs obtained from the estimated  
2 fouling rate caused by cake-layer formation, i.e. error (Eq. 11), accumulated error (Eq.  
3 12) and error difference (Eq. 13). The structure of this controller is, therefore, a fuzzy  
4 version of the classical PID.  
5  
6  $eFR_{c}(t) = FR_{c}(t) - FR_{c,SP}$  (Eq. 11)  
7  
8  $\Sigma eFR_{c}(t) = \Sigma eFR_{c}(t - CT) + CT \cdot eFR_{c}(t)$  (Eq. 12)  
9  
10  $\Delta eFR_{c}(t) = eFR_{c}(t) - \delta \cdot eFR_{c}(t - CT)$  (Eq. 13)  
11  
12 The fouling rate error difference variable calculated by Eq. 13 will be negative or  
13 positive depending on whether or not the fouling rate error tends to zero because this  
14 equation features a modifying algebraic factor ( $\delta$ ) which is defined in Eq. 14.  
15  
16  $\delta = \frac{eFR_{c}(t) \cdot eFR_{c}(t - CT)}{|eFR_{c}(t) \cdot eFR_{c}(t - CT)|}$  (Eq. 14)

18 Although a classical PID controller could have been used, the fuzzy-logic based 19 controller was preferred because of strong non-linear relations between the input and 20 output of the filtering process (several factors affect membrane performance 21 considerably). Fuzzy-logic controllers are suitable for systems which are extremely non-22 linear and also for processes that are too complex to be analysed using conventional 23 quantitative techniques or when available sources of information are subjective, inexact 24 or unreliable. Well-developed fuzzy logic controllers can generalise to a great extent 25 and can easily be developed and fine-tuned by an experienced plant operator because

fuzzy logic is much closer to human reasoning and natural language than traditional
 control algorithms.

3

### 4 *3.2.4. Description of fuzzy-logic controller structure*

5

6 The fuzzy-logic controller has five stages. In the first stage the input variables 7  $(eFR_C, \Delta eFR_C \text{ and } \Sigma eFR_C)$  are calculated from the estimated fouling rate due to cake-8 layer formation (see Eq. 11 to 13). Once the input variables are calculated, in the 9 fuzzification stage (stage 2) the input variables are converted into linguistic variables 10 (fuzzy set) represented by membership functions. The proposed controller used 11 Gaussian membership functions (see Eq 15) because they produce smooth controller 12 output. Three Gaussian membership functions were considered for each input: N, Z and 13 Р.

14

15 
$$\mu(p) = exp\left(-\frac{(p-c)^2}{2 \cdot \sigma^2}\right)$$
 (Eq. 15)

16

17 The output variable of the controller is  $\triangle BRF_{SP}$ . In the defuzzification stage of this 18 output variable, four singleton membership functions were defined as output linguistic 19 variables: *HN*, *LN*, *LP* and *HP*.

20

In stage 3, the inference engine, a set of rules is applied to the fuzzy sets obtained in stage 2. Table 2 shows the inference rules defined for the proposed fuzzy-logic controller. As Table 2 shows, each inference rule consists of an *if-then* fuzzy implication. Each inference rule is built by the fuzzy intersection (*AND*) of two input fuzzy sets (*N*, *Z*, *P*) from the input variables ( $eFR_C$ ,  $\Delta eFR_C$ ,  $\Sigma eFR_C$ ). Each fuzzy

1	intersection results in one fuzzy output set (HN, LN, LP, HP) for the output variable
2	( $\Delta BRF_{SP}$ ). The degree of membership ( $\mu$ ) of each input fuzzy set is given by the
3	corresponding Gaussian membership function in the range [0, 1]. When $\mu$ is zero, the
4	corresponding rule is inactive and does not contribute to the output.

Because the proposed filtration control system is hierarchical, the priorities for
applying Table 2 rules are different from those of the preliminary group of knowledgebased rules. The filtration control system prioritises the preliminary group of
knowledge-based rules, so when a knowledge-based rule is initiated the controller is
initialised and no fuzzy-logic controller action is applied (see Fig. 2, subsection ii).
Otherwise, when no knowledge-based rule is initiated, Table 2 rules are applied (see
Fig. 2, subsection iii).

The output linguistic variables (fuzzy output sets) were obtained in this stage by
applying Larsen's fuzzy inference method [33] using the Max-Prod operator. Hence, for
each rule defined in Table 2, the operator represented by Eq. 16 was applied (where *i*represents each inference rule defined and *j* represents each of the input fuzzy sets in
rule *i*).

$$20 \quad \mu_i = \prod_1^j \mu_j \tag{Eq. 16}$$

The operator expressed in Eq. 17 (where *k* represents each of the linguistic
variables defined for the output variable) was then applied to establish just one
linguistic output value when the consequences of different rules are the same (i.e. the
consequence results in the same linguistic output variable).

