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Additional Information

Random forests to evaluate interspecific interactions in fish distribution models

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Abstract

Previous research indicated that high predictive performance in species distribution modelling can be obtained by combining both biotic and abiotic habitat variables. However, models developed for fish often only address physical habitat characteristics, thus omitting potentially important biotic factors. Therefore, we assessed the impact of biotic variables on fish habitat preferences in four selected stretches of the upper Cabriel River (E Spain). The occurrence of *Squalius pyrenaicus* and *Luciobarbus guiraonis* was related to environmental variables describing interspecific interactions (inferred by relationships among fish abundances) and channel hydro-morphological characteristics. Random Forests (RF) models were trained and then validated using independent datasets. In both training and validation phases, RF showed high performance. Water depth, channel width, fine substrate and water-surface gradient were selected as most important habitat variables for both fish. Results showed clear habitat overlapping between fish species and suggest that interspecific competition is not a strong factor in the study area.

Keywords: Interspecific interactions, Random Forests, *Squalius*, *Barbus*, species distribution modelling, mesohabitat

1. Introduction

According to the IUCN (International Union for Conservation of Nature), 56% of Mediterranean freshwater species are threatened (Smith and Darwall, 2006) and, given the high degree of endemism of freshwater biota, native fish should be the target of actions for biodiversity conservation (Corbacho and Sánchez, 2001; Doadrio, 2002). Consequently in the last decade, efforts to understand the link between habitat attributes and fish habitat use have increased, and currently habitat modelling for freshwater fish is considered an important field of research (Guay et

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4 al., 2000; Lamouroux and Jowett, 2005; Olden et al., 2008; Strayer and Dudgeon, 2010; Mouton et
5 al., 2011; Fukuda et al., 2012).

7 This study focused on *Squalius pyrenaicus* (Southern Iberian Chub) and *Luciobarbus guiraonis*
8 (Eastern Iberian barbel), two threatened fish species (Baillie et al., 2004) characteristic for
9 Mediterranean rivers of Eastern Spain (Crivelli, 1996). These two species may act as an indicator
10 for other Mediterranean fish since they face similar threats and knowledge gaps (Doadrio, 2001).
11 Specifically, these fish populations have been declining due to habitat modification and water
12 abstraction, as well as due to the introduction of alien species (e.g., *Esox lucius*, Hermoso et al.,
13 2010; Maceda-Veiga, 2012). Few studies have investigated the ecology of these fish (Crivelli,
14 1996) and, to our knowledge, no habitat or fish distribution models are currently available for either
15 *S. pyrenaicus* or *L. guiraonis*, like for most endemic fish species of the Iberian Peninsula
16 (Grossman and De Sostoa, 1994; Magalhães et al., 2002; Martínez-Capel et al., 2009; Costa et al.,
17 2012).

26 *S. pyrenaicus* is distributed in most of the large river basins of the Eastern and Southern Iberian
27 Peninsula (Doadrio and Carmona, 2006). However, the species has become rare due to habitat loss
28 and it was classified as Near Threatened (NT) in the IUCN red list (Baillie et al., 2004). Pires et al.
29 (2000) investigated the ecology and life history strategies of *S. pyrenaicus* in some reaches of the
30 middle Guadiana basin (Portugal), focusing on its growth rates and behavioural adaptations to
31 summer drought. Kottelat and Freyhof (2007) described *S. pyrenaicus* as an ubiquitous species that
32 inhabits small to medium-sized streams with a Mediterranean flow regime. Ferreira et al. (2007)
33 found that *S. pyrenaicus* occurrence in the streams of central and Northern Portugal depends on the
34 availability of coarse substrate and shading by overhanging trees.

42 *L. guiraonis* is a native species of the middle and lower river courses of the Jucar River Basin
43 District, dwelling also in lakes and reservoirs (Crivelli, 1996). In particular, its natural range is
44 restricted to the region between the rivers Mijares and Serpis, but it has also been translocated in
45 the upper part of the Guadiana river basin (Hermoso et al., 2011). The species is classified as a
46 vulnerable species (Baillie et al., 2004) and local populations are heavily affected by habitat
47 alteration and water abstraction. It is a large barbel (up to 50 cm in length) that migrates to
48 upstream stretches during the spawning season (from April to June, Kottelat and Freyhof, 2007).

54 When studying fish distribution, researchers assume that the associations of fish species and
55 habitat characteristics arise from either biotic or abiotic variables or some combination of the two
56 (Guisan and Thuiller, 2005). However, very few habitat models explicitly include biotic factors,
57 which can be used to infer or provide clues about inter-specific interactions (Elith and Leathwick,
58 2009). Indeed, habitat requirements for fish are often defined as abiotic features of the environment
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4 that are necessary for the survival and persistence of individuals or populations (Rosenfield, 2003,
5 Ahmadi-Nedushan et al. 2006). The habitat suitability index (HSI, Bovee, 1982), the most
6 commonly used index of habitat quality, is an analytical tool used to represent preferences of
7 different aquatic species for physical instream variables (e.g., velocity, depth, substrate, cover).
8 This approach has been criticized because such models almost exclusively address physical habitat
9 characteristics, thus omitting potentially important biotic factors (Armstrong et al., 2003;
10 Rosenfeld, 2003; Teichert et al., 2010) and because the relationships fit poorly when transferred
11 across different river morphologies (Armstrong et al., 2003).

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Wisz et al. (2013) reported that one solution to account for interspecific interactions is to use species distribution models in concert with biotic surrogate variables that reflect spatial turnover or gradients in the distribution of biotic interactions. To model species distribution, Random Forests (RF, Breiman, 2001), a statistical method based on an automatic combination of decision trees, is currently considered a promising technique in ecology (Cutler et al., 2007; Franklin, 2010; Drew et al., 2011; Cheng et al., 2012). RF has been applied in freshwater fish studies (Buisson et al., 2010; Grenouillet et al., 2011; Markovic et al., 2012) and several authors have shown that, compared to other methodologies, RF often reach top performance in building predictive models of species distribution (Svetnik et al., 2003; Siroky, 2009; He et al., 2010; Mouton et al., 2011). Moreover, RF has been recently included in mesohabitat simulation tools, i.e., MesoHABSIM (Parasiewicz et al., 2013; Vezza et al., 2014a) to model fish ecological response to hydro-morphological alterations. However, current applications at the mesohabitat scale (or mesoscale) focus on the evaluation of physical habitat for aquatic species and no studies are currently available to include both biotic and abiotic habitat variables in these analyses.

To develop a reliable and ecologically relevant species distribution model, we used RF to predict fish distribution at the mesohabitat scale, based on both biotic and abiotic habitat variables. The aims of the study were: (i) to investigate which are the most important variables predicting the presence of *S. pyrenaicus* and *L. Guiraonis*, (ii) evaluate how interspecific interactions affect habitat use and (iii) validate the developed models using an independent data set to test its values for potential users.

