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# On the smoothness of $L^p$ of a positive vector measure

L. Agud · J. M. Calabuig · E. A. Sánchez-Pérez

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**Abstract** We investigate natural sufficient conditions for a space  $L^p(m)$  of  $p$ -integrable functions with respect to a positive vector measure to be smooth. Under some assumptions on the representation of the dual space of such a space, we prove that this is the case for instance if the Banach space where the vector measure takes its values is smooth. We give also some examples and show some applications of our results for determining norm attaining elements for operators between two spaces  $L^p(m_1)$  and  $L^q(m_2)$  of positive vector measures  $m_1$  and  $m_2$ .

**Keywords** Banach function spaces · Norm attaining functionals · Operators

**Mathematics Subject Classification (2000)** Primary 46E30; Secondary 47B38 · 46B42 · 46B28

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L. Agud  
Departamento de Matemática Aplicada, Universitat Politècnica de València,  
Camino de Vera s/n, 46022 Valencia, Spain  
e-mail: lagudal@mat.upv.es

J. M. Calabuig (✉) · E. A. Sánchez-Pérez  
Instituto Universitario de Matemática Pura y Aplicada, Universitat Politècnica de València,  
Camino de Vera s/n, 46022 Valencia, Spain  
e-mail: jmcalabu@mat.upv.es

E. A. Sánchez-Pérez  
e-mail: easanp@mat.upv.es

11 **1 Introduction**

12 Let  $X$  be a Banach space,  $X^*$  its topological dual space and  $B_X$  the closed unit ball of  
 13  $X$ . It is said that  $x^* \in X^*$  norms  $x \in X$ —or that  $x^*$  is norming for  $x$ —if  $\|x^*\| = 1$  and  
 14  $\langle x, x^* \rangle = \|x\|$ . By the Hahn–Banach theorem there always exists such a functional.  
 15 A Banach space  $X$  is called *smooth* if for every  $0 \neq x \in X$  the norming element  
 16 for such  $x$  is unique. This element is denoted by  $\Theta_X(x)$ . For instance, it is known  
 17 (see [1, Part 3, Ch. 1]) that the spaces  $X = L^p(\mu)$ —where  $\mu$  is a scalar measure and  
 18  $1 < p < \infty$ —are smooth and, moreover, the unique norming element for a function  
 19  $f \in L^p(\mu)$  is given by the formula

$$20 \quad \Theta_{L^p(\mu)}(f) = \frac{\operatorname{sgn}(f)|f|^{p-1}}{\|f\|^{p-1}}, \quad (1)$$

21 where the sign function  $\operatorname{sgn}(\cdot)$  is defined as usual.

22 The aim of this paper is to study conditions under which a space of  $p$ -integrable  
 23 functions with respect to a vector measure for  $1 \leq p < \infty$ , is smooth. The reader may  
 24 take into account that these spaces represent a broad class of Banach lattices, since  
 25 each  $p$ -convex Banach lattice (with  $p$ -convexity constant equal to one) with a weak  
 26 unit can be represented as such a space. In particular, we analyze the natural question  
 27 of when the property is inherited from the space where the vector measure takes its  
 28 values, obtaining a result in the positive (Theorem 2). We also give some examples.

29 A (partial) motivation of our study comes from the setting of the norm attaining  
 30 operators. A bounded linear operator between Banach spaces,  $T : X \rightarrow Y$ , is said  
 31 to be *norm attaining*—or that  $T$  attains its norm—if there exists  $0 \neq x \in X$  such  
 32 that  $\|T(x)\|_Y = \|T\| \cdot \|x\|_X$ . The following result, whose proof can be found in [6,  
 33 Section 2], gives a link between smooth spaces and norm attaining operators.

34 **Theorem** (Howard and Schep). *Let  $T : X \rightarrow Y$  be a linear and bounded operator*  
 35 *between smooth Banach spaces. Given  $x \in X$ , the following assertions are equivalent:*

- 36 (a)  $T$  attains its norm at  $x$ .  
 37 (b)  $T^*(\Theta_Y(T(x))) = \|T\| \cdot \Theta_X(x)$ .

38 Actually, in the paper quoted above only the implication (a) $\Rightarrow$ (b) is shown; however  
 39 for the converse it suffices to notice that

$$40 \quad \begin{aligned} \|T(x)\|_Y &= \langle T(x), \Theta_Y(T(x)) \rangle = \langle x, T^*(\Theta_Y(T(x))) \rangle \\ 41 \quad &= \langle x, \|T\| \Theta_X(x) \rangle = \|T\| \langle x, \Theta_X(x) \rangle = \|T\| \cdot \|x\|_X. \end{aligned}$$

42 This general result can be improved when  $X$  and  $Y$  are spaces of  $p$ -integrable  
 43 functions with respect to a vector measure (or in a more general case where  $X$  and  $Y$   
 44 are order continuous Banach functions spaces having weak unit). This will be done  
 45 in Theorem 4. The concrete formula that can be given in this case for the functional  
 46 attaining the norm of a norm one element  $f$  is

$$47 \quad \Theta_{L^p(m)}(f)(h) = \langle h, \Theta_{L^p(m)}(f) \rangle := \int_{\Omega} \operatorname{sgn}(f)|f|^{p-1} h d\langle m, x_f^* \rangle, \quad h \in L^p(m),$$

48 for a certain positive norm one element  $x_f^*$  of  $X^*$ . Moreover, if  $m_1$  and  $m_2$  are vector  
49 measures, this formula will provide the better expression

$$50 \int_{\Omega_2} \frac{\text{sgn}(T(f))|T(f)|^{q-1}}{\|T(f)\|^{q-1}} \cdot T(h) \, dv_2 = \alpha \int_{\Omega_1} \frac{\text{sgn}(f)|f|^{p-1}}{\|f\|^{p-1}} \cdot h \, dv_1, \quad h \in L^p(m_1),$$

51 for the equation that characterize an element  $f$  in which the norm of an operator  
52  $T : L^p(m_1) \rightarrow L^q(m_2)$  is attained, where  $v_1$  and  $v_2$  are specific scalar measures and  
53  $\alpha$  is a positive constant.

