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Additional Information



26 **Key words:** *microstructure, osmotic dehydration, mass transfer, deformation-relaxation*

27 *phenomena, irreversible thermodynamics.*

28

## 29 **NOMENCLATURE**

30 **a<sub>j</sub>** activity of component j, (—).

31 **J<sub>j</sub>** molar flux of component j, ( $\text{mol}\cdot\text{m}^{-2}\text{s}^{-1}$ ).

32 **L<sub>j</sub>** phenomenological coefficient of component j, ( $\text{mol}^2\cdot\text{J}^{-1}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ ).

33 **Mr<sub>j</sub>** molecular weight of component j, ( $\text{kg}\cdot\text{mol}^{-1}$ ).

34 **P** pressure, (Pa).

35 **R** universal gas constant, ( $\text{J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$ ).

36 **S** surface area, ( $\text{m}^2$ ).

37 **T** temperature, (K).

38 **t** time, (s).

39 **V** volume, ( $\text{m}^3$ ).

40  $\overline{V}_j$  partial molar volume of species j ( $\text{m}^3\cdot\text{mol}^{-1}$ ).

41 **w<sub>j</sub>** mass fraction of component j ( $\text{kg}\cdot\text{kg}^{-1}$ ).

42 **x<sub>j</sub>** molar fraction of component j ( $\text{mol}\cdot\text{mol}^{-1}$ ).

## 43 **Greeks**

44 **ρ** density, ( $\text{kg}\cdot\text{m}^{-3}$ ).

45 **μ<sub>j</sub>** chemical potential of component j, ( $\text{J}\cdot\text{mol}^{-1}$ ).

## 46 **Subscripts, superscripts and abbreviations.**

47 **ss** soluble solids.

48 **t** time.

49 **w** water.

50 **CW** cell wall.

- 51 **DEF** mechanical deformations
- 52 **EP** external phase.
- 53 **EXP** experimental
- 54 **IRD** irreversible deformations.
- 55 **InP** incipient plasmolysis
- 56 **IP** internal phase.
- 57 **MB** membrane breakage
- 58 **OS** osmotic solution.
- 59 **PM** plasma membrane.
- 60 **RD** reversible deformations.
- 61 **SEP** protoplast separation.

62

## 63 **1. INTRODUCTION**

64

65 The complexity and heterogeneity of biological materials make osmotic dehydration  
66 (OD) modelling a difficult subject to face, and constitute the main reason why OD  
67 models have generally made use of a macroscopic approach in which the tissue is  
68 assumed to be homogeneous and isotropic. It is frequent to find applications of Fick's  
69 Second law to obtain an approximate solution, in which an apparent or effective  
70 diffusivity is used to account for all variables. Aguilera et al. (2003) reported that the  
71 use of an effective diffusivity obtained from the Second Fick's law is not only  
72 questionable from the theoretical standpoint, but reduces all structural effects and  
73 related mechanisms to a single parameter. Accordingly, several authors emphasize the  
74 relevance of food structure and how it is modified during processing in order to clearly  
75 understand mass transfer phenomena in cellular tissues (Aguilera et al. 2003, 2005;

76 Barat et al. 2001, Fito et al., 2007; Lewicki and Porzecka-Pawlak, 2005, Mebatsion et  
77 al., 2006). In contrast, it has also been reported that food engineers still make extensive  
78 use of the approximations of Fick's Second law to calculate an apparent diffusivity, thus  
79 underestimating the importance of microstructure (Aguilera, 2005; Mebatsion et al.,  
80 2006).

81 Food materials are multicomponent and multiphasic systems organized in  
82 microstructural elements that respond differently to the imposed process conditions.  
83 Structural modifications during processing originate changes in the food properties, not  
84 only properties that are related to quality attributes such as texture or colour, but this  
85 structural modifications also originate changes in mass transfer properties and,  
86 consequently, mass transfer mechanisms change during the process. Knowing the  
87 response of each structural element and how this affects the product properties,  
88 including mass transfer properties, is essential for understanding osmotic dehydration  
89 and mathematically describe the process. It seems evident that models need to  
90 incorporate structural information in order to be reliable.

91 Osmotic dehydration has been extensively studied under a macroscopic perspective, and  
92 microscopic approaches in which structural changes are analyzed are also present in the  
93 literature (Barat et al., 1998; Ferrando and Spiess, 2001; Lewicki and Porzecka-Pawlak,  
94 2005; Mavroudis et al., 1998, 2004; Nieto et al., 2004); however, studies in which the  
95 whole tissue is analyzed do not offer the possibility of characterizing the individual  
96 response of each structural element, which in turn leads to a partial misunderstanding of  
97 the properties and the mechanisms involved in the mass transfer process. Nonetheless,  
98 in the context of *food product engineering*, it has been noticed an increasing interest in  
99 microstructural approaches that emphasize the role of structure with the aim of facing  
100 the challenge of real food modelling (Aguilera, 2006; Ferrando and Spiess, 2002; Fito et

101 al., 2007; Mebatsion et al., 2008). In line with that, the possibility of controlling the  
102 microstructure for designing products of specific desired properties has also been  
103 discussed (Aguilera, 2006; Fito et al., 2007).

