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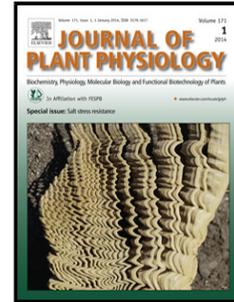
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Additional Information

Accepted Manuscript

Title: Rootstock alleviates PEG-induced water stress in grafted pepper seedlings: physiological responses

Author: Consuelo Penella Sergio G. Nebauer Alberto San Bautista Salvador López-Galarza Angeles Calatayud



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Table 1

Osmotic adjustment (MPa) in the grafted pepper plants (cultivar 'Verset') onto the pepper accessions 5, 8, 12 and 14. Ungrafted 'Verset' plants were used as controls. Determinations were performed after 7 (T1) and 14 (T2) days under water stress conditions by PEG addition (3.5% and 7%). Each value is the mean of six independent determinations.

| | | Cultivar | 5 | 8 | 12 | 14 |
|----|----------|----------|-------|-------|-------|-------|
| T1 | 3.5% PEG | 0.81* | 0.12 | 0.25 | 0.27 | 1.17* |
| | 7% PEG | 0.07 | -0.30 | -0.41 | 2.12* | 1.38* |
| T2 | 3.5% PEG | 0.23 | 0.04 | -0.09 | 0.61* | 1.25* |
| | 7% PEG | 0.06 | -0.27 | -0.41 | 0.98* | 1.71* |

Significant differences in relation to controls (0% PEG and full turgor) ($P < 0.05$) are indicated by asterisks

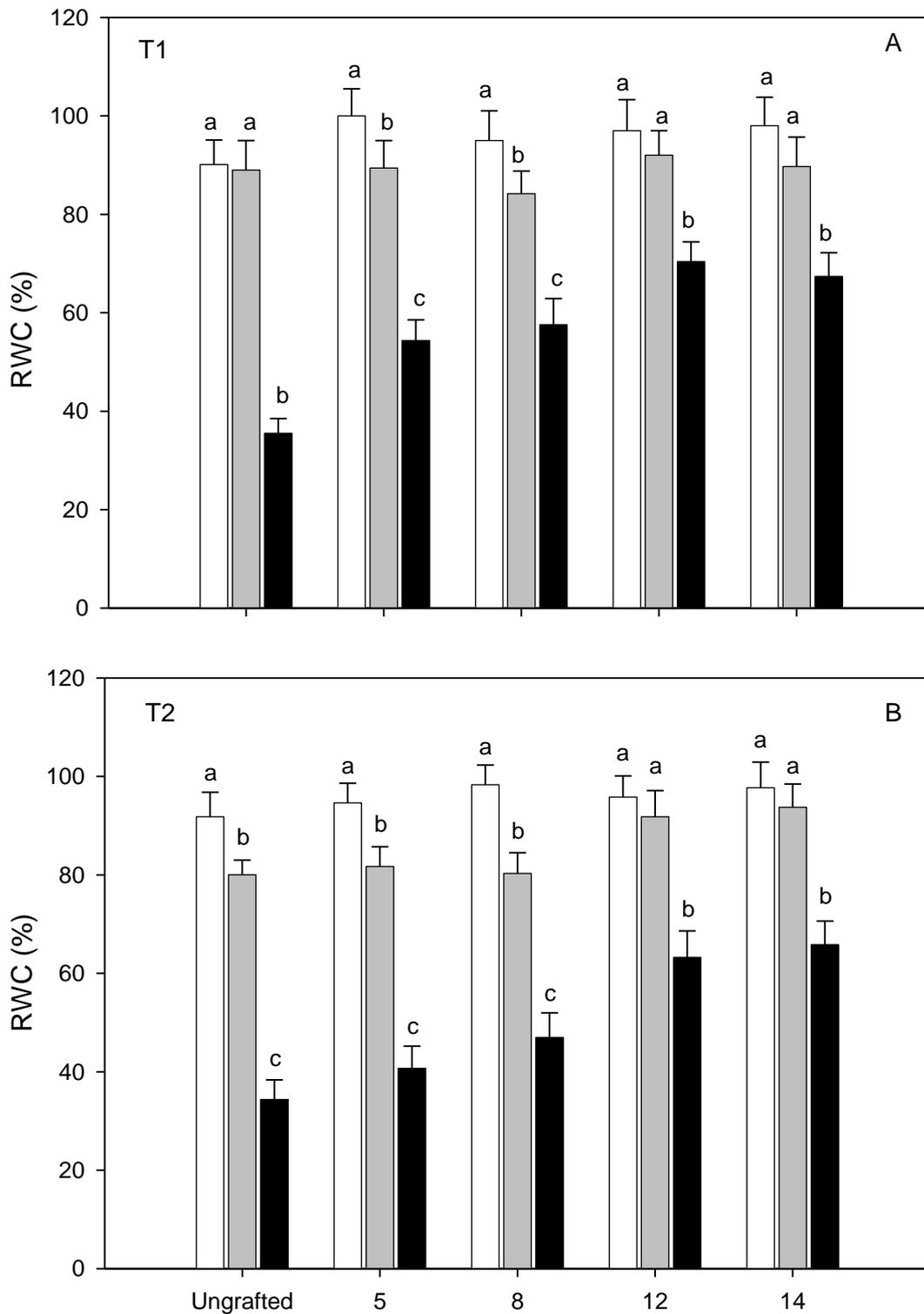


Fig. 1. Effect of PEG addition at 0% (□), 3.5% (▒) and 7% (■) on relative leaf water content (RWC %) during 7 day (A) and 14 day exposure (B) in ungrafted pepper plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12 and 14. Dates are mean values \pm SE for $n=6$. Within each plant combination different letters indicate significant differences at $P<0.05$ (LSD test).

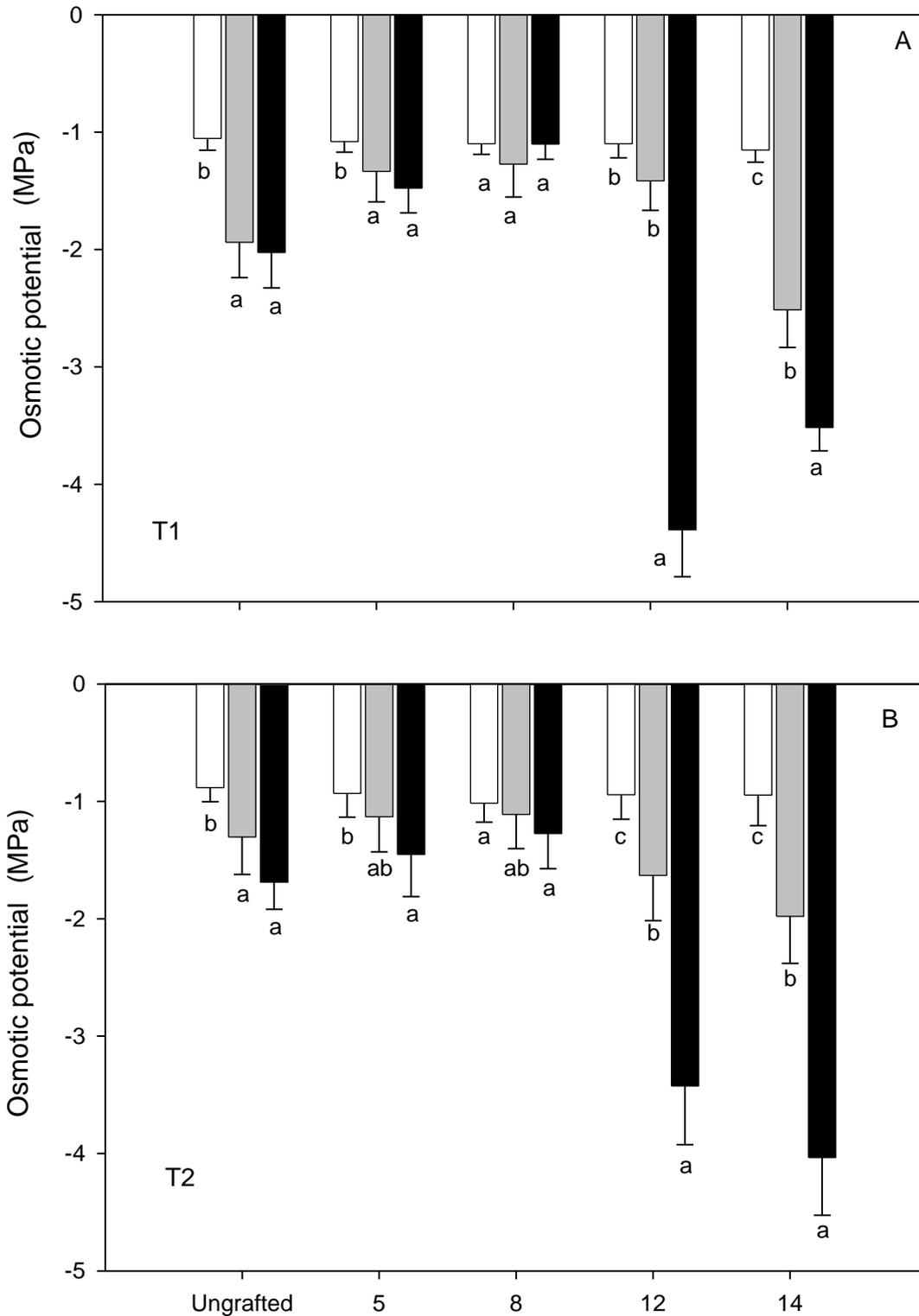


Fig. 2. Leaf osmotic potential (MPa) in ungrafted pepper plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12 and 14 after PEG addition at 0% (□), 3.5% (▒) and 7% (■) during 7 day (A) and 14 day exposure (B). Dates are mean values \pm SE for $n=6$. Within each plant combination different letters indicate significant differences at $P<0.05$ (LSD test).

