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Additional Information

1 **Life cycle assessment of cost-optimized buttress earth-retaining walls:**  
2 **a parametric study**

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11 **4860 words**

12 **Abstract**

13 In this paper life cycle assessments are carried out on 30 optimized earth-retaining walls of  
14 various heights (4–13 m) and involving different permissible soil stresses (0.2, 0.3 and 0.4 MPa)  
15 in Spain. Firstly, the environmental impacts considered in the assessment method developed by  
16 the Leiden University (CML 2001) are analyzed for each case, demonstrating the influence of  
17 the wall height and permissible soil stress. Secondly, this paper evaluates the contribution range  
18 of each element to each impact. The elements considered are: concrete, landfill, machinery,  
19 formwork, steel, and transport. Moreover, the influence of the wall height on the contribution  
20 of each element over the total impact is studied. This paper then provides the impact factors per  
21 unit of concrete, steel, and formwork. These values enable designers to quickly evaluate impacts  
22 from available measurements. Finally, the influence of steel recycling on the environmental  
23 impacts is highlighted. Findings indicate that concrete is the biggest contributor to all impact  
24 categories, especially the global warming potential. However, the steel doubles its contribution  
25 when the wall heights increase from 4 m to 13 m. Results show that recycling rates affect  
26 impacts differently.

27 **Keywords:** Life cycle assessment, Retaining Wall, Sustainability, Buttressed wall.

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## 29 **1. Introduction**

30 Following the success of the 21st Conference of the Parties on the issue of climate change and  
31 a worldwide agreement involving almost 200 nations (Ji and Sha, 2015), the environmental  
32 impacts of the construction sector, which is known to be one of the most carbon-intensive  
33 sectors (Ramesh et al., 2010), is becoming increasingly important. In particular, structures that  
34 use large amounts of cement, the production of which incurs large carbon dioxide emissions  
35 due to limestone calcination and high energy demands (5% of total energy consumption  
36 according to Boesch and Hellweg, 2010), are critical. Accordingly, research in this field has  
37 focused on the sustainable construction practices implemented by construction companies  
38 (Serpell et al., 2013; Yusof et al., 2016), the embodied energy of construction projects (Wang  
39 and Shen, 2013; Wang et al., 2012) and the life cycle greenhouse gas emissions of concrete  
40 structures (Barandica et al., 2013; García-Segura et al., 2014).

41 Earth-retaining buttress walls made of reinforced concrete (RC) are common structures in civil  
42 engineering. Various design factors influence the appearance and, consequently, the  
43 performance with regard to life span, cost or environmental impact. The design process itself is  
44 mostly based on the experience of the engineer using a trial-and-error approach to achieve an  
45 appropriate solution. Often these solutions, though compliant with structural codes, do not  
46 represent the optimal solution with respect to current design objectives (cost, service life,  
47 environmental embodied impacts) thus leaving room for optimization. To this aim several  
48 studies attempted to find the best heuristic-based solutions for RC structures, such as building  
49 frames (Li et al., 2010), columns (de Medeiros and Kripka, 2014; Park et al., 2013), footings  
50 (Camp and Assadollahi, 2015), prestressed concrete bridges (García-Segura et al., 2015;  
51 García-Segura et al., 2016; Yepes et al., 2015) and earth-retaining walls (Yepes et al., 2012).  
52 Parametric optimization studies on cantilever earth-retaining walls, based on the type of ground  
53 fill and soil permissible stress (Yepes et al., 2008; Yepes et al., 2012) showed that cost and  
54 global warming potential are closely related. Along this same line, Martí et al. (2016) found  
55 that the cost and embodied energy of both precast-prestressed concrete U-beam road bridges  
56 criteria were dependent.

57 The majority of these studies aimed at identifying the lowest cost and carbon emissions while  
58 neglecting environmental impacts other than embodied carbon. Despite carbon emission being  
59 the most prominent impact factor to mitigate as a main contributor to global warming, this paper  
60 includes the following five midpoint impact categories (as specified in the calculation model  
61 CML 2002 (Guinée, 2002)): depletion of abiotic resources, the acidification of the environment,  
62 the eutrophication of water bodies, the depletion of the stratospheric ozone layer, and the  
63 photochemical ozone creation often defined as summer smog. Life cycle assessment (LCA) is  
64 a complex multi-parametric assessment of the environmental impact of a structure over its  
65 whole life cycle.