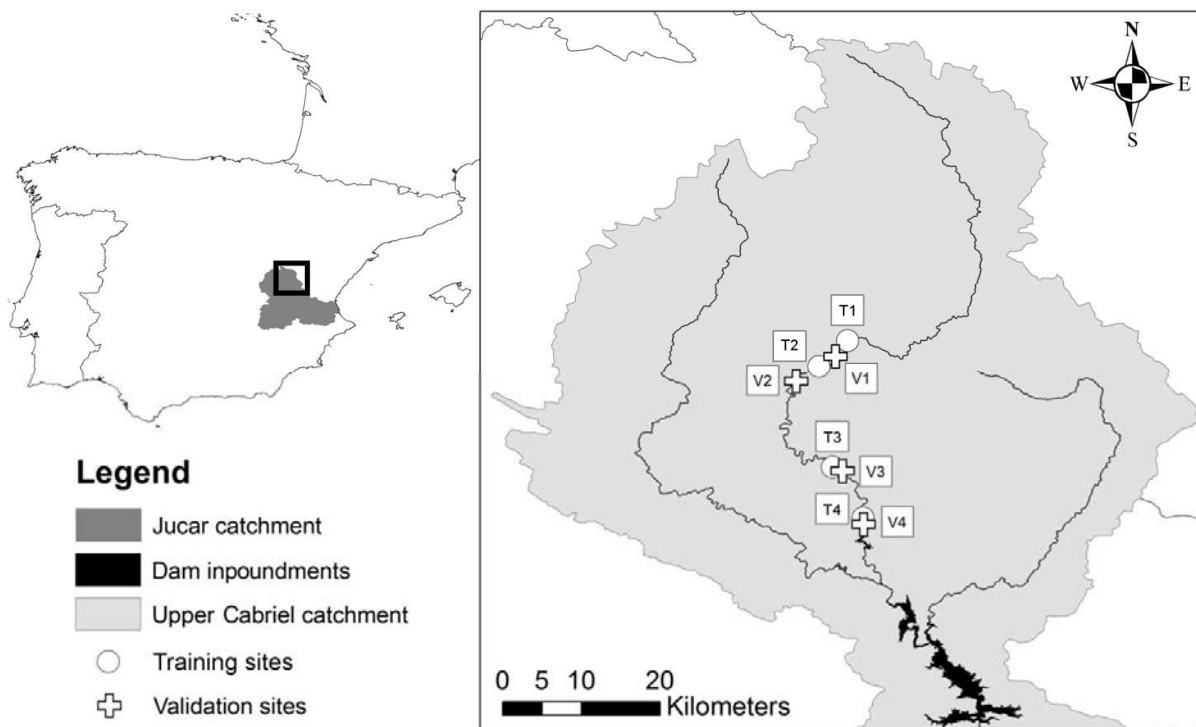
2. Methods

2.1 Study area

Data were collected on eight sampling sites of the Cabriel River (Fig. 1), which were selected based on their natural habitat conditions (i.e., absence of water abstractions, natural flow regime and river morphology) and the presence of age-structured populations of *S. pyrenaicus* and *L.*

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4 *guiraonis*. The Cabriel River is part of the Júcar River Basin, which is one of the pilot basins for the
5 implementation of the Water Framework Directive in Spain. In total, the river is 220 km long, the
6 catchment elevation ranges from 490 to 1790 m a.s.l. and its drainage area covers 4750 km². The
7 study area has a typical Mediterranean climate with a mean annual precipitation of ca. 500 mm,
8 resulting in low flows and high evapotranspiration in summer and high flows in spring and autumn.
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12 Study sites for both model training and validation (named, respectively, T₁, T₂, T₃ and T₄ and
13 V₁, V₂, V₃ and V₄ in downstream order, Fig. 1) were all located in the upper part of the Cabriel
14 catchment (province of Cuenca, Spain), upstream of the large Contreras Dam. In this part of the
15 watershed, the average riverbed slope is 1.1% and land cover (from the Corine Land Cover
16 classification; Bossard et al., 2000) mainly consists of forested areas (86%) and crops (12%). The
17 study sites were selected as to differ in both their morphological characteristics (mean gradient,
18 channel size and substrate composition), and flow duration curves, and, at the most downstream site
19 (V₄), the low (Q₉₅), mean (Q₅₀) and high (Q₅) flow are, respectively, 0.94, 2.74 and 15.83 m³s⁻¹.
20 Salmonidae and cyprinidae are the predominant families. Besides *S. pyrenaicus* and *L. Guiraonis*,
21 *Parachondrostomas arrigonis* (Júcar nase), *Pseudochondrostoma polylepis* (Tagus nase), *Gobio*
22 *lozanoi* (Iberian gudgeon) and *Salmo trutta* (brown trout) are the species present in the upstream
23 course of the Cabriel River (CHJ, 2007).
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59 **Figure 1.** Location of the training (T_i) and validation (V_i) study sites in the upper Cabriel catchment
60 (Júcar River basin, Spain). The main watercourses and the large reservoir of Contreras are also shown.
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2.2 Habitat description and fish data

Data were collected at the mesohabitat scale and the hydromorphological unit – HMU (e.g., pools, riffles, rapids) was considered the sampling unit for this study. HMUs often correspond in size and location to mesohabitats (Bain and Knight, 1996; Parasiewicz, 2007; Hauer et al., 2010) and can be used to capture the confounded effects of biotic and abiotic environmental variables, focusing on how aquatic species interact with the spatial arrangement of different habitat characteristics (Addicott et al., 1987; Kemp et al., 1999).

Each site used for model training was at least 1 km long and was surveyed two to three times to record the distribution of HMUs and habitat variables. The total length of each sampling site was not constant, as the size of HMUs varied with flow (Costa et al., 2012). The four river stretches for model validation were shorter (ranging from 0.3 km to 0.6 km) and, due to the limited availability of access points to the river, V3 and V4 partially overlapped T3 and T4 respectively, but were surveyed at different moments in time. Specifically, habitat surveys and fish population assessment for model training were carried out between 2006 and 2009, whereas, data for model validation were collected between 2011 and 2012. Although a partial overlapping between training and validation sites occurred, this temporal distance and the variation in flow conditions between fish sampling campaigns ensured the independence of validation data from those used for model training. Surveys took place from June to October, i.e. after both species' spawning period (Doadrio, 2001), and during low to medium flows (i.e. ranging from Q98 to Q40) to represent the habitat availability in the upper Cabriel River.

Following previous research in Mediterranean rivers (Alcaraz-Hernández et al., 2011), five types of HMUs were considered: pool, glide, run, riffle and rapid. Pools were characterized by moderate to high water depth (> 0.5 m) generally associated with erosion phenomena, low flow velocity and a very low gradient. Glides were characterized by moderate to high water depth (> 0.5 m), low flow velocity and nearly symmetrical cross-sections. Riffles were characterised by the occurrence of surface ripples and moderate to high flow velocity (> 0.2 m/s) , whereas runs are similar to riffles but lack pronounced waves and ripples on the water surface. Finally, rapids were characterized by shallowness, a moderate to high gradient and abundant white-waters and macro-roughness elements. For each HMU, the following habitat variables were collected: longitudinal length, channel width, water-surface gradient, mean water depth, mean flow velocity, substrate composition and cover (Table 1). The first three variables, used to describe HMU size and longitudinal slope of the water surface, were measured through the CMII Hip Chain (CSP Forestry Ltd. Alford, Scotland), the laser distancemeter DISTO A5 (Leica Geosystems, Heerbrugg,

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4 Switzerland), and the Haglöf HEC Electronic Clinometer (Haglöf Sweden AB, Långsele, Sweden),
5 respectively.
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7 Mean water depth was calculated from point measurements uniformly distributed in four to eight
8 cross-sections along the HMU, and each cross-section was entirely located in only one HMU type.
9 The mean flow velocity of each HMU was calculated by dividing the value of the discharge during
10 the survey (available at Pajaroncillo gauging station) by the mean HMU cross-section area. The
11 substrate composition was assessed by eye and expressed as percentage of bedrock, coarse substrate
12 (boulders and cobbles), fine substrate (gravel and sand), sludge (silt and clay) and submerged
13 vegetation. To represent cover availability for fish, canopy shading (as the percentage of the overall
14 HMU's area), undercut banks (as the percentage of the HMU's length) and the presence of large
15 boulders and woody debris were included. Finally, both the reach mean width and gradient of each
16 sampling site were included in the analysis as proxies of channel morphology to evaluate possible
17 site-scale effects on fish distribution.
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Table 1. Code, description, unit and range of the habitat variables included in RF models. Each fish species abundance was considered as a biotic habitat variable and was expressed by three classes: abs = absent, pres = present and abu = abundant.