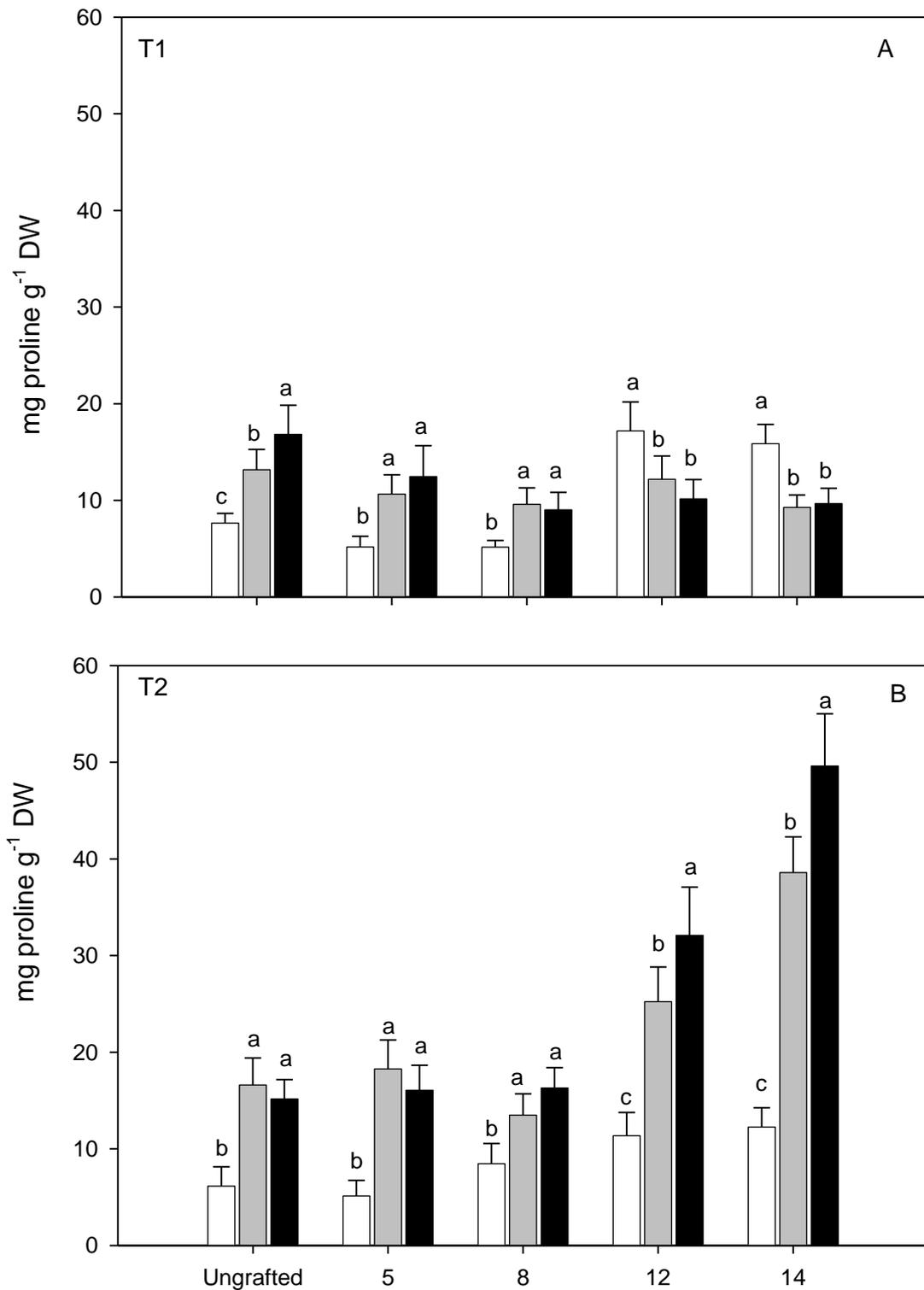


Fig. 3. Changes in proline concentration (mg proline /g DW) from ungrafted pepper plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12 and 14 after PEG addition at 0% (□), 3.5% (▒) and 7% (■) during 7 day (A) and 14 day exposure (B). Dates are mean values \pm SE for $n=6$. Within each plant combination different letters indicate significant differences at $P<0.05$ (LSD test).

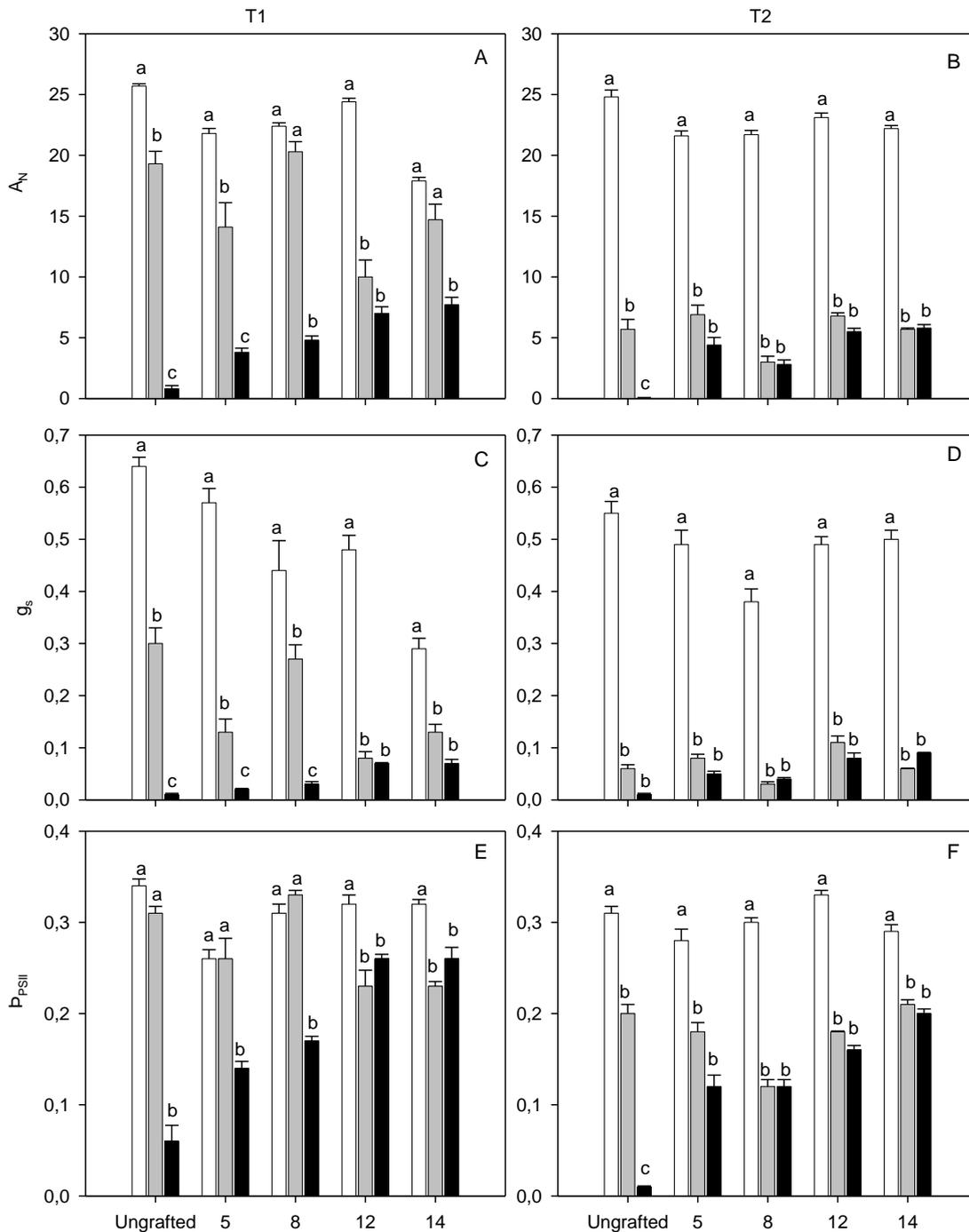


Fig. 4. Net CO₂ assimilation rate (A_N ; $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) (A, B); leaf stomatal conductance (g_s ; $\text{mol H}_2\text{O m}^{-2} \text{ s}^{-1}$) (C, D) and actual quantum efficiency of PSII (ϕ_{PSII}) (E, F) in ungrafted pepper plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12 and 14 after PEG addition at 0% (□), 3.5% (▒) and 7% (■) during 7 day (A, C, D) and 14 day exposure (B, D, F). Dates are mean values \pm SE for $n=10$. Within each plant combination different letters indicate significant differences at $P < 0.05$ (LSD test).