During defuzzification (stage 4), linguistic variables are converted into the
corresponding numerical control actions. Hence, in order to obtain a single output value
from the fuzzy linguistic set, the Height Defuzzifier method [34] was employed (see Eq.
18).

7

8 
$$\Delta BRF_{SP}(t) = \frac{\Sigma(c_k \cdot \mu_k)}{\Sigma(\mu_k)}$$
 (Eq. 18)

9

Finally, stage 5 is the output stage where the numerical control action of the fuzzylogic controller is obtained, i.e. the set point of the biogas recycling flow. The control action of the fuzzy logic controller is expressed by Eq. 19, giving the integral output action necessary for set-point tracking.

14

15 
$$BRF_{SP}(t) = BRF_{SP}(t - CT) + \Delta BRF_{SP}(t)$$
 (Eq. 19)

16

17 The biogas recycling flow was only modified within a defined range to avoid 18 operating problems, taking into account the following constraints: the minimum biogas 19 recycling flow needed for the membranes to operate and the maximum biogas recycling 20 flow provided by the blower. These are  $BRF_{MIN}$  (5.5 Nm<sup>3</sup> h<sup>-1</sup>, i.e. an SGD<sub>m</sub> of 0.18 Nm<sup>3</sup> 21 h<sup>-1</sup> m<sup>-2</sup>) and  $BRF_{MAX}$  (11 Nm<sup>3</sup> h<sup>-1</sup>, i.e. an SGD<sub>m</sub> of 0.37 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup>), respectively. 22 23 **4. Results and discussion** 

25 To account for the considerable fluctuations in the influent flows of WWTPs, we

used the standard dry weather influent records (updated in 2006) recommended by Copp
[35] which are generally accepted for evaluating control algorithms in WWTPs. The
influent flow dynamics were calculated by applying a dynamic peak flow factor
(calculated on the basis of the above-mentioned influent file) to an influent flow base of
225 L h<sup>-1</sup>. The permeate flow was then set using the same time-series behaviour as for
the influent.

7

8 The influent flow base (225 L h<sup>-1</sup>) was established on the basis of the lifetime of the 9 membranes used. It is important to emphasise that the proposed control system was 10 calibrated and validated using a two-and-a-half-year-old membrane. Permeability was 11 expected to be low because this membrane was used constantly and never underwent 12 any physical or chemical cleaning.

13

14 *4.1. Performance of sludge recycling flow controller* 

15

Figure 3 illustrates the performance of the sludge recycling flow controller during
one day of operation (day 16). This figure shows the evolution of SRF<sub>SP</sub> and SRF
throughout the membrane tank, FIT-P11 and FIT-P11<sub>SP</sub>, and FC-P12.

19

As Figure 3 shows, SRF was adjusted proportionately to permeate flow. In this operating period  $MLTS_{AnR}$  remained almost constant, varying from around 17.2 to 17.5 g L<sup>-1</sup>, whilst  $MLTS_{MT,SP}$  was set to 20 g L<sup>-1</sup>. From hours 3 to 9, and 10.5 to 11.5, the minimum rotating speed for the sludge recycling pump and maximum SRF were reached, respectively. Therefore, the controller was not able to set the SRF to the expected set point. Nevertheless, the controller generally allowed MLTS to remain close to its set point in the membrane tank (checked by the corresponding lab measurements), 1 thereby enabling an overall reduction in the energy consumed during filtration.

3	For instance, for our case study, comparing the results shown in Figure 3 (average
4	SRF of 1.7 $\text{m}^3 \text{h}^{-1}$ ) with those obtained when operating at a set SRF of 2.7 $\text{m}^3 \text{h}^{-1}$ , energy
5	savings of up to 50% are obtained in sludge pumping (calculated theoretically using the
6	classical mechanical energy balance). This means that the energy demand for sludge
7	pumping could be reduced from approximately 0.06 to 0.03 kWh m <sup>-3</sup> .
8	
9	4.2. Performance of knowledge-based rules
10	
11	As mentioned before, the aim of the knowledge-based rules is to determine the best
12	time to start ventilation and back-flushing.
13	
14	4.2.1. Ventilation initiation
15	
16	Figure 4 shows how the knowledge-based rule concerning ventilation initiation
17	performed on one operating day (day 16). Figure 4a shows FIT-P11 <sub>SP</sub> and the
18	membrane operating mode. Figure 4b shows the recorded $J_{20}$ and $J_{20,SP}$ , $J_{20,MIN}$ , and FC-
19	P11.
20	
21	Figure 4a shows how ventilation frequency increases as permeate flow increases.
22	This increase in ventilation frequency is related to the amount of biogas in the permeate
23	leaving the system. In this respect, the higher the permeate flow, the greater the amount
24	of biogas extracted. Therefore, ventilation frequency increases in order to recover as
25	much biogas as possible from the top of the degasification vessel. No ventilation was
26	conducted between hours 4 and 9 approximately due to the lower vacuum strength

applied for filtration (i.e. low transmembrane fluxes were applied), resulting in little
biogas being extracted with the effluent. On the other hand, it must be emphasised that
each ventilation stage constituted a relaxation stage in terms of membrane scouring,
resulting in a partial improvement in membrane permeability.