104 The study of individual structural elements can be better achieved by analysing  
105 simplified systems at the microscopic level. In this sense, isolation techniques appear as  
106 an attractive tool for food engineering purposes, since it allows us to obtain simplified  
107 living systems that can be directly observed under the microscope in the course of the  
108 process. Previous steps to this work comprise the study of mass transfer phenomena  
109 during OD of apple isolated protoplasts (Seguí et al., 2006) and the analysis of the  
110 microstructural response of isolated cells during OD treatments (Seguí et al., 2010). In  
111 the latter, the importance of the role of the microstructure and its evolution during  
112 processing in order to understand the mechanisms involved in dehydration and,  
113 consequently, to develop models that describe micro and macroscopic changes was  
114 evidenced. In the present work, this microstructural information is used to make a  
115 comprehensive interpretation of mass transfer and deformation-relaxation phenomena  
116 during the osmotic dehydration of isolated apple cells, and to model their response by  
117 means of irreversible thermodynamics.

118

## 119 **2. MATERIALS AND METHODS**

120

### 121 **2.1. Miniaturised experiments**

122 Apple cells (*Malus domestica* var. Fuji) were enzymatically isolated and equilibrated in  
123 a manitol solution ( $a_w = 0.986$ ), and subsequently monitored and measured during OD  
124 experiments with sucrose solutions (25, 35, 45% (w/w)). The isolation procedure and  
125 the osmotic experiments, including monitoring and measurements, have been previously

126 described (Seguí et al, 2010). Briefly, cells were isolated from apple parenchyma in a  
 127 digestion medium containing pectinase. Subsequent osmotic experiments were carried  
 128 out in a heating/cooling stage at constant temperature (30 °C), placed under a light  
 129 microscope, and monitored by a CCD camera incorporated to the microscope and  
 130 connected to a computer. Images of the cells being dehydrated were saved during the  
 131 treatment and next analyzed and measured (Adobe Photoshop, v. 7.0; ImageJ, 1.36b  
 132 free version). The projected cross area and major axis of each cell protoplast were  
 133 measured; volumes ( $V^{PM}$ ) were calculated considering cells as spheroids obtained by  
 134 rotating the ellipses about their major axis.

135 For comparison purposes, apple protoplasts were also isolated from the same plant  
 136 material using the isolation procedure described in Seguí et al. (2006), equilibrated in  
 137 manitol ( $a_w = 0.984$ ), dehydrated in 45% (w/w) sucrose and measured. In this case,  
 138 volumes were directly obtained from the projected cross areas ( $A^{PM}$ ), due to the  
 139 spherical shape of isolated protoplasts.

140

## 141 **2.2. Quantification of mass transfer across the plasmalemma**

142 Progress of cell protoplast volume was used to calculate water fluxes across the plasma  
 143 membrane ( $J_w^{PM}$ ) by means of equation 1.

$$144 \quad J_w^{PM} = \frac{-\Delta(V^{PM} \cdot \rho_{ss}^{IP} \cdot w_w^{IP})}{\overline{S^{PM}} \cdot \Delta t \cdot Mr_w} \quad (1)$$

145 Where,  $V^{PM}$  is the cell protoplast volume,  $\rho_{ss}^{IP}$  the density of the solution inside the  
 146 protoplast as a function of soluble solids content,  $w_w^{IP}$  the water mass fraction inside the  
 147 protoplast,  $\overline{S^{PM}}$  the mean protoplast surface area,  $\Delta t$  the time interval between two  
 148 consecutive images, and  $Mr_w$  the water molecular weight. As in Seguí et al. (2006), the

149 solution inside the protoplast was identified as internal phase (IP), and the solution  
150 outside the protoplast as the external phase (EP).

151 The water mass fraction inside the protoplast ( $w_w^{\text{IP}}$ ) at initial time was calculated from  
152 the value of the molar water fraction inside the protoplast ( $x_w^{\text{IP}}$ ) obtained by applying  
153 the Norrish equation (Norrish, 1966) to the water activity of the manitol solution in  
154 which the cells had been equilibrated ( $a_w^{\text{IP}_0} = 0.986$ ). The Norrish equation coefficient  
155 (K) was obtained for a combination of different components using the proportional  
156 values obtained from bibliographic data (Yamaki and Ino, 1992). Subsequent values of  
157  $w_w^{\text{IP}}$  were obtained applying the mathematical approach developed in Seguí et al.  
158 (2006) for apple isolated protoplasts, which considers the plasma membrane  
159 impermeable to solutes and assumes a homogeneous water concentration in both the  
160 internal and external phases at each measured time. Since the plasma membrane  
161 constitutes the interface, the EP comprises all the solution outside the protoplast  
162 independently on the presence of a cell wall.

