Pressure-induced phase transition and band-gap collapse in the wide-band-gap semiconductor InTaO₄

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A pressure-induced phase transition, associated with an increase of the coordination number of In and Ta, is detected beyond 13 GPa in InTaO₄ by combining synchrotron x-ray diffraction and Raman measurements in a diamond-anvil cell with *ab initio* calculations. High-pressure optical-absorption measurements were also carried out. The high-pressure phase has a monoclinic structure that shares the same space group with the low-pressure phase (P2/c). The structure of the high-pressure phase can be considered as a slight distortion of an orthorhombic structure described by space group *Pcna*. The phase transition occurs together with a unit-cell volume collapse and an electronic band-gap collapse observed by experiments and calculations. Additionally, a band crossing is found to occur in the low-pressure phase near 7 GPa. The pressure dependence of all the Raman-active modes is reported for both phases as well as the pressure dependence of unit-cell parameters and the equations of state. Calculations also provide information on infrared-active phonons and bond distances. These findings provide insights into the effects of pressure on the physical properties of InTaO₄.

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I. INTRODUCTION

Ternary oxides of the form MTO_4 have been extensively studied for their interesting physical properties, in particular, those oxides crystallizing either in the scheelite or the wolframite structure [1-10]. During the last decade, the most studied members of this family of materials have been the orthotungstates and orthomolybdates, which have many technological applications [11–16]. In special high-pressure (HP) studies of MTO_4 ternary oxides have generated a large amount of attention, with HP being an efficient tool in improving the understanding of their physical properties [3–12]. Recently, other compounds such as perrhenates [17] and orthotantalates [18] have also become the focus of research. One material of particular interest is indium tantalate (InTaO₄), a promising candidate as an efficient photocatalytic for water splitting, which is a potential source of green energy [19,20]. At ambient conditions, InTaO₄ crystallizes in the wolframite structure (space group: P2/c, Z = 2) [21]. This is a monoclinic structure (shown in Fig. 1) in which both In and Ta cations have octahedral oxygen coordination. In the wolframite structure, each InO₆ (TaO₆) octahedron shares two edges with neighboring InO₆ (TaO₆) octahedral units forming a zig-zag chain, and it is connected by the six corners with TaO_6 (InO₆) neighbors [22,23]. InTaO₄ has been proposed to be a wide-band-gap semiconductor.

In spite of the extensive interest in InTaO₄, the understanding of its fundamental physical properties is scarce. Basically, only the crystal structure of this oxide is known. Raman- and infrared (IR)-active phonons have not been studied, and there are important discrepancies regarding the electronic band gap [19,24–26], for which a value ranging from 2.6 to 4.1 eV is reported. In contrary with other ternary oxides, to our knowledge no HP studies are available in the literature for InTaO₄.

Here we will report a combined experimental and theoretical study of InTaO₄ at ambient and HP. X-ray diffraction (XRD), Raman spectroscopy, and optical-absorption experiments have been carried out up to 22 GPa. First-principle calculations have also been performed. We will report an accurate determination of phonons and the electronic band gap for the wolframite phase of InTaO₄, supported by the agreement between theory and experiments. We also report evidence of the existence of a first-order phase transition beyond 13 GPa to another monoclinic structure, which can be described with space group P2/c. The transition involves a collapse of the volume and an atomic rearrangement, which has important consequences on the physical properties of InTaO₄, e.g., the band-gap energy (E_g) collapses 1.3 eV at the transition. The evolution under pressure of unit-cell parameters, Raman and IR modes, and E_g is also reported for the low-pressure (LP) and HP phases. The equation of state (EOS) is also determined. The reported studies have enabled us to improve the understanding of the physical properties of InTaO₄ and other ternary oxides and their behavior under compression.

II. EXPERIMENTAL DETAILS

Polycrystalline InTaO₄ was synthesized by a ceramic route starting from pre-dried In_2O_3 and Ta_2O_5 (purity >99.9%). The purity of the synthesized material was confirmed by energy-dispersive x-ray spectroscopy carried out with the

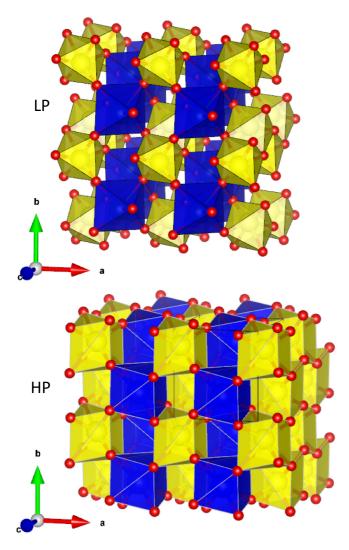


FIG. 1. Crystal structure of the LP (top) and HP (bottom) phases of $InTaO_4$.

transmission electron microscope operated at 200 KeV at the Servei Central de Suport a la Investigacio Experimental (SC-SIE) from Universitat de Valencia. It was also verified by powder XRD measurements, using Cu K_{α} radiation, that the samples were single phased and presented the wolframitetype structure (*P*2/*c*). The unit-cell parameters determined to be *a* = 4.826(4) Å, *b* = 5.775(5) Å, *c* = 5.155(5) Å, and β = 91.37(3)°, in very good agreement with the reported values in the literature [21,24].

The HP measurements were performed using a membrane diamond-anvil cell (DAC) and a 16:3:1 methanol-ethanol-water mixture as a pressure-transmitting medium. Pressure was measured using the ruby scale [27]. In the XRD experiments, in addition to the sample, we loaded close to it a grain on Cu in order to use it as a second pressure scale [28]. Special caution was taken during DAC preparation to avoid sample bridging between diamonds [29].

The HP XRD experiments were performed at the MSPD-BL04 beamline of ALBA synchrotron [30], using a monochromatic beam of wavelength 0.4246 Å that was focused to a $15 \,\mu\text{m} \times 15 \,\mu\text{m}$ spot (full-width at half maximum) using Kirkpatrick-Baez mirrors. At each pressure, we collect XRD patterns from both the sample (used to determine the crystal structure) and the sample plus copper (used to determine pressure). Diffraction images were collected using a Rayonix charge-coupled device (CCD) detector with an exposure time of 10–30 seconds. The FIT2D software [31] was used to calibrate sample to detector distance and detector tilt and to integrate the two-dimensional diffraction images to standard one-dimensional intensity versus 2θ plot. The structural analysis was performed with a GSAS software package [32].