54 In order to do that, we need to give conditions that assure the smoothness of the  
55 spaces of  $p$ -integrable functions with respect to a vector measure. However it must be  
56 pointed out that not all the  $L^p(m)$  spaces are smooth (see Example 1).

## 57 2 Preliminaries and notation

58 Let  $(\Omega, \Sigma, \mu)$  be a positive finite measure space. A Banach function space  $X(\mu)$  over  
59  $\mu$  is defined to be an ideal of the space of (equivalence classes of) measurable functions  
60  $L^0(\mu)$  endowed with a complete norm that is compatible with the  $\mu$ -a.e. order and  
61 such that  $L^\infty(\mu) \subseteq X(\mu) \subseteq L^1(\mu)$  (see p. 28 in [7]).

62 Let  $X$  be a Banach space,  $B_X$  its closed unit ball and  $S_X$  its unit sphere. Let  $(\Omega, \Sigma)$  be  
63 a measurable space and  $m : \Sigma \rightarrow X$  be a (countably additive) vector measure. If  $1 \leq$   
64  $p < \infty$  we write  $p'$  by the extended real number satisfying  $1/p + 1/p' = 1$ . We write  
65  $|m|$  for the variation of  $m$ . A  $\Sigma$ -measurable function  $f$  is  $p$ -integrable with respect to  
66  $m$  if (i)  $|f|^p$  is integrable with respect to each scalar measure  $\langle m, x^* \rangle := x^* \circ m$ , for  
67 each  $x^* \in X^*$ , and (ii) for every  $A \in \Sigma$  there is an element  $\int_A |f|^p dm \in X$  such that  
68  $\langle \int_A |f|^p dm, x^* \rangle = \int_A |f|^p d\langle m, x^* \rangle$ ,  $x^* \in X^*$ . The set of all (classes of  $m$ -a.e. equal)  
69  $p$ -integrable functions is denoted by  $L^p(m)$  and it defines a  $p$ -convex order continuous  
70 Banach function space with weak unit  $\chi_\Omega$ —in the sense of [7, Def.1.b.17]—over any  
71 Rybakov measure  $\nu = |\langle m, x_0^* \rangle|$  for  $m$  (see [2, Ch.IX,2]) with the norm

$$72 \|f\|_{p,m} := \sup_{x^* \in B_{X^*}} \left( \int_{\Omega} |f|^p d|\langle m, x^* \rangle| \right)^{\frac{1}{p}}, \quad f \in L^p(m).$$

73 If only condition (i) is satisfied then the corresponding spaces (with the same  $m$ -  
74 a.e. identification and norm) are denoted by  $L_w^p(m)$  and it is again a  $p$ -convex Banach  
75 function space for which  $L^p(m)$  is a closed subspace. Since  $L^p(m)$  is order continuous  
76 then its topological dual  $L^p(m)^*$  coincides with its Köthe dual  $L^p(m)'$  (cf. [8, Corollary  
77 2.6.5]), which is defined as

$$78 L^p(m)' = \{h \text{ } \Sigma\text{-measurable} : fh \in L^1(\nu) \text{ for all } f \in L^p(m)\}.$$

79 The duality is given by the formula  $\langle h, f \rangle = \int_{\Omega} fhd\nu$ . More information on  $L^p(m)$   
80 spaces can be found in [3,9] All unexplained terminology can be found in the standard  
81 references [7,8] (for Banach lattices) and [9] (for integration of scalar functions with  
82 respect to vector measures).

Let us explain now some relevant facts regarding the integration operator  $I_m: L^1(m) \rightarrow X$  associated to a vector measure  $m$ . Assume that  $m$  is positive. For a fixed positive  $x_0^* \in S_{X^*}$  let  $\nu = \langle m, x_0^* \rangle$  be the associated Rybakov measure. By using Radon–Nikodým derivatives the adjoint operator  $I_m^*: X^* \rightarrow L^1(m)^* = L^1(m)'$  can be written as

$$I_m^*(x^*)(f) = \int_{\Omega} f \frac{d\langle m, x^* \rangle}{d\nu} d\nu, \quad x^* \in X^*, f \in L^1(m).$$

Consider the subspace of  $L^1(m)'$  given by

$$\mathbf{R}(m) = \left\{ I_m^*(x^*) = \frac{d\langle m, x^* \rangle}{d\nu} : x^* \in B_{X^*} \right\} \subseteq B_{L^1(m)'}. \quad (2)$$

Given  $1 < p < \infty$ , the pointwise product space  $B_{L_w^{p'}(m)} \cdot \mathbf{R}(m)$  is defined as

$$B_{L_w^{p'}(m)} \cdot \mathbf{R}(m) = \left\{ h \in L^0(\nu) : h = g \cdot I_m^*(x^*), g \in B_{L_w^{p'}(m)}, x^* \in B_{X^*} \right\}.$$