5

6 Figure 4b shows the ventilation initiation times calculated by the respective 7 knowledge-based rule. As mentioned before, the controller triggers ventilation when a 8 sharp increase in the rotating speed of the permeate pump is detected but the 9 corresponding J<sub>20</sub> set point is not maintained. This situation was observed 24 times 10 during the operating period shown in Figure 4. It is important to emphasise that Figure 4 illustrates the higher ventilation frequency observed throughout the experimental period 11 12 that includes controller validation. This frequency means a ventilation downtime of 13 around 1.4% of operating time. This value is considerably lower than the average fullscale results from aerobic MBR technology found in literature. For instance, Judd and 14 15 Judd [1] reported a relaxation downtime of around 10% of the operating time in both FS and HF configurations. Therefore, considerable energy savings may be achieved by 16 17 using the rule-based controller rather than the fixed membrane operating sequences provided by membrane suppliers. 18

19

20 *4.2.2. Back-flushing initiation* 

21

Figure 5 shows how the knowledge-based rules concerning the start of backflushing performed during one day of operation (day 16). Figure 5a shows TMP,
TMP<sub>MAX</sub> and membrane operating mode. Figure 5b shows K<sub>20,MAX,F</sub> and K<sub>20,MIN</sub>, and

 $25 \qquad K_{20} \text{ calculated over time using on-line T, TMP and J data.}$ 

1	Figure 5a shows three back-flushing starts during the experimental period. Rule 2
2	was applied at hours 2.7 and 12. As Figure 5b shows, $K_{20}$ declined considerably (35%)
3	during filtration, which triggered back-flushing. On the other hand, Rule 3 triggered
4	back-flushing at hour 11.5 because the maximum TMP set for membrane operation
5	(0.45 bars) had been reached.
6	
7	Hence, as Figure 5 shows, back-flushing downtime accounted for around 0.2% of
8	operating time. Similar results were observed throughout the experimental period in
9	which controller validation took place. This downtime is also considerably lower than
10	the average results reported for full-scale aerobic MBR technology in literature, i.e.
11	back-flushing downtime of around $6-9\%$ of operating time dedicated to treating urban
12	wastewater aerobically [1]. This gives a total average downtime for physical cleaning
13	(relaxation and back-flushing) of around $16 - 19\%$ of operating time when using HF
14	technology to treat urban wastewater aerobically (instead of the downtime of approx.
15	1.6% obtained in the period shown in Figures 4 and 5).
16	
17	4.3. Performance of fuzzy-logic controller
18	
19	An example of how the control system performed after calibration (day 16) is
20	shown in Figure 6. The fuzzy-logic controller was adjusted by means of the classic trial
21	and error method.
22	
23	Figure 6a illustrates the evolution of FIT-P11 and FIT-P11 <sub>SP</sub> (fixed by the dry
24	weather influent dynamics records proposed by Copp), and also BRF and $BRF_{SP}$
25	resulting from the control action. Figure 6b also shows BRF and $BRF_{SP}$ , plus $FR_C$ and
26	$FR_{C_{SP}}$ . The fouling rate set point was set to 0 mbar min <sup>-1</sup> in order to keep filtration

- 1 conditions sub-critical.
- 2

As can be observed in Figure 6, a fast controller response was achieved to
compensate the fouling rate error (see, for instance, hours 20 to 24). In this respect, even
when a dynamic influent flow set point was applied, the control response was able to
keep the controlled variable close to the established set point by modifying BRF.

7

As Figure 6b shows, the controller operated mainly at the minimum threshold value 8 established for BRF (5.5 Nm<sup>3</sup> h<sup>-1</sup>) as, for instance, in hours 2 to 7. In this period an 9 10 excessive gas sparging intensity could have been applied for membrane scouring because the minimum BRF was reached. Between hours 9 to 12, on the other hand, BRF 11 reached its maximum established value (11 Nm<sup>3</sup> h<sup>-1</sup>). During this period the fouling rate 12 increased because it was not possible to maintain the controlled variable around its set 13 point. This behaviour can be also observed from hours 20 to 24. In this situation, it can 14 15 be assumed that critical filtration conditions were exceeded. It must once again be emphasised that the controller was validated using a two-and-a-half-year-old membrane, 16 17 resulting in low membrane permeability due to the irreversible fouling on the surface of the membrane during its lifetime. Consequently, it is expected that the permeate flux 18 19 could be set to considerably higher values after chemical membrane cleaning, probably 20 requiring no increase of the gas sparging intensity.