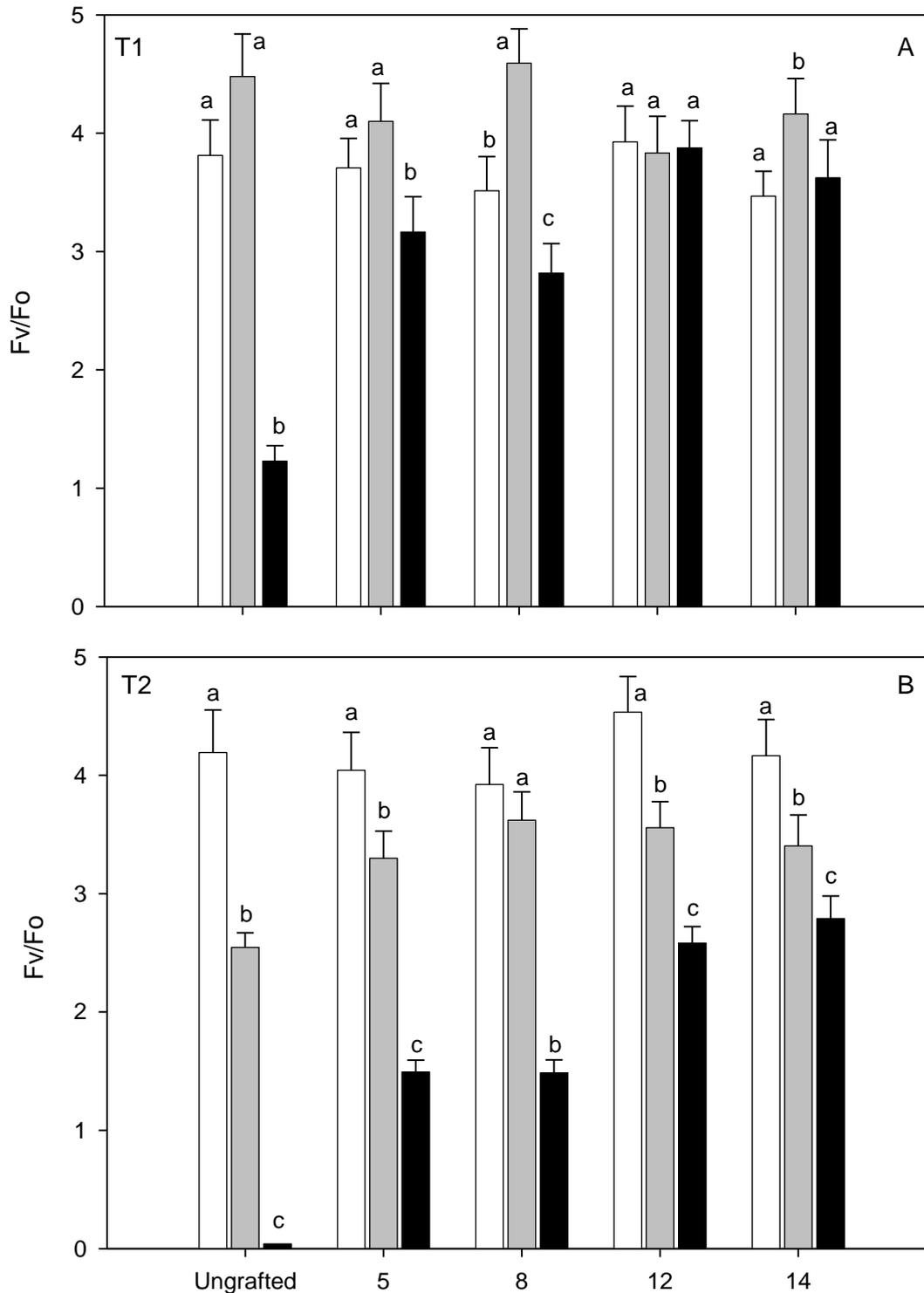


Fig. 5. Variations in dark-adapted Fv/Fo ratio in leaves of ungrafted pepper plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12 and 14 after PEG addition at 0% (□), 3.5% (▒) and 7% (■) during 7 day (A) and 14 day exposure (B). Dates are mean values \pm SE for n= 10. Within each plant combination different letters indicate significant differences at $P < 0.05$ (LSD test).

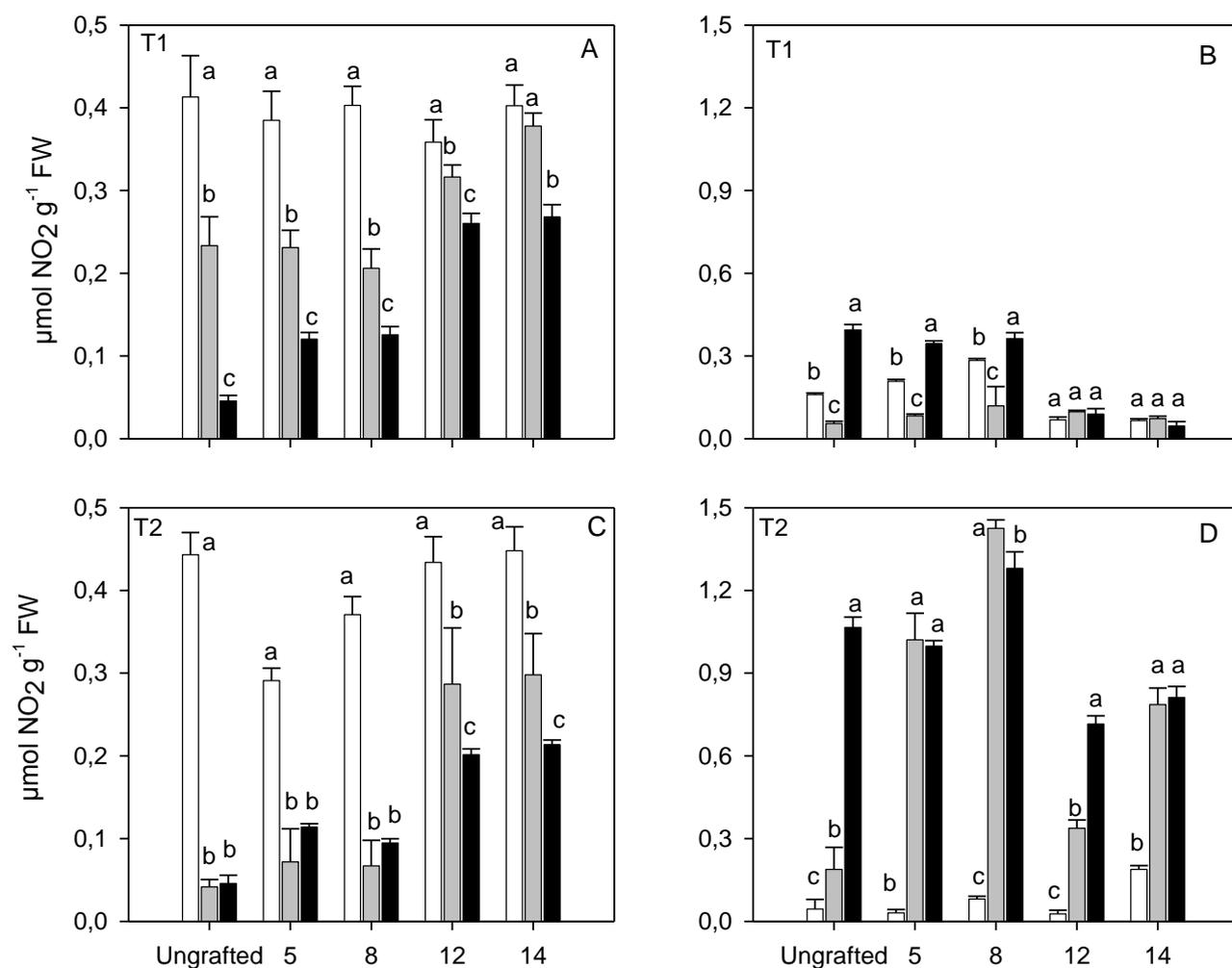


Fig. 6. Nitrate reductase activity ($\mu\text{mol NO}_2 \text{ g}^{-1} \text{ FW}$) in leaf (A, C) and roots (B, D) of ungrafted pepper plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12 and 14 after PEG addition at 0% (\square), 3.5% (\blacksquare) and 7% (\blacksquare) during 7 day (A, B) and 14 day exposure (C, D). Dates are mean values \pm SE for n= 6. Within each plant combination different letters indicate significant differences at $P < 0.05$ (LSD test).