Ambient and HP Raman spectra were collected in the backscattering geometry using a 514.5 nm Ar^+ laser, a Jobin-Yvon TRH1000 spectrometer, and a thermoelectric-cooled multichannel CCD detector [33]. The setup was calibrated using plasma lines of the argon ion laser. The spectral resolution of the system was below 2 cm⁻¹. A laser power of less than 10 mW before the DAC was used to avoid sample heating. Two experimental runs were carried out with similar results.

For optical-absorption studies, we used $10-\mu$ m-thick polycrystalline platelets, which were obtained by compressing the InTaO₄ powder to 1 GPa using a hydraulic press equipped with Bridgman anvils [34]. Measurements in the visible–near-IR range were made with an optical setup that consisted of a tungsten lamp, fused silica lenses, reflecting optics objectives, and a visible–near-IR spectrometer [35]. The optical-absorption spectra were obtained from the transmittance spectra of the sample, which were recorded using the sample-in, sample-out method [36,37].

III. AB INITIO SIMULATIONS

Ab initio total-energy calculations based on density functional theory (DFT) [38] have been performed. The Vienna Ab initio Simulation Package (VASP) [39] has been used with the pseudopotential method and the projector-augmented wave (PAW) scheme [40] in order to include the full nodal character of the all electron charge density in the core region. Due to the presence of the oxygen atoms in the structure, the basis set of plane waves was extended up to a large energy cutoff of 520 eV to achieve an accurate description of the electronic properties. The exchange-correlation energy was considered in the generalized gradient approximation (GGA) with the Perdew-Burke-Ernzerhof functional for solids (PBEsol) prescription [41]. A dense special k-point sampling for the Brillouin zone (BZ) integration was used to have very well converged energies and forces. At selected volumes, the structures considered in this paper were fully relaxed to their optimized configuration through the calculation of the forces and the stress tensor. In the optimized configurations, the forces on the atoms were less than $0.006 \text{ eV \AA}^{-1}$, and the deviation of the stress tensor from a diagonal hydrostatic form was smaller than 1 kbar (0.1 GPa). The resulting set of energy, volume, and energy data (E, V, P) was fitted with a fourth-order Birch-Murnaghan (BM) EOS [42] to evaluate the equilibrium volume (V_0) , the bulk modulus (B_0) , and its pressure derivatives (B'_0, B''_0) . The simulations were performed at zero temperature (T = 0), and then the stable structures and the transition pressure can be determined analyzing the enthalpy as function of pressure, H(P).

Lattice-dynamics calculations were performed at the zone center (Γ point) of the BZ to study and to further analyze

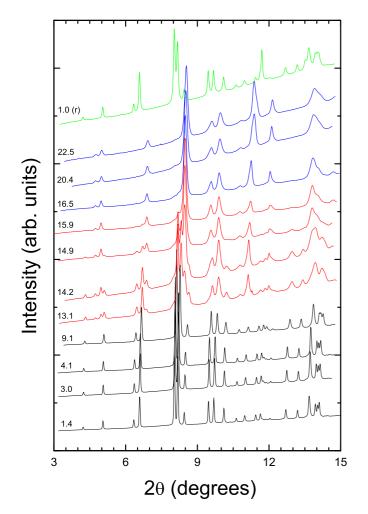


FIG. 2. Selection of XRD measured on $InTaO_4$ at different pressures. Pressures in GPa are indicated on the left-hand side of the figure. The (r) denotes a pattern measured upon decompression.

the vibrational modes under pressure. The construction of the dynamical matrix at the Γ point of the BZ, employing the direct method, involves separate calculation of the forces in which a fixed displacement from the equilibrium configuration of the atoms within the primitive cell is considered. Due to the crystal symmetry, the number of independent displacements in the analyzed structure is reduced. The frequencies of the normal modes were obtained from the diagonalization of the dynamical matrix. The calculations allow also identifying the symmetry and eigenvectors of the vibrational modes at the Γ point. Electronic band structure calculations, at several pressures, were performed within the first BZ along the high-symmetry direction Γ -*B*-*D*-*Z*- Γ -*Y*-*C*-*Z*, for the LP and HP structures [3].

IV. RESULTS AND DISCUSSION

A. HP XRD

Figure 2 shows a selection of powder XRD patterns recorded at different pressures. On compression, we found that up to 12.8 GPa all the diffraction patterns can be assigned to the LP wolframite structure. Only a shift toward large angles is observed in the Bragg peaks due to the unit-cell contraction

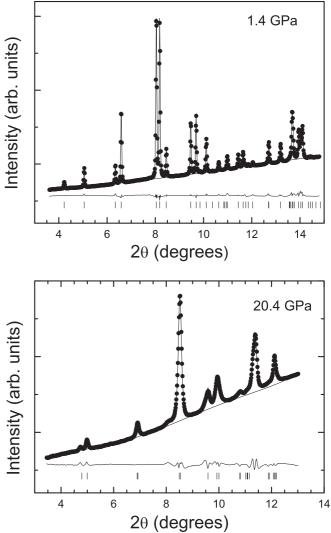


FIG. 3. XRD patterns (dots) measured at 1.4 GPa (LP phase) and 20.4 GPa (HP phase) for $InTaO_4$. The Rietveld refinements, backgrounds, and residuals are also shown (solid lines). The ticks indicate the calculated positions for Bragg peaks.

caused by the increase of pressure. The top panel in Fig. 3 shows a diffraction pattern measured at 1.4 GPa together with a Rietveld refinement assuming the wolframite structure (space group P2/c). In the refinement, not only the unit-cell parameters, but also the atomic positions [In: (2f: 1/2, y, 1/4), Ta: $(2e: 0, y, 1/4), O_1: (4g: x, y, z), and O_2: (4g: x, y, z)]$ were considered as free parameters. Other parameters as occupancy and isotropic displacement parameters were constrained, as usually done when analyzing HP XRD data [43]. Various R factors of the refinements and structural parameters obtained at 1.4 GPa are given in Table I. Similar quality of Rietveld refinements were obtained up to 12.8 GPa. In Table I, it can be seen that the refined atomic positions at 1.4 GPa are very similar to those obtained at ambient pressure [44]. In addition, we found that up to 12.8 GPa the effect of pressure on the atomic positions is comparable with the uncertainty of the experiments.