66 Previous LCA parametric studies analyzed the environmental burdens in civil engineering  
67 based on optimal practical solutions. Sanjuan-Delmás et al. (2015) studied the impact of  
68 geometrically optimized water tanks in terms of water capacity and dimensions for three ground  
69 positions in a parametric assessment. Other LCA studies are based on parametrical approaches

70 (Bonamente et al., 2014; Dijk et al., 2014) and highlight the need for intermediate life-cycle  
71 approaches at the construction sector (Hollberg and Ruth, 2016).

72 Decisions on the structural design of civil constructions, in this case earth-retaining buttress  
73 walls of reinforced concrete, can have impacts on the complete life cycle of the product. Hence,  
74 this paper is devoted to assessing the environmental impacts of 30 cost-optimized walls,  
75 considering a recycling rate of reinforcement steel of 70 % and the electricity mix available in  
76 Spain. A life cycle framework from upstream processes and by-products recycling (steel) of an  
77 earth-retaining buttress wall is modeled and assessed through LCA based on international  
78 standards of series ISO 14040 and ISO 14044 (ISO, 2006). The processes considered are the  
79 excavation at the raw material quarries, the transportation and processing of materials, the  
80 installation with different machines as well as the demolition at the end of life.

## 81 **2. Materials and methods**

82 The goal of the LCA is to provide a magnitude order on the environmental burdens of each  
83 stage of the life cycle of earth-retaining buttress walls of reinforced concrete. The system  
84 includes the activities of concrete production, steel production, transportation, use of machinery  
85 for installation and demolition, processing of landfill, and formwork production. The LCA of  
86 the earth-retaining wall has been carried out in agreement to EN ISO 14040:2006 (ISO, 2006).  
87 The assessment method CML 2001 developed at the Leiden University (Centrum voor  
88 Milieukunde) (Guinée et al., 2002) is used. The LCA has been modeled using the Ecoinvent  
89 database 3.2.

### 90 **2.1. Assumptions of the dataset and limitations**

91 The choice for Ecoinvent (Frischknecht and Rebitzer, 2005) is based on the widespread use and  
92 scientific reliability (Pascual-González et al., 2016). Last authors stated that Ecoinvent is  
93 recognized as a comprehensive web-based LCA database, scientifically proved that Life Cycle  
94 Inventory Assessment metrics contained are highly correlated. A peer review process of the  
95 dataset is performed by an internal LCA expert before being accepted. The main assumptions  
96 considered are related to the inventory datasets and to the model formulation. The Ecoinvent  
97 3.2 international industrial datasets provided are on a unit process and system process level.  
98 The unit process level datasets imply that inputs and outputs are recorded per production step,  
99 in addition to aggregated data sets (e.g., cradle-to-gate) (Finnveden et al., 2009).

100 Aside from other environmental tools, OpenLCA (GreenDelta GmbH, Berlin, Germany) was  
101 used because its code is open source, reducing the boundaries for the scientific community and  
102 the general public to perform LCA applications (Ciroth, 2007). OpenLCA is assumed to be a  
103 comprehensive tool that allows incorporation of location-specific characterization factors and  
104 uncertainty distributions (Hawkins et al., 2013). OpenLCA has been used in a wide range of  
105 applications worldwide since its release in 2007, i.e., agricultural sector (Ingwersen, 2012);  
106 distribution energy networks (Rodríguez et al., 2014) and power electronics (Braunwarth et al.,  
107 2015).

108 Fundamental uncertainties in this study could be due to uncertainties in the models  
109 configurations. Previous studies on emissions during construction stages found that geographic

110 representativeness as one of the major source of uncertainty (Hong et al., 2016), as well as data  
111 quality and measurement assumptions at the origin production plants. The main influence of  
112 uncertainty on the results is the variation of several impacts due to technological correlation  
113 among production plants (energy mixes of manufacturing processes). This kind of uncertainty  
114 is defined and quality verified for each process by the Ecoinvent data quality guidelines  
115 (Weidema et al., 2013). According to these guidelines, the variance considered in each type of  
116 process emissions is 0.0006 for the demand of electricity and working material, and 0.12 for  
117 the combustion processes due to transport services. This study was performed considering these  
118 values of variance and a normal distribution.