Note that  $B_{L_w^{p'}(m)} \cdot \mathbf{R}(m) \subseteq B_{L^p(m)'}$ . Indeed for each  $f \in L^p(m)$ ,  $g \in B_{L_w^{p'}(m)}$  and  $x^* \in B_{X^*}$ , one has

$$|(g \cdot I_m^*(x^*))(f)| = \left| \int_{\Omega} fg \frac{d\langle m, x^* \rangle}{d\nu} d\nu \right| \leq \int_{\Omega} |fg| d\langle m, x^* \rangle \leq \|f\|_{p,m} \|g\|_{p',m} \|x^*\|.$$

As we will show in the next section, the smoothness of the space  $L^p(m)$  is related to the opposite containment

$$B_{L^p(m)'} \subseteq B_{L_w^{p'}(m)} \cdot \mathbf{R}(m).$$

### 3 Results

As we said at the end of the first section the space  $L^p(m)$  is not, in the general case, smooth. This is shown in the following easy example.

*Example 1* Let  $1 \leq p < \infty$ . Let us consider the measure space  $\Omega = \{1, 2\}$  with the algebra of all its subsets and the positive vector measure  $m: \Sigma \rightarrow \ell_2^\infty$  defined by  $m(\{i\}) := e_i$ ,  $i = 1, 2$ , where  $e_i$  is the corresponding element of the canonical basis of  $\mathbb{R}^2$ . Clearly  $m(\Omega) = e_1 + e_2$ . Since the measure is positive then (cf. [9, Lemma 3.13])

$$\|f\|_{p,m} = \left\| \int_{\Omega} |f|^p dm \right\|_{\ell_2^\infty}^{1/p}, \quad f \in L^p(m), \quad (3)$$

and so

$$\begin{aligned} \|(\lambda_1, \lambda_2)\|_{p,m} &= \left\| |\lambda_1|^p e_1 + |\lambda_2|^p e_2 \right\|_{\ell_2^\infty}^{1/p} = \max\{|\lambda_1|^p, |\lambda_2|^p\}^{1/p} \\ &= \|(\lambda_1, \lambda_2)\|_{\infty}. \end{aligned}$$

111 Therefore  $L^p(m)$  and  $\ell_2^\infty$  are isometrically isomorphic. But in [1, Part 3, Ch. 1] it is  
 112 shown that  $\ell^\infty$  is not a smooth space. So,  $L^p(m)$  is not a smooth space.

113 We are ready to state and prove the main result of this paper.

114 **Theorem 2** Let  $1 < p < \infty$  and  $m : \Sigma \rightarrow X$  be a positive vector measure satisfying

115 (i)  $B_{L^p(m)'} \subseteq B_{L_w^{p'}(m)} \cdot \mathbf{R}(m)$ .

116 (ii)  $X$  is smooth.

117 Then  $L^p(m)$  is smooth.

118 *Proof* Let  $f \in S_{L^p(m)}$ . Since  $m$  is positive then (see [9, Lemma 3.13])

119 
$$\|f\|_{p,m}^p = \left\| \int_{\Omega} |f|^p dm \right\|_X.$$

120 Therefore, by using the Hanh–Banach Theorem we get that there is  $x_f^* \in B_{X^*}$  (that  
 121 we can assume  $x_f^* \geq 0$ ) such that

122 
$$\left\langle \int_{\Omega} |f|^p dm, x_f^* \right\rangle = 1. \tag{4}$$

123 Let us consider the function  $g_f = \text{sgn}(f)|f|^{p-1}$ . Clearly  $g_f \in B_{L_w^{p'}(m)}$  (in fact it  
 124 belongs to  $S_{L_w^{p'}(m)}$ ) since

125 
$$\left\| \int_{\Omega} |g_f|^{p'} dm \right\|_X = \left\| \int_{\Omega} |f|^{(p-1)p'} dm \right\|_X = \|f\|_{p,m}^p = 1.$$

126 Let us define now the linear map  $\varphi : L^p(m) \rightarrow \mathbb{R}$  given by

127 
$$\varphi(h) = \int_{\Omega} hg_f d\langle m, x_f^* \rangle, \quad h \in L^p(m). \tag{5}$$

128 *Claim 1*  $\varphi \in S_{L^p(m)'}$  and it norms  $f$ . Indeed, first note that for all  $h \in B_{L^p(m)}$  one has

129 
$$|\varphi(h)| \leq \int_{\Omega} |hg_f| d\langle m, x_f^* \rangle \leq \|h\|_{p,m} \|g_f\|_{p',m} \leq 1,$$

130 so  $\varphi \in B_{L^p(m)'}$ . Moreover

131 
$$\varphi(f) = \int_{\Omega} fg_f d\langle m, x_f^* \rangle = \int_{\Omega} f \text{sgn}(f)|f|^{p-1} d\langle m, x_f^* \rangle = \left\langle \int_{\Omega} |f|^p dm, x_f^* \right\rangle = 1.$$

132 Therefore  $\varphi \in S_{L^p(m)'}$  and norms  $f$ . This proves our first claim.

133 Since we have to prove that  $L^p(m)$  is smooth and  $\varphi$  norms  $f$  let us see that  $\varphi$  is the  
 134 unique function in  $S_{L^p(m)}$  norming  $f$ . Assume then that  $\psi \in S_{L^p(m)}$  norms  $f$ . We  
 135 have to see that  $\psi = \varphi$ . By using the hypothesis (i) we find  $g \in B_{L^p_w(m)}$  and  $x^* \in B_{X^*}$   
 136 such that

$$137 \quad \psi = g \cdot \frac{d\langle m, x^* \rangle}{dv}. \quad (6)$$

138 Define now the positive measure  $\eta : \Sigma \rightarrow [0, \infty[$  given by  $\eta(A) = \frac{d\langle m, x^* \rangle}{dv} \cdot \nu(A)$   
 139 for all  $A \in \Sigma$ .

