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**New 0-D Methodology for Predicting NO Formation under continuously
varying temperature and mixture composition conditions**

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25 **New 0-D Methodology for Predicting NO Formation under continuously varying**
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29 0. Abstract

30 The development of new diesel combustion modes characterized by low combustion
31 temperatures, to minimize the NO_x emissions, has caused a noticeable change in the diesel
32 spray's structure and in the NO_x chemistry, gaining relevance the N₂O and the prompt routes in
33 detriment of the thermal mechanism. Therefore, to accurately predict the NO_x emissions, the
34 detailed chemistry and physics must be taken into account, with the consequence of
35 increasing the computational cost.

36 The authors propose in the current study a new predictive methodology associated to low
37 computational cost, where detailed chemistry and simplified physics are considered. To
38 diminish even more the computational cost, the chemistry was tabulated as a function of
39 temperature and oxygen excess mass fraction (parameter which effectively couples the
40 equivalence ratio and the EGR rate). This tool has been developed with the objective of being
41 applicable in continuously varying temperature and mixture fraction conditions (the diffusion
42 diesel spray context) and was validated with the Two-Stage Lagrangian model (TSL-model) and
43 with real engine measurements.

44 The results in both validation scenarios reflect a high degree of accuracy making it applicable,
45 at least, to perform qualitative predictions. By extension, it is expected to perform similarly in
46 continuously varying temperature conditions (i.e: homogenous charge compression ignition
47 diesel combustion modes) which are less demanding computationally speaking.

48

49

50 1. Introduction

51 The development of new diesel combustion modes characterized by low combustion
52 temperatures, to minimize the NO_x emissions, has caused to diminish the relevancy of the
53 thermal mechanism with respect to the total NO_x formation. This fact was analyzed by
54 Desantes et al. [1] for conventional diesel combustion (CDC) and low temperature combustion
55 (LTC) conditions. In this work, where LTC conditions were defined assuming a similar criteria to
56 the one used by Musculus [2] (i.e. adiabatic combustion temperature lower than 2200K), the
57 authors corroborated that the thermal mechanism reduces its relevancy to a 40%-60%
58 independently of the fuel (n-heptane or methane) and the equivalence ratio considered ($0.5 <$
59 $\Phi < 1$).

60 Focusing on this latter aspect, the combustion of leaner fuel-oxidizer mixtures not only
61 reduces the thermal mechanism [3] influence by decreasing the combustion temperature but
62 also modifies the chemistry of the NO formation process and therefore other pathways like the
63 N₂O intermediate [4-6] can become relevant. On the one hand combustion of leaner mixtures
64 are typically employed, in the premixed auto-ignition diesel combustion contexts (e.g: HCCI
65 mode), to achieve low combustion temperatures with little exhaust gas recirculation (EGR) to
66 mitigate the important drawbacks associated with the employment of massive EGR. These
67 conditions cause the N₂O pathway to gain in importance as several authors have already
68 demonstrated [7-8]. On the other hand, in the context of diffusion diesel sprays, Musculus [2]
69 observed that the NO_x were formed inside the fuel spray where the variation of local
70 conditions reflect a leaner mixture ($0.5 < \Phi < 1.5$) in comparison with CDC conditions
71 summarized in Dec's model [9]. This fact not only corroborates how the N₂O route gains
72 relevancy, just as in the previous context, but also highlights the need of taking into account
73 the prompt mechanism [4, 6, 10-11] which becomes relevant in fuel rich mixtures ($\Phi > 1$).

74 Independently of the combustion scenario under analysis, it seems clear the need to
75 consider not only the physical phenomena behind these combustion processes but also all the
76 pathways involved in the NO_x chemistry in order to accurately predict the emissions of this
77 pollutant.

78 This asseveration is undoubtedly associated with a substantial increase of the
79 computational costs of the corresponding simulations. Some authors have focused, from long
80 time ago, on reducing it by analyzing the physical phenomena in detriment of simplifying the
81 chemistry of the combustion process. To do so, new computational tools have been created
82 based on: partial-equilibrium assumptions [12-13], constrain equilibrium [14-16],
83 computational singular perturbation [17] and tabulated chemistry. Multiple procedures have
84 been developed relaying on this latter concept. Initially, Pope [18] developed the in-situ
85 adaptive tabulation methodology (ISAT) which was the first attempt to tabulate the chemistry.
86 It consisted in characterizing the thermochemical state of a mixture, at any time and point, by
87 the mass fraction of a number of species, the enthalpy and the pressure variables. However,
88 this methodology derived to the intake low dimension manifold procedure (ILDLM) [19] which
89 simplified the reduction of the chemical schemes and therefore the generation of look-up
90 tables. However its main inconvenience is the low accuracy at low temperatures, where higher
91 manifold is required. To solve this inconvenience, the flame propagation of ILDM approach
92 (FPI) [20-21] was developed as well as the flamelet generated manifold (FGM) [22-23]. Even
93 though these latter ones are used nowadays, the great inconvenience of all these tools is
94 considering assumptions of uncertain generality and accuracy, the tedious task of determining
95 the key species and parameters to describe the mixture thermodynamically, the construction
96 of the tables where the different species' concentrations are summarized and which require
97 huge memory resources, and the degree of knowledge required to understand the
98 fundamentals on which these computational tools are sustained on.

99 Another way to reduce computational cost is to simplify the physics involved in the
100 process and concentrate on the chemistry by employing complete mechanisms, including high
101 number of species and reactions. Commonly, this is achieved mainly by reducing the number
102 of dimensions to be considered, e.g.: 1-D and 0-D models. Regarding the 0-D models, the most
103 extended approaches are related to: single-zone homogeneous in-cylinder conditions [24],
104 two-zone [25] and multi-zone combustion models [26]. As Zheng pointed out in [27], even
105 though the single zone cannot be used to obtain results referred to spatial distribution, they
106 are more efficient in capturing the detailed chemical kinetics and the basic thermodynamic
107 events. Several research studies [27-31] analyzing how the pollutant emissions formation
108 processes are affected by different operating conditions, (pressure, intake temperature,
109 exhaust gas recirculation rate and fuel chemistry) have used such models.

110 Consequently, the authors believe that despite the drawbacks associated with the
111 tabulated chemistry there is undoubtedly a great potential in combining it with the 0-D models
112 to perform fast and accurate pollutant predictions.

113 2.- Objectives and General Methodology

114 2.1- Objectives

115 The objective of this study is to develop a new methodology, based on the coupling of
116 a reduced n-heptane mechanism and a detailed NO_x sub-mechanism, capable to minimize the
117 major drawbacks of employing tabulated chemistry and to accurately predict the NO
118 formation trends under **continuously varying temperature (T) and relative mixture fraction**
119 (Z_r) conditions (like in diesel diffusion flames). Moreover, this tool should be able to run over a
120 wide range of combustion conditions independently of any specialized commercial chemical
121 kinetic software and without the need of advanced modeling knowledge and computational
122 resources.