163

### 164 **2.3. Using Irreversible Thermodynamics to describe cell dehydration**

165 Regarding the driving force that promotes mass transfer, this can be analysed by means  
166 of equations based on the diffusional mechanism or irreversible thermodynamics,  
167 depending on whether the driving force is defined as the difference in concentration  
168 between phases or the difference in the chemical potential, respectively (Gekas, 1992).

169 The thermodynamic equilibrium of a system is usually analysed in terms of the Gibbs  
170 free energy (Fito et al., 2007) as stated by equation 2:

171

$$dG = VdP - SdT + \sum \left( \frac{\partial G}{\partial n_i} \right)_{T,P,n_{j \neq i}} dn_i \quad (2)$$



172 The partial derivative in equation 2 is the chemical potential of component  $i$  ( $\mu_i$ ), which  
 173 refers exclusively to molecular mobility and represents a variation in the Gibbs free  
 174 energy of the system when there is an infinitesimal change in the number of moles of  
 175 component  $i$  ( $P$ ,  $T$  and  $j \neq i$  being constant). On the other hand, equation 3, obtained by  
 176 dividing equation 2 by  $dn_i$ , suggests that a variation in the number of moles of  
 177 component  $i$  may be coupled with pressure and temperature changes.

$$178 \quad \frac{dG}{dn_i} = V \frac{dP}{dn_i} - S \frac{dT}{dn_i} + \mu_i \quad (3)$$

179 If applying equation 3 to a cellular system where the semipermeable membrane that  
 180 separates the internal and external phases allows only the water to flow through it, mass  
 181 transfer is necessarily coupled with mechanical deformations of the cellular structure  
 182 (Fito et al., 2007). Consequently, the extended definition of the chemical potential must  
 183 be used when analysing mass transfer phenomena in a cellular tissue, which in the case  
 184 of water is defined by equation 4 (Gekas, 2001).

$$185 \quad \mu_w^{ext} = \overline{G}_w = RT \ln a_w + \overline{V}_w P \quad (4)$$

186 Therefore, and focusing on the experiments analysed in this work, the driving force that  
 187 promotes mass transfer during the OD of an apple isolated cell can be defined as the  
 188 gradient of the extended water chemical potential (equation 5).

$$189 \quad \Delta \mu_w^{ext} = RT \Delta \ln a_w + \overline{V}_w \Delta P \quad (5)$$

190 The pressure term in the stated equation stands not only for the difference in pressure  
 191 between the inner and the outer side of the plasma membrane ( $P^{EP}-P^{IP}$ ), but also for the  
 192 mechanical deformations that the cell undergoes, these being either reversible (RD:  
 193 elastic) or irreversible (IRD: ruptures or viscous) (equation 6). A pressure term opposite  
 194 in sign (positive) to the compositional one (negative) implies a reduction in the driving  
 195 force.

196 
$$\Delta\mu_w^{ext} = RT\Delta\ln a_w + \bar{V}_w[(P^{EP} - P^{IP}) + \Delta P_{RD} + \Delta P_{IRD}] \quad (6)$$

197

### 198 **3. RESULTS AND DISCUSSION**

199

#### 200 **3.1. Water flux across the plasmalemma**

201 Molar water flux across the plasma membrane of the isolated cells was calculated as  
202 stated by equation 1. Figure 1 shows the mean water flux variation in the course of  
203 treatments with 45, 35 and 25% (w/w) sucrose solutions. As expected, higher OS  
204 concentrations produced higher mean water fluxes. Initially, mean water fluxes increase  
205 until reaching a maximum, which appears earlier at more concentrated OS, in  
206 correlation with the phenomenon of incipient plasmolysis (Seguí et al., 2010). Although  
207 initial values may be transiently affected by the adaptation of the system to the new  
208 imposed conditions, the plot illustrates how the cell wall prevents the protoplast from  
209 shrinking at the beginning of the treatment, thus reducing the driving force and the  
210 water flux. During this period, it is expected that the available free energy is used not  
211 only for transferring water molecules through the plasma membrane, but also for  
212 deforming the cellular structure. If the cell wall shrinks together with the protoplast  
213 during most of the treatment, as occurs when an OS of 25% sucrose (w/w) is used  
214 (Seguí et al., 2010), the maximum does not appear due to a low  $\Delta\mu_w$  value when  
215 incipient plasmolysis takes place; however, if at incipient plasmolysis  $\Delta\mu_w$  is still  
216 considerable, the water flux increases to a maximum. Eventually, water fluxes decrease  
217 towards values that were considered negligible after five minutes of processing.