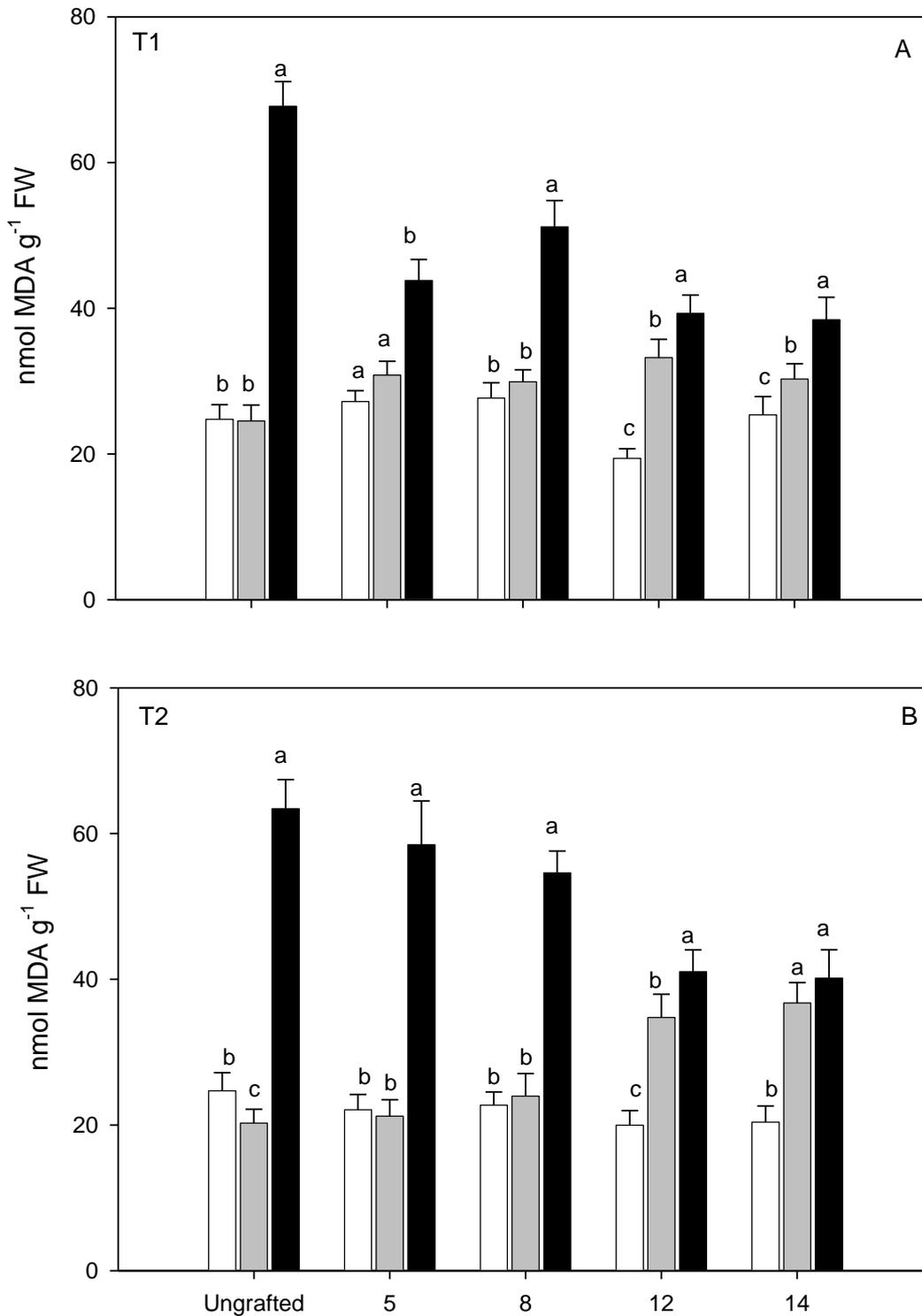


Fig. 7. Leaf malondialdehyde content (nmol MDA g⁻¹ FW) in leaves of ungrafted pepper plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12 and 14 after PEG addition at 0% (□), 3.5% (▒) and 7% (■) during 7 day (A) and 14 day exposure (C). Dates are mean values ± SE for n= 6. Within each plant combination different letters indicate significant differences at $P < 0.05$ (LSD test).

Corresponding author:

Dr. Angeles Calatayud

Instituto Valenciano de Investigaciones Agrarias (IVIA). Departamento de
Horticultural. Ctra. Moncada-Naquera km. 4.5. 46113-Moncada, Valencia,
Spain.

Phone: +34 963424039

Fax. +34 963424000

e-mail: calatayud_ang@gva.es

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Rootstock alleviates PEG-induced water stress in grafted pepper seedlings: physiological responses

Consuelo Penella,^a Sergio G. Nebauer,^b Alberto San Bautista,^b Salvador López-Galarza,^b Angeles Calatayud,^{a*}

^aInstituto Valenciano de Investigaciones Agrarias (IVIA). Departamento de Horticultural. Ctra. Moncada-Naquera km. 4.5. 46113 - Moncada, Valencia, Spain.

^bUniversitat Politècnica de València. Departamento de Producción Vegetal. Camino de Vera 14, 46020 Valencia, Spain.

*Corresponding author: Angeles Calatayud.^a Instituto Valenciano de Investigaciones Agrarias (IVIA). Departamento de Horticultural. Ctra. Moncada-Naquera km. 4.5. 46113-Moncada, Valencia, Spain. e-mail: calatayud_ang@gva.es

1 **ABSTRACT**

2 Recent studies have shown that tolerance to abiotic stress, including water
3 stress, is improved by grafting. In a previous work, we took advantage of the
4 natural variability of *Capsicum* spp and selected accessions tolerant and
5 sensitive to water stress as rootstocks. The behavior of commercial cultivar
6 'Verset' seedlings grafted onto the selected rootstocks at two levels of water
7 stress provoked by adding 3.5 and 7% PEG (polyethylene glycol) was
8 examined over 14 days. The objective was to identify the physiological traits
9 responsible for the tolerance provided by the rootstock in order to determine if
10 the tolerance is based on the maintenance of the water relations under water
11 stress or through the activation of protective mechanisms. To achieve this goal,
12 various physiological parameters were measured, including: water relations;
13 proline accumulation; gas exchange; chlorophyll fluorescence; nitrate reductase
14 activity; and antioxidant capacity. Our results indicate that the effect of water
15 stress on the measured parameters depends on the duration and intensity of
16 the stress level, as well as the rootstock used. Under control conditions (0%
17 PEG) all plant combinations showed similar values for all measured
18 parameters. In general terms, PEG provoked a strong decrease in the gas
19 exchange parameters in the cultivar grafted onto the sensitive accessions, as
20 also observed in the ungrafted plants. This effect was related to lower relative
21 water content in the plants, provoked by an inefficient osmotic adjustment that
22 was dependent on reduced proline accumulation. At the end of the experiment,
23 chronic photoinhibition was observed in these plants. However, the plants
24 grafted onto the tolerant rootstocks, despite the reduction in photosynthetic rate,
25 maintained the protective capacity of the photosynthetic machinery mediated by

26 osmotic adjustment (based on higher proline content). In addition, water stress
27 limited uptake and further NO_3^- transfer to the leaves. Increased nitrate
28 reductase activity in the roots was observed, mainly in plants grafted onto the
29 sensitive rootstocks, as well as the ungrafted plants, and this was associated
30 with the lessened flux to the leaves. This study suggests that PEG-induced
31 water stress can be partially alleviated by using tolerant accessions as
32 rootstocks.

33

34 *Key words:* graft; osmotic potential; pepper; photosynthesis; water stress

35

36 **Introduction**

37 Pepper is one of the most important cultivated crops in the
38 Mediterranean climate, where water shortage is a major problem limiting
39 productivity. An improvement of plant yield under drought is one of the main
40 scientific and economic challenges in these areas. Plants exposed to water
41 stress may have different types of response: susceptibility, resistance mediated
42 by avoidance, or tolerance. Water stress plant tolerance involves biochemical,
43 physiological, and morphological mechanisms that enable plants to function
44 during periods with decreased water availability (Nio et al., 2011) and prevent or
45 alleviate damage. One of the important pathways to enhance water stress
46 tolerance is through osmotic adjustment (OA), which maintains the leaf turgor
47 necessary for stomatal opening and thus sustains photosynthesis and growth
48 (Huang et al., 2010; Nio et al., 2011). Various types of compatible solutes
49 accumulate: such as sugars, proline, glycinebetaine, or potassium (Munns et al.,
50 1979; Morgan, 1992; Nio et al., 2011). These compounds can be added to a list

51 of non-enzymatic antioxidants that plants need to counteract the inhibitory
52 metabolic effects of reactive oxygen species (ROS) provoked by stress (Gill and
53 Tuteja, 2010). They also play a role in the stabilization of enzymes and proteins,
54 as well as in the protection of membrane integrity (Patade et al., 2012).

55 Photosynthesis is extremely sensitive to water stress. The effects of
56 water stress can be direct: such as decreased CO₂ availability caused by
57 diffusion limitations through the stomata and/or the mesophyll (Flexas et al.,
58 2007); or by alteration in CO₂ fixation reactions (Lawlor and Cornic, 2002).
59 Photosynthetic responses to water stress are complex since they involve the
60 interplay of limitations taking place at different parts of the plant (Chaves et al.,
61 2009). Alterations in the photosynthetic process can provoke alteration in the
62 uptake and translocation of mineral nutrients (Calatayud et al., 2008). Nitrate
63 reductase (NR) is a key enzyme responsible for nitrogen (N) assimilation and is
64 connected with carbon metabolism (Masclaux-Daubresse et al., 2010): N
65 assimilation requires NADH to drive NR, as well as carbon skeletons derived
66 from photosynthesis for synthesis of amino acids (Yousfi et al., 2012). A large
67 fraction of leaf N is allocated to the photosynthesis apparatus. NR activity has
68 been reported to decrease under water stress (Foyer et al., 1998), but the effect
69 on grafted pepper has not been previously studied.