140 *Claim 2*  $f \in S_{L^p(\eta)}$ ,  $g \in S_{L^{p'}(\eta)}$  and  $g$  norms  $f$  —as a function on  $L^p(\eta)$ —. First  
 141 it is easy to see that  $\|f\|_{L^p(\eta)} \leq \|f\|_{p,m} = 1$  and  $\|g\|_{L^{p'}(\eta)} \leq \|g\|_{p',m} = 1$ . But, by  
 142 using *Hölder's Inequality*, one has

$$143 \quad 1 = \int_{\Omega} fg d\eta \leq \|f\|_{L^p(\eta)} \|g\|_{L^{p'}(\eta)}.$$

144 This proves our second claim.

145 But bearing in mind that  $L^p(\eta)$  is smooth (since  $1 < p < \infty$ ) and  $sgn(f)|f|^{p-1} =$   
 146  $g_f$  also norms  $f$  in  $L^p(\eta)$  then

$$147 \quad g = sgn(f)|f|^{p-1} = g_f \text{ in } L^p(\eta). \quad (7)$$

148 *Claim 3*  $x_f^*$  and  $x^*$  norm  $x = \int_{\Omega} |f|^p dm \in S_X$ . Indeed by Eq. (4) we have  $1 =$   
 149  $\langle x, x_f^* \rangle$ . On the other hand the equality  $1 = \langle x, x^* \rangle$  follows from (7) since

$$150 \quad 1 = \psi(f) = \int_{\Omega} fg d\langle m, x^* \rangle = \int_{\Omega} fg d\eta = \int_{\Omega} fg_f d\eta$$

$$151 \quad = \int_{\Omega} fg_f d\langle m, x^* \rangle = \int_{\Omega} |f|^p d\langle m, x^* \rangle = \langle x, x^* \rangle.$$

152 So  $\langle x, x_f^* \rangle = 1 = \langle x, x^* \rangle$  and our last claim follows.

153 By using the smoothness of  $X$  that is assumed by the hypothesis (ii) one has

$$154 \quad x^* = x_f^*. \quad (8)$$

155 Therefore (6), (7), (8) and (5) give

$$156 \quad \psi(h) = \int_{\Omega} hg \frac{d\langle m, x^* \rangle}{dv} dv = \int_{\Omega} hg d\eta = \int_{\Omega} hg_f d\eta$$

$$157 \quad = \int_{\Omega} hg_f \frac{d\langle m, x^* \rangle}{dv} dv = \int_{\Omega} hg_f d\langle m, x_f^* \rangle = \varphi(h),$$

158 for all  $h \in L^p(m)$  and the theorem is proved.  $\square$

159 As we know, for a scalar measure  $\mu$  the space  $L^p(\mu)$  is smooth for  $1 < p < \infty$   
 160 (see the Sect. 1). However, this is not the case for the space  $L^1(\mu)$ . In the setting  
 161 of the vector valued measures the situation is different. Indeed, if  $1 < p < \infty$  the  
 162 space  $L^1(m_p)$  associated to the measure  $m_p : \Sigma \rightarrow L^p(\mu)$  given by  $m_p(A) = \chi_A$  is  
 163 isometrically isomorphic to  $L^p(\mu)$ . This means that  $L^1(m_p)$  is smooth. Actually as a  
 164 consequence of Theorem 2 we can prove that in general the space  $L^p(m)$  is smooth  
 165 provided that  $L^1(m)$  is smooth and has the Fatou property. The proof is a consequence  
 166 of a result regarding products of Banach function spaces which can be found in [10,  
 167 Theorem 3.7].

168 **Theorem** (Schip). *Let  $E$  and  $F$  be Banach function spaces with the Fatou property.*  
 169 *If  $E \cdot F = G$  is a product Banach function space, then  $E \cdot G'$  is a product Banach*  
 170 *function space and  $E \cdot G' = F'$ .*

171 **Corollary 3.1** *Let  $1 < p < \infty$  and  $m : \Sigma \rightarrow X$  be a positive vector measure. If*  
 172  *$L^1(m)$  is smooth and has the Fatou property then  $L^p(m)$  is smooth.*

173 *Proof* Let us consider the (countable additive) vector measure

$$174 \begin{aligned} m_0 : \Sigma &\rightarrow L^1(m) \\ A &\mapsto m_0(A) = \chi_A. \end{aligned} \tag{9}$$

175 By the hypothesis,  $Y = L^1(m)$  is smooth. Since  $L^p(m_0)$  is isometrically isomorphic  
 176 to  $L^p(m)$ , for  $1 \leq p < \infty$ , then we only have to show that (i) in Theorem 2 holds.

177 First note that  $\mathbf{R}(m_0) = B_{L^1(m_0)'}.$  Indeed, just bearing in mind (2) we have

$$178 \mathbf{R}(m_0) \subseteq B_{L^1(m_0)'}$$

179 But on the other hand, given  $h \in B_{L^1(m_0)'}$  then

$$180 \langle m_0, h \rangle(A) = \langle m_0(A), h \rangle = \int_A h d\mu, \quad A \in \Sigma,$$

181 therefore  $h = \frac{d(m_0, h)}{d\mu} \in \mathbf{R}(m_0)$  so  $\mathbf{R}(m_0) = B_{L^1(m_0)'}$ .