123 This particular scenario has been chosen because current commercial diesel engines
124 are still operating under the diffusion diesel spray context and it is more computationally
125 challenging compared with those where exclusively the temperature varies with time (i.e:
126 auto-ignition premixed combustion modes).

127 2.2.- General methodology

128 To achieve the established objective a three-stage procedure was followed in which
129 the first of them dealt with the developing of the proposed methodology by using the Chemkin
130 Pro software [32], in particular the closed perfectly stirred reactor 0-D model (cPSR model).
131 The second and third stages consisted in checking the effectiveness of the proposed
132 methodology in diesel sprays simulations and against real engine's measurements by
133 employing the Two-Stage Lagrangian model (TSL) [33] and the apparent combustion time
134 model (ACT) [34], respectively.

135 This methodology was developed using a chemical kinetic mechanism made up of
136 combining the Seiser et al. n-heptane reduced mechanism [35] with a detailed NO_x sub-
137 mechanism included in the GRI-mech 3.0 [36]. The resulting mechanism will be denoted as
138 RES_{TOTAL}-mechanism and consists of 181 species and 1583 reactions. The use of this widely
139 extended mono-component fuel surrogate, with a similar cetane number as typical European
140 diesel fuel, could discard the NO formation routes associated with the nitrogen and aromatic
141 contents of the fuel and not be the most appropriate for low temperature combustion cases.
142 Regarding the influence of fuel contribution with NO formation, both contributions were found
143 to be negligible in [1, 38-39]. With respect to low temperature combustion processes, several
144 other aspects were considered, like: available kinetic mechanisms of new proposed
145 compounds or blends, their reliability and experimental validation and the computational cost
146 required.

147 Finally, to minimize the drawbacks of tabulated chemistry, this research will focus on
148 the NO formation rates to predict NO_x formation. Considering exclusively NO will not influence
149 significantly the results because as concluded in [40] the role of the combustion process in the
150 NO₂ formation process is minor under current diesel engine conditions.

151 3. Detailed description of the proposed methodology

152 In this section the proposed methodology will be explained in detail, focusing on the
153 theoretical principles and hypothesis considered as well as on how it works.

154 3.1.- Theoretical principles

155 In the following lines a brief review of how some key variables (temperature, relative
156 mixture fraction, time, EGR rate and pressure) influence the NO prediction will be performed
157 focusing on a steady state diesel diffusion flame.

- 158 ▪ Temperature (T): Parameter which varies, along the axial coordinate, from the
159 fuel's temperature to the combustion's temperature, reached at the flame
160 front region, and then decreases. This is due to a sequence of processes such
161 as: premixed combustion, mixing phenomena with combustion products
162 formed in the flame front region, diffusion combustion and dilution of the
163 combustion products by remaining fresh oxidizer. The temperature reached at
164 the flame front will undoubtedly determine the formation of this pollutant in
165 the region where most NO is expected to be formed.
- 166 ▪ Relative mixture fraction (Z_r): Variable describing the fuel-air ratio in a
167 particular mixture and key factor to the NO chemistry. It diminishes along the
168 axial coordinate, distinguishing three main regions; fuel-rich ($Z_r > 1$),
169 stoichiometric ($Z_r = 1$) and fuel-lean conditions ($Z_r < 1$). In each of these regions
170 a different NO formation route is predominant.

- 171 ▪ Time (t): The time variable strongly influences the NO chemistry as a
172 consequence of the time dependence of the two previous key parameters.
173 Moreover, the initial working conditions themselves influence the time
174 evolution of these parameters.
- 175 ▪ Exhaust gas recirculation rate (EGR rate): This variable influences indirectly the
176 NO chemistry by reducing the combustion temperature as a consequence of
177 diluting the oxidizer stream with combustion products which are less reactive.
178 Therefore it determines the initial oxygen mass fraction (Y_{O_2ini}) available to
179 react. This last characteristic, the Y_{O_2ini} , will be used to define the EGR rate of
180 the different cases presented along the whole research study.
- 181 ▪ Pressure (P): This variable has a minor impact on the NO chemistry and it can
182 be considered to remain constant throughout the whole diesel spray.
183 However, since it can be very different depending on the injection timing (due
184 to compression and expansion in the cylinder), it will be included in the model.

185 From the previous review it is plausible that Z_r and EGR rate are closely related to the
186 oxidizer stream, specially with the oxygen content. Therefore several authors, like Peters [41]
187 and Payri [42], in an attempt to agglutinate both variables in a single one, have come up with a
188 mathematical expression, like the following:

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$$Y_{O_2exc} = Y_{O_2ini} * (1 - Z_r) \quad (Eq.1)$$

190

191

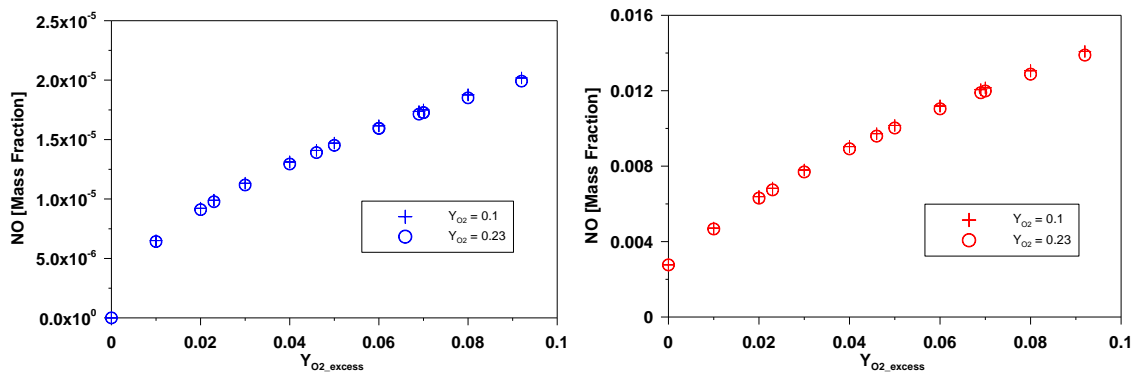
192 where Y_{O_2exc} is the oxygen excess mass fraction, Y_{O_2ini} quantifies the content of oxygen available
193 initially in the combustion chamber and Z_r describes the fuel-air relative mixture fraction.

194 To confirm that this variable really agglutinates both of them, a parametric study
195 (varying Z_r between 0.2 and 1) was performed for two different EGR rates (Y_{O_2ini} : 0.23 and 0.1).