218

#### 219 **3.2. Modelling mass transfer and deformation-relaxation phenomena based on** 220 **microscopic studies**

221 As mentioned before, (micro)structural information is essential for understanding mass  
222 transfer phenomena in complex systems, as well as for developing mathematical  
223 models. In this section, the microstructural information obtained in a previous work  
224 (Seguí et al., 2010) is used to interpret and describe the mass transfer and deformation-  
225 relaxation phenomena that occur during OD of isolated cells, as well as to deduce the  
226 equations that need to be used in each case.

227

### 228 ***3.2.1. Identification of critical points and stages of the dehydration process as a*** 229 ***function of cell response***

230 In a previous work (Seguí et al., 2010) cells were classified depending on the  
231 phenomenon undergone in response to the osmotic treatment: cell shrinkage, cell lysis  
232 and cell plasmolysis (type I, II). Distinction among these responses is essential for mass  
233 transfer analysis since the mechanisms that are involved in the mass transfer process are  
234 different in each case. The path that a cell may follow after soaking it in the OS is  
235 schematized in figure 2. As deduced from the analysis of the structure-property  
236 relationships (Seguí et al., 2010), a cell will follow one or another path (A-C) as a  
237 function of both the gradient imposed and cell morphology.

238 During processing, *critical points* make differences in the mechanisms involved in the  
239 dehydration process. These critical points separate the *stages* that each cell experiments,  
240 and depend on the cell response.

- 241 • (A) Cell lysis: In the case of cells which membrane breaks during the  
242 dehydration process, *membrane breakage* (MB) is a critical point as it represents  
243 the loss of cell compartmentation.
- 244 • (B) Shrinkage: The moment at which the plasma membrane starts to detach from  
245 the cell wall, i.e. *incipient plasmolysis* (InP), is identified as a critical point. It is

246 important to point out that, as deduced in Seguí et al. (2010), incipient  
247 plasmolysis occurs at a similar degree of cell deformation for each osmotic  
248 gradient applied.

249 • (C) Complete plasmolysis: If the cell undergoes full plasmolysis two critical  
250 points are distinguished: *incipient plasmolysis*, as in the previous case; and  
251 *complete separation* (SEP) of the protoplast, which has also been proved to  
252 occur at a specific degree of deformation, for a given osmotic gradient (Seguí et  
253 al. 2010).

254 During OD of isolated cells deformations occur as a consequence of water loss, this  
255 being responsible for pressure gradients that appear coupled with mass transfer  
256 phenomena, at least during some part of the process. According to Eq. 6, the pressure  
257 gradient included in the extended definition of the water chemical potential explains not  
258 only the difference in the hydrostatic pressure, but also the free energy consumed or  
259 released in deformation-relaxation. Depending on the cell response (A-C) and on the  
260 stage of the process the pressure or compositional terms may prevail over the other, or  
261 act as the only driving force for mass transfer. Focusing on the identified responses:  
262 equation 6 does not apply to response A (cell lysis), since cell compartmentation is lost  
263 and internal and external phases mix; In case of shrinkage (response B), mass transfer  
264 will be coupled with mechanical deformation during the whole process, although the  
265 energy used in deformation will be different before and after the critical point *incipient*  
266 *plasmolysis*; For a cell that undergoes response C (complete plasmolysis), *complete*  
267 *separation* of the plasma membrane clearly separates two stages: a first stage, during  
268 which significant deformation phenomena exist and the pressure term should differ  
269 from zero ( $\overline{V}_w \cdot \Delta P \neq 0$ ); and a second one, which starts immediately after protoplast

270 separation, during which the protoplast is not slowed down by the cell wall and the  
271 pressure term might be neglected ( $\overline{V}_w \cdot \Delta P \approx 0$ ).

272 These two main stages ( $\overline{V}_w \cdot \Delta P \neq 0$  and  $\overline{V}_w \cdot \Delta P \approx 0$ ) separated by the critical point  
273 *complete separation* were experimentally verified in completely plasmolysed cells when  
274 plotting individual transmembrane water fluxes against protoplast relative deformation  
275 ( $V_t^{PM}/V_0^{PM}$ ) (figure 3). It is important to remember that although the separation time  
276 varied from 75 to 210 seconds among cells, protoplast relative deformation at which  
277 separation took place was similar for all cases [ $(V_t^{PM}/V_0^{PM})^{SEP} = 0.0617 \pm 0.008$ ] (Seguí  
278 et al., 2010). These results suggested that processing time does not provide adequate  
279 information to determine which mechanisms are governing the mass transfer process; in  
280 contrast, protoplast relative deformation indicates the stage of the process that the cell is  
281 undergoing. Again, the importance of the microstructure and how it is modified during  
282 processing is revealed as critical for understanding and modelling the process.