Variable code	Description	Unit	Range
Len	Longitudinal length of the hydro-morphological unit	m	9 - 108
Wid	Mean channel width	m	2.7 - 20.0
Dmed	Mean water depth	m	0.29 – 3.52
Vmed	Mean flow velocity	m/s	0.04 – 1.05
Grad	HMU gradient (longitudinal slope of the water surface)	%	0.0 – 9.3
RK	Bedrock substrate	%	0-100
CS	Coarse substrate (boulders and cobbles)	%	0-100
FS	Fine substrate (gravel and sand)	%	0-100
SC	Silt and clay substrate	%	0-60
SV	Submerged vegetation	%	0-90
Sh	Canopy shading	%	0-100
UB	Undercut banks	%	0-100
WD	Woody debris	-	yes/no
B	Boulder cover	-	yes/no
RWid	Reach mean channel width	m	6.5-11.9
RGrad	Reach mean gradient	%	1.4-3.5
ASP	Abundance of <i>Squalius pyrenaicus</i> (Southern Iberian chub)	-	abs/pres/abu
ALG	Abundance of <i>Luciobarbus guiraonis</i> (Eastern Iberian barbel)	-	abs/pres/abu
APA	Abundance of <i>Parachondrostomas arrigonis</i> (Júcar nase)	-	abs/pres/abu
APP	Abundance of <i>Pseudochondrostoma polylepis</i> (Tagus nase)	-	abs/pres/abu
AGL	Abundance of <i>Gobio lozanoi</i> (Iberian gudgeon)	-	abs/pres/abu
AST	Abundance of <i>Salmo trutta</i> (brown trout)	-	abs/pres/abu

Fish were counted in each HMU by snorkelling, as to observe habitat use during their diurnal routine. Two divers conducted the underwater counts in three independent passes from downstream to upstream (Baillie et al., 2004) throughout each HMU of each sampling site (Costa et al., 2012). Three snorkelling passes were considered enough to ensure a reasonably uniform probability of detection (Schill and Griffith, 1984), and, for each HMU, the sampling effort (expressed in minutes per unit area) and the number of counted fish was consistent among passes (coefficient of determination between two independent passes, $R^2 > 0.95$). To ensure that each pass was independent, and not affected by previous passes, a time delay of about two hours was programmed between successive counts (*sensu*, Bain et al., 1985). The snorkelling technique was chosen for its effectiveness to assess fish population density at the mesoscale and to avoid any damage to the threatened target species. Moreover, we considered it the most appropriate methodology for this

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4 study due to the morphological characteristics of the river (i.e. clear water, presence of pools and
5 low channel width). However, underwater counts may fail to observe and classify fish in the
6 shortest length class (Joyce and Hubert, 2003) and only fish > 5 cm for *S. pyrenaicus* and >10 cm
7 for *L. guirao* were considered in the analysis. This allowed us to focus on adult fish and develop
8 habitat models for 2+ or older individuals (García de Jalón et al., 1999; Pires et al., 2000).
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12 To produce species distribution models, which can be implemented in common mesohabitat
13 simulation tools, the dependent variable was defined as a binary response (i.e., fish
14 absence/presence) for both *S. pyrenaicus* and *L. guirao*. To investigate the influence of
15 interspecific interactions, the abundance of each observed fish species was included as biotic
16 independent variable (Table 1). Specifically, for each species we classified fish abundance in three
17 classes (absent, present and abundant). The cutoff value (expressed in individuals/m²) for low and
18 high abundance was determined as the inflection point of the envelope curve of the fish density
19 histograms (Parasiewicz, 2007).
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26 Data from 240 HMUs were used for *S. pyrenaicus* model training, whereas an independent
27 dataset of 48 HMUs (20% of the training data-set) was used for model validation. For *L. guirao*,
28 due to the absence of adult specimens in T1 and V1 sampling sites, the data from these stretches
29 were excluded from model development and only 110 and 22 HMUs were considered respectively
30 for model training and validation. T1, showing the highest gradient and the narrowest and most
31 constrained channel, is the most diverse and variable stretch based on flow conditions. Due to the
32 exclusion of T1 from *L. guirao* model construction, the two databases mainly differed in terms
33 of number of observations, minimum channel width and maximum gradient of riffles and rapids
34 (Table 2). In terms of fish occurrence, the model prevalence for *S. pyrenaicus* was 0.54 in training
35 and 0.38 in validation, whereas for *L. guirao* it was 0.64 and 0.59, respectively.
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Table 2. Description of the five HMU types in the study area. Proportion of samples HMUs, range of mean water depth, mean flow velocity and channel width, dominant substrates and proportional occurrence of fish are reported for each category. See Table 1 for substrate codes.

<i>Squalius pyrenaicus</i>						
HMU (N. Tot = 240)	% over sampled HMUs	Water depth	Flow velocity	Channel width	Dominant substrate	Fish occurrence
Units	(%)	(m)	(m/s)	(m)	(-)	(%)
Pool	34	0.54-3.52	0.04-0.33	4.5-15.2	FS-SV	77
Glide	4	0.50-1.73	0.08-0.28	4.4-14.7	FS-SV	72
Riffle	45	0.29-2.38	0.18-0.84	3.2-20.0	CS-FS-SV	47
Run	3	0.92-1.39	0.27-0.41	8.2-12.3	CS-FS	80
Rapid	14	0.30-0.88	0.13-1.05	2.7-13.6	CS	9

<i>Luciobarbus guiraonis</i>						
HMU (N. Tot = 110)	% over sampled HMUs	Water depth	Flow velocity	Channel width	Dominant substrate	Fish occurrence
Units	(%)	(m)	(m/s)	(m)	(-)	(%)
Pool	32	0.62-3.52	0.08-0.33	6.05-15.2	FS	86
Glide	6	0.80-1.70	0.12-0.28	11.4-14.7	FS-SV	83
Riffle	39	0.32-2.38	0.14-0.84	4.25-20.0	CS-FS-SV	54
Run	4	0.92-1.39	0.27-0.41	9.3-12.3	CS-FS	40
Rapid	19	0.30-0.75	0.21-1.05	4.7-13.0	CS	28

2.3 Data analysis

Since many sampling units were contiguous, we firstly measured and tested spatial autocorrelation by means of Moran's I with associated z-values (R package "spdep", Bivand, 2012). For this analysis, the fish data collected in each HMU and the Euclidean distance between HMU centroids were used to calculate Moran's I and z-values in each surveyed river reach (Elith and Leathwick, 2009; Planque et al., 2011).