196 In order to discard the effect of the initial conditions on the temporal evolution of NO,
197 equilibrium state was considered for two given temperatures, 1500K and 2500K. These
198 temperatures were selected to analyze the behavior of Y_{O_2exc} under two premises:

- 199 ▪ Close to typical combustion temperatures in different combustion modes, like:
200 low temperature combustion (LTC), $1800K \leq T \leq 2200K$, and conventional
201 diesel combustion (CDC), $T > 2200K$.
- 202 ▪ With the temperature range previously described, the thermal mechanism can
203 mask the “real” behavior of the tested variable due to the strong temperature
204 dependency. Consequently, the 1500K temperature value was chosen to
205 mitigate this effect while respecting the first premise.

206 The results obtained from the EQUIL module of Chemkin Pro were plotted in Figures
207 1a and 1b. These illustrate that identical NO_{eq} values are achieved, at a given Y_{O_2exc} , for both
208 cases and therefore corroborating that this parameter can be used to relate Z_r and EGR rate
209 (Y_{O_2ini}) in a single variable. Therefore Y_{O_2exc} is going to be employed throughout the present
210 study because it reduces the number of variables to characterize.



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Figure 1.- Comparison of the NO values for a given oxygen excess mass fraction determined by different relative equivalence ratios and initial oxygen mass fraction (used to characterize the EGR rate), at equilibrium conditions and for two temperatures, a) 1500K and b) 2500K.

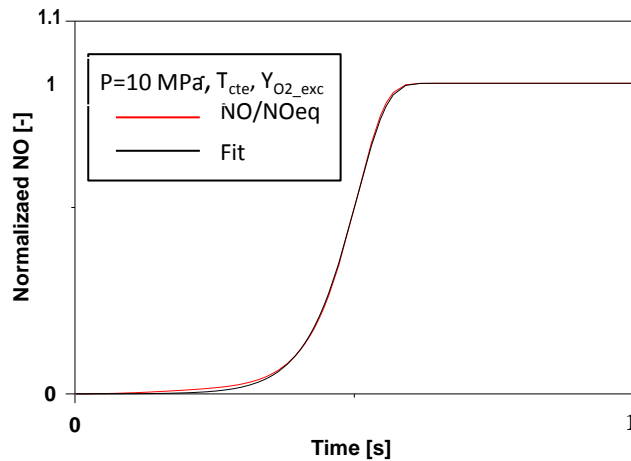
218 3.2.- Description of the hypothesis and the methodology's development procedure

219 After reviewing the key variables related to the NO formation process, the authors
220 realized that T and Y_{O_2exc} were the predominant parameters and to a lesser extent P .
221 Therefore the following hypothesis was considered: **the NO evolution, for any given**
222 **combustion process, can be determined just considering the temporal evolution of**
223 **temperature, pressure and Y_{O_2exc} variables. This evolution can be reproduced by the**
224 **concatenation of a finite number of infinitesimally small processes at constant T , Y_{O_2exc} and P .**

225 Consequently, based on the previous hypothesis, the NO formation process has to be
226 studied at constant T , P and Y_{O_2exc} . For this reason non-steady state simulations using the
227 cPSR model from Chemkin Pro were performed to obtain the temporal evolution of NO
228 ($NO(t)$) at constant T , Y_{O_2exc} and P conditions for different relative mixture fraction values ($0.2 \leq$
229 $Z_r \leq 1$). The considered simulation time was of 1s to guarantee an effective future
230 characterization of all the studied conditions, including the slowest ones. Additionally, in an
231 attempt of minimizing the number of simulations required, only the non-EGR scenario was
232 taken into account. It is easily demonstrable, using equation 1, that by doing so all the other
233 EGR rates are also being indirectly considered.

234 Afterwards, to make comparable all the studied conditions, a normalization process
235 was encountered in which $NO(t)$ was normalized by the corresponding NO equilibrium
236 composition (NO_{eq}) using the EQUIL module of Chemkin Pro. These equilibrium values were
237 stored in a look-up table as a function of T , Y_{O_2exc} and P . Finally the resulting evolution plotted
238 in Figure 2 were accurately characterized by an exponential fit equation, equation 2 (Eq.2).

239



240

241 **Figure 2.- Temporal evolution of normalized NO and its fit for a given temperature, pressure and**
 242 **oxygen excess mass fraction.**

243

244

$$\frac{NO}{NO_{eq}} = 1 - \exp(-k * t) \quad (Eq. 2)$$

245 where NO is the amount of this pollutant predicted at a certain time, NO_{eq} is the amount of NO
 246 formed in equilibrium state for the corresponding working conditions, k is a constant value
 247 which characterizes the NO/NO_{eq} evolution and t is the elapsed time. The k value will be
 248 tabulated in a second look-up table function of T, Y_{O2exc} and P.

249

The great advantage of this fit mainly relies in three factors:

250

- This trend highlights the fact that the NO formation chemistry behaves similarly as a single pseudo first order reversible reaction [43] simplifying drastically the chemical mechanisms related with the NO_x formation. This is mathematically demonstrated in Appendix A.

251

252

253

254

- The whole normalized temporal evolution is described by just one variable, k, which can be stored in a look-up table as a function of T and Y_{O2exc} (Figure

255

256

Figure 2).

257 ▪ The equation in its differential form (Eq. 3), employed to concatenate the
258 sequential processes, is simple and requires low computational cost. In
259 Appendix B, the mathematical demonstration of how equation 3 is achieved
260 from its predecessor, equation 2, is summarized.

261

$$\text{NO}_t = \text{NO}_{t-1} + k * (\text{NO}_{\text{eq}} - \text{NO}_{t-1}) * (t_t - t_{t-1}) \quad (\text{Eq. 3})$$

262

263

264 where NO_t is the NO calculated at each considered time (t), NO_{t-1} is the
265 calculated amount of NO in the previous time step and k is the empirical
266 exponential fit constant. The NO composition at equilibrium conditions for a
267 given T , P and Z_r is denoted as NO_{eq} and $(t_t - t_{t-1})$ is the considered time step,
268 which can be constant or variable.

269

270 The resulting look-up tables from the described procedure are represented in Figure
271 3a and 3b for a given pressure (10 MPa). The first plot corresponds to NO_{eq} values calculated
272 under constant pressure and temperature conditions with the purpose of generating a look-up
273 table in which NO_{eq} is a function of YO2excess and T . Looking closely at this plot seems that the
274 results are in contradiction with the fact that for a given initial temperature the NO increases
275 for $0.2 \leq \Phi \leq 0.78$ and then diminishes for $0.78 < \Phi \leq 2$ [44]. However these discrepancies are
276 consequence of the constant temperature constrain. Under these conditions, the NO
277 formation is exclusively dependent on the oxygen content and not in the Φ . This explains why
278 higher NO formation is achieved for leaner fuel mixtures (higher YO2excess) in contrast, with
279 the optimum, 0.78, found in [44]. In this latter scenario, higher Φ leads to a higher combustion
280 temperature but also reduces the oxygen availability.