182 Hence hypothesis (i) in Theorem 2 is now

$$183 B_{L^p(m_0)'} \subseteq B_{L_w^{p'}(m_0)} \cdot B_{L^1(m_0)'}. \tag{10}$$

184 Therefore let us show

$$185 L^p(m_0)' = L_w^{p'}(m_0) \cdot L^1(m_0)'. \tag{11}$$

186 It is well-known (see [9, Proposition 3.43]) that

$$187 L^p(m_0) \cdot L_w^{p'}(m_0) = L^1(m_0), \tag{12}$$

188 so taking  $E = L_w^{p'}(m_0)$ —which has always the Fatou property—,  $F = L^p(m_0)$  and  
 189  $G = L^1(m_0)$ —which have the Fatou property by the hypothesis—, we can apply the



190 theorem by Schep quoted above (taking into account that all the equalities are actually  
 191 isometries), to obtain (11), and in consequence (10). The result follows applying our  
 192 Theorem 2.  $\square$

193 This result can be easily adapted for the case of order continuous Banach function  
 194 spaces having the Fatou property by means of the well-known representation theorems  
 195 and the  $p$ th powers theory for these spaces; actually it can also be deduced from  
 196 Corollary 3.1. The  $p$ th power  $X(\mu)_{[p]}$  of a Banach function space  $X(\mu)$  is defined as  
 197 the space of functions

$$198 \quad X(\mu)_{[p]} = \{f \text{ measurable} : |f|^{1/p} \in X(\mu)\},$$

199 It is a Banach function space with the norm

$$200 \quad \|f\|_{X(\mu)_{[1/p]}} = \| |f|^p \|_{X(\mu)}^{1/p}, \quad f \in X(\mu)_{[1/p]}.$$

201 Note that with this definition,  $L^p(m) = L^1(m)_{[1/p]}$  and  $L^1(m) = L^p(m)_{[p]}$ . We refer  
 202 the reader to [9, Sec. 2.2] for the unexplained information regarding Banach function  
 203 spaces and their  $p$ th powers.

204 **Corollary 3.2** *Let  $X(\mu)$  be an order continuous Banach function space over a positive*  
 205 *finite measure having the Fatou property and let  $1 < p < \infty$ . If  $X(\mu)$  is smooth, then*  
 206  *$X(\mu)_{[1/p]}$  is smooth.*

207 Let us analyze now the main requirement on the spaces that appears in our results  
 208 in order to give a geometric meaning to our setting. Condition (i) in Theorem 2 can  
 209 be replaced by the slightly stronger condition

$$210 \quad (i') \quad B_{L^p(m)'} \subseteq B_{L^{p'}(m)} \cdot \mathbf{R}(m).$$

211 Clearly, condition (i') implies condition (i). However this new condition (i') can be  
 212 interpreted in geometric terms. To explain this let us introduce first some terminology  
 213 regarding boundaries in Banach spaces. Let  $X$  be a Banach space and  $K$  be a  $w^*$ -  
 214 compact subset of  $X^*$ . A *James boundary* for  $K$  is a subset  $B$  of  $K$  such that for all  
 215  $x \in X$  there is  $b \in B$  such that

$$216 \quad \langle x, b \rangle = \sup_{k \in K} \langle x, k \rangle.$$

217 It is well-known (see [5]) that if  $X^*$  is weakly compactly generated (WCG) then

$$218 \quad B_{X^*} = \overline{co(B)}^{\|\cdot\|_{X^*}}, \quad (13)$$

219 for each James boundary  $B$  of  $B_{X^*}$ . In our setting, a result by Ferrando and Rodríguez  
 220 ensures that in the case when  $1 < p < \infty$  the space  $L^p(m)'$  is WCG (see [4, Theorem  
 221 3.1]) so (13) applies for all James boundary  $B$  for  $B_{L^p(m)'}$ . Moreover Theorem 3.12 in

222 [4] asserts that in the case when the vector measure  $m$  is positive then the (pointwise  
223 product) set

$$224 \quad B_{L^{p'}(m)} \cdot \mathbf{R}(m)$$

225 is a James boundary for  $B_{L^p(m)'}.$  This gives directly the proof of the next lemma.

226 **Lemma 3** *Let  $m : \Sigma \rightarrow X$  be a positive vector measure and  $1 < p < \infty.$  The*  
227 *following assertions are equivalent:*

- 228 (a)  $B_{L^{p'}(m)} \cdot \mathbf{R}(m)$  is convex and closed.
- 229 (b)  $B_{L^p(m)'} \subseteq B_{L^{p'}(m)} \cdot \mathbf{R}(m).$

230 With all these results we obtain the next

231 **Corollary 3.3** *Let  $1 < p < \infty$  and  $m : \Sigma \rightarrow X$  be a positive vector measure*  
232 *satisfying:*

- 233 (i)  $B_{L^{p'}(m)} \cdot \mathbf{R}(m)$  is convex and closed.
- 234 (ii)  $X$  is smooth.