119 Regarding the applicability of the model to a broader scenario, it requires assuming several  
120 hypotheses. These are: the rate of recycled steel scrap is 70%, the valorization of recycled  
121 concrete is not considered, and the energy mix for all the processes are equivalent to the  
122 following primary energy values considered: 21% of wind power, 6% of solar energy, 13% of  
123 natural gas, 19% of coal, 25% of nuclear energy, 2% of geothermal and 14% of hydraulic  
124 energy. Note that the electricity constantly varies (Gutiérrez et al., 2013), the electricity mix  
125 fluctuations are not currently reflected in the Ecoinvent dataset. Thus, the aforementioned  
126 values may be checked with the energy provider and updated in the model.

127 As regards the geographic boundaries of the impact categories, impact factors of acidification  
128 and eutrophication of ecosystems due to air pollutant releases have considered the data of  
129 average European effects, while the remaining impacts global warming, photochemical ozone  
130 formation and ozone depletion effects are considered globally. The temporal boundary  
131 considered in our system is 100 years. In this line, the method CML 2001 considers the global  
132 warming potential effects under the temporal scope of 100-year time.

133 A comparative analysis of earth-retaining walls has been performed from an environmental  
134 point of view. This analysis is carried out considering average values of the dataset and the  
135 variance suggested by the Ecoinvent data quality guidelines (Weidema et al., 2013). As for the  
136 uncertainties of the model, the assessments of the basic uncertainty factors of the data, including  
137 emissions measurements, are based in expert judgements. Table 1 shows the mean value,  
138 coefficient of variation and percentiles for each impact. Mean values are used as representative  
139 values for the following results.

## 140 **2.2. Impact Categories**

141 The impact categories considered are: the cumulative energy demand (CED), the global  
142 warming potential (GWP), the abiotic depletion potential (ADP), the acidification potential  
143 (AP), the eutrophication potential (EP), the ozone layer depletion potential (ODP) and the  
144 photochemical ozone creation (POCP). The POCP mostly defined by nitrogen oxides and  
145 volatile organic compounds (VOC), can be divided into two types: low photochemical ozone  
146 creation potential (POCP<sub>low</sub>) and high photochemical ozone creation potential (POCP<sub>high</sub>).  
147 POCP<sub>low</sub> generally occurs in rural areas and is mostly defined by NO<sub>x</sub> levels while POCP<sub>high</sub>  
148 usually occurs in urban areas and in addition to the NO<sub>x</sub> levels, this type also includes VOC  
149 contributions (Sillman et al., 1990).

## 150 **2.3. Wall typology selection**

151 The structural wall functionality of earth-retaining wall is based on the capability of the  
152 structure to sustain the terrain against the failure of a slope, but the type of structure will depend  
153 on the dimensions and location. The rock and the gabion walls are intended for earth-retaining  
154 purposes aims to withstand the terrain of a slope in road embankments. They are composed of  
155 rock blocks that work as gravity walls. Both use limestone as it is adequate to obtain equivalent  
156 sizes of the blocks and helps as natural filter so no drainage is needed apart from geotextile  
157 sheets. The gabion dam is specially used when the aesthetic of the site needs to be preserved so  
158 the availability of local stone is a criterion to consider. The reinforced concrete earth-retaining  
159 walls are used alongside a linear infrastructure, such as a road or railroad. This typology enables  
160 to build greater heights. The earth-retaining buttressed wall is characterized by its structural  
161 capacity to flexural moments. The buttresses are placed at some interval to tie the base slab and  
162 stem, and consequently reduce the shear force and bending moment. This type of wall usually  
163 leads to a more economical design. The buttressed wall is compared with another type of  
164 reinforced concrete wall (cantilever wall) and two types of stone walls for earth-retaining  
165 purposes (gabion and rock walls). A height of 7 m has been considered in the comparison. Fig.  
166 1 shows the designs of these four types of earth-retaining walls. Their contribution flows to the  
167 impacts categories of CML 2001 are compared. Significant differences were found between the  
168 reinforced concrete walls and the stonework walls. Considering the buttressed wall as baseline  
169 for this comparison, the rate of contribution per midpoint impact is illustrated in Fig. 2.