283 In figure 3, the discontinuous line that represents complete separation of the membrane  
284 separates the two stages. During the first period ( $\overline{V}_w \cdot \Delta P \neq 0$ ), uncertain deformation-  
285 relaxation phenomena driven by cell individual shape and microstructure are coupled  
286 with mass transfer, originating different patterns of the water flux curves. This stage  
287 ends at  $V_t^{PM}/V_0^{PM} = 0.617 \pm 0.008$ , indicating the beginning of the period at which  
288  $\overline{V}_w \cdot \Delta P \approx 0$ . The discontinuous ellipse drawn in figure 3 comprises residual water fluxes  
289 that appeared at the end of the osmotic treatment. These values were deduced to be the  
290 consequence of the homogenisation of the external phase at long times of the process.  
291 Yao and LeMaguer (1986) suggested that an intensive water flow near the interface  
292 during OD may wash back the solute penetration in the tissue, in our case the space  
293 between the plasma membrane and the cell wall; according to this, the fluid near the

294 plasma membrane (the boundary layer) may experiment a dilution effect due to the  
295 wash back of solutes which becomes less significant as the water flux decreases. When  
296 approaching the equilibrium, the convective water flux going out the protoplast  
297 minimises and the boundary layer homogenises with the rest of the OS, which  
298 originates a rise in the solute concentration near the interface and a subsequent flux of  
299 water. This dilution effect would originate an overestimation of the solute concentration  
300 in the EP following high water flux values, as well as an underestimation when the EP  
301 homogenises and promotes water fluxes at long times.

302

### 303 ***3.2.2. Modelling the dehydration process by means of irreversible thermodynamics***

304 Up to now, critical points have been defined and the existence of at least two  
305 differentiated stages has been experimentally proved in cells that plasmolysed  
306 completely: a stage during which significant deformation phenomena exist and another  
307 one in which these are not perceptible. In terms of the extended definition of the water  
308 chemical potential, this means that the pressure term must be taken into account  
309 ( $\overline{V}_w \cdot \Delta P \neq 0$ ), or may be neglected ( $\overline{V}_w \cdot \Delta P \approx 0$ ).

310 After complete membrane separation (SEP), the simplification in the extended water  
311 chemical potential leads to the simplification of equation 6 to the compositional term.  
312 Thus, kinetics of water transfer through the plasmalemma may be analysed by  
313 determining the phenomenological coefficient ( $L_w$ ) obtained from the following  
314 equation based on irreversible thermodynamics:

$$315 \quad J_w^{PM} = -L_w \cdot RT \cdot \ln\left(\frac{a_w^{EP}}{a_w^{IP}}\right) \quad (7)$$

316 Where  $L_w$  is the water phenomenological coefficient,  $T$  the temperature of the system,  $R$   
317 the universal gas constant, and  $a_w^{EP}$  and  $a_w^{IP}$  the water activities in the external and  
318 internal phases.

319 In Seguí et al. (2006) it was corroborated for apple isolated protoplasts that equation 7  
320 can be used to model water transfer across the plasma membrane in the absence of a cell  
321 wall; however, in the case of apple isolated cells the application of equation 7 is  
322 restricted to the period at which the plasmalemma is not longer stretched by the cell  
323 wall. The application of this equation is also subjected to the hypothesis that the cell  
324 wall is not significantly influencing the transfer of water or solutes during this stage,  
325 which needs to be corroborated by comparing the results with mass transfer coefficients  
326 obtained for apple isolated protoplasts.

327 Experimental values for cells and protoplasts were fitted to equation 7 by simple linear  
328 regression. In the case of the isolated cells, points before full separation were not fitted  
329 to the straight line since they belong to stage  $\overline{V_w} \cdot \Delta P \neq 0$ . Table 1 summarizes the fit  
330 results obtained for the experimental data. The P-value obtained from the ANOVA  
331 analysis (Statgraphics plus 5.1) was  $> 0.05$  for the phenomenological coefficients  
332 calculated, indicating that no significant differences exist between the means of the  
333 phenomenological coefficients obtained for isolated protoplasts and isolated cells (the  
334 latter during stage  $\overline{V_w} \cdot \Delta P \approx 0$ ).

335 On the one hand, these results corroborate that, in the experimental conditions assayed  
336 and after complete protoplast separation, the cell wall does not represent a significant  
337 barrier to mass transfer; however, analysing the experimental data in more detail reveal  
338 that some differences exist. First, it can be appreciated that the correlation coefficients  
339 ( $R^2$ ) obtained in the fittings for isolated protoplasts are appreciably better than the  
340 obtained for isolated cells, which becomes more significant in the case of type-II cells,  
341 some of which showed a very poor  $R^2$ . In figure 4, the differences among cells and  
342 protoplasts are illustrated. This representation suggests that, kinetics of the dehydration  
343 process is faster in the case of the isolated cells just after complete separation, and it