To find effective habitat suitability criteria, the relationship between habitat variables and fish presence was explored by Random Forests (Breiman, 2001; Cutler et al., 2007), as implemented in R (R Development Core Team 2009; Liaw and Wiener, 2002). RF is an ensemble learning technique based on the combination of a large set of decision trees (i.e., Classification and Regression Trees - CART, Breiman et al., 1984). The CART technique splits a learning sample using an algorithm known as binary recursive partitioning, by which the data set is divided into two parts by maximizing the homogeneity in the two child nodes. This splitting or partitioning starts

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4 from the most important variable to the less important ones and it is applied to each of the new
5 branches of the tree (Veza et al., 2010).
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7 In RF, each tree of the forest is grown by selecting a random bootstrap subset X_i (where $i =$ the
8 index of the bootstrap iteration, ranging from 1 to the maximum number of trees t) of the original
9 dataset X and a random set of predictive variables (Liaw and Wiener, 2002). This represents the
10 main difference compared to standard decision trees, where each node is split using the best split
11 among all predictive variables. Moreover, RF corrects many of the known issues in CART, such as
12 over-fitting (Breiman, 2001), and provides very well-supported predictions with large numbers of
13 independent variables (Cutler et al., 2007). As the response variable was categorical (fish
14 presence/absence), we confined our attention to classification RF models. The algorithm for
15 growing a RF of t classification trees performs as follows (for full details see Breiman, 2001):
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- 22 i) t bootstrap subsets X_i (the training dataset) are randomly drawn with replacement from
23 the original dataset, each containing approximately two third of the elements of the
24 original dataset X . The elements not included in the training dataset are referred to as out-
25 of-bag (OOB) data for that bootstrap sample. On average, each element of X is an OOB
26 element in one-third of the t iterations.
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- 31 ii) For each bootstrap sample X_i , an unpruned classification tree is grown. At each node m
32 variables are randomly selected and the best split is chosen between them.
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- 35 iii) The trees are fully grown and each tree is used to predict OOB observations. New
36 predictions (for the OOB elements) are calculated by means of the majority vote of OOB
37 predictions of the t generated trees. In particular, the predictions from all the trees are
38 combined to predict an observation class (as well as a probabilistic prediction output) for
39 that observation. Note that, as OOB observations are not used in the fitting of RF trees,
40 the out-of-bag estimates are essentially cross-validated accuracy estimates.
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- 45 iv) Global RF accuracies and error rates (i.e. the OOB error, E_{OOB} , and within-class errors,
46 $E_{Class(j)}$) are finally computed using OOB predictions.
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48 The E_{OOB} is also used to choose an optimal value of t . In our analysis E_{OOB} stabilization occurred
49 between $t = 1500$ and $t = 2500$ replicates. However, a heuristic estimation of t taking into account
50 for E_{OOB} stabilization and variable interaction with a large set of independent variables is defined as
51 $[2*(t \text{ for } E_{OOB} \text{ stabilization}) = 5000]$ (Evans and Cushman, 2009). The m parameter (indicating the
52 number of variables permuted at each node) is defined as the square root of the total number of
53 predictor variables included in each model, with a minimum of $m = 2$ (Breiman, 2001).
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58 To assess the importance of a specific predictor, in RF the values of each variable are randomly
59 permuted for the OOB observations, and then the modified OOB data are passed down the tree to
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4 get new predictions. The difference between the prediction accuracy before and after the
5 permutation gives the importance of a variable for one tree, and the importance of the variable for
6 the forest is computed as an average over all trees. However, the permutation importance embedded
7 in the RF algorithm overestimates the variable importance of highly correlated variables. Thus, a
8 conditional variable importance, proposed by Strobl et al. (2008), was used in this study to avoid
9 bias towards correlated predictor variables.

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11 As model parsimony is important for future model applications (i.e., less variables to be
12 surveyed), the most parsimonious model was identified by the Model Improvement Ratio (MIR,
13 Murphy et al., 2010) technique. The improvement ratio was calculated as $[In/Imax]$, where In is the
14 importance of a given variable and $Imax$ is the maximum model improvement score. Starting from
15 $MIR = 0$, we then iterated through MIR thresholds (i.e. 0.02 increments), with all variables above
16 the threshold retained for each model (Evans and Cushman, 2009). The models corresponding to
17 different subsets were then compared and the model exhibiting the minimum E_{OOB} and the lowest
18 maximum $E_{Class(j)}$ was selected (Fig. 2). Lastly, to avoid collinearity effects on the model
19 performance, the correlation among the selected variables was tested using a correlation matrix. For
20 models including both numerical and categorical variables, an heterogeneous correlation matrix
21 was computed using the polycor package in R (Fox, 2007).

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23 The performance of the predictive models was evaluated using five performance metrics, i.e.,
24 accuracy, sensitivity, specificity, Cohen's kappa (k) area under Receiver Operating Characteristic
25 (ROC) curve (AUC), and true skill statistic (TSS), which are commonly used in ecological
26 modeling (Mouton et al., 2010). Accuracy represents the proportion of overall correctly classified
27 observations, while sensitivity and specificity, respectively, refer to the proportion of actual
28 positives and negatives correctly identified as such. The k coefficient, which takes into account the
29 agreement occurring by chance, is a statistical measure of inter-rater agreement for categorical
30 items. However, the chance percentage can provide misleading results as a low kappa (i.e. 0) could
31 result for a model with good agreement if one category dominates the data (i.e., low or high
32 prevalence, Bennett et al., 2013). To address this issue, AUC, measured from ROC plots, and TSS
33 (Allouche et al., 2006) are used as performance metrics that are independent of prevalence (Mouton
34 et al. 2010) and represents useful measures of how well a model is parameterized and calibrated.
35 Furthermore, a confusion matrix, expressed as a bar chart, allowed visualization of model
36 performance.