170 As expected, gabion and rock walls show lower impacts than the RC walls. These types  
171 obtained less impact on ADP, AP, GWP, POCP, as the main flow contributors to such  
172 categories (cement and steel manufacturing) would be replaced by stone, with fewer burdens  
173 of burning processes. The impact results of the gabion and rock walls differ by large from the  
174 ones for the reinforced concrete earth-retaining walls. The choice for one or another type is  
175 based on the technical conditions or limitations. The applicability of gabion and rock walls as  
176 earth retaining structures is limited by the functionality, the structural performance to the  
177 typology and the height of the slope to retain. The results of the cantilever wall are not surprising  
178 either; this typology shows the highest impact share in all categories. This is due to the  
179 increment in the wall thickness to withstand the soil pressure, compared to the buttressed wall.  
180 This implies greater amount of carbon intensive materials for equivalent heights. As a  
181 conclusion, when the project restrictions prefer a reinforced concrete, the preference will be the  
182 buttressed wall provided there is no technical limitation in the backside. These project  
183 restrictions can require a high wall with reduced thickness or the impermeability of the wall.

#### 184 **2.4. Wall design**

185 The analysis considers 30 different wall designs. Each design is the optimum cost solution. A  
186 hybrid harmony search heuristic optimization technique (García-Segura et al., 2015) is used to  
187 optimize the walls. The walls are distinguished by their heights ( $H$ ) (Fig. 3), which range from  
188 4–13 m (in 1 m increments), and by their permissible base soil stress which is 0.2 MPa for the  
189 first set of wall heights, 0.3 MPa for the second and 0.4 MPa for the third. Considering the  
190 concrete, 25 MPa grade is assumed with the following dosage: 250 kg/m<sup>3</sup> of cement, 165 kg/m<sup>3</sup>  
191 of water, 940 kg/m<sup>3</sup> of gravel and 1050 kg/m<sup>3</sup> of sand. The reinforcement steel used for all  
192 designs is B500S. It is because of the non-linear structural performance at different heights that

193 different amounts of reinforcement and concrete are needed for each height. The structural  
194 compliance of the optimum walls is checked according to Spanish code (Fomento, 2008).

## 195 **2.5. Functional unit**

196 The definition of the functional unit includes two parameters. On the one hand, the height of  
197 the wall should be considered, as the ratio of reinforcement per volume of concrete increases at  
198 taller heights. On the other hand, the parameter of permissible strength is also relevant. The  
199 wall functionality is based on the capability of the reinforced structure to withstand the soil  
200 gravitating away from the stabilized soil. The soil permissible stress generally implies  
201 differential needs for stability (overturning and sliding). Thus, the functional unit of this linear  
202 infrastructure would be a linear meter of the installed wall for a specific height and permissible  
203 soil stress.

## 204 **2.6. Life cycle model description**

205 The life cycle of the wall is divided into five life stages as depicted in Fig. 4. The production  
206 stage includes all upstream activities that are necessary to obtain the respective construction  
207 material. For the concrete these will be activities including the excavation of the raw materials  
208 as well as their processing (e.g., crushing, grinding and mixing). Regarding the reinforcement  
209 steel bars of the concrete, the use of recycled steel is considered as meaning that two different  
210 steel productions streams are implemented. On the one hand, the production of new steel  
211 includes all processes from obtaining the raw materials at the quarry up to the melting in the  
212 so-called blast oxygen furnace (BOF). On the other hand, there is the production of recycled  
213 steel using an electric arc furnace (EAF), which takes into account steps such as collecting,  
214 separation and compacting of metal scrap. Ultimately, the steel production ends at the hot  
215 rolling facility where the rebar is formed. The final product considered within the production  
216 stage of the life cycle is the manufacturing of the plywood used as formwork for casting the  
217 concrete walls in-situ. Again, all upstream activities, from gathering the wood to cutting and  
218 forming the wood into panels, are included.