280

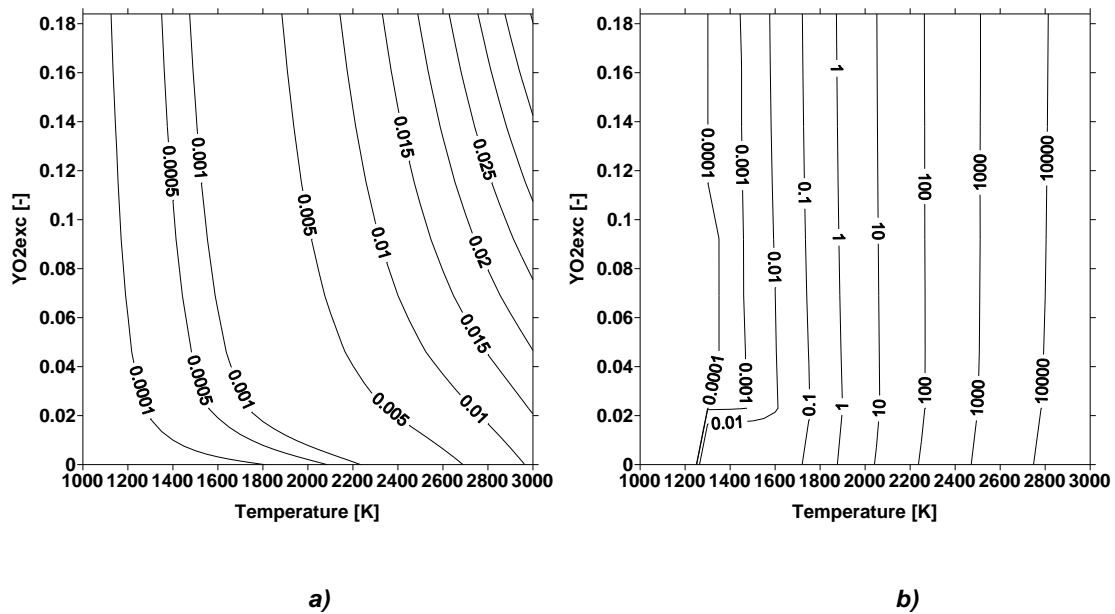


Figure 3.- Results yield from the constant temperature characterization process of NO formation over the considered operational range for n-heptane as diesel fuel surrogate and at 10MPa; a) NO equilibrium composition, b) the k values characterizing the normalize NO formation rate.

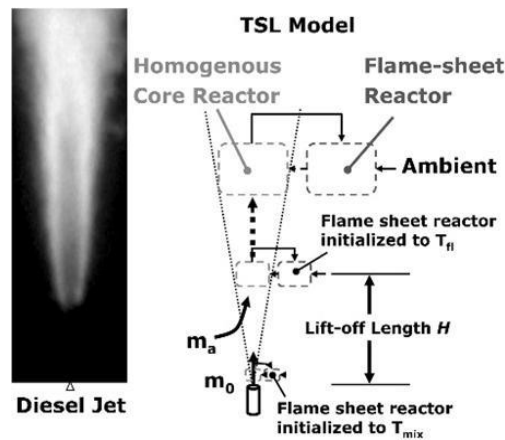
The results summarized in Figure 3b, calculated under constant pressure and enthalpy conditions, are coherent with the chemical kinetic principles (higher temperatures cause an increase in reaction rates leading to higher k values) and with the chemistry ruling the NO formation process. At high temperatures ($T > 1800\text{K}$) the thermal mechanism, strongly dependent on this parameter, is the predominant formation route. This explains the vertical iso-k lines in this region. As the temperature decreases ($T < 1800\text{K}$) so does its contribution in favor of the prompt and the N_2O pathways. This explains the slight curvature of the iso-k lines and the observed distortions for $Y_{\text{O}_2\text{exc}} < 0.05$.

Finally, the predicted NO formation trend will be determine by applying equation 3 which is dependent on these two constants.

3.3.- Implementation of the proposed methodology

With the k and the NO_{eq} tables generated and in coherence with the theoretical principles ruling the NO chemistry, the methodology can be implemented and checked.

300 Initially, the TSL model [33] was used as a reference tool in a diesel diffusion flame to
 301 obtain: $T(t)$, $Y_{O_2exc}(t)$ and the NO time-evolution ($NO_{REF}(t)$) for a given initial working condition,
 302 described by P , Y_{O_2ini} and T , respectively. This model was proposed by Broadwell and Lutz to
 303 perform 1-D simulations to calculate temperature and composition (predict NO_x and soot
 304 emissions) in stationary, turbulent and non-premixed diesel sprays with a low computational
 305 cost by simplifying the flame structure in two regions: flame front and flame core. Each of
 306 them is characterized by a perfectly stirred reactor (PSR) which move in parallel, exchanging
 307 mass and temperature between them, as they move downstream the stationary spray, see
 308 Figure 3. This simplification allows studying of the chemical processes inside the flame
 309 structure by considering detailed kinetic mechanisms. In this case, the mechanism used was
 310 RES-Mech, the resulting from combining the Seiser et al mechanism [35] with the NO_x sub-
 311 mechanism of GRI Mech 3.0 [36].



312

313 **Figure 4.- Schematic representation of the how the two-stage Lagrangian model (TSL**
 314 **model) simplifies the diesel flame in two regions: flame core and flame front [33].**

315

316 These results will be the input variables to determine the k and the NO_{eq} values from
 317 the look-up tables. Substituting these values into equation 3 and knowing the time step, given
 318 also by the reference tool, the predicted NO trend (NO_{MET}) is obtained. In this case, the index

319 “MET” stands for proposed methodology, or in other words, the methodology developed in
320 the present paper. To check its accuracy, this trend will be afterwards compared with NO_{REF} .

321 4. Results

322 In this section the predictive capability of the proposed methodology will be presented
323 and analyzed by comparing it with NO_{REF} under continuously varying temperature and relative
324 mixture fraction conditions (e.g: diesel diffusion flame scenario) considering non-EGR and EGR
325 cases. Additionally, validation studies were performed with real engine-out NO_x emissions
326 obtained for a wide range of operational conditions, covering LTC and CDC combustions,
327 running the engine under diffusion diesel combustion characteristics.