235 Then  $L^p(m)$  is smooth.

236 We finish this section with a general version of the theorem by Howard and Schep  
237 mentioned in the introduction. In order to do that we fix some notation. For  $1 < p < \infty$   
238 the space  $L^p(\mu)$  for  $\mu$  positive scalar measure is smooth. Hence the unique norming  
239 element for a function  $f \in S_{L^p(\mu)}$  is given by the formula

$$240 \quad \Theta_{L^p(\mu)}(f) = \text{sgn}(f)|f|^{p-1} = g_f.$$

241 In the case of the spaces  $L^p(m)$  we know that  $\varphi : L^p(m) \rightarrow \mathbb{R}$  given by

$$242 \quad \varphi(h) = \int_{\Omega} h g_f d\langle m, x_f^* \rangle, \quad h \in L^p(m),$$

243 norms  $f$  for  $x_f^* \in B_{X^*}$  satisfying

$$244 \quad \left\langle \int_{\Omega} |f|^p dm, x_f^* \right\rangle = 1.$$

245 Therefore if we assume that  $L^p(m)$  for  $1 < p < \infty$  is smooth then  $\varphi$  is the unique  
246 norming element for  $f \in S_{L^p(m)}$  and will be denoted by  $\Theta_{L^p(m)}(f),$  i.e.,

$$247 \quad \Theta_{L^p(m)}(f) = \text{sgn}(f)|f|^{p-1} \frac{d\langle m, x_f^* \rangle}{d\nu} = g_f J_m^*(x_f^*).$$

248 **Theorem 4** *Let  $m_1, m_2 : \Sigma \rightarrow X$  be positive vector measures and let  $T : L^p(m_1) \rightarrow$*   
249  *$L^q(m_2)$  be a linear and bounded operator with  $1 < p, q < \infty.$  Given  $f \in L^p(m_1),$*   
250 *if  $L^p(m_1)$  and  $L^q(m_2)$  are smooth then the following assertions are equivalent.*

- 251 (a)  $T$  attains its norm at  $f$ .  
 252 (b) There exists  $\alpha \in \mathbb{R}$  such that  $T^*(\Theta_{L^q(m_2)}(Tf)) = \alpha \Theta_{L^p(m_1)}(f)$ .  
 253 In this case,  $\alpha = \|T\|$ .  
 254 (c) There exists  $\alpha \in \mathbb{R}$  such that the positive scalar measures  $\nu_1 = \langle m, x_f^* \rangle$  and  
 255  $\nu_2 = \langle m, x_{T(f)}^* \rangle$  satisfy

$$256 \int_{\Omega_2} \frac{\operatorname{sgn}(T(f))|T(f)|^{q-1}}{\|T(f)\|^{q-1}} \cdot T(h) d\nu_2 = \alpha \int_{\Omega_1} \frac{\operatorname{sgn}(f)|f|^{p-1}}{\|f\|^{p-1}} \cdot h d\nu_1,$$

257 for all  $h \in L^p(m_1)$ . In this case,  $\alpha = \|T\|$ .

258 *Proof* The equivalence between (a) and (b) is just the result of Howard and Schep  
 259 taking  $X = L^p(m_1)$  and  $Y = L^q(m_2)$ . So let us show now the equivalence between  
 260 (b) and (c); in fact (c) is just a reformulation of (b). Consider the positive measures  $\nu_1$   
 261 and  $\nu_2$  by  $\nu_1 = \langle m_1, x_f^* \rangle$  and  $\nu_2 = \langle m_2, x_{T(f)}^* \rangle$ . So, given  $h \in L^p(m_1)$

$$\begin{aligned} 262 T^*(\Theta_{L^q(m_2)}(T(f)))(h) &= \langle T^*(\Theta_{L^q(m_2)}(T(f))), h \rangle \\ 263 &= \langle \Theta_{L^q(m_2)}(T(f)), T(h) \rangle \\ 264 &= \int_{\Omega_2} \frac{\operatorname{sgn}(T(f))|T(f)|^{q-1}}{\|T(f)\|^{q-1}} T(h) d\langle m_2, x_{T(f)}^* \rangle \\ 265 &= \int_{\Omega_2} \frac{\operatorname{sgn}(T(f))|T(f)|^{q-1}}{\|T(f)\|^{q-1}} T(h) d\nu_2. \end{aligned}$$

266 In a similar way we obtain

$$267 \langle \Theta_{L^p(m_1)}(f), h \rangle = \int_{\Omega_1} \frac{\operatorname{sgn}(f)|f|^{p-1}}{\|f\|^{p-1}} h d\langle m_1, x_f^* \rangle = \int_{\Omega_1} \frac{\operatorname{sgn}(f)|f|^{p-1}}{\|f\|^{p-1}} h d\nu_1,$$

268 and so the equality is proved and we finish the proof.  $\square$

## 269 4 Examples

270 We finish the paper with some relevant examples in which we can apply our results.  
 271 In particular, we show some cases in which the inclusion

$$272 B_{L^p(m)'} \subseteq B_{L^{p'}(m)} \cdot \mathbf{R}(m) \quad (14)$$

273 holds. We start with a well-known case which comes from a canonical construction.

274 *Example 5* Let  $(\Omega, \Sigma, \mu)$  be a positive finite measure space and  $1 < p < \infty$  and  
 275 consider the vector measure  $m_0 : \Sigma \rightarrow L^1(\mu)$  given by  $m_0(A) = \chi_A$  for each  $A \in \Sigma$ .  
 276 Then it is well-known that  $L^p(m_0)$  is isometrically isomorphic to  $L^p(\mu)$ . On the other

277 hand, take the Rybakov measure  $\nu$  associated to the function  $\chi_\Omega \in L^\infty(\mu)$ . Note that  
 278 in such case  $\nu = \langle m_0, \chi_\Omega \rangle = \mu$ , since

$$279 \quad \nu(A) = \langle m_0, \chi_\Omega \rangle(A) = \langle m_0(A), \chi_\Omega \rangle = \int_A \chi_\Omega d\mu = \mu(A), \quad A \in \Sigma. \quad (15)$$