344 reduces as the process continues. These differences in the behaviour of cells and  
345 protoplasts might be explained by the differences in the way they accommodate an  
346 excess of plasma membrane material. While a shrinking isolated cell may be able to  
347 accommodate the plasma membrane surface in the Hechtian structures through  
348 membrane strands formation (Ferrando & Spiess, 2001; Oparka et al., 1994; Seguí et  
349 al., 2010) an isolated protoplast must activate the mechanisms for membrane material  
350 exchange (Wolfe et al., 1985). According to this, the accommodation of plasma  
351 membrane surface through strands formation could origin a faster dehydration rate in  
352 the case of apple isolated cells. Nevertheless, isolated protoplasts did not lose their  
353 spherical shape during the OD process, suggesting that the membrane material  
354 exchange is sufficiently fast to restore the membrane tension during protoplast  
355 contraction. Other mechanisms that may be enhancing the mass transfer rate in cells are  
356 the related to a negative pressure gradient term which might affect the water flux at  $t^{\text{SEP}}$   
357 as a consequence of the mechanical deformations associated to the full detachment of  
358 the plasma membrane; this will be explained later in more detail. In any case,  
359 whichever is the dominant mechanism, the increase in the water flux out of the  
360 protoplast around SEP accentuates the dilution effect in the boundary layer causing the  
361 water flux to decrease faster, as it can be seen in figure 4. Likewise, the cell wall could  
362 act as a physical barrier for convection fluxes, widening the boundary layer and also  
363 enhancing the dilution effect.

364

### 365 *Coupling of mass transfer and deformation-relaxation phenomena*

366 Modelling water transfer after complete membrane separation has been simplified by  
367 reducing the gradient of the extended water chemical potential (Eq. 6) to the gradient of  
368 water activities (Eq. 7); however, modelling water transfer before that requires the use



369 of the extended water chemical potential since during this stage the driving force may  
370 be greatly modified by the term  $\overline{V}_w \cdot \Delta P$ . Taking into account that phenomenological  
371 coefficients may be calculated at any time provided that water fluxes and water  
372 activities are known; an experimental phenomenological coefficient ( $L_w^{EXP}$ ) which  
373 accounts for all the resistances acting in the system at a particular time may be  
374 calculated (Equation 8). Accordingly,  $L_w^{EXP}$  coefficients obtained in the absence of cell  
375 wall deformations account for water transfer across the plasma membrane when  
376  $\overline{V}_w \cdot \Delta P \approx 0$ . [Note that equation 8 considers two driving forces but one flux and one  
377 phenomenological coefficient; this is applicable on condition that the membrane is  
378 perfectly semipermeable (reflection coefficient = 1) and only water transport takes  
379 place; otherwise, the Onsager principle of reciprocity should have been applied].

$$380 \quad J_w^{PM} = L_w \cdot (RT \Delta \ln a_w + \overline{V}_w \Delta P) \quad (8)$$

381 Calculating an experimental phenomenological coefficient at different points of the  
382 treatment allows us to subtract resistances (Equation 9) and attribute the difference to  
383 the pressure term in equation 6, thus quantifying the energy consumed in irreversible  
384 deformations or stored/released via elastic ones.

$$385 \quad \overline{V}_w \Delta P = \left( \frac{1}{L_w^{\Delta P \approx 0}} - \frac{1}{L_w^{EXP}} \right) \times J_w^{PM} \quad (9)$$

386 The results of applying equation 9 to experimental data are shown in figure 5 for cells  
387 soaked in 45% (w/w) sucrose. As occurred with water fluxes, results did not show a  
388 clear time dependency and only some general features could be extracted; in contrast,  
389 the pressure gradient showed a particular pattern when representing it against protoplast  
390 relative deformation. In general terms it can be deduced that stages at which  
391  $\overline{V}_w \cdot \Delta P \approx 0$  and  $\overline{V}_w \cdot \Delta P \neq 0$  are again identifiable and separated by protoplast deformation  
392 at the separation time  $V^{PM}_t / V^{PM}_0 = 0.617 \pm 0.008$ . There were also evident differences

393 between the cells classified as type-II (thin lines) and the classified as type-I (thick  
394 lines), which exhibited a more similar pattern during all the process.

395 In type-I cells the pressure term was positive before complete separation, showing  
396 higher values at the beginning of the treatment, suggesting that an important part of the  
397 available energy is used in deforming the structure. Before incipient plasmolysis the cell  
398 wall is deformed together with the plasma membrane so that both structures consume  
399 energy (Seguí et al., 2010), either irreversibly (viscous) or elastically (the membrane  
400 pulls the cell wall and stretches). Irreversible deformations consume energy that cannot  
401 be recovered ( $\overline{V}_w \cdot \Delta P > 0$ ), whereas in elastic deformations the energy is stored  
402 ( $\overline{V}_w \cdot \Delta P > 0$ ) and may be later released ( $\overline{V}_w \cdot \Delta P < 0$ ).