The XRD pattern recorded at 13.1 GPa shows several additional weak reflections that become more prominent at

TABLE I. Wolframite structure of InTaO₄ at 1.4 GPa (top) and HP structure of InTaO₄ at 20.4 GPa (bottom). Both structures belong to space group P2/c. For the LP (HP) phase, the goodness of fit parameters are $R_{wp} = 6.9\%$ and $R_P = 4.6\%$ ($R_{wp} = 8.8\%$ and $R_P = 6.6\%$). The Wyckoff position for each atom is indicated in the first column. For the HP phase, only the In and Ta position have been refined.

Atom	x	У	z
In (2 <i>f</i>)	0.5	0.6780(16)	0.25
Ta (2 <i>e</i>)	0	0.1738(4)	0.25
$O_1(4g)$	0.2295(19)	0.8999(18)	0.4399(25)
$O_2(4g)$	0.2440(21)	0.6269(19)	0.8939(23)
a = 4.872	R(4) Å, $b = 5.082(5)$	Å, $c = 4.909(5)$ Å, μ	$\beta = 90.43(3)^{\circ}$
Atom	x	у	Z
$\overline{\ln\left(2f\right)}$	0.5	0.7713(21)	0.25
Ta (2 <i>e</i>)	0	0.2286(18)	0.25
14 (20)			
$O_1(4g)$	0.238 56	0.945 19	0.518 51

higher pressure (see Fig. 2). In addition, the Bragg peaks of the LP phase disappear beyond 15.9 GPa. This fact suggests that wolframite-type InTaO₄ undergoes structural changes at 13.1 GPa, coexisting with the LP and HP phases from 13.1 to 15.9 GPa. All the reflections observed in the XRD patterns recorded beyond 15.9 GPa could be linked to a single phase. In particular, we found that all the Bragg reflections from the HP phase could be accounted also by space group P2/c. Subsequently, we determined the crystal structure of the HP phase by the Rietveld method. In the refinements, we used as starting values the unit-cell parameters obtained using the Le Bail method. For the crystalline structure, we employed a model based on the positional coordinates obtained from our ab initio calculations. Then, a similar procedure to the Rietveld refinements of the LP phase was used, but in this case, due to the lower quality of XRD patterns, the oxygen positions were not refined. Figure 3 shows in the bottom panel the results of the refinement made from the XRD pattern measured at 20.4 GPa. Details on the refined structure from this XRD pattern can be found in Table I. There it can be seen that the monoclinic β angle is very close to 90° , so it could be said that the HP phase is pseudo-orthorhombic. The obtained R values (see Table I) and small residuals (see Fig. 3) suggest that the proposed monoclinic structure is a good candidate for the HP phase of InTaO₄. Therefore, both the LP and HP phases can be described by the same space group, with the atoms occupying the same Wyckoff positions, i.e., the transition does not involve a change in the symmetry of InTaO₄. However, the transition involves a volume collapse of approximately 10% and an increase in the coordination of both In and Ta atoms from six to eight. These facts indicate that the transition is a first-order transformation. The coexistence of the LP and HP phase also supports this hypothesis. In the HP phase at 20.4 GPa, each coordination polyhedron has four bond distances with multiplicity of two: In-O = 2.038(9) Å, 2.151(9) Å, 2.225(9) Å, and 2.366(9) Å; and Ta-O = 1.855(9) Å, 1.966(9) Å, 2.267(9) Å, and 2.412(9) Å.

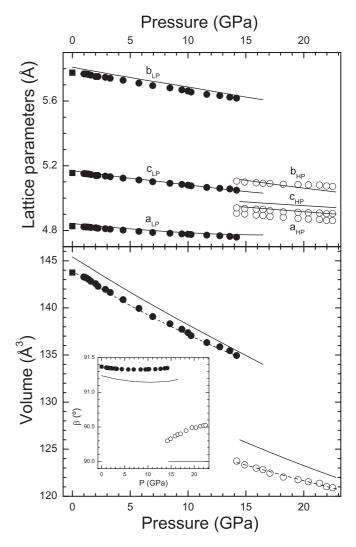


FIG. 4. Pressure dependence for the unit-cell parameters (top) and unit-cell volume (bottom) of the LP phase (solid symbols) and the HP phase (empty symbols). The solid lines are the results of the calculations, and dashed lines are the EOS fitted from the experimental results. The inset shows the pressure dependence of the monoclinic β angle.

As can be seen in Fig. 1, the HP phase is much more compact than the LP phase, consisting of a network of edge-connect InO₈ and TaO₈ dodecahedra. All of the XRD patterns measured from 16.5 GPa to the highest pressure reached in the experiments (22.5 GPa) can be identified with the monoclinic HP phase described above. On decompression, the phase transition is reversible. We recovered a pure wolframite phase, as shown in Fig. 2, with the pattern measured at 1 GPa upon pressure release. In particular, the HP phase was observed on decompression up to 13.1 GPa, and the LP phase comes back at 10.8 GPa, so the hysteresis of transition is about 2.3 GPa. We would like to note here that first-order transitions, like the one we found in InTaO₄, accompanied with a volume collapse and coordination number changes, are usually (but not always) nonreversible. This is not the case of transition found in InTaO₄. An explanation for the distinctive behavior of the phase transition induced by pressure in InTaO₄ is beyond the scope of the present paper. Future HP extended x-Ray absorption fine structure (EXAFS) studies at the Ta *L*-edge could probably help to better understand the mechanism of the observed transition.

The pressure dependence of unit-cell parameters of the two phases of InTaO₄ have been extracted from the Rietveld refinements and are plotted in Fig. 4. The compression of the LP wolframite phase is anisotropic, as observed in other wolframite-structured oxides [22,45]. Particularly in InTaO₄, the *b* axis is the most compressible axis. The reason for being less stiff compared to the other axes is the presence of an interpolyhedral empty space along the (010) direction. On the other hand, in the inset of Fig. 4 in can be seen the effect of pressure on the β angle of the LP phase, which follows a nonlinear behavior. The β angle gradually decreases under compression up to 3 GPa and then starts increasing up to the transition pressure. The origin of this behavior is related to the fact that up to 3 GPa, the compression of InTaO₄ is mainly dominated by the compression of the InO₆ and TaO₆ octahedra. However, beyond 3 GPa, part of the volume contraction is accommodated by a tilting of the octahedral units, which favor the increase of the β angle under compression.