328

Parameter	Value
Pressure [MPa]	10
Fuel	n-heptane
Nozzle orifice diameter [μm]	100
Injection velocity [m/s]	630
Fuel density [kg/m^3]	613

329

330 **Table 1.- Summary of the baseline conditions considered in the TSL model.**

331

Cases	T_{oxi} [K]	T_{fuel} [K]	P [Mpa]	Y_{O2ini} [-]
Case non-EGR	1000	300	10	0.23
Case EGR	1000	300	10	0.1

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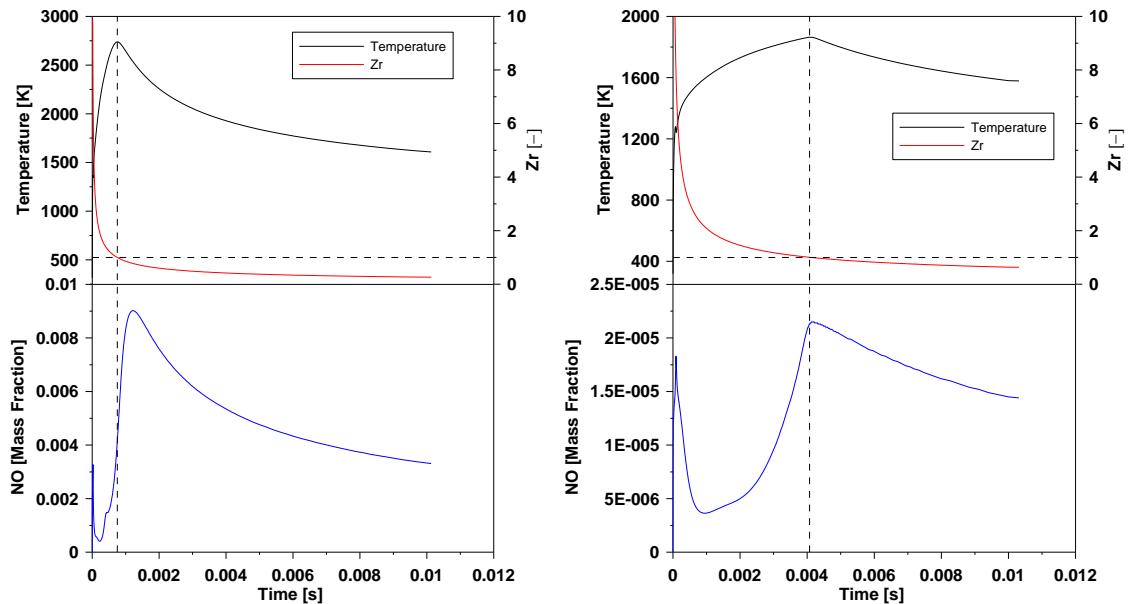
333 **Table 2.- Summary of the considered conditions for the two studied cases.**

334 4.1.- Continuously varying temperature and relative mixture fraction conditions

335 To check the accuracy of the proposed methodology in this context, the TSL model was
336 used to simulate the behavior of a diesel diffusion flame for two cases non-EGR ($Y_{O2ini} = 0.23$)
337 and massive EGR rate ($Y_{O2ini} = 0.1$), summarized in Table 21 using the baseline conditions listed
338 in Table 2 [45]

339 The temporal evolution of T and Z_r (top row) as well as NO_{REF} (bottom row) are plotted
 340 for both cases in Figures 5a and 5b. In these plots, the stoichiometric condition ($Z_r = 1$), typical
 341 of the flame front region, is depicted by a discontinuous line limiting the fuel-rich (left hand
 342 side) and the fuel-lean regions (right hand side).

343 These results agree with the theoretical principles ruling diesel sprays, under CDC [[9]
 344 and LTC conditions [2], and the Z_r value was used to calculate the corresponding $Y_{\text{O}_2\text{exc}}$. It is
 345 worthy to highlight that the decrease in the amount of NO in the fuel-lean region is due to
 346 dilution effects caused by the mixing of oxidizer with the combustion products as they move
 347 downstream from the flame front region.



348

349

350 **Figure 5.- Temperature, mixture fraction and NO evolution along the axial axis of a diesel**
 351 **diffusion flame simulated by the TSL model with the baseline conditions summarized in Table 11.**
 352 **a) Non-EGR case ($Y_{\text{O}_2\text{ini}}=0.23$) and b) massive EGR case ($Y_{\text{O}_2\text{ini}}=0.1$).**

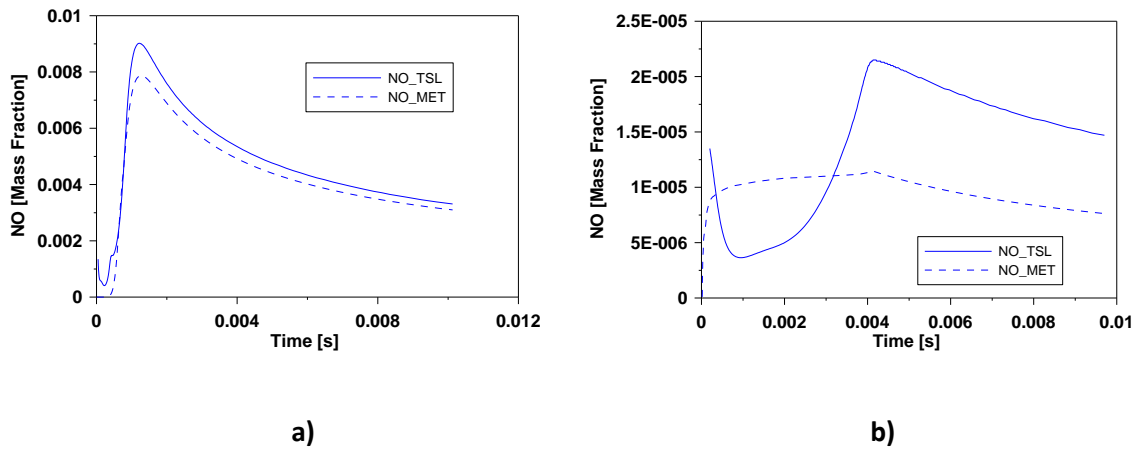
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Despite the fact that the proposed methodology is built focusing on this latter region,
 higher amounts of NO are expected to be formed , it was validated considering the whole

356 evolution of the spray structure in the axial coordinate. In order to take into account the flame
357 front inner region, where $Z_r > 1$, the Y_{O_2exc} was considered to be 0 as well as the initial NO.