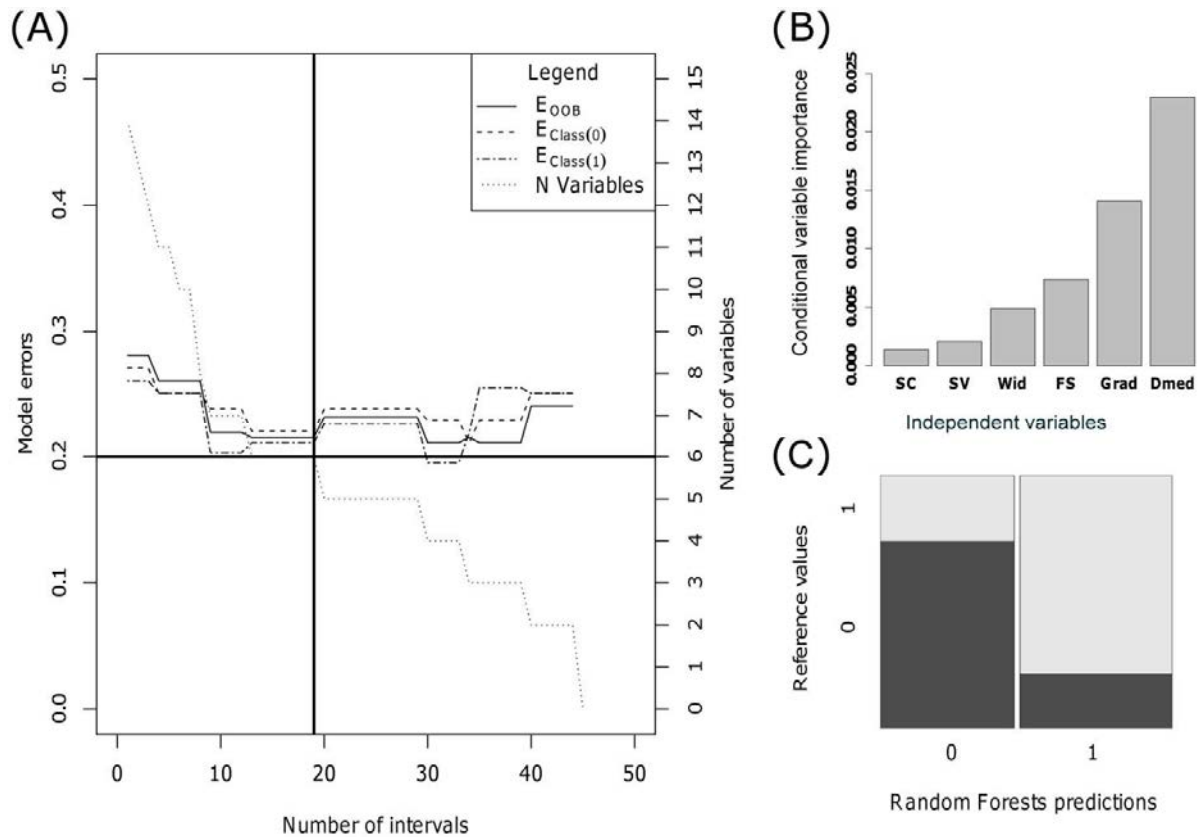


Figure 2. Habitat model for *S. pyrenaicus*. (A) Model Improvement Ratio technique (Murphy et al., 2010) showing the out-of-bag error (E_{OOB}) and within class errors ($E_{Class(j)}$) related to increment intervals which defined the number of selected variables for each subset model. The model that exhibits the minimum E_{OOB} and lowest maximum $E_{Class(j)}$ was selected (i.e. 6 input variables). (B) Relevant habitat variables for *S. pyrenaicus* presence and their relative importance by conditional variable importance (Strobl et al., 2008). Mean water depth (Dmed), HMU gradient (Grad), channel width (Wid), proportion of fine substrate (FS), submerged vegetation (SV) and sludge (silt and clay, SC) were selected as the most important habitat variables. (C) Confusion matrix of the selected RF model expressed as bar charts.

The partial dependence plots provided a way to visualize the marginal effect of the selected independent variables on the probability of fish presence (Cutler et al., 2007). Specifically, these plots can be used to graphically characterize the relationship between habitat variables and the predicted probabilities of fish presence obtained by RF. Finally, to test for the influence of interspecific interactions, three binary models were constructed: (i) one using only abiotic habitat variables, (ii) one using both biotic and abiotic habitat variables, and (iii) one using only biotic habitat variables.

3. Results

Pools, riffles and rapids (occurrence = 34%, 45%, 14%, respectively) were the most common hydromorphological units (HMUs) in the upper Cabriel River, whereas glide and run (occurrence = 4% and 3%, respectively) could be considered as rare. *S. pyrenaicus* occurred most frequently in pools, glides and runs, whilst it was less frequent in riffles and almost absent in rapids. *L. guiraonis* showed a similar distribution pattern, its frequency of occurrence decreasing as the flow velocity was increasing; most barbels were found in HMUs classified as pools, whereas their presence was the lowest in rapids (Table 2). Spatial dependency in fish distribution was tested by Moran's *I* with associated z-values, that suggested a random spatial pattern (z-values <|1.96|) and showed no evidence of spatial autocorrelation.

The models including only abiotic variables showed 76% and 84% accuracy for *S. pyrenaicus* and *L. guiraonis*, respectively, whereas Cohen's kappa, AUC and TSS were respectively 0.52, 0.80 and 0.54 for *S. pyrenaicus*, and 0.66, 0.85 and 0.68 for *L. guiraonis* (Fig. 3). Although these models performed well, considering biological interactions among species slightly increased the models performance. Specifically, the models for *S. pyrenaicus* and *L. guiraonis* reached 80% and 91% accuracy, Cohen's Kappa values of 0.59 and 0.80, AUC values of 0.85 and 0.95, and TSS values of 0.60 and 0.80, respectively. The model built using only biotic variables showed the lowest performance, i.e., 72% and 77% accuracy, 0.45 and 0.53 Cohen's Kappa, 0.72 and 0.76 AUC, and 0.44 and 0.55 TSS, for the *S. pyrenaicus* and *L. guiraonis* models, respectively (Fig. 3).

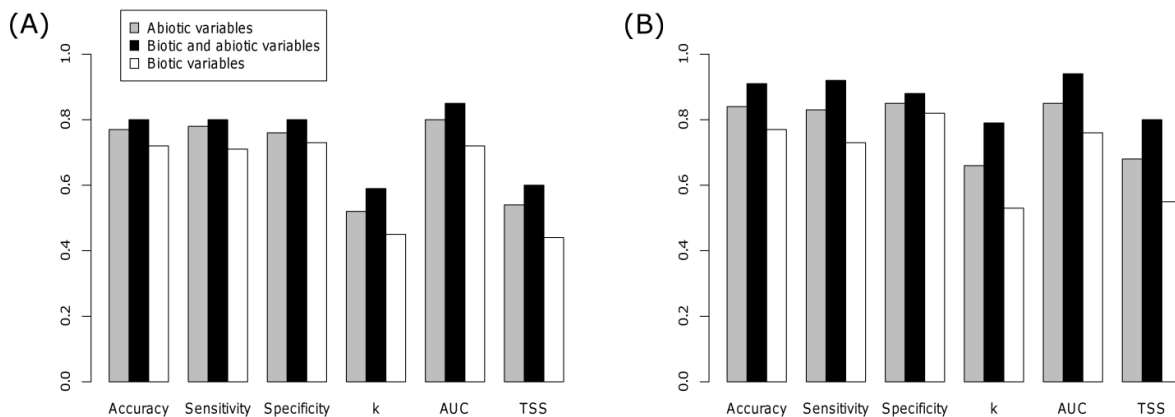


Figure 3. Random Forests model performance for (A) *S. pyrenaicus* and (B) *L. guiraonis* using (i) only abiotic, (ii) both biotic and abiotic, and (iii) only biotic habitat variables. Model accuracy (in terms of correctly classified observations), sensitivity, specificity, Cohen's kappa (k), area under the ROC curve (AUC) and true skill statistic (TSS) are shown for each model.

According to the partial dependence plots (Fig. 4), the models developed using only abiotic variables provided similar sets of selected inputs for the two species, although variables were ranked differently. Specifically, mean water depth (Dmed), channel width (Wid) and the proportion of fine substrate (FS) were positively correlated with the presence of both fish species, whilst HMU gradient (Grad) was negatively related to the presence of both species. The probability of presence of *S. pyrenaicus* also increased with the proportion of submerged vegetation (SV) and decreased with the percentage of sludge (silt and clay, SC) (Fig. 4).