Results on the compressibility of the HP phase can also be seen in Fig. 4. In this case, we found that the compressibility of the three axes is similar and much smaller than in the LP phase. On the other hand, the β angle increases slightly under compression from 90.3° at 14.2 GPa to 90.5° at 22.5 GPa. Figure 4 also shows the pressure-volume data obtained for both phases. These results have been analyzed using a third-order BM EOS [46] and the EosFit7software [47]. From the EOS fit, we obtained the ambient pressure bulk modulus (B_0) and its pressure derivative B_0' as well as the unit-cell volume at ambient pressure (V_0) . The EOS parameters are given in Table II together with the implied values for the second pressure derivative of the bulk modulus, B_0'' [48]. These results suggest that wolframite-type InTaO₄ is less compressible than wolframitetype orthotungstates [22]. In addition, the HP phase of $InTaO_4$ is less compressible than its LP phase, which is consistent with the increase of density associated to the phase transition.

B. Raman experiments

Figure 5 shows selected Raman spectra of $InTaO_4$ at different pressures up to 19 GPa. The point group of the LP wolframite structure (C_{2h}) gives rise to eight A_g modes and 10 B_g modes that are Raman active. In our experiments, we were able to follow the 18 Raman-active modes of the wolframite phase under pressure. The wavenumbers of the 18 modes are given in Table III. Mode assignment has been done according to the calculations reported below. The Raman

TABLE II. The EOS parameters for different structures determined from present experiments and calculations.

Phase		V_0 (Å ³)	B_0 (GPa)	$B_0{}'$	$B_0''({ m GPa}^{-1})$
LP	Exp.	143.9(7)	179(5)	6.2(7)	-0.076(8)
LP	Theo.	145.6	170.8	3.83	-0.0219
HP	Exp.	131.4(9)	202(9)	6.2(9)	-0.054(8)
HP	Theo.	134.9	181.1	4.01	-0.0215

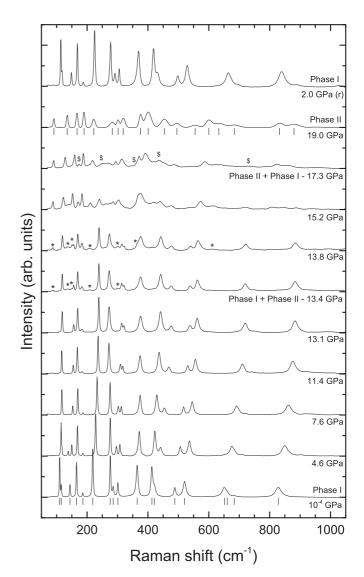


FIG. 5. Selection of Raman spectra measured at different pressures (indicated in the plot). The asterisks (*) indicate the detection of peaks of the HP phase when this is the minority phase. The dollar symbols (\$) indicate the detection of peaks of the LP phase when this the minority phase. Ticks indicate the position of Raman modes for the LP and HP phases. The (r) denotes a spectrum measured upon decompression.

spectra of InTaO₄ shows the typical Raman spectrum of wolframites with four high-frequency modes, two A_g and two B_g , which are separated by a phonon gap from the rest of the Raman modes. In wolframites (e.g., CdWO₄ [5] and MnWO₄ [49]), it is also generally possible to observe the presence of two Raman modes around 500 cm⁻¹, one A_g and one B_g , with the rest of the 12 modes below 421 cm⁻¹. This feature is also observed in the Raman spectrum of the LP phase of InTaO₄. Figure 6 displays the pressure dependence of all Raman modes. The evolution of the Raman modes with pressure coefficients for each mode $(d\omega/dP)$ are also given in Table III together with the Grüneisen parameters ($\gamma = \frac{B_0}{20} \frac{\partial \omega}{\partial P}$). The mode with the highest γ is the B_g mode observed at 421 cm⁻¹. Exactly the same behavior has been previously

TABLE III. Raman modes at ambient pressure, pressure coefficients, and Grüneisen parameters for the LP phase of InTaO₄.

	Experiment			Theory	
Mode	ω (cm ⁻¹)	$\frac{d\omega/dP}{(\mathrm{cm}^{-1}/\mathrm{GPa})}$	γ	ω (cm ⁻¹)	$d\omega/dP$ (cm ⁻¹ /GPa)
$\overline{B_g}$	110	0.59	0.96	106	0.64
A_g	115	0.54	0.84	110	0.24
B_g	144	0.90	1.12	137	0.85
B_g	165	0.23	0.25	163	0.16
B_g	186	-0.15	-0.14	177	-0.68
A_g	219	1.47	1.20	214	1.92
A_{g}	276	-0.33	-0.21	263	-0.57
B_g	286	2.10	1.31	278	1.30
B_g	301	1.56	0.93	287	1.38
A_g	365	0.76	0.37	349	1.19
A_{g}	413	2.20	0.95	394	2.00
B_g	421	4.19	1.78	407	4.27
B_g	488	3.78	1.39	476	3.78
A_g	520	3.14	1.08	510	3.40
B_g	651	5.08	1.40	635	5.55
A_g	661	4.71	1.28	650	5.07
B_g	683	5.18	1.36	677	5.59
A_g	829	4.12	0.89	804	4.95

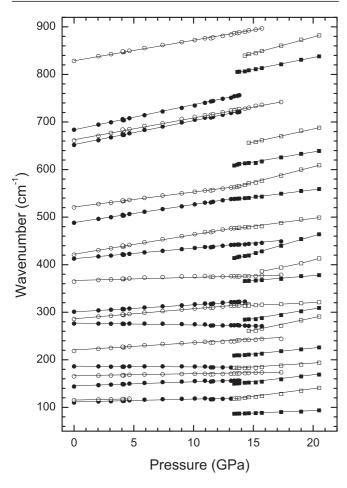


FIG. 6. Pressure dependence of the Raman modes of the LP (circles) and HP (squares) phases. Solid and empty symbols are used alternatively to facilitate the identification of different modes. The solid lines are the results of the linear fits shown in Tables III and IV.