280 For this vector measure, the relation that appears in formula (14) is  $B_{L^{p'}(\mu)} \subseteq B_{L^p(\mu)} \cdot$   
 281  $\mathbf{R}(m_0)$ . But this containment is trivially satisfied considering the decomposition  $g =$   
 282  $g\chi_\Omega$  for each  $g \in L^{p'}(\mu)$  just taking into account that (15) gives

$$283 \quad \frac{d\langle m_0, \chi_\Omega \rangle}{d\mu} = \chi_\Omega.$$

284 Note that in this example the space  $X = L^1(\mu)$  is not smooth. However, the cor-  
 285 responding space  $L^p(m_0)$  is a smooth space since it is isometrically isomorphic to  
 286  $L^p(\mu)$  for  $1 < p < \infty$ . This means that conditions (i) and (ii) in Theorem 2 do not  
 287 characterize the smoothness of the corresponding space  $L^p(m)$ .

288 We present now a generalization of Example 5. Note that its proof follows the lines  
 289 of the proof of Corollary 3.1.

290 *Example 6* Let us consider bounded linear map  $T : X(\mu) \rightarrow Y(\mu)$  where

- 291 (a)  $X(\mu)$  is an order continuous Banach function space having weak unit.
- 292 (b)  $Y(\mu)$  is an order continuous Banach function space having weak unit and satis-  
 293 fying the Fatou property.

294 Take now the (countable additive) vector measure  $m_T : \Sigma \rightarrow Y(\mu)$  given by  $m_T(A) =$   
 295  $T(\chi_A)$ ,  $A \in \Sigma$ , and suppose that the spaces  $X(\mu)$  and  $Y(\mu)$  are Banach function spaces  
 296 over a Rybakov measure for  $m_T$  (for instance, if  $\mu$  is a Rybakov measure for  $m_T$ ).  
 297 Assume finally that

- 298 (c) the integration operator associated to the vector measure  $m_T$ , that is, the operator  
 299  $I_{m_T} : L^1(m_T) \rightarrow Y(\mu)$  defined by  $I_{m_T}(f) = \int_\Omega f dm_T = T(f)$ ,  $f \in L^1(m_T)$   
 300 is an isometry.

301 With these assumptions we have:

- 302 •  $L^1(m_T) = Y(\mu)$  and then  $L^2(m_T) = Y(\mu)_{[\frac{1}{2}]}$ .
- 303 •  $\mathbf{R}(m_T) = B_{Y(\mu)'}$  isometrically. Indeed, since  $I_{m_T}$  is an isometry then  $I_{m_T}^*$

304 also is and then  $\mathbf{R}(m_T) = I_{m_T}^*(B_{Y(\mu)'}) = B_{Y(\mu)'}$ . Moreover if  $y'_0 \in Y(\mu)'$  then

$$305 \quad \|y'_0\|_{Y(\mu)'} = \|I_{m_T}^*(y'_0)\|_{L^1(m_T)'} = \left\| \frac{d\langle m_T, y'_0 \rangle}{d\mu} \right\|_{L^1(m_T)'},$$

306 so the equality is an isometry. Let us show that

$$307 \quad B_{L^2(m_T)'} \subseteq B_{L^2(m_T)} \cdot \mathbf{R}(m_T),$$

308 that is

$$309 \quad B_{L^2(m_T)'} \subseteq B_{L^2(m_T)} \cdot B_{L^1(m_T)'}$$

310 First note that since  $Y(\mu)$  has the Fatou property then  $L^2(m_T) = Y(\mu)_{[\frac{1}{2}]}$  also has.  
 311 This means that  $L^2(m_T) = L_w^2(m_T)$  and then using [9, Proposition 3.43] one has the  
 312 isometry

$$313 \quad L^2(m_T) \cdot L^2(m_T) = L^1(m_T).$$

314 On the other hand taking into account again that  $L^2(m_T)$  has the Fatou property, using  
 315 [10, Theorem 3.7] with  $E = L^2(m_T) = F$  and  $L^2(m_T) \cdot L^2(m_T) = L^1(m_T)$  we obtain

$$316 \quad L^2(m_T) \cdot L^1(m_T)' = L^2(m_T)',$$

317 and then

$$318 \quad B_{L^2(m_T)'} = B_{L^2(m_T)} \cdot B_{L^1(m_T)'}$$

319 It is easy to see that the previous example apply if, for instance, one considers as  
 320  $T$  the inclusion map between classical Lebesgue spaces as  $i : L^2[0, 1] \rightarrow L^1[0, 1]$ .

321 We finish this paper by giving an example where we use our results in order to  
 322 obtain the smoothness of the space

$$323 \quad X = \bigoplus_4 L^2(\mu|_{A_i}),$$

324 where  $\mu$  is the Lebesgue measure on  $[0, 1]$  and  $(A_i)_{i \geq 1}$  is a disjoint family of mea-  
 325 surable subsets of  $[0, 1]$ .