358

359

360 **Figure 6.- Comparison of the NO time evolution between the TSL model and the methodology**
361 **proposed in this research paper. a) Non-EGR case ($Y_{O_2ini}=0.23$) and b) massive EGR case**
362 **($Y_{O_2ini}=0.1$).**

363

364 Generally speaking Figure 6a and 6b highlight that the proposed methodology has a
365 high accuracy for the case with no EGR in contrast with the one with massive EGR. This can be
366 explained by the strong temperature dependency of the NO formation process. In other
367 words, the exponential fit is less accurate at lower temperatures (massive EGR) than at higher
368 because the process is extremely slow and very little NO is formed. This is corroborated in
369 Figure 6b where there is a lower predictive accuracy than in Figure 6a where higher
370 combustion temperatures are reached.

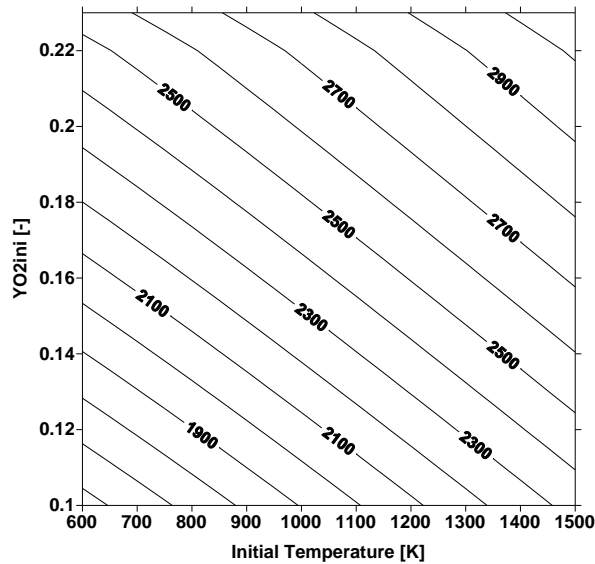
371 However, in both cases the accuracy diminishes in the inner region of the flame (left
372 hand-side of the peak value). In the first case, non-EGR, the proposed methodology
373 underestimates while in the second, massive EGR, it overestimates the amount of NO. In this
374 latter case, this is clearly a consequence of not considering the NOx reburning phenomenon,
375 responsible for the NO reduction by converting them into HCN or HCNO in presence of
376 hydrocarbons and low temperatures, 800-1500K [46]. Despite the fact that several more

377 recent studies have corroborated its existence in internal combustion engines [42, 47-48] the
378 authors decided not to include it in this proposed methodology for simplification reasons.

379 In an attempt of achieving higher accuracy, especially for the massive EGR case, the
380 authors hypothesized the existence of other phenomena, distinct to those described
381 previously, strongly involved with the NO formation process in the diesel diffusion flame
382 context rather than a problem associated with the proposed methodology.

383 From the results summarized in the look-up tables, the authors realized that the NO
384 formed in the flame front region ($Z_r = 1$) even though it is not negligible, especially at high
385 temperature (i.e: like the non-EGR case), was not considered to affect the NO in spray axis, this
386 amount of pollutant could be transported into the flame core increasing the content of this
387 specie in the inner region of the diesel flame.

388 To consider this latter phenomenon in the simplest possible way, equilibrium
389 conditions were assumed throughout the whole flame front region even though this is not
390 completely true in reality. Therefore the amount of NO formed in the flame front will be equal
391 to NO_{eq} (already tabulated) for $Y_{O_2exc} = 0$ (stoichiometric conditions, $Z_r = 1$) and for a given
392 adiabatic combustion temperature (T_{ad}). Consequently, this temperature, the only unknown,
393 was tabulated as a function of initial mixture's temperature (T_{ini}) and the initial oxygen mass
394 fraction (Y_{O_2ini}) by considering constant P and H conditions. The results are plotted in Figure 5
395 which corresponds to the third tabulated table of the proposed methodology.



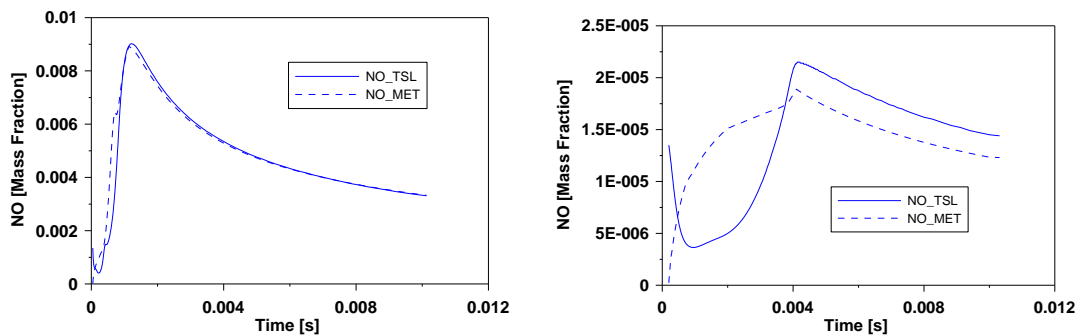
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Figure 7.- Tabulated adiabatic combustion temperature as a function of initial mixture's temperature and initial oxygen mass fraction.

399

400 In Figure 8a and 8b the results yield considering this phenomenon are plotted. As
 401 expected, in both cases the over-prediction in the inner region of the flame is perfectly
 402 observed and diminishes rapidly as the flame front and the post combustion regions are
 403 reached ($Z_r \leq 1$). Finally the accuracy has increased significantly, specially in the massive EGR
 404 case (i.e.: 85% accuracy), suggesting that the methodology is accurate enough to perform not
 405 only qualitative but also quantitative predictions of NO formation in both scenarios.



406

407

a)

b)

408

409

410

411

Figure 8.- Accuracy between the NO predicted by the proposed methodology (NO_MET) and by the TSL model considering the whole NO_x sub-mechanism included in GRI-Mech 3.0 (NO_TSL), in the inner region of a diesel diffusion flame, for: a) $Y_{O2ini} = 0.23$ and b) $Y_{O2ini} = 0.1$, with n-heptane at a pressure 10MPa.

412

413 4.2. Validation with real engine-out NO_x measurements

414 The proposed methodology was validated against real engine NO_x measurements by
415 including it in the apparent combustion time model (ACT model) [34] and by comparing its
416 predictions with the ones yield by the original version of the ACT model. In Table 3 the engine
417 and the injector's characteristics used for real engine measurements are summarized while in
418 Table 4 the upper and lower limits of the key combustion parameters modified for these real
419 engine experiments are presented. A total of 42 cases were considered.