TABLE IV. Raman modes, pressure coefficients, and Grüneisen parameters for the HP phase of $InTaO_4$. Experiments correspond to 19 GPa and theory to 20.5 GPa.

	Experiment			Theory		
Mode	ω (cm ⁻¹)	$\frac{d\omega/dP}{(\mathrm{cm}^{-1}/\mathrm{GPa})}$	γ	ω (cm ⁻¹)	$\frac{d\omega/dP}{(\mathrm{cm}^{-1}/\mathrm{GPa})}$	
$\overline{A_g}$	94	0.97	2.08	81.7	0.26	
B_g	135	3.15	4.71	137.6	1.12	
B_g	166	2.73	3.32	138.8	0.47	
B_g	190	1.52	1.62	175.1	0.52	
B_g	223	2.46	2.22	178.8	2.65	
A_g	285	5.25	3.72	182.7	2.72	
A_g	303	4.04	2.69	221.7	1.84	
A_g	319	1.18	0.74	264.2	1.80	
B_g	376	2.11	1.13	390.5	1.53	
A_g	403	0.76	0.38	404.3	1.19	
B_g	454	7.18	3.19	446.4	8.78	
B_g	495	3.34	1.36	451.4	2.78	
A_g	555	3.07	1.12	560.9	2.69	
B_g	600	6.62	2.23	586.1	2.65	
B_g	634	4.24	1.35	710.4	3.17	
A_g	682	5.67	1.68	731.7	2.38	
B_g	830	4.91	1.19	763.5	2.22	
A_g	875	6.90	1.59	782.7	1.75	

found by the equivalent mode in wolframite-type MgWO₄ [3] and MnWO₄ [49]. Therefore, apparently this B_g mode is the most sensitive Raman mode of wolframites to pressure, i.e., the Raman vibration to which more energy is transferred during compression. In addition, up to 13.1 GPa, we can observe a frequency shift to higher frequencies with compression of most of the modes, but with two modes showing a gradual softening (see Table III). Some of the modes merge under compression due to their different pressure coefficients, as can be seen in Figs. 5 and 6.

At 13.4 GPa, new Raman-active modes appear and coexist with those of the wolframite phase up to 17.3 GPa. The appearance of these new peaks at 13.4 GPa [denoted by asterisks (*) in Fig. 5] is interpreted as a confirmation of the onset of the structural phase transition detected in the XRD experiments. The coexistence range is consistent with the results obtained from XRD experiments. Again the transition is gradual as weak peaks of the LP phase are still detected at 17.3 GPa [denoted by dollar signs (\$) in the figure]. The HP phase is stable up to 20.5 GPa, and the transition is again found to be reversible, which is illustrated in Fig. 5 by a Raman spectrum measured at 2 GPa under pressure release. The obtained pressure dependence of the Raman modes of the HP phase is shown in Fig. 6. The frequencies and pressure coefficients are summarized in Table IV. Since the HP phase has the same point group and identical number of atoms per formula unit as wolframite, again eight A_g and 10 B_g modes are expected. We observed all these modes. The mode assignment of Table IV was done following our calculations. In the case of the HP phase, again there are 12 modes located at low frequencies. In this case, they are below $500 \,\mathrm{cm}^{-1}$; however, the mode distribution is slightly different than in the wolframite phase. In particular, changes are more noticeable in the high-frequency region. In the HP phase, there are two modes above 800 cm⁻¹ and four modes from 560 to 700 cm⁻¹; in the LP phase, there is an isolated mode at high frequency, then three modes are around $650 - 700 \text{ cm}^{-1}$, and the rest of the modes are located below 450 cm^{-1} . The redistribution of the high-frequency modes is consistent with the coordination change determined from XRD experiments [50]. Regarding the pressure coefficients, we found that in the HP phase, all of them are positive (i.e., all the modes harden). We also found that in the HP phase, the low-frequency modes have larger Grüneisen parameters than in the LP phase. As in the LP phase, in the HP phase again the mode with the highest Grüneisen parameter is an intermediate frequency B_g mode, the mode with wavenumber at 454 cm⁻¹ (see Table IV).

C. Optical-absorption experiments

The absorption coefficient (α) of the two phases of InTaO₄ at different pressures is shown in Figs. 7 and 8. For the LP wolframite phase, at ambient pressure the absorption spectrum shows a steep absorption, which corresponds to the overlapping of the fundamental absorption plus a low-energy absorption band. This low-energy absorption corresponds to the typical Urbach tail observed in related ternary oxides [51] plus a small diffusion of light between the grains of the polycrystalline sample used in the measurements. The nature of the low-energy tail has been the subject of considerable debate [52] and is beyond the scope of this paper. In order to determine the nature and energy of the fundamental band gap, we analyzed the absorption spectra using a Tauc plot [53]. We found that for high energies, $\sqrt{\alpha E}$ is proportional to the photon energy (E), as can be seen in Fig. 7 (bottom), which supports that InTaO₄ is an indirect-band-gap semiconductor. The value determined for the band-gap energy is $E_g = 3.79(5)$ eV. This value is slightly smaller but consistent with the value reported by Malingowski *et al.* ($E_g = 3.96 \text{ eV}$) [19], confirming that InTaO₄ is a wide-gap semiconductor. Previous [24] and present first-principle calculations also support the conclusion extracted from the experiments. Therefore, the small band gap ($E_g = 2.6 \,\text{eV}$) previously reported [25,26] was probably an underestimated value. This could be caused by a wrong interpretation of the low-energy Urbach tail, which in the diffused reflectance measurements reported earlier, was assumed to be the fundamental intrinsic absorption [25,26].