219 The transportation section of the life cycle includes the movement of materials from the  
220 respective plants to the installation site. These materials are: the plywood panels, the  
221 reinforcement rebar, and all concrete components (gravel, sand, water and Portland cement).  
222 Furthermore, the transportation of landfill material, namely the soil waste resulting from  
223 excavation during the installation, is regarded as well.

224 The installation phase includes all necessary activities to set up the wall at the designated site.  
225 These activities include: excavation with a hydraulic digger (as well as the partial refill with the  
226 same), mounting of the plywood formwork using a cordless screwdriver, and the compaction  
227 of the refilled soil using a vibrating tamper. After the installation the wall is considered to  
228 sustain a service life time of 100 years. During this stage only maintenance activities are  
229 expected; however, as sufficient durability constraints were imposed on the structures,  
230 maintenance activities are not considered. Hence, the service life time ends before an  
231 unacceptable concrete deterioration limit is reached, as previously suggested by García-Segura  
232 et al. (2014).

233 The final step in the life cycle of the retaining wall is the end of life stage. Here, activities such  
234 as digging up the refilled soil and the demolition of the wall are included. Activities considering  
235 the reuse of the remaining hole are not implemented, as the definition of any further use of the  
236 hole will belong to the next project. Therefore, it is unclear whether the hole would be refilled,  
237 reused or redesigned for a similar or alternative use. Nevertheless, the last step of recycling the  
238 steel is taken into account by transporting the wall remnants to a separation facility. Recycling  
239 of the concrete is not considered within this work, hence it will be going to landfill.

## 240 **2.7. Model variables and parameters**

241 The LCA-model is based upon several parameters. Table 2 and 3 summarizes the parameters  
242 common for all wall designs. Table 2 shows the general parameters, such as soil density,  
243 recycling rate, wood panel thickness, reusability of the panels, screwdriver time and transport  
244 distances. Table 3, however, shows the parameters associated with the measurements, which  
245 describe the usage of machinery for different processes in h/m<sup>3</sup> and the weight related  
246 transportation of steel production in kg\*km/kg steel. These parameters should be multiplied by  
247 the measurements of Table 4 to obtain the parameters per functional unit.

248 The majority of parameters are devoted to defining the transportations processes included in  
249 the LCA-model. There are two different types of transportation parameters: independently  
250 defined parameters (Table 2), given in km, which represent the transport distances of, for  
251 example, the steel reinforcement to the hot rolling facility and subsequently to the installation  
252 site or the transportation of soil waste to landfill; and parameters for the several material  
253 transportations taking place during the steel production (Table 3). Ecoinvent standard distances  
254 are used (Doka, 2003), given in kilograms per kilometer, and are linked to the cumulative  
255 amount of material consumed during the respective production step. Remaining transportation  
256 distances such as the movement of sand and gravel are automatically implemented in the  
257 respective LCA-process created by Ecoinvent.

258 Aside the aforementioned independent wall dimension parameters, there are parameters  
259 resulting from the optimized wall dimensions that describe the respective walls within the LCA-  
260 model. These parameters, which can be taken from Table 4, are: the total concrete volume ( $V_{con}$ )  
261 of the wall, the mass of the steel reinforcement ( $m_{st}$ ), the volume of the soil waste ( $V_{sw}$ ), the  
262 excavation volume of the hole ( $V_{exc}$ ), the formwork area necessary to build the wall in-situ  
263 ( $A_{form}$ ), and the refill volumes and resembling the refill volumes of soil on the heel ( $V_{heel}$ ) and  
264 toe ( $V_{toe}$ ) of the retaining wall. The refill volumes have been divided because the compaction  
265 of the refill soil on the heel ( $V_{heel}$ ) is assumed to have an effort demand twice that of the one on  
266 the toe. These values are presented on a per functional unit basis (linear meter of wall).

## 267 **3. Life cycle assessment results**

268 Results are divided into seven contributing elements: the concrete production, the steel  
269 production, the transportation, the use of machinery for installation and demolition, the  
270 processing of landfill, and the formwork production.