Engine's Characteristics	Values
Stroke [m]	0.15
Bore [m]	0.12
Compression ratio [-]	14.26
Connecting rod length [m]	0.225
Injector	Values
Num. Orifices	8
Diam. Orifice [μm]	100
Included angle [$^\circ$]	140

420

421 ***Table 3.- Summary of the engine's and the injector's geometric specifications used for the***
422 ***engine test validation.***

423

Parameters	Units	Lower limit	Upper limit
Inj. Press.	(bar)	1645	2350
SOI	(CAD)	-24	-12
Intake Press.	(bar)	1,49	3,555
Speed	(rpm)	1200	1200
Air mass	(kg/h)	59,33	89,56
Fuel mass	(mg/cc)	90,48	130,292
EGR	(%)	30,03	75,31
NOx	(ppm)	3,04	684,44
Fr	(-)	0,60	0,80
XO2 intake	(%)	8,67	15,99
YO2 intake	(%)	10,10	17,33

424

425

Table 4. Summary of the key combustion parameters modified for real engine testing.

426

427

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431

Initially, the predictions of the original ACT model in comparison with the engine results, plotted in Figure 9a, show a considerable under-prediction of this pollutant in the LTC region (low NO formation and combustion temperatures) while for the CDC conditions (high NO formation and combustion temperatures) the accuracy is high. These results are in accordance with the fact that this version only considers the thermal mechanism which is directly dependent on temperature, the higher the temperatures the higher the relevancy.

432

433

434

In the case of including all the formation routes (ACT_MET), blue squares in Figure 9a, the accuracy of the predictions in the low NO formation region is slightly improved while no major changes occur at high NO formation region, just as expected.

435

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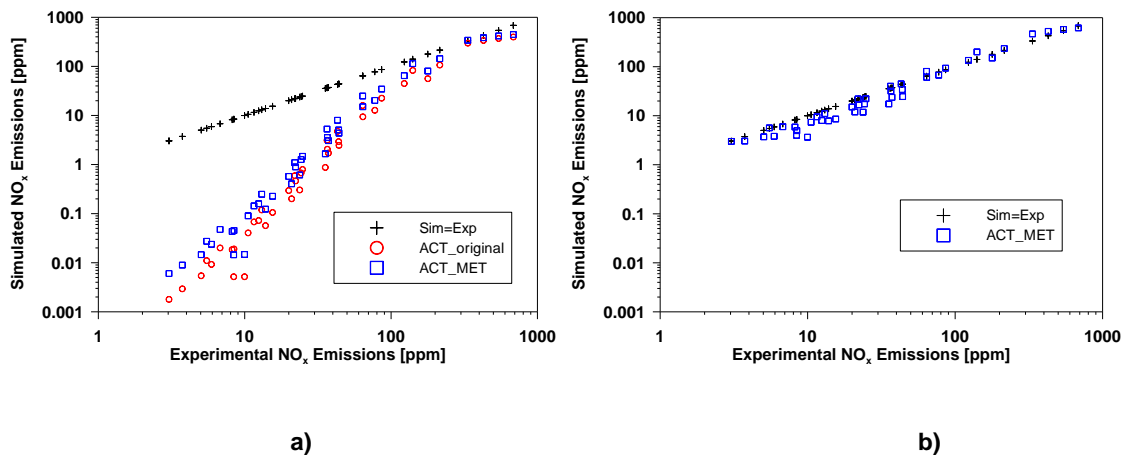
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Afterwards, the same engine results were compared with the new version of the ACT model see Figure 9b. In this case the original version used to predict the chemical phenomena related with the NO formation was replaced by the proposed methodology (all formation routes and NO transport from flame front to flame core). As it can be observed from Figure 9b the accuracy is substantially higher even in the low NO formation region. Therefore, it can be concluded that the proposed methodology substantially improves the original version of the

441 ACT model and is sufficiently accurate to perform, at least, qualitative predictions for engine-
442 out NO_x emissions without demanding high computational resources.



445 **Figure 9.- Comparison between real engine NO emissions measurements (black crosses) and the**
446 **prediction of: a) original ACT model and b) new ACT model.**

447

448 5. Conclusions

449 After analyzing the results obtained, the conclusions that can be extracted from the
450 present study are the following:

451

452 a. The NO_x sub-mechanism employed from the GRI-Mech 3.0 mechanism can be
453 considered as a first order reversible reaction for the vast majority of the
454 studied conditions. Only at very low temperatures ($T < 1300\text{K}$) and
455 independently of the equivalence ratio, this simplification is little effective.

456 b. The k-values obtained are in accordance with the chemistry ruling the NO
457 formation process. They reflect that the thermal mechanism is the
458 predominant route at high temperatures, vertical iso-k lines, and as the
459 temperature decreases so does its predominance in favor of the prompt and
460 the N₂O routes. This causes the iso-k lines to slightly curve or get distorted.

461 c. The hypothesis in which the present methodology is based on was
462 satisfactorily validated in the diffusion diesel combustion (e.g: diesel spray)
463 scenario. The methodology reproduces, even when massive EGR is considered,
464 the temporal evolution of NO with a reasonable accuracy. Consequently, the
465 author believes that the 0-D low cost methodology proposed in the present
466 paper has enough favorable arguments to be considered for future
467 simulations.

468 d. The accuracy of the predictions is reasonably high if compared with real engine
469 measurements when considering the transport effect of NO from the flame
470 front region into the flame core. This fact corroborates that the present
471 methodology can be used to predict NO_x emissions in real engines.

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473
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477

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607 **Appendix A: Mathematical demonstration of how the NO_x sub-mechanism behaves as a**
608 **pseudo first order reversible reaction**

609

610 In the present research study, the author has obtained an empirical fit equation
611 (Eq.A1), which corresponds to a pseudo first order reversible reaction, to characterize the
612 NO/NO_{eq} temporal evolution at constant pressure and temperature conditions and considering
613 the whole NO_x sub-mechanism included in the GRI-Mech 3.0 chemical-kinetic mechanism.
614 Consequently, this fact seems to suggest that the whole sub-mechanism can be treated as a
615 pseudo first order reversible reaction.

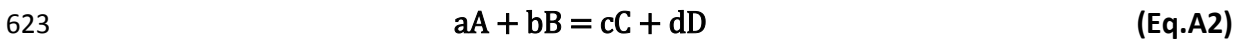
616

617
$$\frac{NO}{NO_{eq}} = (1 - e^{-k \cdot t}) \quad \text{(Eq. A1)}$$

618

619 To confirm such finding, the author will try to demonstrate that the fit equation can be
620 achieved by applying the chemical-kinetic science.

621 Initially, the type of reactions considered in the NO_x sub-mechanism can be written, in
622 a general manner, as:



624 and characterized by k_f and k_b which correspond to the forward and backward reaction
625 constants, respectively. Note that A, B, C and D each stand for a chemical specie and a, b, c and
626 d are the corresponding coefficients. The equal sign symbolizes that the reaction is reversible,
627 which means, it can occur forward (yielding the species C and D) or backwards (yielding the
628 species A and B).