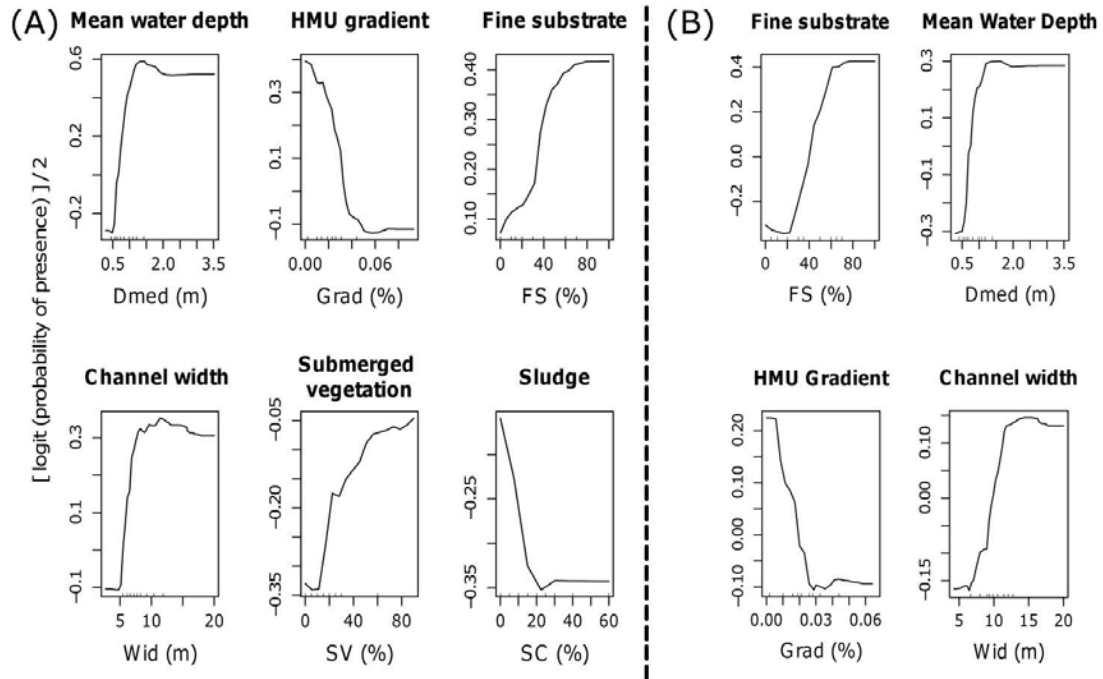


Figure 4. Partial dependence plots of the habitat models for (A) *S. pyrenaicus* and (B) *L. guiraonis*. Partial plots represent the marginal effect of a single variable included in the RF model on the probability of fish presence, while averaging out the effect of all the other parameters (Cutler et al., 2007). Selected variables are reported in order of importance.

In the models including both biotic and abiotic variables, the abundance of three cyprinid species was positively correlated to the probability of presence of both target fish species (Fig. 5). Specifically, the abundances of *L. guiraonis*, *P. arrigonis* and *G. lozanoi* were selected in the model for *S. pyrenaicus*, whereas the abundances of *P. Arrigonis*, *S. pyrenaicus* and *G. lozanoi* were selected in the model for *L. guiraonis*. However, when *L. Guiraonis* was abundant, the probability of presence of *S. pyrenaicus* slightly decreased. Mean water depth and the proportion of fine substrate were also selected as important abiotic variables in both fish models, whereas only HMU gradient was selected in the model for *S. pyrenaicus*.

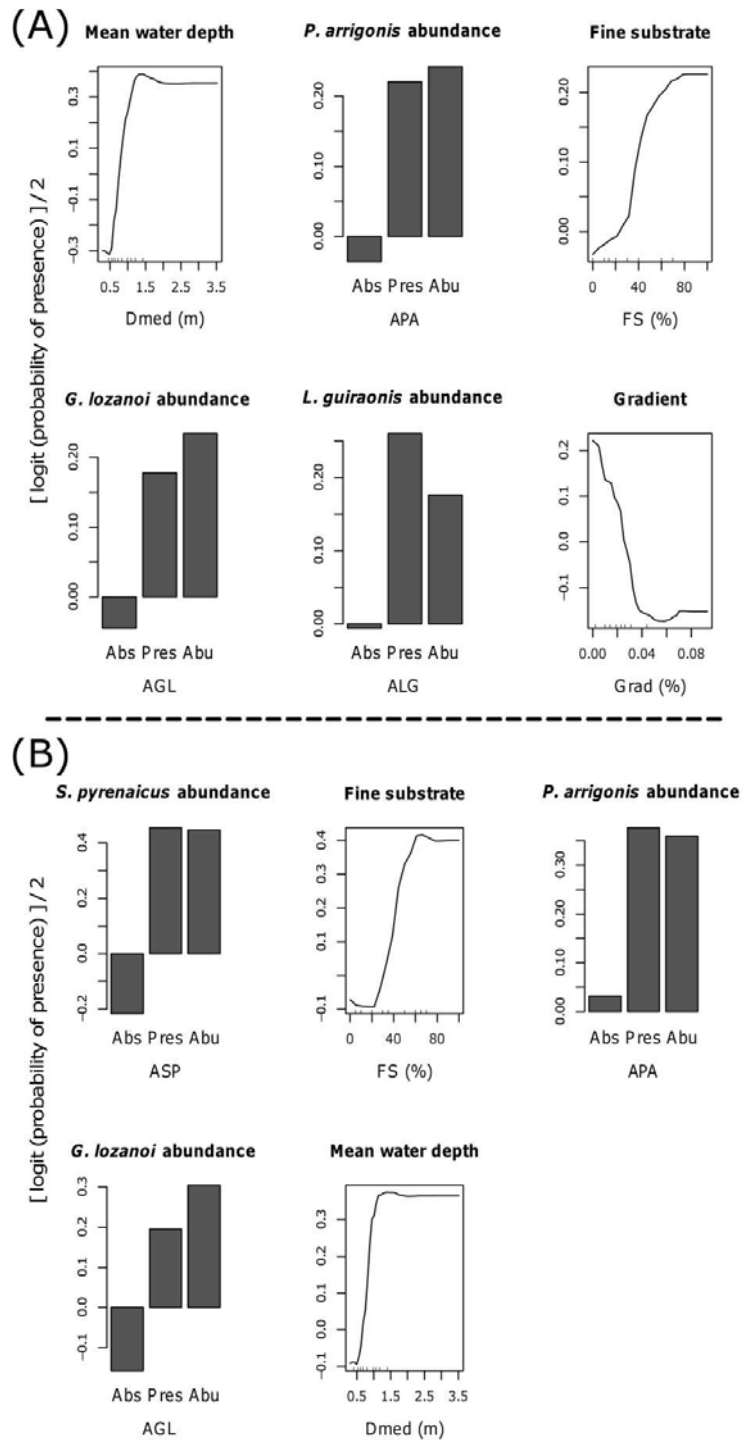


Figure 5. Partial plots of variable marginal effects in the RF models for (A) *S. pyrenaicus* and (B) *L. guiraonis*, considering both biotic and abiotic habitat variables. Fish abundance was expressed by three classes: Abs = absent, Pres = present and Abu = abundant. Selected variables are reported in order of importance.

As for the model built using both biotic and abiotic habitat variables, the same fish abundances were selected in the model built using only biotic variables, i.e., *P. Arrigonis*, *G. lozanoi* and *L. guiraonis* for *S. pyrenaicus*, and *P. Arrigonis*, *S. pyrenaicus* and *G. lozanoi* for *L. guiraonis* (Fig. 6).

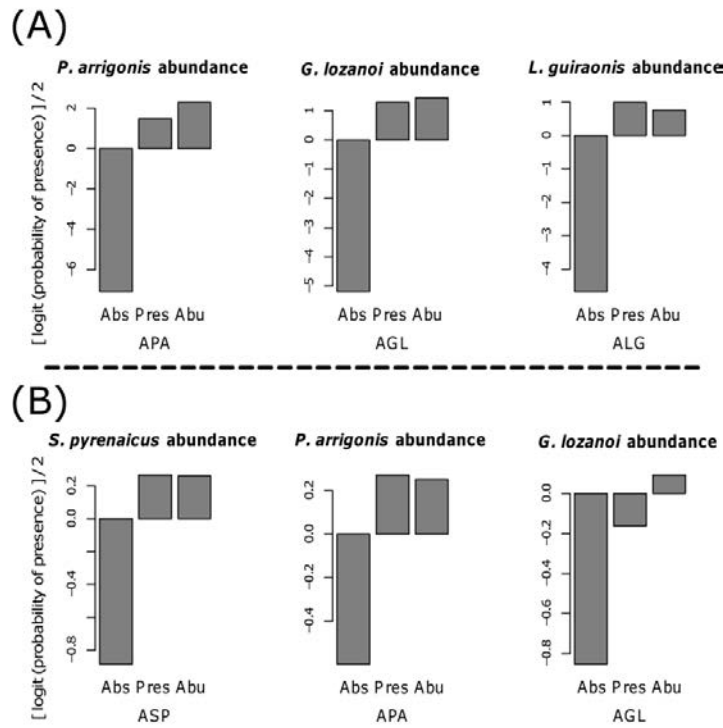


Figure 6. Partial plots of variable marginal effects in the RF models for (A) *S. pyrenaicus* and (B) *L. guiraonis*, considering only biotic habitat variables. Fish abundance was expressed by three classes: Abs = absent, Pres = present and Abu = abundant. Selected variables are reported in order of importance.