21

Figure 6 shows that the fuzzy-logic controller proposed in this study performed adequately: the fouling rate remained close to its set point when there were no constraints on the gas sparging intensity. Indeed, in spite of the considerable variation in the permeate flux the controlled variable remained at quite suitable values, highlighting that the proposed fuzzy-logic controller performed well under conditions similar to

- 1 those expected in full-scale SAnMBR systems.
- 2

#### 3 *4.4. Overall performance of the advanced control system*

4

5 Figure 7 shows the average daily membrane performance logged whilst using the 6 control system for one month. The average MLTS concentration entering the membrane 7 tank during the operating period ranged from around 16 to 18 g L<sup>-1</sup>. This variation in MLTS was caused by the dynamics of the influent flow and load entering the 8 demonstration plant. The results shown in Figure 7 can be divided in two different 9 10 periods: whilst the controller was not calibrated (until day 9) and when fully adjusted (after day 9). Before the advanced control system was implemented, the membranes 11 12 were operated by time-based filtration sequences (resulting in a  $J_{20}$  of 8 LMH) with constant gasification intensity (SGD<sub>m</sub> of 0.35 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup>). The time-based filtration 13 sequences entailed a specific schedule consisting of a combination of different 14 15 individual stages (back-flushing, degasification and ventilation) taken from a basic F-R cycle. The time-based operating mode was as follows: a 300-second basic F-R cycle 16 17 (250 s filtration and 50 s relaxation), 30 seconds of back-flush every 10 F-R cycles, 40 seconds of ventilation every 10 F-R cycles, and 30 seconds of degasification every 50 18 F-R cycles. 19

20

The savings made in specific gas demand (SGD<sub>m</sub>, SGD<sub>P</sub>) after implementing the
proposed control system (in comparison with the previous time-based membrane
operating mode) is shown in Figure 7 as a clear area (i.e. the difference between the
applied specific gas demand and the maximum y-axis value: 0.35 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup>).
Comparing the results shown in Figure 7 with those of the previous operating period in
which membranes were operated at a fixed BRF of 0.35 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup>, reveals energy

savings during membrane scouring of up to 60% (calculated theoretically by
 considering the energy needed for adiabatic compression according to the classic
 mechanical energy balance). Indeed, the energy demand for membrane scouring was
 reduced from approx. 0.36 to 0.15 kWh m<sup>-3</sup>.

5

6 As Figure 7 shows, even whilst operating sub-optimally (until day 9), the controller 7 allowed a slight reduction in the energy required for membrane scouring. On the other 8 hand, after tuning the control system, an SGD<sub>m</sub> of around 0.23 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup> was enough 9 to operate the two-and-a-half-year-old membranes sub-critically (see Figure 7a). As a 10 result, the SGD<sub>P</sub> was reduced by up to 25%. These values resulted in an SGD<sub>P</sub> of around 30, operating with average permeability of 40 LMH bar<sup>-1</sup>. In this respect, quite 11 stable average TMP values (around 0.18 bars) were achieved when operating with an 12 13 average  $J_{20}$  of 8 LMH.

14

15 Taking into account how long membranes last if not chemically cleaned or recovered (as reflected by the low permeability values), the results shown in this study 16 predict that operating a full-scale SAnMBR using the proposed advanced control system 17 would be quite sustainable. For instance, Judd and Judd [1] reported average SGD<sub>m</sub> and 18 SGD<sub>P</sub> values of 0.57 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup> and 27.5, respectively, in full-scale WWTPs treating 19 urban wastewater with submerged aerobic MBRs featuring flat-sheet membranes. The 20 same authors reported average SGD<sub>m</sub> and SGD<sub>P</sub> values of 0.3 Nm<sup>3</sup> h<sup>-1</sup> m<sup>-2</sup> and 16, 21 22 respectively, when the membranes were hollow-fibre. These full-scale aerobic operating 23 results are similar to the results obtained in our study because the MLTS levels applied in our study (approx. 20 g  $L^{-1}$ ) were higher than those in aerobic MBRs (ranging from 24 around 12 to 18 g  $L^{-1}$ ). In addition, the lifespan of the membranes in our study must be 25 26 taken into account.