629 The reaction rate law, for a pseudo first order reversible reaction, can be expressed by
630 Eq. A3 and the variation in concentration for reactant A and product C can be described as Eq.
631 A4 and Eq. A5, respectively.

632
$$\frac{dC}{dt} = k_f[A] - k_b[C] \quad \text{(Eq.A3)}$$

633
$$[A] = [A_o] - ax \quad \text{(Eq.A4)}$$

634
$$[C] = [C_o] + cx \quad \text{(Eq. A5)}$$

635 Where $[A_o]$, $[C_o]$, $[A]$ and $[C]$ are the initial and final moles of the chemical specie A and C,
636 respectively and x is a latent variable which describes the conversion degree.

637 Substituting Eq. A4 and A5 into Eq. A3 will yield the following expression (Eq. A8):

638
$$\frac{dC}{dt} = C * \frac{dx}{dt} \quad \text{(Eq. A6)}$$

639
$$K_c = \frac{k_f}{k_b} \quad (\text{Eq. A7})$$

640
$$\frac{dx}{dt} = \frac{1}{c}(k_f([A_o] - ax)) - \frac{k_f}{K_c}([C_o] + cx) \quad (\text{Eq. A8})$$

641 Simplifying this latter equation by defining a new constant (Eq. A9) and rearranging it
642 with basic algebra, the following expressions are yield:

643
$$cte = A_o - \frac{C_o}{K_c} \quad (\text{Eq. A9})$$

644
$$\int_0^x \frac{c \cdot dx}{(cte - ax - \frac{cx}{K_c})} = \int_0^t k_f \cdot dt \quad (\text{Eq. A10})$$

645
$$\int_0^x \frac{c \cdot dx}{(cte - x \cdot (a + \frac{c}{K_c}))} = \int_0^t k_f \cdot dt \quad (\text{Eq. A11})$$

646 Renaming what is inside the brackets, multiplying the x variable, with Eq. A11 and
647 solving the integral in both sides will result in Equation A13.

648
$$cte_2 = a + \frac{c}{K_c} \quad (\text{Eq. A12})$$

649
$$-\frac{c}{cte_2} \cdot [\ln(cte - cte_2 \cdot x) - \ln(cte - 0)] = k_f \cdot t \quad (\text{Eq. A13})$$

650 Re-arranging with basic algebra:

651
$$x = \frac{cte \cdot (1 - e^{-cte_2 \cdot k_f \cdot t})}{cte_2} \quad (\text{Eq. A14})$$

652 To solve for the equilibrium conditions two procedures, which yield the same result,
653 can be used indistinctively. The first of them is to calculate x_{eq} , with Equation A15, assuming
654 that t tends to ∞ and the second is to apply the condition that at equilibrium $\frac{dx}{dt} = 0$ and
655 proceed in a similar way as previously. In this case, to simplify the calculations, the first
656 procedure will be employed.

657
$$x_{eq} = \frac{cte - 0}{cte_2} = \frac{cte}{cte_2} \quad (\text{Eq. A15})$$

658 Dividing x by x_{eq} and substituting x by NO and redefining the power coefficient, as
659 described in Eq. A16, will result in Eq. A17.

660

661

662

663

$$k = cte_2 \cdot k_f$$

(Eq. A16)

664

$$\frac{NO}{NO_{eq}} = \frac{cte \cdot (1 - e^{-k \cdot t}) / cte_2}{\left(\frac{cte}{cte_2}\right)} = (1 - e^{-k \cdot t})$$

(Eq. A17)

665

666 **As it can be appreciated, Eq. A17 is identical to Eq. A1. This confirms that the whole**
667 **NO_x sub-mechanism can be treated as a pseudo first order reversible reaction for the tested**
668 **conditions.**

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689 **Appendix B: Mathematical demonstration of how the equation in which the methodology is**
 690 **based on is yield from the fit equation**

691 In the following appendix the mathematical demonstration of how equation (Eq. B0) is
 692 obtained from the fit equation (Eq. B00) is described.

$$693 \quad \text{NO}_t = \text{NO}_{t-1} + k * (\text{NO}_{\text{eq}} - \text{NO}_{t-1}) * (t_t - t_{t-1}) \quad (\text{Eq. B0})$$

$$694 \quad \frac{\text{NO}}{\text{NO}_{\text{eq}}} = 1 - \exp(-k \cdot t) \quad (\text{Eq. B00})$$

695 Equation B0 is reached by substituting the derivative terms of equation B1 by
 696 differences, resulting in equation B2. If these differences are between two consecutive points,
 697 denoted as t and t-1, then equation B3 is obtained. This equation is the same as equation B0.

698

$$699 \quad \frac{d\text{NO}}{dt} = k \cdot (\text{NO}_{\text{eq}} - \text{NO}) \quad (\text{Eq. B1})$$

$$700 \quad \Delta\text{NO} = k \cdot (\text{NO}_{\text{eq}} - \text{NO}) \cdot \Delta t \quad (\text{Eq. B2})$$

$$701 \quad \text{NO}_t - \text{NO}_{(t-1)} = k * (\text{NO}_{\text{eq}} - \text{NO}_{t-1}) \cdot (t - t_{t-1}) \quad (\text{Eq. B3})$$

702

703 Regarding equation B00, the starting point is also equation B1. Rearranging it with
 704 basic algebra and integrating it throughout the boundary conditions used in the
 705 characterization process will yield equation B4.

$$706 \quad \frac{d\text{NO}}{dt} = k \cdot (\text{NO}_{\text{eq}} - \text{NO}) \quad (\text{Eq. B1})$$

$$707 \quad \int_0^{\text{NO}} \frac{d\text{NO}}{(\text{NO}_{\text{eq}} - \text{NO})} = k * \int_0^t dt \quad (\text{Eq. B4})$$

708 If the resulting equation of this integration procedure, (Eq. B5), is rearranged
 709 mathematically and multiplied both sides by the inverse of natural logarithm, the equation B6
 710 will be obtained.

$$711 \quad -[\ln(\text{NO}_{\text{eq}} - \text{NO}) - \ln(\text{NO}_{\text{eq}} - 0)] = k \cdot (t - 0) \quad (\text{Eq. B5})$$

$$712 \quad \frac{\text{NO}_{\text{eq}} - \text{NO}}{\text{NO}_{\text{eq}}} = \exp(-k \cdot t) \quad (\text{Eq. B6})$$

713 Finally, further algebraically rearrangements will yield equation B7 which is identical to
 714 the fit equation (Eq. B00).

$$715 \quad \frac{\text{NO}}{\text{NO}_{\text{eq}}} = 1 - \exp(-k \cdot t) \quad (\text{Eq. B7})$$