403 Once the cell wall does not resist more deformations, the membrane continuous  
404 deforming until the stretching forces are higher than the needed for breaking the  
405 membrane attachment sites, which occurs at incipient plasmolysis. Since this moment,  
406 the three phenomena take place simultaneously and cannot be separated; nevertheless,  
407 the predominant mechanisms may be identified since a positive pressure gradient  
408 ( $\overline{V}_w \cdot \Delta P > 0$ ) indicates that energy consumption or storage prevails; whereas a negative  
409 pressure gradient ( $\overline{V}_w \cdot \Delta P < 0$ ) indicates that the release of the previously stored energy  
410 is prevailing. The oscillating shape of a curve also provides information about the  
411 degree of participation of dissipative structures in the process; this suggest cycles of  
412 energy storage/release which origin relies on elastic deformations.

413 As suggested in Seguí et al. (2010) the degree of cell wall/membrane interaction  
414 depended on the concentration of the OS used, but also on the morphology of the cell.  
415 In general terms, small gradients promote slow dehydration rates and, consequently, the  
416 elastic deformation of the PM and the CW; whereas fast dehydration rates promote

417 membrane breakage and rupture of membrane attachment sites, thus reducing PM and  
418 CW elastic deformations. Not elongated cells with certain degree of polygonality also  
419 facilitate the separation of the plasma membrane, resulting in shorter times for incipient  
420 and complete plasmolysis. In conclusion, scarce membrane/wall interaction implies that  
421 less energy is stored in the system by deforming elastic structures and, therefore, that  
422 the pressure gradient is predominantly positive before IP, which is the case of  
423 completely plasmolyzed cells type-I (figure 5). In contrast, cells that exhibit higher  
424 degree of membrane/wall interaction during more time (elongated-rounded cells and/or  
425 cells dehydrated in less concentrated OS) store/release more energy during the  
426 treatment. Upholding this, the pressure gradient for the type-II plasmolysed cells plotted  
427 in figure 5 exhibit an oscillating curve in which negative pressure gradients prevail at  
428 some points of the dehydration process.

429

### 430 **3.3. A comprehensive interpretation of osmotic dehydration based on the cellular** 431 **approach.**

432 Based on microstructural observations and experimental data, a more comprehensive  
433 interpretation of the dehydration process is presented in this section. For illustration,  
434 figure 6 shows the evolution of a cell during osmotic dehydration, since the beginning  
435 of the treatment until complete plasmolysis. If plasmolysing completely (response C),  
436 this cell will undergo three different stages: from time zero to InP, from InP to SEP and  
437 from SEP to the end of the treatment. If the cell's response is shrinkage (B), it will  
438 undergo the first two stages.

439

440 *1<sup>st</sup> stage: time zero – incipient plasmolysis*

441 When a cell is soaked in a hypertonic solution, the gradient of chemical potential  
442 imposed to the system originates a water flux out of the protoplast ( $J_w$ ), which shrinks.  
443 The protoplast, which is linked to the cell wall at some points (attachment sites),  
444 stretches the wall ( $F_s$ ) and deforms it. The cell wall is a viscoelastic structure, thus its  
445 deformation will be partially reversible (elastic) and partially irreversible (viscous).  
446 Protoplast and cell wall will deform together as long as the stretching forces appearing  
447 as a consequence of protoplast shrinkage are not enough to break the membrane-to-wall  
448 attachment sites. This first stage ends with incipient plasmolysis and in most of the  
449 cases it is not perceptible.

450 The equations used to describe this stage must take into account the coupling of water  
451 transfer with deformation-relaxation phenomena. In terms of irreversible  
452 thermodynamics some of the energy is consumed in mechanical deformations  
453 ( $\overline{V}_w \cdot \Delta P > 0$ ), so the extended definition of the water chemical potential must be used.

454

455 ***2<sup>nd</sup> stage: incipient plasmolysis – membrane separation***

456 As the water transfers through the plasma membrane, the stretching forces between  
457 membrane and cell wall ( $F_s$  and its opposite  $F_s'$ ) raise until: (i) the local membrane-to-  
458 wall attachments break (if  $F_s > F_B$ ), (ii) the plasma membrane ruptures (cell lysis), or  
459 (iii) the pressure and compositional terms balance and the flux ceases. Usually, case (iii)  
460 is combined with case (i), since the pressure and compositional terms balance after a  
461 period during which intermittent membrane retention/detachment takes place. In  
462 biological structures the existence of elastic or viscoelastic structures such as the plasma  
463 membrane and the cell wall implies the possibility of storing part of the available free  
464 energy on the structure; both represent dissipative structures able to store energy that  
465 can be later released in the system. Unless membrane lysis occurs (response A:

466 breakage), and as long as the plasmalemma is retained at some point(s), some free  
467 energy is stored via the stretching of the plasma membrane and the elastic deformation  
468 of the cell wall. In terms of equations 6, the pressure gradient accounts for the stored  
469 energy (+) that, if released (-), promotes mass transfer.