2	As regards the physical cleaning stages, the average ventilation and back-flushing
3	frequencies were about 21 and 5, respectively. The total downtime caused by physical
4	cleaning therefore accounted for less than 2% of operating time.
5	
6	Table 3 summarises the average SAnMBR performance when operating on a time-
7	based mode and the performance of the proposed filtration control system, showing that
8	far greater energy savings could be achieved by the proposed control system than the
9	time-based fixed operating mode.
10	
11	The proposed advanced control system enables adequate filtration performance;
12	makes use of the on-line equipment available in the plant; and is user-friendly and
13	adaptable to new operating requirements.
14	
15	4.4. Overall performance of the SAnMBR system
16	
17	As mentioned earlier, the filtration system controller was tested using a membrane
18	tank that continuously recycled the permeate back into the system. As Figure 4a shows,
19	the permeate flow ranged from about 135 to 400 L $h^{-1}$ (225 L $h^{-1}$ on average). As
20	regards designing a full-scale plant, the findings set forth in this paper would be useful
21	for determining the reaction volume giving the HRT needed to ensure that the biological
22	process performs adequately.
23	
24	Previous research on this SAnMBR system has shown that acceptable COD
25	removal efficiencies (of around 90%) can be accomplished in a wide range of operating
26	conditions: SRT of 20 - 70 days, ambient temperature conditions (14 - 33 °C), OLR of

0.5 - 2 kgCOD m<sup>-3</sup> d<sup>-1</sup>, and HRT of 5 - 24 hours. These results shows that this SAnMBR
system would be able to treat the organic load occurring at the peak flow simulated in
this study by applying Copp's influent data.

4

Biogas was produced at a significant rate on average (around 100 L d<sup>-1</sup>) throughout 5 6 the experimental period. A fraction of the biogas stored in the anaerobic reactor head 7 space was recycled through the membrane tanks to scour them which enabled the ORP 8 and pH in the mixed liquor to remain relatively stable at around 450-500 mV and 6.5-7.1, respectively. An equilibrium between liquid and gas phases in SAnMBR systems 9 10 was observed [36], i.e. the  $CO_2$  content of the effluent was similar to the  $CO_2$  saturation 11 point. Hence, most of the CO<sub>2</sub> produced remained in the mixed liquor and acted as a pH 12 buffer. This was confirmed by the high Alk content of the mixed liquor (around 600 mgCaCO<sub>3</sub>  $L^{-1}$  during the operating period), in comparison with the influent Alk (around 13  $332 \text{ mgCaCO}_3 \text{ L}^{-1}$ ). This behaviour highlights the importance of scouring the 14 15 membranes with a fraction of the biogas produced by SAnMBR systems because according to recent literature, pH is a key factor in membrane fouling [37, 38]. 16 17

As regards the impact of SRT on membrane fouling, a considerably higher 18 propensity to irreversible fouling was observed when SRT was 20 days rather than 70 19 20 days. This was attributed mainly to the fact that EPS and SMP concentrations were 21 higher when SRT was lower (data not shown). Furthermore, it is well known that at any given reactor volume, the higher the SRT, the higher the MLTS in the system. MLTS 22 23 directly reduces K [31], resulting in higher operating costs. Therefore, a compromise must be struck between SRT and MLTS levels in order to minimise both irreversible 24 25 membrane fouling and operating costs. On the basis of the results obtained, we propose that SAnMBR systems be operated with MLTS levels of approximately 15 to 20 g  $L^{-1}$  in 26

- 1 the membrane tank and a minimum SRT of 40 days.
- 2

# **3 5. Conclusions**

5	An advanced control system designed to control filtration in SAnMBR systems has
6	been developed, fine-tuned and validated. It consists of lower-layer controllers (classical
7	on-off and PID controllers) and an upper-layer (knowledge-based) control. The results
8	of this study suggest that the proposed control system is promising: low fouling rates
9	(almost 0 mbar min <sup>-1</sup> ) were achieved by applying sustainable gas sparging intensities
10	(approx. 0.23 $\text{Nm}^3 \text{ h}^{-1} \text{ m}^{-2}$ ). Moreover, ventilation and back-flushing downtimes were
11	reduced considerably (to around 2% of total operating time) in comparison with full-
12	scale aerobic MBRs.
13	
14	Acknowledgements
15	
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19	and GVA-ACOMP2011/182), which are gratefully acknowledged.
20	
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## **1** Figure and table captions

2

3 Figure 1. Simplified lay-out of the SAnMBR demonstration plant where the control system was

4 designed.

<b>5 Figure 2.</b> Flow chart of the proposed intration control system	5	Figure 2. Flow chart of the	proposed	l filtration	control	syste
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- 6 Figure 3. Performance of the sludge recycling controller. Evolution of sludge recycled through the
- 7 membrane tank (*SRF*), set point of the sludge recycled through the membrane tank (*SRF*<sub>SP</sub>), permeate

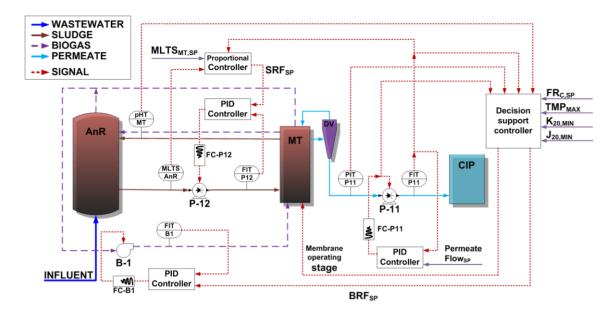
8 flow (*FIT-P11*), permeate flow set point (*FIT-P11*<sub>SP</sub>), and rotating speed of the sludge recycling pump

9 (*FC-P12*).