326 *Example 7* Let us consider  $\mu$  the Lebesgue measure on  $[0, 1]$  and take  $(A_i)_{i \geq 1}$  a  
 327 disjoint family of measurable subsets of  $[0, 1]$ . Define the positive  $\ell_2$ -valued vector  
 328 measure  $m : \Sigma \rightarrow \ell_2$  given by

$$329 \quad m(A) = \sum_{i \geq 1} \mu(A \cap A_i) e_i, \quad A \in \Sigma,$$

330 where  $(e_i)_{i \geq 1}$  is the usual canonical basis of  $\ell_2$ . Take now the Rybakov measure for  
 331  $m$  associated to  $x_0^* = (2^{-i/2})_{i \geq 1} \in \ell_2$ . It is easy to see that

$$332 \quad L^1(m) = \left\{ f \in L^0(\nu) : \sum_{i \geq 1} \left( \int_{A_i} |f| d\mu \right)^2 < \infty \right\} = \bigoplus_2 L^1(\mu|_{A_i}),$$

333 and, consequently

$$334 \quad L^2(m) = \left\{ f \in L^0(v) : \sum_{i \geq 1} \left( \int_{A_i} |f|^2 d\mu \right)^2 < \infty \right\} = \bigoplus_4 L^2(\mu|_{A_i}).$$

335 Therefore

$$336 \quad L^2(m)' = \left( \bigoplus_4 L^2(\mu|_{A_i}) \right)' = \bigoplus_{4/3} L^2(\mu|_{A_i}).$$

337 In order to have (14) we have to show that  $B_{\bigoplus_{4/3} L^2(\mu|_{A_i})} \subseteq B_{L^2(m)} \cdot \mathbf{R}(m)$  so take

338  $\sum_{i \geq 1} g \chi_{A_i} \in \bigoplus_{4/3} L^2(\mu|_{A_i})$  such that  $\sum_{i \geq 1} \left( \int_{A_i} |g|^2 d\mu \right)^{2/3} \leq 1$ . Let us take the  
339 sequence  $(\alpha_i)_{i \geq 1}$  defined by

$$340 \quad \alpha_i = \frac{1}{2^{i/2}} \left( \int_{A_i} |g|^2 d\mu \right)^{\frac{1}{3}},$$

341 and consider the decomposition

$$342 \quad \sum_{i \geq 1} g \chi_{A_i} = \left( \sum_{i \geq 1} \frac{g}{2^{i/2} \alpha_i} \chi_{A_i} \right) \cdot \left( \sum_{i \geq 1} 2^{i/2} \alpha_i \chi_{A_i} \right).$$

343 • First of all note that  $(\alpha_i)_{i \geq 1} \in B_{\ell_2}$  since

$$344 \quad \sum_{i \geq 1} |\alpha_i|^2 = \sum_{i \geq 1} \frac{1}{2^i} \left( \int_{A_i} |g|^2 d\mu \right)^{\frac{2}{3}} \leq \sum_{i \geq 1} \left( \int_{A_i} |g|^2 d\mu \right)^{\frac{2}{3}} \leq 1.$$

345 • On the other hand  $(\sum_{i \geq 1} g / (2^{i/2} \alpha_i) \chi_{A_i}) \in B_{L^2(m)}$  since

$$346 \quad \sum_{i \geq 1} \left( \int_{A_i} \left| \frac{g}{2^{i/2} \alpha_i} \right|^2 d\mu \right)^2 = \sum_{i \geq 1} \frac{1}{(2^{i/2} \alpha_i)^4} \left( \int_{A_i} |g|^2 d\mu \right)^2$$

$$347 \quad = \sum_{i \geq 1} \left( \int_{A_i} |g|^2 d\mu \right)^{\frac{2}{3}} \leq 1.$$

348 • Finally  $\sum_{i \geq 1} 2^{i/2} \alpha_i \chi_{A_i} \in \mathbf{R}(m)$ . Indeed, if we write  $x^* = (\alpha_i)_{i \geq 1} \in B_{\ell_2}$ , then

$$\begin{aligned}
 349 \quad I_m^*(x^*) &= \frac{d\langle m, x^* \rangle}{dv} = \frac{d\langle m, x^* \rangle}{d\mu} \frac{d\mu}{dv} = \left( \sum_{i \geq 1} \alpha_i \chi_{A_i} \right) \left( \sum_{i \geq 1} 2^{i/2} \chi_{A_i} \right) \\
 350 \quad &= \sum_{i \geq 1} 2^{i/2} \alpha_i \chi_{A_i}.
 \end{aligned}$$

351 Since the space  $X = \ell_2$  is smooth then as a direct consequence of our results one  
 352 obtains the following

353 **Corollary 8** *Let  $\mu$  be the Lebesgue measure on  $[0, 1]$  and take  $(A_i)_{i \geq 1}$  a disjoint*  
 354 *family of measurable sets of  $[0, 1]$ . Then the space*

$$355 \quad X = \bigoplus_4 L^2(\mu|_{A_i}),$$

356 *is smooth.*

357 Clearly with the corresponding easy modifications one can get that if  $\mu$  is the  
 358 Lebesgue measure on  $[0, 1]$  and  $(A_i)_{i \geq 1}$  is a disjoint family of measurable subsets of  
 359  $[0, 1]$  then the space

$$360 \quad X = \bigoplus_p L^q(\mu|_{A_i}),$$

361 is smooth for adequate  $1 < p, q < \infty$ .

362 **Open problems.** All the proofs of the results in this paper depend strongly of the  
 363 condition given by the equation

$$364 \quad B_{L^p(m)'} \subseteq B_{L_w^p(m)} \cdot \mathbf{R}(m).$$

365 However we do not know the answers to the following general questions without this  
 366 requirement. Let  $1 < p < \infty$ .

367 **(Q1)** *If  $X$  is smooth, is  $L^p(m)$  also a smooth space?*

368 **(Q2)** *If  $L^1(m)$  is smooth, is  $L^p(m)$  also a smooth space?*

369 If the Fatou property is required for  $L^1(m)$ —equivalently, if  $L^1(m) = L_w^1(m)$ —,  
 370 Corollary 3.1 gives the answer. This happens for example if  $X$  is reflexive. But the  
 371 general result is unknown.

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