### 271 **3.1. Impact assessment categories**



272 The influence of the wall height and permissible soil stress on the ADP (see Fig. 5), the AP (see  
273 Fig. 6), CED (see Fig. 7), the EP (see Fig. 8), the GWP (see Fig. 9), the ODP (see Fig. 10), the  
274  $POCP_{high}$  (see Fig. 11), and the low  $POCP_{low}$  (see Fig. 12) is analyzed. The individual  
275 contribution of each element to each impact is represented. It is initially noticeable that all  
276 impacts follow a parabolic tendency with regard to the wall height. Fig. 5- 12 illustrate that  
277 fluctuation exists among the impacts concerning the permissible stresses between 11 and 13 m.  
278 This can be explained by the fact that these cost-optimized wall designs are comprised of  
279 varying material quantities. Furthermore, concrete is the worst contributor to every impact bar  
280 the ODP and the C. The machinery and transportation associated with ODP present similar  
281 values as concrete. The steel has a large impact for  $POCP$ , particularly in  $POCP_{low}$  compared  
282 to  $POCP_{high}$ . In contrast to the concrete, the formwork is the least significant, as it not only  
283 exhibits the fewest contributions to each impact, but also has the lowest absolute impact  
284 increases between 4 and 13 m. Therefore, it is worth noting that the formwork has small  
285 environmental impact. Landfill presents a similar impact to that of formwork expect for EP and  
286 ODP.

287 Fig. 9 illustrates that for the GWP, concrete has the most significance influence compared to  
288 all other impact categories. From 4 to 13 m the concrete emissions for the 0.3 MPa series  
289 increase from 378 kg to 3587 kg of  $CO_2$ -eq. This represents an increase of 849.56%. Similarly,  
290 high growth rates can be identified for all impacts and elements. These impact increments are  
291 due to the increase in material amounts used for higher walls. Aside from the individual growth  
292 rates of every element it can be calculated that the  $POCP$  exhibits the highest overall increase,  
293 with increases up to 1106.5% for  $POCP_{low}$  (0.2 MPa-13 m) and 9906.8% for  $POCP_{high}$  (0.2  
294 MPa-13 m). The high increase of the  $POCP$  could be related to the increased significance of  
295 steel as the wall height gets bigger. For example, the contribution of steel to the total  $POCP_{low}$   
296 for the case of the 0.2 MPa-series is 23.48% and increases to 46.8 %, thus being responsible for  
297 almost half the oxidation potential.

### 298 **3.2. Contribution of each element**

299 The contribution ranges of each element (concrete, landfill, machinery, formwork, steel, and  
300 transport) for each impact can be derived from Table 5 by averaging values for the three  
301 permissible stresses. In addition, indicators  $I$  and  $D$  denote whether the contribution share is  
302 increasing or decreasing, respectively, as the wall heights increase. Note that the concrete trend  
303 is not specified as there is not a clear contribution according to the wall height. That is to say  
304 that the concrete contribution to the total impact is similar regardless the wall height. Concrete  
305 almost always accounts for the largest contribution to each impact, except for the ODP where  
306 the use of machinery holds the biggest share (30.2% – 28.3%), and the  $POCP$  (both) where steel  
307 is the largest contributor at the bigger wall sizes. While concrete presents the smallest  
308 contribution in  $POCP_{low}$  (20.5%), it contributes the highest for the GWP (60.2%).

309 When focusing on the landfill, a decrease for each impact category is identified. Landfill has  
310 the lowest impact on the GWP for the 13 m walls (with 3.4 %) and the biggest on the EP for  
311 the 4 m walls (with 14.7 %). Apart the significance of machinery on the ODP, this element is  
312 the second biggest contributor to various impacts including the CED, the AP, the GWP and the  
313  $POCP$  (both). However, a decrease in percentage is registered for each impact category. An

314 overall decreasing trend is also identified for the formworks contribution, which has most  
315 impact on the ADP, POCP<sub>high</sub> and POCP<sub>low</sub> of 4 m walls with percentages of 8.4%, 13% and  
316 10.6%, respectively. Transportation has the most impact on the EP and ODP of the 13 m walls  
317 with 23.6% and 24.2%, respectively. Even though the impact of transport increases with higher  
318 walls, the relative contribution does not always increase. As for the steel, the contribution is  
319 lower than 17%, expect for the POCP (both), which increases the contribution up to 46.8%.