- 10 Figure 4. Ventilation initiation time determined by knowledge-based rule. Evolution of: (a) permeate
- 11 flow set point (*FIT-P11<sub>SP</sub>*) and membrane operating stage (*V: ventilation; B: back-flushing; and F:*
- 12 *filtration*); and (b) 20 °C-normalised transmembrane flux  $(J_{20})$ , 20 °C-normalised transmembrane flux set
- 13 point  $(J_{20, SP})$ , 20 °C-normalised minimum transmembrane flux set point  $(J_{20, SP})$ , and rotating speed of the

14 permeate pump (*FC-P11*).

- 15 Figure 5. Back-flushing initiation time triggered by knowledge-based rules. Evolution of: (a)
- transmembrane pressure (*TMP*) and membrane operating stage (*V: ventilation; B: back-flushing; and F:*
- 17 *filtration*); and (b) membrane permeability ( $K_{20}$ ), maximum filtration membrane permeability recorded
- between consecutive back-flushing  $(K_{20,MAX,F})$ , and maximum calibrated back-flushing membrane
- 19 permeability ( $K_{20,MAX,BF}$ ).
- 20 Figure 6. Fuzzy-logic controller performance. Evolution of: (a) permeate flow (*FIT-P11*), permeate flow
- set point (*FIT-P11<sub>SP</sub>*), biogas recycling flow set point (*BRF<sub>SP</sub>*) and biogas recycling flow (*BRF*); and (**b**)
- fouling rate  $(FR_c)$ , fouling rate set point  $(FR_{C_sP})$ , biogas recycling flow set point  $(BRF_{SP})$  and biogas
- recycling flow (*BRF*).
- Figure 7. Overall advanced control system results. Evolution of: (a) 20 °C-normalised transmembrane
- 25 flux  $(J_{20})$ , specific gas demand per membrane area (SGD<sub>m</sub>), and transmembrane pressure (TMP); and (b)
- 20 °C-normalised transmembrane flux  $(J_{20})$ , specific gas demand per permeate volume (SGD<sub>p</sub>), and
- 27 membrane permeability ( $K_{20}$ ).
- **28 Table 1.** Average influent wastewater properties.
- **29 Table 2.** Inference rules of control system.
- **30 Table 3.** Overall SAnMBR operating results with control system on and off.

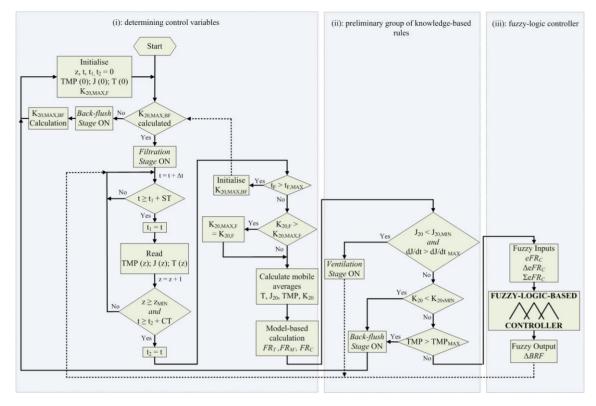




2 Figure 1. Simplified lay-out of the SAnMBR demonstration plant where the control system was

3 designed.

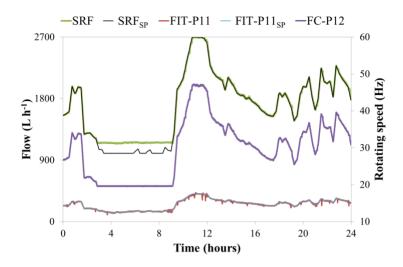
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**Figure 2.** Flow chart of the proposed filtration control system.