470 Focusing on case (i) (which follows for responses B and C) when  $F_S > F_B$ , the  
471 membrane detaches and contracts elastically. As a direct consequence, an intracellular  
472 volume between the plasma membrane and the cell wall is created, thus acting as a  
473 driving force for a volumetric flow of osmotic solution ( $J^{OS}$ ) that occupies the  
474 intracellular space. On the one hand, this volumetric flux may enhance mass transfer by  
475 narrowing the boundary layer and increasing the solute concentration near the interface;  
476 on the other hand, the elastic contraction of the membrane may cause a slight increase  
477 in the internal pressure over the external one and originate a hydrodynamic water flux  
478 out of the protoplast. This means that part of the free energy that accumulates in the  
479 structure during its deformation due to membrane stretching is regularly released as the  
480 membrane detaches from the cell wall, thus promoting water transfer. This mechanism  
481 might be maximized at full protoplast separation, when the plasma membrane separates  
482 completely (figure 3); in that case, this could be responsible for the acceleration of mass  
483 transfer kinetics in isolated cells close to SEP as compared with apple isolated  
484 protoplasts (figure 4).

485 If the cell does not plasmolyse completely (response classified as B: shrinkage), the flux  
486 ceases when the compositional term compensates the pressure term (case iii), the  
487 membrane remaining stretched (not breaking or detaching). In this case, the system  
488 tends to relax at long times of the dehydration process. Depending on the possibilities of  
489 the structure, the mechanism used to release this energy would be different: In some  
490 cases, the stretched membrane relaxed and re-accommodated in its original position

491 thanks to a loss of volume that took place at other sites (figure 2 in Seguí et al., 2010);  
492 In other cases, it could also occur that the membrane tension, if maintained for a  
493 sufficient time, activated the mechanisms for membrane material incorporation (Wolfe  
494 et al., 1985) reducing the tension of the membrane and also relaxing the structure.  
495 Whichever is the case, the excess of free energy associated with a pressure gradient that  
496 accumulated in the viscoelastic matrix during its deformation, is later released to the  
497 system causing the relaxation of the structure; this relaxation would then promote the  
498 entrance of a hydrodynamic flux of OS into the intracellular space (Barat et al., 1998).  
499 This is also supported by the results discussed in Seguí et al. (2010), where it was  
500 noticed an increase in the projected area of cells (cell wall delimited area) at long times,  
501 mainly in treatments in which the cell wall deformed to a major degree during the  
502 process.

503 If using irreversible thermodynamics for modelling the process, the equation used at  
504 this stage must take into account the coupling of water transfer with deformation-  
505 relaxation phenomena: the energy is consumed in irreversible deformations and stored-  
506 released via elastic deformations ( $\overline{V}_w \cdot \Delta P \neq 0$ ).

507

### 508 *3<sup>rd</sup> stage: membrane separation – end of the process*

509 After the complete separation of the plasma membrane, the protoplast is not longer held  
510 back by the cell wall and may shrink freely. During this stage, mass transfer  
511 mechanisms are quite similar to those acting during dehydration of an isolated  
512 protoplast. Nevertheless, the presence of the cell wall as a physical barrier, as well as  
513 the existence of elastic structures that may link the protoplast to the cell wall (Hechtian  
514 structures) must be taken into account. In this case, the pressure term may be neglected  
515 ( $\overline{V}_w \cdot \Delta P \approx 0$ ) and the water chemical potential simplified to the compositional term.

516

#### 517 4. CONCLUSIONS

518 This study has evidenced the importance of a proper knowledge of the microstructure  
519 and how it is modified during processing in the study of osmotic dehydration of tissue  
520 structured foods, in which mass transfer takes place coupled with deformation-  
521 relaxation phenomena. In combination with a previous work (Seguí et al., 2010) it has  
522 been proved that the appropriate description of the microstructure helps predict the  
523 response of the cells; furthermore, identifying some critical microstructural  
524 modifications undergone by cells during processing is essential for better understanding  
525 mass transfer and deformation-relaxation phenomena, and thus being able to describe  
526 the process properly. In particular, different microstructural responses have been  
527 described and they have been divided in stages thanks to the identification of critical  
528 points, which also correspond to specific changes observed at the microscopic level.  
529 On the other hand, the equations used for modelling each stage of the process must be  
530 different and must consider the phenomena that take place at each specific period. The  
531 use of one or other equation depends on microstructural changes and not of processing  
532 time: e.g. degree of protoplast shrinkage might be used to determine the stage of the  
533 dehydration process and thus the equation that describes it more adequately. It is  
534 therefore deduced that predictive models should incorporate microstructural information  
535 in order to be more feasible.

536 In conclusion, these results demonstrate that including microstructural information in  
537 the mathematical models, instead of generalising a kinetic coefficient for an idealised  
538 isotropic tissue, is essential for understanding and describing osmotic dehydration of  
539 tissue structured foods more accurately, and hence offer better results.

540

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