### 320 **3.3. Influence of the wall height**

321 In order to highlight the contribution of each element over the total impact with respect to wall  
322 height, a contribution ratio  $\eta_{ctr}$  will be used. This ratio is the relation between the percentage of  
323 contribution of a wall height and the percentage of contribution at a baseline of 4 m. These  
324 values are the average of the permissible soil stresses. Therefore, this ratio describes how the  
325 significance of each construction element changes as the wall height increases from 4 to 13 m.  
326 Using the GWP as an example, Fig. 13 shows that the steel doubles its contribution, whereas  
327 the contribution of the formwork material at least halves. Other impacts exhibit a similar trend.  
328 The contribution ratio for the formwork decreases for all impacts, depending on the impact at  
329 least to 0.81 for the EP and even down to 0.76 for the POCP<sub>high</sub>. The steel contribution increases  
330 by factors ranging from 1.8 for POCP<sub>low</sub> to 2.28 for EP. The decrease in the contribution from  
331 machinery can be a rather small, from 0.94 for ODP to 0.78 for POCP<sub>low</sub>. The landfill  
332 contribution ratio for 13 m presents values from 0.81 for EP to 0.65 for POCP<sub>low</sub>. The  
333 transportation and the concrete always fluctuates around the ratio of  $\eta_{ctr}=1$ .

### 334 **3.4. Impact factor**

335 This section investigates the relationship of the impacts of concrete, steel and formwork with  
336 the amount of material used. An impact factor derived for each material and impact category is  
337 shown in Table 6. These values are multiplied by the amount of material used for each  
338 respective case to obtain the emissions or energy consumption for CED. It can be said that 1240  
339 MJ per m<sup>3</sup> of concrete are consumed without considering pouring and mixing on-site, as these  
340 processes were considered in the machinery element. At the same time, 248 kg of CO<sub>2</sub>-  
341 equivalent are emitted. The production of a kilogram of steel cumulates to an energy demand  
342 of 8.66 MJ and 0.843 kg of CO<sub>2</sub>-eq. emissions. The kilogram ethylene-eq. emissions for  
343 POCP<sub>high</sub> and POCP<sub>low</sub> for the steel, where it is the main contributor, are 4.68E-4 and 5.65E-4,  
344 respectively. Likewise, it is worth noting that the impact factors of the formwork for energy  
345 demand are 42.4MJ and for the GWP are 2.67 kg of CO<sub>2</sub>-eq.

### 346 **3.5. Influence of steel recycling on the environmental impacts**

347 In this section the steel recycling is studied. As stated in Table 2, 70% steel recycling was  
348 considered. Therefore, the impact factor per kilogram of steel shown in Table 7 corresponds to  
349 a percentage of recycling of 70%. As the results of the steel's impacts behave linearly it was  
350 also of interest to see what impact the steel recycling rate has on the results. For this purpose,  
351 another calculation was performed with openLCA with a recycling rate of 0% and 10% to see  
352 how the results change. The savings per 10 % recycling rate ( $S_{10\%}$ ) were calculated using  
353 Equation (1). Note that  $I_{0\%}$  and  $I_{10\%}$  are the impacts with a recycling rate of 0% and 10%,

354 respectively. Table 7 shows the results of  $S_{10\%}$  for every impact category. The resulting emission  
355 or energy reduction when considering a 70% recycling rate ( $R_{70\%}$ ) is evaluated according to  
356 Equation (2).

$$357 \quad S_{10\%} = \frac{(I_{0\%} - I_{10\%})}{mst} \quad (1)$$

$$358 \quad R_{70\%} = \frac{(I_{0\%} - I_{70\%})}{I_{0\%}} \quad (2)$$

359 These results show that the emissions of each impact category are reduced and the CED  
360 decreased as steel is recycled. When analyzing the calculated emissions per kilogram of steel  
361 depicted in Table 7, it is worth noting that the recycling rate influences each impact category  
362 by a different magnitude. The GWP factor of steel is reduced to 0.147 kg of CO<sub>2</sub>-eq/kg steel  
363 when the recycling rate is 10%; however, the savings in other impacts are relatively different.  
364 Considering 70 % of steel was recycled within this model, huge emission and energy savings  
365 could be identified. The largest impact is observed on the depletion of abiotic resources with a  
366 saving of 72%. Also 57% of energy could be saved. Steel recycling is least impactful on the  
367 AP, but still a 70% recycling rate could cut the potential by 36%.