Under compression, we found that in the wolframite phase of InTaO₄ there is a blue shift of absorption spectrum with compression (see Fig. 7). From the spectra measured from ambient pressure to 12.9 GPa, we obtained the pressure dependence of E_g , which is shown in Fig. 7. Up to 5 GPa, E_g moves at a rate of 20 meV GPa⁻¹. Above this pressure, there is a decrease of the pressure coefficient, which becomes $dE_g/dP = 15 \text{ meV GPa}^{-1}$. This feature can be explained by a band crossing, as will be discussed in the analysis of the band structure calculations. When increasing the pressure from 12.9 to 13.5 GPa, we observed that InTaO₄ changes from colorless to yellow. This color change is caused by a band-gap collapse, which can be clearly seen by comparing Fig. 7 with Fig. 8. The occurrence of the abrupt change in the absorption spectrum is consistent with the structural phase transition found by Raman and XRD experiments. For the HP phase, we found

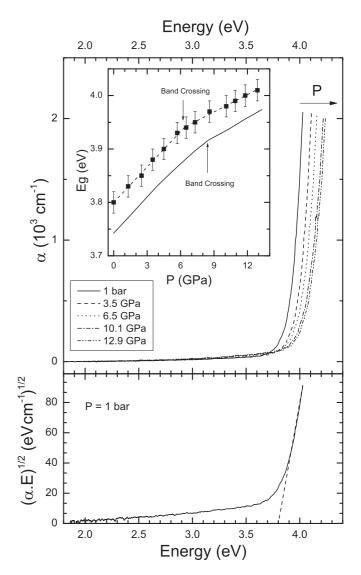


FIG. 7. (top) Absorption spectra measured at different pressures for the LP phase. The inset shows E_g versus pressure. The symbols are the experimental results. The solid line represents our calculations, and the dashed line is the fit to the experimental results. (bottom) Tauc plot used to determine E_g . The dashed line shows the extrapolation of the linear region to the abscissa.

that $(\alpha E)^2$ is proportional to the photon energy (see Fig. 8); this indicates that the HP phase behaves as direct band-gap material. From the measurement made up to 22.5 GPa, we obtained the pressure dependence for E_g in the HP phase. In this phase, the band-gap redshift under compression with $dE_g/dP = -8 \text{ meV GPa}^{-1}$. The collapse of E_g at the phase transition is estimated to be 1.3 eV.

D. Ab initio calculations

Figure 9 shows the energy–volume and enthalpy-pressure curves obtained from our calculations for the wolframite phase and the HP phase. We found that wolframite is the stable phase of $InTaO_4$ at ambient conditions. The calculated structure is given in Table V. The agreement with the experiments is excellent. From our calculation, we obtained the pressure evolution of the unit-cell parameters for the wolframite phase.

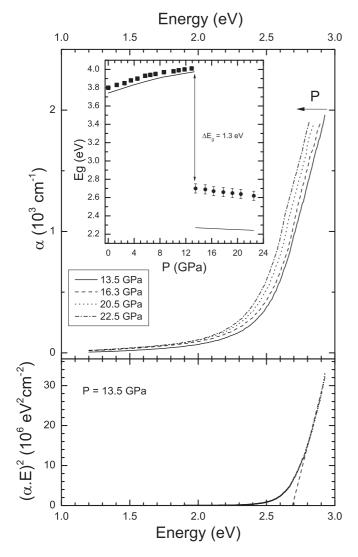


FIG. 8. (top) Absorption spectra measured at different pressures for the HP phase. The inset compares the pressure dependence of E_g in the LP (squares) and HP (circles) phases. The symbols are the experimental results. The solid lines represent our calculations. (bottom) Tauc plot used to determine E_g in the HP phase. The dashed line shows the extrapolation of the linear region to the abscissa.

The results are compared in Fig. 4 with the experiments. The agreement is quite good. Calculations also found that the β angle follows a nonlinear behavior, confirming the experimental results.

Our simulations also provide information of the effect of pressure in the atomic positions. Atomic positions at 13.4 GPa are given in Table V for comparison with the ambient pressure values. We conclude that not only Ta and In atoms move under compression toward a higher symmetry position, but also the oxygen atoms move considerably. From the calculations, we obtained information on the contraction of the InO₆ and TaO₆ octahedra. The last polyhedron has a volume of 10.135 Å³ at ambient pressure and contracts to 9.410 Å³ at 13.4 GPa, i.e., the volume of the polyhedron is reduced by 7%. In addition, it gets more distorted, increasing the distortion index (defined by Bauer [54]) from 0.061 to 0.072. In the case of InO₆,

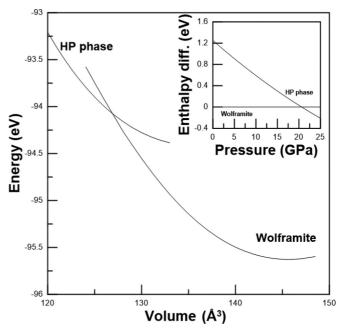


FIG. 9. Total energy versus volume for the LP and HP phases of $InTaO_4$. The inset shows the enthalpy difference as a function of pressure curves showing the phase transitions reported here. The wolframite phase has been taken as a reference.

TABLE V. Calculated wolframite structure of $InTaO_4$ at ambient pressure (top), 13.4 GPa (second from top), and HP orthorhombic (third from top), and monoclinic (bottom) structures of $InTaO_4$ at 20.5 GPa.

a = 4	Å.842 Å, $b = 5.808$ Å	A, $c = 5.170 \text{ Å}, \beta =$	91.23°	
Atom	x	у	z	
$\overline{\ln\left(2f\right)}$	0.5	0.67197	0.25	
Ta (2 <i>e</i>)	0	0.17638	0.25	
$O_1(4g)$	0.224 79	0.897 62	0.435 65	
$O_2(4g)$	0.24012	0.620 01	0.899 39	
a = 4	k.775 Å, b = 5.639 Å	A, $c = 5.050 \text{ Å}, \beta =$	91.15°	
Atom	x	У	Z	
$\ln\left(2f\right)$	0.5	0.680 64	0.25	
Ta (2 <i>e</i>)	0	0.185 26	0.25	
$O_1(4g)$	0.221 63	0.906 13	0.458 78	
$O_2(4g)$	0.243 08	0.607 38	0.893 56	
a = 4.907	55 Å, $b = 4.94643$ Å	Å, $c = 5.04817\text{Å},\beta$	$= 90.0000^{\circ}$	
Atom	x	У	z	
In (2 <i>c</i>)	0.75	0.5	0.25	
Ta (2 <i>a</i>)	0.25	0.0	0.25	
O (8 <i>m</i>)	0.51940	0.238 69	0.946 48	
a = 4.940	0.97 Å, $b = 5.07150$	Å, $c = 4.90870$ Å,	$\beta = 90.38^{\circ}$	
Atom	x	у	Z	
$\overline{\ln\left(2f\right)}$	0.5	0.750 10	0.25	
Ta (2 <i>e</i>)	0	0.248 95	0.25	
$O_1(4g)$	0.238 56	0.945 19	0.518 51	
$O_2(4g)$	0.239 00	0.445 98	0.479 55	

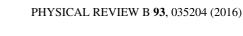
the volume of the octahedron is reduced from 13.692 Å^3 to 13.093 Å^3 . Consequently, it undergoes a 5% contraction. In this case, the octahedron becomes more symmetric, decreasing the distortion index from 0.031 to 0.010.