70 Mechanisms for plant adaptation to and survival of water stress have
71 been favored by natural selection. Taking advantage of drought-resistant
72 accessions is an important gateway for obtaining tolerant crops (although in
73 pepper these accessions have a poor commercial value). A new perspective to
74 improve resistance to water stress is the use of these tolerant accessions as
75 rootstocks for a desirable commercial cultivar. Grafting has become a valid

76 strategy to increase tolerance in plants under several abiotic stresses (Huang et
77 al., 2010; Martínez-Ballesta et al., 2010; Colla et al., 2010). The interactions
78 between graft, vegetable plants, and water stress have been mostly studied in
79 tomato (Sánchez-Rodríguez et al., 2013) and melon (Rouphael et al., 2008);
80 and there are no reports on physiological alterations of pepper after grafting and
81 exposure to water stress. Water scarcity is a major problem in arid and semi-
82 arid regions and limited information exists regarding water stress tolerance in
83 pepper grafted plants using accessions as rootstock. Our study offers promising
84 results that could improve the understanding of several physiological
85 mechanisms involved in scion and pepper rootstock interaction under water
86 stress conditions.

87 In previous experiments we selected four accessions: two that were
88 resistant and two that were sensitive to water stress (Calatayud et al., 2011).
89 The aim of the present work is to study the responses to water stress of a
90 commercial pepper cultivar grafted onto these rootstocks in order to identify the
91 physiological traits responsible for the tolerance to this stress. Furthermore, we
92 want to assess if this tolerance is based on the ability to maintain the water
93 relations under low water availability little water is available; or through the
94 activation of protective mechanisms in the scion – and if these effects depend
95 on intensity of the water stress. For this purpose, several physiological
96 parameters were determined, including: photosynthesis; chlorophyll (Chl)
97 fluorescence; lipid peroxidation levels; relative water content (RWC); proline
98 concentration; osmotic potential; and NR activity. We present evidence that
99 grafting plants onto appropriate (tolerant) rootstocks is a good tool against water
100 stress mediated by an efficient osmotic adjustment. Furthermore, these

101 physiological parameters could be useful for screening processes when
102 selecting tolerant plants.

103

104 **Materials and methods**

105 *Plant material and greenhouse conditions*

106 Based on previous studies (Calatayud et al., 2011), the drought tolerant
107 accessions 'ECU-973' of *Capsicum chinense* Jacq. (code 12) and 'BOL-58' of
108 *Capsicum baccatum* L. var. *pendulum* (code 14), and the water stress
109 susceptible accessions 'Piquillo de Lodosa' (code 8) and 'Serrano' of *Capsicum*
110 *annuum* L. (code 5) were chosen as rootstocks in this study. The pepper
111 cultivar 'Verset' (California type; Rijk Zwaan) was grafted onto these four pepper
112 accessions. The pepper seeds were sown on 1 December 2011 in 100-cell
113 polystyrene trays filled with peat-based substrate and kept under a Venlo-type
114 glasshouse. The plants were transplanted to 54-cell trays. The graft was
115 performed on 12 February using the tube grafting method (cutting the growing
116 tip of the rootstock at a 45° angle below the cotyledons, attaching the scion,
117 previously cut at a 45° angle above the cotyledons, and fixing the rootstock and
118 scion with a clip). Ungrafted 'Verset' plants were used as controls.

119 One month after grafting, the plants were placed in 5 L polyethylene pots
120 covered with aluminum sheets (the root system having been previously washed
121 clean of substrate). Pots were filled with a nutrient solution containing (in mmol
122 L⁻¹): 12.3 NO₃⁻; 1.02 H₂PO₄⁻; 2.45 SO₄²⁻; 3.24 Cl⁻; 5.05 K⁺; 4.23 Ca²⁺, 2.55 Mg²⁺
123 and micronutrients (15.8 μM Fe²⁺, 10.3 μM Mn²⁺, 4.2 μM Zn²⁺, 43.5 μM B⁵⁺, 1.4
124 μM Cu²⁺) that had been artificially aerated. The electrical conductivity and pH of
125 this nutrient solution was 2.1 dS m⁻¹ and 6.5, respectively. Nutrient solution was

126 added daily to compensate for absorption. After 7 days of seedling acclimation
127 to the pots, PEG 8000 (Sigma Co) was dissolved in a nutrient solution for
128 inducing osmotic stress at 3.5% and 7% PEG. The osmotic potential of the
129 solutions, measured with a vapor osmometer (Digital osmometer, Wescor,
130 Logan, USA), were -0.35 and -0.77 MPa respectively. Nutrient solution (0%
131 PEG) was approximately -0.05 MPa due to the presence of the nutrient salt.

132 The treatments were defined by three PEG levels (0%, 3.5%, and 7%) and
133 four plant combinations (the cultivar 'Verset' grafted onto rootstock accessions
134 5, 8, 12 and 14). The grafted combinations (rootstock/cultivar) were labeled as:
135 5/cultivar, 8/cultivar, 12/cultivar and 14/cultivar. The ungrafted cultivar was
136 used as control. The layout was completely randomized with three replications
137 for each combination and six plants per replication.

138 All physiological measurements were performed at 7 (T1) and 14 (T2) days
139 after PEG addition on a fully expanded mature leaf (third or fourth leaf from the
140 shoot apex).

141 During the culture, plants were grown in a Venlo-type greenhouse under
142 natural light conditions ($610\text{-}870\ \mu\text{mol m}^{-2}\ \text{s}^{-1}$) and temperature ranges were 21-
143 24 °C; and relative humidity was 52-72%.

144

145 *Water relations*

146 The osmotic potential of leaf sap (Ψ_s in MPa) was measured using an
147 osmometer (Digital osmometer, Wescor, Logan, USA). Two independent
148 determinations were performed on each replicate and plant combination,
149 obtained from 6 plants per treatment and combination.

150 The leaves were tightly wrapped in aluminum foil, frozen at -70 °C, and
151 stored in liquid nitrogen. After thawing, sap was collected from syringes at 25 °C
152 and placed in the osmometer (Rodríguez-Gamir et al., 2010). Osmolyte content
153 (mmol kg^{-1}) was converted to MPa using the Van't Hoff equation. The osmotic
154 adjustment (OA) was determined as the difference between the osmotic
155 potential of the leaves at full turgor for control plants and the stressed plants
156 (Garcia-Sanchez et al., 2007). Full turgor was achieved by rehydrating the
157 leaves with distilled water in darkness for 24 h.

158 Six other similar leaves from two independent plants of each plant
159 combination, PEG treatment, and replicate were collected to determine the
160 (RWC) as $(FW-DW)/(TW-DW) \times 100$ where FW is fresh weight, DW is dry
161 weight, and TW is turgid weight.

162

163 *Proline determination*

164 Proline content was determined as described by Bates et al. (1973). Leaf
165 pepper tissue (0.05 g) was ground in 3% sulfosalicylic acid, the homogenate
166 was filtered, and 0.75 mL glacial acetic acid, and 0.75 mL ninhydrin reagent
167 (1.25 g ninhydrin in 30 mL glacial acetic acid and 20 mL 6N phosphoric acid)
168 were added to an aliquot of the filtrate. The reaction mixture was boiled for 1
169 hour, and readings were taken at a wavelength of 520 nm in a
170 spectrophotometer. Three independent determinations were performed in three
171 different extracts, obtained from 18 plants per treatment and combination (one
172 leaf per plant or 500 mg (FW) of roots, and six plants per extract).

173

174 *Photosynthetic activity and chlorophyll fluorescence*

175 CO_2 fixation rate (A_N , $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), stomatal conductance to water vapor
176 (g_s , $\text{mol H}_2\text{O m}^{-2} \text{ s}^{-1}$), transpiration rate (E , $\text{mmol H}_2\text{O m}^{-2} \text{ s}^{-1}$), and substomatal
177 CO_2 concentration (C_i , $\mu\text{mol CO}_2 \text{ mol}^{-1}$ air) were measured at steady-state while
178 maintaining the plants at $1000 \mu\text{mol m}^{-2} \text{ s}^{-1}$ during 10-15 min and 400 ppm CO_2
179 with a LI-6400 (LI-COR, Nebraska, USA). Light curves were previously
180 performed (data not shown) and A_N was saturated at $900 \mu\text{mol m}^{-2} \text{ s}^{-1}$. Current
181 fluorescence yield (F_s) and the maximum light adapted fluorescence (F_m') were
182 determined with the LI-6400 in the presence of an actinic illumination of 1000
183 $\mu\text{mol photons m}^{-2} \text{ s}^{-1}$, and photochemical PSII efficiency (ϕ_{PSII}) was computed
184 as the quotient $(F_m' - F_s)/F_m'$ (Genty et al., 1989).

185 To evaluate the presence of chronic photoinhibitory processes, the
186 variable fluorescence ratio $F_v/F_o = F_m - F_o / F_o$ (Babani and Lichtenthaler, 1996)
187 was measured on leaves after 15 minutes in darkness using a portable pulse
188 amplitude modulation fluorometer (PAM-2100, Walz, Effeltrich, Germany). The
189 background fluorescence signal for dark adapted leaves (F_o) was determined
190 with a $0.5 \mu\text{mol photon m}^{-2} \text{ s}^{-1}$ measuring light at a frequency of 600 Hz. The
191 application of a saturating flash of $10000 \mu\text{mol photon m}^{-2} \text{ s}^{-1}$ enabled
192 estimations of the maximum fluorescence (F_m).