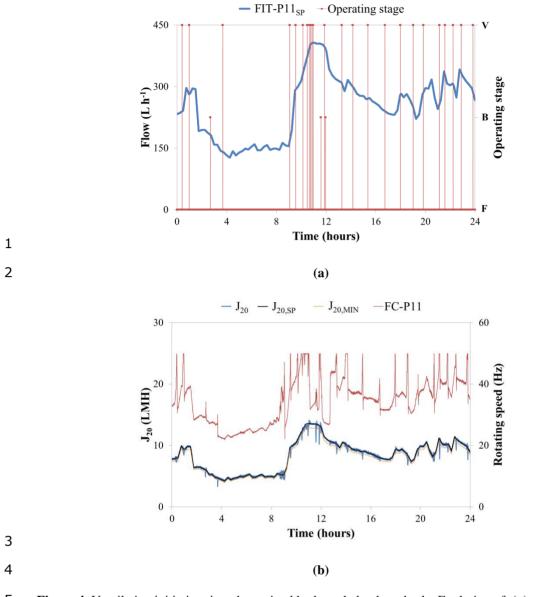
**Figure 3.** Performance of the sludge recycling controller. Evolution of sludge recycled through the

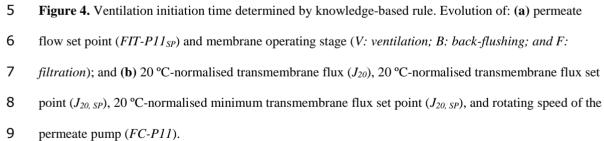
3 membrane tank (SRF), set point of the sludge recycled through the membrane tank ( $SRF_{SP}$ ), permeate

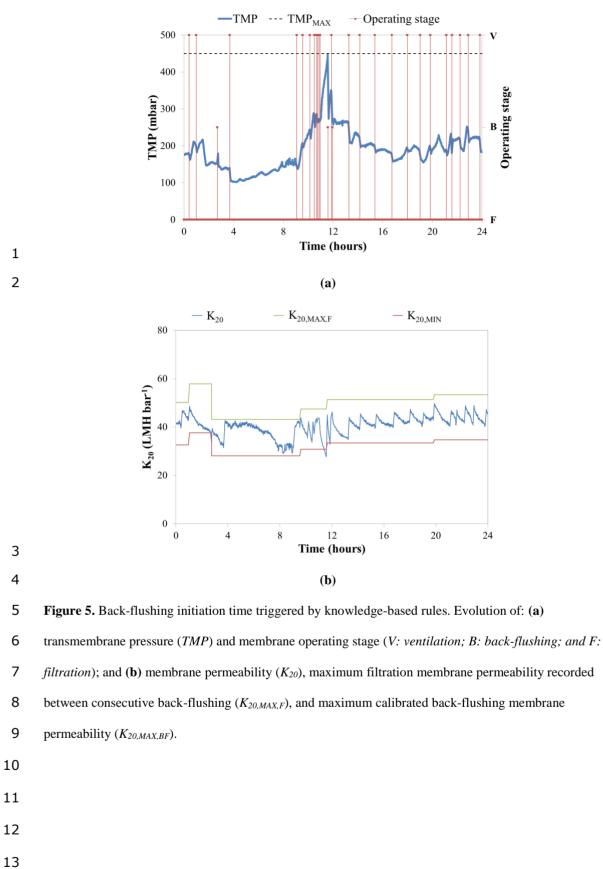
4 flow (*FIT-P11*), permeate flow set point (*FIT-P11<sub>SP</sub>*), and rotating speed of the sludge recycling pump

- 5 (*FC-P12*).

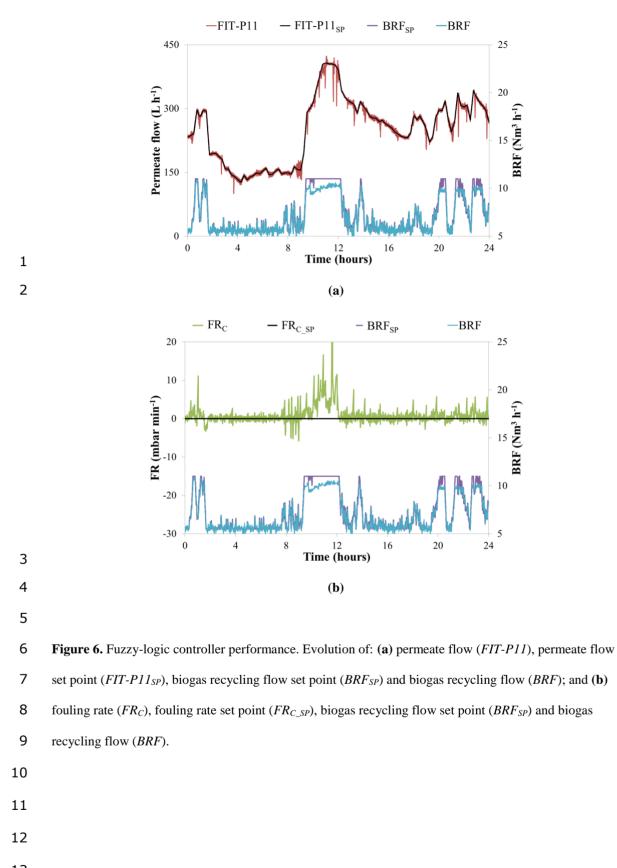
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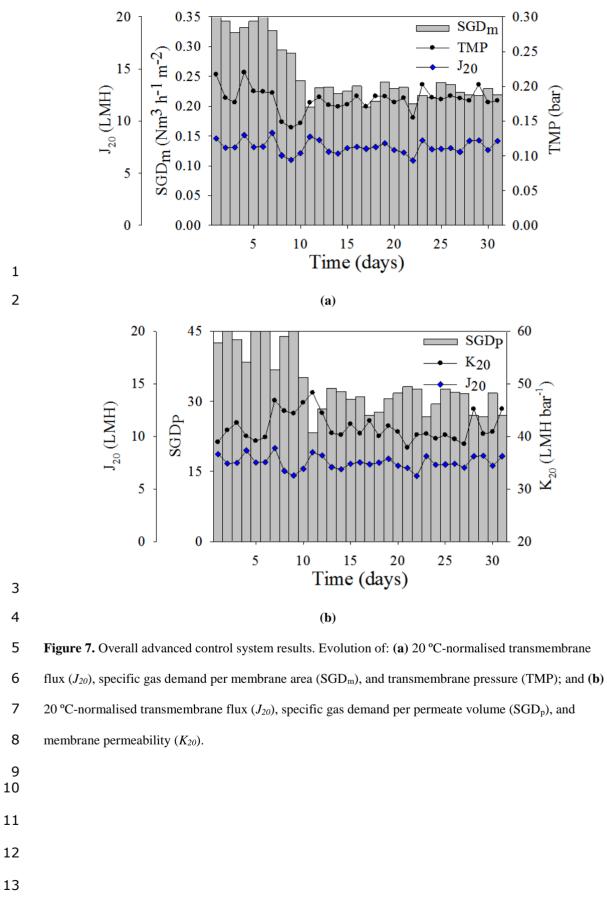