Regarding the HP phase, our calculations found that after the relaxation and optimization of the geometry, the monoclinic structure observed in the experiment becomes pseudoorthorhombic with the β angle basically identical to 90°. The structure can be described by a translation gleiche supergroup of P2/c, the orthorhombic space group *Pcna*. Therefore, we consider that the monoclinic structure found in the experiments is a slight distortion of the theoretically predicted orthorhombic structure. Indeed, the orthorhombic structure explains all the Bragg peaks present in the XRD pattern but not the weak peak observed at low angles near $2\theta = 4.5^{\circ}$. This peak is explained only by reducing the symmetry from orthorhombic to monoclinic. The distortion of the structure needed to account for all XRD peaks is very small (see Tables I and V). Indeed, according to our calculations, only a small deviatoric stress of 0.4 GPa is needed to make the experimental HP monoclinic structure as stable as the theoretical orthorhombic structure. The simulated HP monoclinic structure is more stable than wolframite structure beyond 20 GPa. The small difference between the experimental and theoretical transition pressures might be a consequence of the fact that calculations were carried out at zero temperature and experiments were carried out at room temperature (and the fact that calculations do not include kinetics effects). Details on the calculated HP structures (orthorhombic and monoclinic) are given in Table V. The calculated pressure dependence of the unit-cell parameters are shown in Fig. 4, being in good agreement with the experiments. The only difference is seen on the β angle, which remains close to 90° at all pressure in the calculations, but increases slightly in experiments. We think this is caused by the slight increase of deviatoric stresses as pressure increases. To conclude the discussion of the structural calculations, we would like to mention that we fit the calculated pressure dependence of the unit-cell volume using a third-order BM EOS. The results are given in Table II. We found there is a tendency of the calculations to give bulk modulus 10% lower than the one determined from the experiments. The opposite behavior is observed for the unit-cell volume, which is slightly higher in the calculations. These facts are well known and typical of GGA functionals.

In addition to the structural calculations, we have also performed lattice-dynamical calculations for the two phases of InTaO₄. Tables III and IV compare the calculated frequencies and pressure coefficients for the Raman modes with the experimental ones. The agreement between calculations and experiments for the LP phase is very good and better than obtained in wolframite-type orthotungstates [5,55,56]. Calculations have provided the mode assignment used to identify the symmetry $(A_g \text{ or } B_g)$ of the 18 Raman modes. In wolframite-type tungstates, due to the fact that the WO_6 octahedron is a rigid and uncompressible unit, it is common to describe the Raman modes as internal and external modes with respect to this octahedron. We tried to apply the same distinction to wolframite-type InTaO₄ by visualizing the atomic displacements associated to each Raman mode using J-ICE [57]. However, we found that the fact that the size and compressibility of InO₆ and TaO₆ octahedral units are similar prevents the use of this simple distinction between modes. Indeed, only the highest frequency mode can be identified as a pure internal mode of the TaO₆ octahedron, corresponding to a symmetric-stretching vibration. Note that the frequency of this mode is at least 50 cm^{-1} smaller than the frequency of the equivalent mode of tungstates even if Ta is slightly lighter than W. Usually, such a frequency decrease is associated to a decrease in bond strength, which is consistent with the fact that the Ta-O bonds are more than 10% larger than the W-O bonds.

TABLE VI. Calculated IR modes and pressure coefficients for the LP and HP phases of $InTaO_4$ at ambient pressure and 20.5 GPa, respectively.

Low pressure			High pressure		
Mode	$\omega ({\rm cm}^{-1})$	$d\omega/dP$ (cm ⁻¹ /GPa)	Mode	$\omega ({\rm cm}^{-1})$	$d\omega/dP$ (cm ⁻¹ /GPa)
$\overline{B_u}$	148.2	- 0.15	B_u	2.83	0.60
B_u	176.8	1.41	B_u	4.0	1.26
A_u	178.3	1.30	B_u	40.3	3.90
B_u	178.4	0.31	B_u	88.9	4.70
B_u	213.6	-2.24	A_{u}	101.5	2.40
B_u	215.2	- 3.25	B_u	178.2	0.30
B_u	258.0	1.70	A_u	189.5	1.80
A_u	281.7	-0.25	A_{u}	197.8	-2.93
A_u	315.7	-0.05	B_u	228.7	2.07
B_u	332.2	3.99	A_{u}	363.5	1.27
A_{u}	438.1	3.88	B_u	393.5	0.30
B_u	467.1	3.53	B_u	451.4	2.78
B_u	493.7	5.78	B_u	530.2	1.60
A_u	511.0	4.65	A_{μ}	630.2	1.27
A_u	591.3	5.58	A_u	640.4	3.20
B_u	615.4	5.41	B_u	650.1	2.40
A_u	763.3	4.10	A_u	813.1	2.10



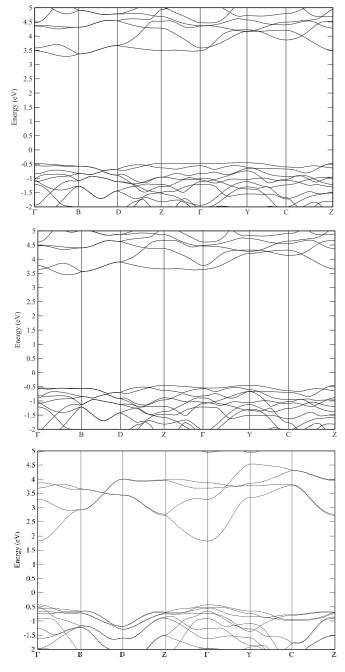


FIG. 10. Band structure of the wolframite phase at ambient pressure (top) and 7.3 GPa (center) and for the monoclinic HP phase at 20.5 GPa (bottom).