193 Gas exchange and fluorescence determinations were performed from
194 9:00 am to 11:00 am (GMT). One measurement per plant was performed, and
195 ten different plants were used ($n=10$) for each PEG treatment and plant
196 combination.

197

198 *Nitrate reductase activity*

199 Nitrate reductase activity (EC 1.6.6.1) was determined *in vivo* following
200 the methods described by Hageman and Hucklesby (1971) and Jaworki (1971).
201 Discs of 1 cm diameter in mature fresh leaves, or pieces of 1 cm in roots, were
202 punched out. Samples (200 mg) were suspended in a glass vial containing 10
203 mL of 100 mM potassium phosphate buffer (pH 7.5), 1% (v/v) *n*-propanol and
204 100 mM KNO₃. The glass vial was subjected to vacuum infiltration three times
205 in order to induce anaerobic conditions in the incubation medium. Plant samples
206 were incubated in a water bath at 30 °C for 60 min in the dark and placed in a
207 boiling water bath for 5 min to stop enzymatic reaction. Nitrite released from
208 plant material was determined colorimetrically at 540 nm (spectrophotometer
209 PerkinElmer, Lambda 25) by adding 0.02% (w/v) N-Naphthylethylenediamine
210 and 1% sulphanilamide. A standard curve with KNO₂ was prepared to calculate
211 the amount of NO₂ contained in the samples (Calatayud et al., 2008). Sampling
212 and replicates were used as described for proline determination.

213

214 *Lipid peroxidation*

215 Lipid peroxidation was estimated through malondialdehyde (MDA)
216 determinations using thiobarbituric acid reaction, according to the protocol
217 reported by Heath and Parker (1968), and modified in Dhindsa et al. (1981).
218 The non-specific background absorbance reading at 600 nm was subtracted
219 from specific absorbance reading at 532 nm. Sampling and replicates used as
220 described for proline determination.

221

222 *Statistical analyses*

223 The results were subjected to multifactor variance analysis (Statgraphics
224 Centurion for Windows, Statistical Graphics Corp.). The effect of the genotype
225 and stress level was estimated and significant interactions (genotype x stress
226 level) were observed for all the analyzed parameters. The mean comparisons
227 were performed using Fisher's least significance difference (LSD) test at $P <$
228 0.05.

229

230 **Results**

231 *Plant water status*

232 Seedling under control conditions maintained RWC leaf values above
233 90% during the experiment (Fig. 1). The presence of PEG in the nutrient
234 solution reduced the RWC of the leaves (Fig. 1). At T1 this effect was more
235 dramatically observed at 7% PEG, and the ungrafted cultivar was the most
236 sensitive (37%; Fig. 1A). The 12/cultivar and 14/cultivar plants were less
237 affected (70% and 68%, respectively; $P < 0.05$). After 14 days (T2) RWC fell,
238 even at 3.5% PEG (Fig. 1B). The ungrafted plants, as well as the 5/cultivar and
239 8/cultivar plants had lower RWC values at 80% ($P < 0.05$). These genotypes
240 showed the lowest reductions at 7% PEG (Fig. 1B), and the ungrafted plants
241 had the lowest RWC values (35%), followed by the 5/cultivar and 8/cultivar
242 plants ($P < 0.05$). The 12/cultivar and 14/cultivar plants maintained RWC values
243 near 90% under 3.5% PEG without significant differences with respect to their
244 controls and between 63%-65% at 7% PEG, respectively ($P < 0.05$).

245

246 *Leaf osmotic potential*

247 Leaf osmotic potential values at T1 and T2 are shown in Fig. 2. The Ψ_s
248 remained unchanged in control conditions during the experimental period, with
249 values near -1 MPa. The osmotic potential decreased in relation to time
250 exposure and PEG concentration. At 3.5% PEG, the 14/cultivar plants showed
251 the largest decreases ($P < 0.05$) in Ψ_s at T1 and T2 (Fig. 2A,B). This effect was
252 also observed at T1 in the ungrafted plants and in the 12/cultivar plants at T2.
253 At higher PEG concentrations, the 12/cultivar and 14/cultivar plants showed the
254 lowest Ψ_s values during the experiment ($P < 0.05$). Furthermore, the 5/cultivar
255 and 8/cultivar as well as the ungrafted plants showed significant but less intense
256 decreases (Fig. 2).

257 Osmotic adjustment was observed at T1 in ungrafted plants and in
258 14/cultivar plants at 3.5% PEG, and in 12/cultivar and 14/cultivar plants at 7%
259 PEG (Table 1). After 14 days, the highest OA was induced in the 12/cultivar and
260 14/cultivar plants at both PEG concentrations (Table 1).

261

262 *Accumulation of proline*

263 Proline accumulation was induced in pepper seedlings by drought and
264 PEG exposure (Fig. 3). No effect of stress level was observed in the
265 accumulation of proline. At T1 (Fig. 3A) a slight increase ($P < 0.05$) was
266 observed in all genotypes irrespective of the PEG concentration in the culture
267 medium, except for 12/cultivar and 14/cultivar plants where the proline
268 concentration decreased with respect to the controls. Proline levels increased
269 after 14 days (T2) (Fig. 3B) of water stress treatment. Two to three-fold
270 increases were observed in the cultivar and 5/cultivar and 8/cultivar plants. The

271 maximum increase was found for 12/cultivar and 14/cultivar plants ($P < 0.05$),
272 with increases from 12 mg/ g DW at 0% PEG to 32 and 49 mg/ g DW under 7%
273 PEG conditions, respectively.

274

275 *Photosynthetic parameters*

276 PEG provoked a significant reduction in the photosynthetic rate (Fig. 4A,
277 B), stomatal conductance (Fig. 4C,D), and photochemical PSII efficiency (Fig.
278 4E,F) in the studied pepper genotypes.

279 At T1 the A_N progressively diminished with the drought stress level in the
280 ungrafted plants and 5/cultivar plants (Fig. 4A). In the 8/cultivar and 14/cultivar
281 plants no significant effect of 3.5% PEG was observed; and in the 12/cultivar
282 plant, the photosynthetic rate fell at 3.5% PEG; but did not fall further at 7%
283 PEG. In the ungrafted plants, the photosynthetic rate reached null values at T2
284 in the 7% PEG media (Fig. 4B). At this concentration, the 12/cultivar and
285 14/cultivar plants showed smaller reductions ($P < 0.05$) in the photosynthetic
286 rate. No effect for PEG concentration was observed in the grafted plants at T2
287 (Fig. 4B).

288 Differences in the stomatal conductance to drought were observed
289 among genotypes (Fig. 4C,D). At T1, the ungrafted plants, 5/cultivar, and
290 8/cultivar plants maintained higher stomatal openings at 3.5% PEG when
291 compared to 12/cultivar and 14/cultivar plants ($P < 0.05$). In addition, g_s fell to
292 values near zero at 7% PEG in these genotypes. By contrast, stomata closed to
293 values near $0.1 \text{ mol m}^{-2} \text{ s}^{-1}$ in 12/cultivar and 14/cultivar plants, irrespective of
294 the stress level (Fig. 4C), and did not change at T2 (Fig. 4D). Stomatal

295 conductance was also strongly reduced in the ungrafted, 5/cultivar, and
296 8/cultivar plants at T2.

297 Substomatal CO₂ concentration (C_i) decreased with stomatal closure in
298 all grafted plants (data not shown). In contrast in the ungrafted cultivar, C_i
299 increased ($P < 0.05$) at low stomatal conductances under water stress.

300 No effect for 3.5% PEG on the ϕ_{PSII} was observed at T1 in the ungrafted,
301 5/cultivar, and 8/cultivar plants (Fig. 4E). By contrast, this parameter fell by
302 more than 55% of the control values at 7% PEG in these genotypes. In
303 12/cultivar and 14/cultivar plants, the reduction provoked by PEG ranged from
304 75 to 81% of control values at T1, irrespective of the stress level. At T2, the
305 response of the photochemical PSII efficiency was similar to that observed for
306 the photosynthetic rate (Fig. 4B).

307 Similar F_v/F_o values were observed for all genotypes under control
308 conditions (Fig. 5A,B). No changes were produced at T1 by 3.5% PEG, except
309 for the 8/cultivar plants (where F_v/F_o increased with respect to its control).
310 However, at 7% PEG, F_v/F_o fell in the ungrafted plants (32% of control value)
311 and, to a lesser extent in the 5/cultivar and 8/cultivar plants (Fig. 5A). At T2, the
312 decrease in F_v/F_o increased with the stress level (Fig. 5B). The ungrafted
313 plants showed the lowest values, being zero at 7% PEG; while 12/cultivar and
314 14/cultivar plants showed the smallest reduction ($P < 0.05$) in F_v/F_o at 7% PEG
315 (Fig. 5B).