Due to the ecological relevance and the high model performance, model validation with an independent dataset was carried out only for the predictive models built by abiotic variables. For *S. pyrenaicus*, the model showed an accuracy of 75%, a Cohen's kappa of 0.51 and a TSS of 0.55, although being slightly over-predictive (sensitivity = 0.93 and specificity = 0.62). The *L. guiraonis* model performance was even higher, achieving an accuracy of 81%, whereas Cohen's kappa and TSS were equal to 0.60 (Fig. 6). Compared to model training, the area under ROC curve (AUC) decreased, showing a value of 0.75 for *S.pyrenaicus* model and 0.81 for *L. guiraonis* model.

Table 3: Validation of the habitat models for *S. pyrenaicus* and *L. Guiraonis* built using only abiotic variables. Model accuracy, specificity, sensitivity, Cohen’s kappa, area under ROC curve and true skill statistic are reported in the table, together with the prevalence, number of observations and proportion of the training data for each validation dataset.

Model validation	<i>S. pyrenaicus</i>	<i>L. guiraonis</i>
Accuracy	0.73	0.81
Sensitivity	0.93	0.77
Specificity	0.62	0.83
Cohen's kappa	0.47	0.60
Area under ROC curve	0.75	0.81
True skill statistic	0.55	0.60
Prevalence	0.38	0.59
Number of obs.	48	22
Proportion of the calibration dataset (%)	20	20

4. Discussion

This study focused on the prediction of *S. pyrenaicus* and *L. guiraonis* distribution in the upper Cabriel River (Eastern Spain), taking into account the relative importance of both biotic and abiotic habitat variables. In particular, we evaluated the role of interspecific interactions to shape fish distribution, which constitute a valuable contribution for modelling and evaluating habitat for fish.

Random Forests (RF) was effective in predicting the probability of fish presence in response to habitat variables and the conditional variable importance (Strobl et al., 2008) provided a fair means of comparison that can help identify the truly relevant predictor variables. For the first time in species distribution modelling, the conditional variable importance was used together with the Model Improvement Ratio (MIR) technique (Murphy et al., 2010) and the procedure showed effectiveness in identifying a parsimonious set of not correlated variables, which minimize noise and improve model performance. Furthermore, the MIR procedure can be considered appropriate for parsimonious model construction as RF is noted to be robust to overfitting when the number of noise variables increases (Hastie et al., 2009). According to Freeman et al. (2012), we did not balance the species prevalence in model construction phases (e.g., re-sampling the data to have prevalence = 0.5), due to its negligible influence on RF results. All models showed high accuracy, sensitivity/specificity values and Cohen’s kappa statistics indicating reliable predictions with low cross-classification errors. Moreover, the area under ROC curve (AUC) and the true skill statistic (TSS), which can also be considered independent of prevalence (Vaughan and Ormerod, 2005; Maggini et al., 2006), suggested good to excellent model performance (Pearce and Ferrier, 2000; Allouche et al., 2006).

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4 The presence of *S. pyrenaicus* and *L. guiraois* in pools and glides, but also in moderate to fast
5 water habitats, such as riffles (Table 2), is in accordance with the classification of both fish as
6 eurytopic species (Matono et al., 2006; Capela, 2007). *S. pyrenaicus* had been previously defined as
7 lithophilic (Doadrio, 2001), as riffles with abundant gravel are important spawning sites for the fish
8 (Granado-Lorencio, 1996; Ilhéu et al., 1999; Doadrio, 2001). This spawning behaviour is in
9 accordance with the one described for *S. cephalus* (European chub), which selects shallow running
10 waters as spawning sites (Fredrich et al., 2003). In our study, the preference shown by both fish
11 species for pools, glides and riffles may depend on the selected survey period (June-October), in
12 which the main drivers of the species distribution may be related to daily feeding and resting
13 activities rather than spawning (Doadrio, 2001). Considering the diel and seasonal variation of
14 habitat requirements (sensu Davey et al., 2011), one can state that the protection and enhancement
15 of habitat diversity seems to be the best strategy to favour the conservation of these endemic Iberian
16 species (Ilhéu et al., 1999; Magalhães et al., 2002).

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26 Although the predictive models for the two target species were built using two different training
27 datasets (Table 2), the selected biotic and abiotic inputs and their influence on the probability of
28 presence were similar. This results may suggest that the fish distribution patterns are similar and the
29 two species generally occupy similar habitats. Indeed, *S. pyrenaicus* and *L. guiraois* were
30 frequently observed in mixed species groups during the surveys. Therefore, the positive effect of
31 cyprinid abundances on the probability of fish presence (Fig. 5 and 6) may not indicate positive
32 interspecific interactions but only habitat overlapping. Only when *L. Guiraois* was classified as
33 abundant, the probability of presence of *S. pyrenaicus* slightly decreased, which can be indicative
34 of possible competition between the two fish species in such a condition. The Iberian species of
35 chub and barbel are considered generalist, mainly relying on invertebrates, detritus and plants in
36 accordance to their relative availability (Granado-Lorencio, 1996; Valladolid and Przybylski, 1996;
37 Carmona et al., 1999), although at the microhabitat scale, differences in the feeding habits can lead
38 to the differential use of the water column (Grossman and De Sostoa, 1994). This resource
39 partitioning can therefore explain the coexistence between species and the overlap in habitat use
40 shown by the models (Martínez-Capel, 2000). Indeed, the analysis on the correlation between fish
41 densities, and particularly the correlation between the two target species and other fish species (Fig.
42 7), revealed that cyprinid densities were positively correlated (Spearman's coefficient ranging from
43 0.28 to 0.77), hence emphasizing the habitat overlapping and the limited role of interspecific
44 competition. However, it is important to state that competition can limit population size without
45 completely excluding species from habitats (e.g. competitors do coexist), and further analysis of
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fish abundance may provide valuable additional information (Fukuda et al., 2012; Olaya-Marín et al., 2013).

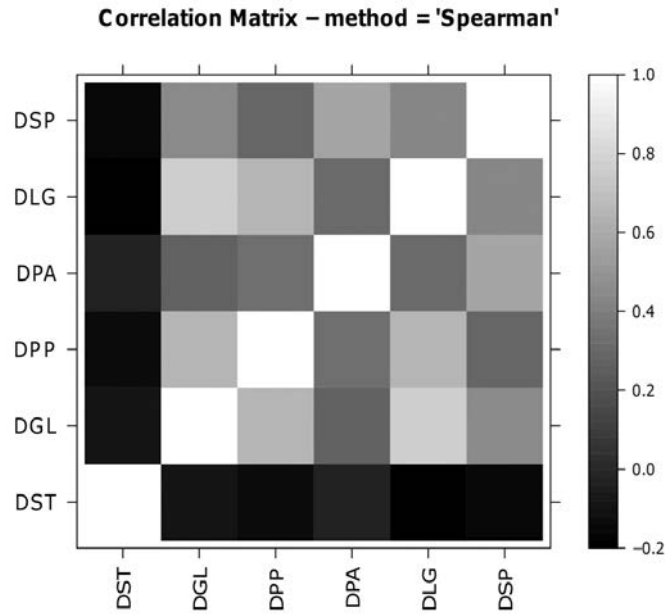


Figure 7. Spearman correlation coefficients among fish density values in the study area. Species codes are reported in Table 1.