From our calculations we also obtained the frequencies of the IR-active modes of the wolframite structure $(7A_u + 10B_u)$, there is also an A_u silent mode), whose calculated frequencies and pressure coefficients are reported in Table VI. Unfortunately, there are no experimental data available to compare. We hope our calculations will trigger the curiosity for IR spectroscopy measurements in InTaO₄. According to our calculations, the IR modes have a similar frequency distribution than the Raman modes; however, the highest frequency mode is only at 763 cm⁻¹. In addition, the IR modes show a similar sensitivity to pressure than the Raman modes. It is important to notice that there are five IR modes with negative

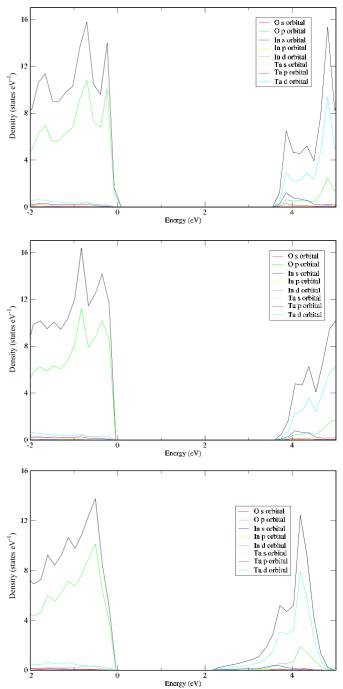


FIG. 11. Total and partial density of states of the wolframite phase at ambient pressure (top) and 7.3 GPa (center) and for the monoclinic HP phase at 20.5 GPa (bottom).

pressure coefficients (see Table VI), some of them with a large pressure coefficient. The presence of modes that gradually soften under compression usually is observed in oxides that undergo pressure-driven transitions at LP [58], as observed in the case of $InTaO_4$.

The IR phonons of the HP phase are also summarized in Table VI. They cover a wider frequency range than the IR modes of the wolframite phase, but they have a qualitative similar frequency distribution. In particular, the highest frequency mode has A_u symmetry and corresponds to stretching vibrations of the Ta-O bonds. Table VI also provides the pressure coefficients. In the HP phase, there is only one IR mode that gradually softens under compression; this is an A_u mode with wavenumber 197.8 cm⁻¹.

We will now discuss the band structure of InTaO₄. The calculated band structure at different pressure is shown in Fig. 10. At ambient pressure, the top of the valence band is at the Y point of the BZ [3]. However, there is a second maximum very close in energy at the Z point of the BZ. On the other hand, the minimum of the conduction band is in a point in the Γ -B direction of the BZ. Consequently, wolframite-type InTaO₄ is an indirect gap material, as suggested by the experiments. Calculations predict that at ambient pressure, E_g is 3.74 eV, which is in excellent agreement with our measurements. As pressure increases, we observe two facts. First, the conduction band moves towards higher energy. Second, the top of the valence band is less sensitive to pressure. However, pressure drives a band crossing becoming around 6 GPa, the maximum at the Z point of the BZ, which is the one with the highest energy. The different pressure dependence of the maxima at Y and Z not only causes the band crossing already described but also the change on the pressure dependence of E_g already described in the experiments. The calculated E_g versus pressure is shown in Fig. 7. The agreement is excellent, providing calculations for a simple explanation to the experimental behavior of E_g .

Regarding the HP phase, our calculations indicate that the HP phase of $InTaO_4$ is a direct gap semiconductor, with both the maximum of the valence band and the minimum of the conduction band at the Γ point of the BZ. The direct band-gap nature of the HP phase agrees with the conclusion extracted from the experiments. The calculated value of E_g at 17.5 GPa is 2.25 eV (for the simulated HP monoclinic structure). This value is 0.4 eV smaller than the measured value, but the difference is within the range of the typical underestimation of E_g by DFT calculations. On the other hand, calculations provide a similar evolution of E_g with pressure as from experiments. In particular, the small red shift of the band gap is caused by the fact that the top of the valence band moves faster towards higher energies than the bottom of the conduction band.

To better understand the pressure evolution of E_g in the LP and HP phases, we analyze the total and partial electronic density of states of InTaO₄ at different pressures. Figure 11 compares the total density of states of the LP phase at ambient pressure and 7.3 GPa and of the HP phase at 20.5 GPa. In the figure, it can be seen that in the LP structure, the O 2p states dominate the upper part of the valence bands. On the other hand, the Ta 5d states and In 5s dominate the lower conduction bands of the LP phase. Under compression, the top of the valence band moves gradually towards

lower energies, leading to the blue shift experimentally observed for E_g . Regarding the HP phase, the orbital contribution to the valence band resembles very much that of the LP wolframite structure. However, there are qualitative differences in the bottom of the conduction band, which is only dominated by Ta 5d states. In this case, the shift with pressure of the bottom of the conduction band and top of the valence band are similar, moving the valence band under compression slightly faster towards high energies than the conduction band, which cause the observed small red shift of E_g .

V. CONCLUSIONS

We have performed HP XRD, Raman, and opticalabsorption measurements as well as ab initio calculations on InTaO₄. Changes in the structural, lattice dynamics, and optical properties between 13 and 17 GPa indicate the occurrence of a pressure-driven phase transition, which involves a significant change in the electronic structure of this material. Density functional calculations confirm the experimental findings and help to understand them. The observed phase transition is reversible and involves a coordination increase for In and Ta. The HP phase has the same monoclinic symmetry as the LP wolframite phase. The evolution of unit-cell parameters, Raman modes, and band-gap energy is reported for the two phases of InTaO₄. Calculations also provide information on IR-active phonons and bond distances. The reported results contribute to improve the understandings of the effects of pressure in the physical properties of ternary oxides, which exhibits a number of interesting phase transitions as a function of pressure. Metallic and even superconductivity behavior has been predicted for some of them [17]. Our findings provide insights into the effects of pressure on the physical properties of InTaO₄, and they may also help to understand novel properties of other ternary oxides. The study of the electronic structure and the effects of pressure on it might have implications for improving of the water splitting activity of InTaO₄.

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