316

317 *Changes in nitrate reductase activity*

318 Differing responses of NR activity to drought were observed in leaves
319 and roots (Fig. 6). NR activity increased in roots (Fig. 6B,D) in all the water
320 stress treatments when compared to control conditions – the highest values (P
321 < 0.05) being for ungrafted plants, 5/cultivar, and 8/cultivar plants at 7% PEG
322 and T2 (Fig. 6D). By contrast, water stress decreased NR activity in the leaves,
323 and the lowest value ($P < 0.05$) was observed for ungrafted plants at 7% PEG
324 followed by 5/cultivar and 8/cultivar plants (Fig. 6A, C). In the leaves, after 7 and
325 14 days of severe water stress, 12/cultivar and 14/cultivar plants showed the
326 highest NR activity levels – while the lowest values were observed in the
327 ungrafted plants.

328

329 *Lipid peroxidation*

330 Lipid peroxidation in pepper leaves increased with time and PEG levels
331 (Fig. 7). At T1 MDA content increased with higher PEG levels (Fig. 7A) in all
332 plants. The increase was highest in the ungrafted plants. After 14 days of
333 exposure, lipid peroxidation increased significantly at 7% PEG in all plants and
334 12/cultivar and 14/cultivar plants at 3.5%. It is noteworthy that no further MDA
335 accumulation was produced in these genotypes at 7%, whereas MDA
336 accumulated to higher levels in 5/cultivar, 8/cultivar, and ungrafted plants (Fig.
337 7B).

338

339 **Discussion**

340 Water stress induced by PEG led to significant changes in physiologic
341 parameters in pepper seedlings. The effect depended on the duration and the

342 intensity of the stress level. Moreover, consistent differences were observed
343 between susceptible (5 and 8) and tolerant accessions (12 and 14) when used
344 as rootstocks, although such differences vanished in the absence of water
345 stress. The following discussion aims to establish which physiological
346 processes could explain the different responses among grafted plants, including
347 tolerant and sensitive accessions such as rootstocks and ungrafted plants.

348 Water status in a plant is highly sensitive to water stress and therefore is
349 dominant in determining plant responses to stress. Leaf RWC decreased under
350 water stress, but its effects were significantly dramatic only under the 7% PEG
351 treatment. The highest RWC values (62-67%) were observed in the 12/cultivar
352 and 14/cultivar plants after 14 days, when compared with ungrafted plant values
353 (34%) ($P < 0.05$). Similarly, the leaves of tomato plants grafted onto *Solanum*
354 *mammosum* – (with a greater ability for passive water uptake) maintained
355 higher leaf water potential than self-grafted plants – despite greater water loss
356 through transpiration under water stress conditions (Weng, 2000).

357 An alteration in the relationship between RWC and ψ_s was found. In this
358 sense, the leaf ψ_s was lowest in 12/cultivar and 14/cultivar plants, compared
359 with 5/cultivar, 8/cultivar, and ungrafted plants; although the RWC values at
360 3.5% PEG in T1 and T2 remained unchanged. This can be explained by the fact
361 that the relationship between ψ_s and RWC is not unique (Acevedo et al., 1979),
362 and other factors such as the rate of transpiration, stomatal aperture, or
363 development of the root system can modulate this relation (Weng, 2000).
364 Nevertheless, decreases in ψ_s may have contributed to the ability of these
365 accessions (12 and 14) to uptake more water from the nutrient solution and
366 could have minimized the harmful effects of water stress (Nio et al., 2011; Ming

367 et al., 2012). Significant correlations were demonstrated between ψ_s and the
368 tolerance to drought in different crops, i.e. PEG-tolerant chilli pepper clones
369 (Santos-Díaz and Ochoa-Alejo, 1994); tomato PEG-adapted cell lines (Handa et
370 al., 1982); or barley after 36 days without irrigation (González et al., 2008).

371 Although the decrease in ψ_s could be a consequence of a reduction in the
372 water content of tissues, active osmotic adjustment was observed in the studied
373 genotypes, and mainly in the plants grafted onto the tolerant genotypes (12 and
374 14). The osmotic adjustment may have involved the accumulation of a range of
375 osmotically active molecules, including organic compounds such as sugars, free
376 amino acids, glycinebetaine, soluble proteins, and organic acids (Chaves et al.,
377 2003) and with macronutrients such as inorganic components (Patakas et al.,
378 2002). Free proline is considered an important osmoprotectant and
379 accumulation following salt, drought, and heavy metal exposure is well
380 documented (Gill and Tuteja, 2010). In our work, a strong correlation between
381 ψ_s decrease and proline content increase was observed at T2 ($\psi_s = -0.752$
382 [proline] - 0.205; $r^2 = 0.87$; $P < 0.05$) for all plant combinations and treatments;
383 and at T1 for 5/cultivar, 8/cultivar, and ungrafted plants ($\psi_s = -0.087$ [proline] -
384 0.540; $r^2 = 0.79$; $P < 0.05$). Nevertheless, the decrease at T1 in ψ_s was not
385 related to the increase in proline in the 12/cultivar and 14/cultivar plants ($\psi_s =$
386 0.318 [proline] - 6.288; $r^2 = 0.62$; $P < 0.05$). At this earlier period, other
387 components such as glycinebetaine, carbohydrates, amino acids, and
388 macronutrients could have contributed to reducing the osmotic potential (Munns
389 et al., 1979; Morgan, 1992; Navarro et al., 2003) in these plant combinations.
390 Similar time-dependent behavior was reported in wheat (Nio et al., 2011), where
391 K^+ was mainly involved in the osmotic responses to water stress during earlier

392 periods; whereas proline was mainly accumulated after long exposures.
393 Alternatively, pepper plants (12 and 14) could have used the mineral
394 components of the nutrient solution to produce the decrease in osmotic
395 potential, such as described for sugarcane cells (Patade et al., 2012) during the
396 first seven days of water stress.

397 The osmotic adjustment, mainly through the increase in proline content,
398 and related to the duration and severity of the water stress, helped the
399 12/cultivar and 14/cultivar plants maintain tissue water status and avoid
400 drought-induced damage. Similar results were obtained by Anjum et al. (2012)
401 in pepper plants.

402 Moreover, osmolyte proline accumulation was proposed to act as a
403 protein stabilizer, a metal quelator, an inhibitor of lipid peroxidation, and a
404 scavenger of radical oxygen species (ROS) under salt, drought, and metal
405 stress (Gill and Tuteja, 2010). Production of these species at higher levels may
406 damage cellular membrane and other biologically vital components such as
407 chlorophylls, DNA, proteins, and lipids (Blokhina et al., 2003). Lipid peroxidation
408 is considered to be one of the most damaging processes as it decreases
409 membrane fluidity; increases the leakiness of the membranes, and inactivates
410 receptors, enzymes, and ion channels. The final product of lipid peroxidation is
411 MDA – which is used as an index of oxidative membrane damage (Calatayud et
412 al., 2002; Ozkur et al., 2009). In our work, improvement in proline accumulation
413 under water stress helped maintain osmotic potential; and may also be involved
414 in protection against oxidative damage as indicated by lower levels of MDA in
415 the 12/cultivar and 14/cultivar plants (mainly at the end of the experiment under
416 7% PEG). These results indicate that these genotypes when used as rootstocks

417 provide protection to the scion. By contrast, the ungrafted plants and 5/cultivar
418 and 8/cultivar plants showed less capacity to retain water in their cells: a minor
419 decrease of ψ_s , was associated with a minor increase in proline concentration,
420 and as a consequence, a higher level of lipid peroxidation.

421 The oxidative stress provoked by water stress had a direct effect on
422 proper PSII function. The Fv/Fo parameter, a sensitive Chl fluorescence ratio is
423 related to the maximum quantum yield of PSII photochemistry (Babani and
424 Lichtenthaler, 1996). A decline in Fv/Fo indicates a disturbance or damage of
425 the photosynthetic apparatus, and has been frequently used as an indicator of
426 photoinhibition (Calatayud et al., 2004). A decrease in the Fv/Fo ratio occurs
427 under water stress, and the most dramatic decrease occurred in ungrafted
428 plants at T2 under 7% PEG, where the values were zero. According to our
429 observations (see above), the Fv/Fo ratio suggested a higher resistance for
430 12/cultivar and 14/cultivar plants to water stress. The decrease in Fv/Fo in
431 ungrafted plants, 5/cultivar, and 8/cultivar plants may be as a result of an
432 increase in protective non-radiative energy dissipation associated with a
433 regulated decrease in photochemistry – described as down-regulation and/or
434 chronic photodamage of the PSII centers (Genty et al., 1989; Osmond, 1994).
435 The Fv/Fo ratio seems a robust parameter, and several authors have concluded
436 that PSII photochemistry cannot be impaired by relatively severe water stress;
437 although A_N and g_s can decrease significantly (Lawlor and Tezara, 2009). In our
438 experiment, all plant combinations, regardless of the Fv/Fo values, showed a
439 significant decrease in the net carbon gain, due in part to stomatal closure that
440 restricts water losses. The decrease in the rate of photosynthesis may be due to
441 the chronic water stress effect of metabolic inhibition, or the down-regulation of

442 photosynthesis as described by Chaves et al. (2003) and Cornic (2000).
443 Distinguishing between these alternatives is difficult (Flexas et al., 2004).
444 Acclimation to water stress requires responses that enable essential reactions
445 of primary metabolism to continue for the plant to tolerate water deficit (Foyer et
446 al., 1998). The ability to maintain the functionally, or protective capacity of the
447 photosynthetic machinery under water stress, is of major importance for drought
448 tolerance in pepper plants (del Amor et al., 2010). Our results indicate that
449 rootstocks 12 and 14 provide the variety with the ability to maintain water
450 relations and protective mechanisms that enable the maintenance of a residual
451 photosynthetic rate (on 'stand-by'). The robust behavior of the cultivar 'Verset'
452 grafted onto accessions 12 and 14 was in accordance with our previous results
453 in field conditions where water availability was reduced by 50% compared to the
454 control treatment (Calatayud et al., 2013). In this experiment, pepper cultivar
455 grafted onto these genotypes showed higher marketable fruit production when
456 compared with ungrafted plants and 'Verset' grafted onto 5 and 8 (Calatayud et
457 al., 2013).