It is important to note that all modelling approaches designed to account for biotic interactions have important limitations in inferring causation from spatial data. If the distribution of one species is shown to be highly dependent on the distribution of another species it can be difficult to differentiate if this is due to a real biotic interaction between the two species or is better explained by one or more overlooked environmental factors not accounted for in the model (Wisz et al., 2013). Building three different models, which account for (i) only abiotic (Fig. 4), (ii) both biotic and abiotic (Fig. 5), and (iii) only biotic variables (Fig. 6) can be seen a possible approach to gain insights on the role of the different drivers of species distribution. However, the proposed approach needs some prior knowledge on the ecology of the species under study to include the appropriate environmental predictors at the appropriate scale resolution, in order to avoid the risk of concluding that there is completion or mutualism when this is not the case (Wisz et al., 2013).

Looking at the selected abiotic variables (Fig. 4), the positive effect of water depth and channel width on cyprinids occurrence has been pointed out in Iberian rivers (Godinho et al., 1997; Carmona et al., 1999; Pires et al., 2000). Particularly, studies carried out at the micro-scale showed that both *Squalius* and *Barbus* prefer deep-water habitats (Grossman and De Sostoa, 1994; Martínez-Capel et al., 2009). However, contrary to Ferreira et al. (2007), the proportion of fine substrate (which is almost absent in the upper Cabriel River) was shown to be an important variable

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4 for *S. pyrenaicus* occurrence. The importance of submerged vegetation for fish has been
5 demonstrated in a number of studies (Arlinghaus and Wolter, 2003; Oliva-Paterna et al., 2003;
6 Santos et al., 2004; Gomes-Ferreira et al., 2005), and has been related to a combination of factors
7 including physical stresses, food availability and predation risk (Ferreira et al., 2007). Clavero et al.
8 (2004) also stressed its importance in well-conserved upper reaches of Iberian rivers as refuge for
9 small-sized *S. pyrenaicus*. This fish can also respond negatively to pressure related to
10 morphological alteration (CEMAGREF, 2008); and, according to our model, an increase of the
11 proportion of silt and clay substrate may result in a decrease of *S. pyrenaicus* occurrence.
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17 The performance in validation (Table 3) demonstrated the great efficacy and the ecological
18 relevance of the selected abiotic variables in predicting fish distribution at the mesoscale, and this
19 result is coherent with the previous ecological knowledge on habitat selection by Mediterranean
20 cyprinids (Granado-Lorenzo, 1996). It is important to highlight here that the use of independent
21 data for validation is a not common procedure, often omitted in species distribution models (Elith
22 and Leathwick, 2009). Current practice usually involves testing predictive performance using data
23 resampling (e.g., split-sample or cross-validation procedures), and more experimental verification
24 of modelled fish-habitat relationships is needed to provide valuable insights on model effectiveness
25 and transferability (Bennett et al., 2013). Indeed, model generality should be tested on a spatially
26 independent data-set since the use of accuracy estimates based on cross-validation procedures tend
27 to differ (Edwards Jr et al., 2006). However, collecting new data is costly and needs to be
28 optimized. Some work has attempted to identify the minimum sample requirements for deriving
29 robust predictions at minimal costs, and have shown that different modelling methods might require
30 different minimum sampling size (Stockwell and Peterson, 2002). Following Freeman et al. (2012)
31 and Stockwell & Peterson (2002), we assumed that, for RF, 20% of the training data-set and more
32 than 20 observations per species were suitable for model validation. Moreover, improving model
33 parsimony was useful to identify the lowest number of variable to be surveyed, and this approach
34 will help in the case of future model applications.
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48 The mesoscale resolution and the potential of RF in considering categorical and continuous
49 variables allowed us to gain an insight into the influence of both biotic and abiotic variables on fish
50 habitat use and to test if fish habitat selection was mainly driven (or not) by instream physical
51 characteristics. The presented approach substantially differs from the traditional, more common
52 micro-scale analysis, which is less flexible in accounting for multiple species and biotic interactions
53 (Parasiewicz et al. 2013). This study represents a step towards including interspecific interactions in
54 mesohabitat simulation tools (e.g., MesoHABSIM, Parasiewicz, 2007, MesoCaSiMiR, Eisner et al.,
55 2005) in order to clarify the role of biotic interactions more rigorously across different spatial scales
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4 (from HMU scale, to river segments, to entire catchment). As reported in Hirzel and Guisan (2002),
5 collecting fine-grained observational data across large spatial extents, stratified to represent
6 variation in environmental gradients, can be useful to better investigate the effect of biotic
7 interactions on species distribution. Such cross-scale analyses could be performed for freshwater
8 fish using mesoscale approaches by building regional fish distribution models that describe how
9 biotic interactions influence species assemblages and the processes that shape them (Araújo and
10 Rozenfeld, 2014). Regional habitat models built at the mesohabitat scale have been already
11 proposed in Vezza et al. (2014a,b). Following the proposed modelling procedure, the incorporation
12 of biotic variables as predictors of fish distribution could also be considered in future studies using
13 habitat simulation models to design environmental flows and river restoration actions to allow a
14 better understanding of complex impact sources on the habitat use by fish (Boavida et al., 2012).

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23 Nevertheless, the proposed modeling procedure has the limitation of ignoring the importance of
24 population dynamics which can generate time lags in the relationship between environmental
25 conditions and species' abundances. Looking at the results, our findings may represent the
26 “ecological snapshot” of the upper Cabriel River and more studies would be needed to clarify the
27 structure of freshwater fish assemblages in the Mediterranean area. The Upper Cabriel River
28 constitutes a natural (unimpacted) study area to develop reference habitat models, which can be
29 useful for the management of local populations. A more regional approach would be needed to
30 validate the obtained results across different catchments in the Jucar River Basin District to gain
31 more insight on habitat requirements of the considered fish species. However, samples from
32 different rivers in nearly natural conditions are difficult to collect given the high degree of hydro-
33 morphological alteration of Mediterranean rivers (Belmar et al., 2013; Feio et al., 2013) and the
34 sensitive state of the fish (Crivelli, 1996; Baillie et al., 2004).

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43 Apart from their ecological relevance, the obtained predictive models are based on variables
44 which can be objectively measured and can be very useful to support habitat simulation tools. RF
45 can be seen as a promising tool for the ecological management of Mediterranean rivers and
46 predictive models can be implemented in the context of hydraulic-habitat simulation systems
47 (Vezza et al., 2014b). Species distribution models should include the effects of interspecific
48 interactions (Elith and Leathwick, 2009) and many conservation actions could benefit from
49 modelling approaches that include both abiotic and biotic habitat variables (Guisan and Thuiller,
50 2005). Perspectives for refining predictions of fish distribution by accounting for biotic interactions
51 remain in the early stages of development (Wisz et al., 2013). This approach is considered as an
52 interesting line of research and further studies in Mediterranean rivers have been already planned
53 for the near future.
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