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	Parameter	Unit	Mean	SD	CI (95%)	Median	(	min	-	max	)
	TSS	mgTSS L <sup>-1</sup>	323	176	16	286	(	44	-	1060	)
	VSS	%	80.4	7.9	0.7	81.4	(	44.1	-	100.0	)
	NH <sub>4</sub> -N	mgN L <sup>-1</sup>	32.2	8.9	0.9	32	(	4.1	-	69.9	)
	PO <sub>4</sub> -P	mgP L <sup>-1</sup>	4.0	1.6	0.2	3.89	(	0.58	-	13.32	)
	SO <sub>4</sub> -S	mgS L <sup>-1</sup>	105	13	2	103	(	70	-	139	)
	Total COD	mgCOD L <sup>-1</sup>	585	253	43	537	(	211	-	1472	)
	Soluble COD	mgCOD L <sup>-1</sup>	80	20	4	77	(	32	-	132	)
	pН	un. pH	7.7	0.2	0.02	7.7	(	6.8	-	8.2	)
	Alk VFA	mgCaCO <sub>3</sub> L <sup>-1</sup> mgCOD L <sup>-1</sup>	332	58	5	331	(	139 0	-	707	)
2	VГА	mgCOD L ·	7.9	10.5	0.9	6.3	(	0	-	198	)
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**Table 1.** Average influent wastewater properties.

Inferen	ce Rules
1.	If $eFR_C$ is $P$ and $\Sigma eFR_C$ is $P$ then $\Delta BRF_{SP}$ is $LP$
2.	If $eFR_C$ is N and $\Sigma eFR_C$ is N then $\Delta BRF_{SP}$ is LN
3.	If $eFR_C$ is Z and $\Sigma eFR_C$ is Z then $\Delta BRF_{SP}$ is LN If $EFR_C$ is D and $\Delta eFR_C$ is D then $\Delta BRF_C$ is UR
4. 5.	If $eFR_C$ is $P$ and $\triangle eFR_C$ is $P$ then $\triangle BRF_{SP}$ is $HP$ If $eFR_C$ is $N$ and $\triangle eFR_C$ is $P$ then $\triangle BRF_{SP}$ is $HN$
5.	if er Ke is iv and der Ke is r then did Kr Sp is miv

 Table 3. Overall SAnMBR operating results with control system on and off.

Operating results	Time-based operating mode	Control system action		
Average $SGD_m$ ( $Nm^3 h^{-1} m^{-2}$ )	0.35	0.25		
Average SGD <sub>P</sub>	45	30		
Average SRF $(m^3 h^{-1})$	2.7	1.7		
Energy for membrane scouring (kWh m <sup>3</sup> )	0.36	0.15		
Energy for pumping sludge (kWh m <sup>3</sup> )	0.06	0.03		
Ventilation frequency (initiations/day)	27	21		
Back-flushing frequency (initiations/day)	27	5		
Downtime for ventilation (%)	1.6	1.4		
Downtime for back-flushing (%)	1.2	0.2		
Overall downtime for physical cleaning (%)	2.8	1.6		