458 Maintenance of tissue water status helps the plants to avoid the
459 dehydration and protects the carboxylation and other enzymes from inactivation
460 and denaturation (Anjum et al., 2012). By contrast, a strong decrease in the
461 photosynthetic rate in 5/cultivar, 8/cultivar plants, and ungrafted plants, along
462 with a decrease in RWC (a weak osmotic adjustment), and a decrease in F_v/F_o
463 was observed under water stress. In the absence of protective mechanisms, an
464 increase in oxidative damage was produced (measured as lipid peroxidation)
465 and chronic photoinhibition of metabolic machinery limiting photosynthesis. The
466 degree of oxidative stress has been described as being closely associated with

467 the resistance/susceptibility of a genotype to water stress (Mittler, 2002; Anjum
468 et al., 2012).

469 At the whole plant level, water scarcity induces complex changes in C
470 and N metabolism resulting from modifications in the availability of nutrients
471 (Foyer, 1998; Imsande and Touraine, 1994). In addition to the discussed
472 changes in carbon assimilation, water stress may restrain nitrate acquisition by
473 the roots, as well as restrict the ability of plants to assimilate and reduce
474 nitrogen (Yousfi et al., 2012; Kocheva et al., 2007). In most herbaceous plants,
475 NR activity takes place predominantly in the leaves (Scheurwater et al., 2002;
476 Reda et al., 2011). In our results under control conditions, where the plants
477 have free access to nutrients, NR activity was higher in leaves than in roots in
478 all plant combinations at T1 and T2. The reduction of NO_3^- in the leaves may
479 provide the advantage of enabling the direct use of excess reductants produced
480 by photosynthesis (Pate, 1983). In our work, the predominant site of NO_3^-
481 reduction (leaves or roots) was dependent on the water stress intensity and
482 time of exposure. NR activity in leaves decreased considerably in all plant
483 combinations under drought, but especially in ungrafted plants, as well as
484 5/cultivar and 8/cultivar plants. However, since NR activity was calculated on a
485 FW basis, and PEG treatment affected the RWC of the leaves, the absolute
486 value of NR activity could be overestimated in these treatments. The utilization
487 of nitrate in the leaves is governed by CO_2 fixation (Larsson et al., 1989). In our
488 results, a decrease in NR activity in the leaves can be linked to a decline in the
489 rate of photosynthesis due to stomatal closure, according to Fresneau et al.
490 (2007); or due to a decrease in the NO_3^- transport from root to leaves due to
491 loss of turgor and lower transpiration flow (Sharma and Dubey, 2005; Yousfi et

492 al., 2012). Water stress would limit the uptake and further the transfer of NO_3^- to
493 upper plant parts (Yousfi et al., 2012), and subsequently, a part of the nitrate
494 uptake could be reduced in the roots. Observed differences in NR activity may
495 depend on PEG concentration, time exposure, and plant combinations. After 7
496 days under 3.5% PEG with moderate photosynthesis inhibition, NR activity was
497 located mainly in the leaves. This could be interpreted as that the rate of carbon
498 fixation was not a limiting factor for NO_3^- reduction (Larsson et al., 1989). When
499 the water stress was severe (7% PEG), or when the time exposure with PEG
500 was longer (14 days), photosynthetic activity was compromised, and under this
501 extreme situation the behavior between rootstocks differed. Sensitive genotypes
502 (5 and 8) with lower NR activity in the leaves showed low levels of
503 photosynthetic activity, i.e. when internal CO_2 concentration was reduced due to
504 stomatal closure (Fresneau et al., 2007) and greater root NR activity
505 (irrespective of PEG concentration). Tolerant rootstocks (12 and 14) showed
506 increased root NR activity at only T2 in 7% PEG, although to a lesser extent.
507 This could be because the remaining water transpiration flux (highest E values)
508 enables reductions through the NO_3^- transport to the leaves. The significant
509 increase in root NR activity may indicate that nitrate flux to roots was not
510 restricted by water stress and that active NO_3^- reduction occurs in the roots,
511 possibly due a minor transpiration flux to leaves.

512 Considering the overall results of this study, we can conclude that the
513 response of commercial pepper cultivar to water stress can be improved by
514 grafting when using appropriate accessions as rootstocks. It seems that grafting
515 methods could be a useful tool for increasing resistance to water stress. Under
516 these experimental conditions, accessions 12 and 14 grafted onto cultivar

517 alleviate the water stress effect. This effect may be attributed to enhanced
518 osmotic adjustment because of active proline accumulation (as reflected by the
519 lower reduction in RWC) which may protect leaves from excessive dehydration
520 caused by damaged photosynthesis systems. In addition, the methods used in
521 this work appear to be suitable for testing the water stress resistance of pepper
522 rootstocks.

523

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527

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694 **Legends of figures**

695

696 **Fig. 1.** Effect of PEG addition at 0% (□), 3.5% (▒), and 7% (■)
697 on relative leaf water content (RWC %) during 7 day (A) and 14 day exposure
698 (B) in ungrafted pepper plants (cultivar 'Verset') and cultivar grafted onto
699 accessions 5, 8, 12 and 14. Dates are mean values \pm SE for $n=6$. Within each
700 plant combination different letters indicate significant differences at $P < 0.05$
701 (LSD test).

702

703 **Fig. 2.** Leaf osmotic potential (MPa) in ungrafted pepper plants (cultivar
704 'Verset') and cultivar grafted onto accessions 5, 8, 12, and 14 after PEG
705 addition at 0% (□), 3.5% (▒) and 7% (■) during 7 day (A) and 14
706 day exposure (B). Dates are mean values \pm SE for $n=6$. Within each plant
707 combination different letters indicate significant differences at $P < 0.05$ (LSD
708 test).

709

710 **Fig. 3.** Changes in proline concentration (mg proline /g DW) from ungrafted
711 pepper plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12
712 and 14 after PEG addition at 0% (□), 3.5% (▒) and 7% (■) during
713 7 day (A) and 14 day exposure (B). Dates are mean values \pm SE for $n=6$.
714 Within each plant combination different letters indicate significant differences at
715 $P < 0.05$ (LSD test).

716

717 **Fig. 4.** Net CO₂ assimilation rate (A_N ; $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$) (A, B); leaf stomatal
718 conductance (g_s ; $\text{mol H}_2\text{O m}^{-2} \text{ s}^{-1}$) (C, D) and actual quantum efficiency of PSII

719 (ϕ PSII) (E, F) in ungrafted pepper plants (cultivar 'Verset') and cultivar grafted
720 onto accessions 5, 8, 12 and 14 after PEG addition at 0% (□), 3.5%
721 (■) and 7% (■) during 7 day (A, C, D) and 14 day exposure (B, D, F).
722 Dates are mean values \pm SE for $n=10$. Within each plant combination different
723 letters indicate significant differences at $P < 0.05$ (LSD test).

724

725 **Fig. 5.** Variations in dark-adapted F_v/F_o ratio in leaves of ungrafted pepper
726 plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12 and 14
727 after PEG addition at 0% (□), 3.5% (■) and 7% (■) during 7 day
728 (A) and 14 day exposure (B). Dates are mean values \pm SE for $n=10$. Within
729 each plant combination different letters indicate significant differences at $P <$
730 0.05 (LSD test).

731

732 **Fig. 6.** Nitrate reductase activity ($\mu\text{mol NO}_2 \text{ g}^{-1} \text{ FW}$) in leaf (A, C) and roots (B,
733 D) of ungrafted pepper plants (cultivar 'Verset') and cultivar grafted onto
734 accessions 5, 8, 12 and 14 after PEG addition at 0% (□), 3.5% (■)
735 and 7% (■) during 7 day (A, B) and 14 day exposure (C, D). Dates are
736 mean values \pm SE for $n=6$. Within each plant combination different letters
737 indicate significant differences at $P < 0.05$ (LSD test).

738

739 **Fig. 7.** Leaf malondialdehyde content ($\text{nmol MDA g}^{-1} \text{ FW}$) in leaves of ungrafted
740 pepper plants (cultivar 'Verset') and cultivar grafted onto accessions 5, 8, 12
741 and 14 after PEG addition at 0% (□), 3.5% (■) and 7% (■) during
742 7 day (A) and 14 day exposure (C). Dates are mean values \pm SE for $n=6$.

- 743 Within each plant combination different letters indicate significant differences at
744 $P < 0.05$ (LSD test).

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