#### 368 4. Conclusions

369 This study examines the LCA of 30 cost-optimized wall cases of 10 different heights (4 – 13  
370 m) and different permissible soil stresses (0.2 MPa, 0.3 MPa, and 0.4 MPa). Results show that  
371 the impacts increase exponentially with the wall height, but the magnitude of each impact  
372 category increase varies due to differing contributions of the materials and upstream processes.  
373 Hence, the POCP increases most between 4 and 13 m by magnitudes up to 11.06 for the 0.2  
374 MPa-series. This is due to the fact that the amount of steel used in greater wall sizes increases  
375 most compared to concrete or the formwork. In addition, the steel has a large influence on this  
376 impact category, as the results of the percentage contribution show. The considered permissible  
377 ground stresses appear to have small influence on the overall impacts as well as the individual  
378 processes' impacts.

379 In general, the significance of steel on every impact category is twice as high for the tallest  
380 walls. Thus, when trying to cut certain emissions, altering the steel amount within a project  
381 becomes more considerable as the wall sizes increase. Concrete also has a large contribution to  
382 all impact categories, due to the emission-intensive production of cement. Concrete has the  
383 largest impact on the GWP and contributes up to 60% of the total CO<sub>2</sub>-eq. emissions. Reducing  
384 the amount of cement in concrete is often considered when aiming to reduce GWP. Thus,  
385 altering the concrete dosage is a widely acknowledged option. In contrast to the steel and  
386 concrete, the relative contributions of the other wall elements or processes, such as the  
387 machinery, the formwork, and the landfill, mostly decrease. The contribution of each element  
388 over the total impact varies with the wall heights. When increasing from 4 to 13 m, the steel  
389 doubles its contribution to the GWP, whereas the contribution of the formwork is halved.

390 This paper provides the impact factors per unit of concrete, steel and formwork. These values  
391 enable quick impact considerations during the design process. Furthermore, the impact  
392 reduction associated with the recycling rate of steel is studied. It is worth noting that using  
393 recycled steel greatly benefits the POCP. A steel recycling rate of 70 % was considered within

394 this study, which reduced the contribution of steel to  $POCP_{low}$  by 64 % as compared to no  
395 recycled steel. It is important to note that the results for each impact category present different  
396 influences on the recycling rate. While the AP is reduced by 36%, the same recycling rate (70%)  
397 provides a saving of 72% in ADP. The steel GWP factor is reduced in 0.147 kg of CO<sub>2</sub>-eq/kg  
398 steel for each 10% recycling rate.

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- 520

521 **List of Tables**

522 **Table 1.** Contribution of a wall of 7 m. Mean value, coefficient of variation (CV) and  
 523 percentiles (P)

**Contribution of a wall of 7 m (per linear meter)**

Midpoint impacts	Buttressed wall				Cantilever wall				Rock wall				Gabion wall			
	Mean	CV (%)	P <sub>5</sub>	P <sub>95</sub>	Mean	CV (%)	P <sub>5</sub>	P <sub>95</sub>	Mean	CV (%)	P <sub>5</sub>	P <sub>95</sub>	Mean	CV (%)	P <sub>5</sub>	P <sub>95</sub>
ADP (Kg Sb Eq)	6.23	5.3	5.75	6.75	12.44	5.2	11.40	13.49	6.20	6.1	5.58	6.84	5.58	4.7	5.13	6.01
AP (Kg SO <sub>2</sub> Eq)	4.53	5.29	4.15	4.95	8.88	5.89	8.05	9.71	3.90	6.25	3.51	4.30	3.52	5.45	3.198	3.837
CED (MJ Eq)	12410	4.41	11548	13388	24664	4.86	22740	26595	13692	6.11	12328	15117	12296	4.72	11314	13248
GWP (Kg CO <sub>2</sub> Eq)	1390	7.14	1233	1558	2821	7.57	2487	3178	892	6.05	803	983	806	4.55	744	866
EP (Kg NO <sub>x</sub> Eq)	5.39	4.62	5.00	5.81	10.36	5.27	9.51	11.23	5.98	6.32	5.37	6.60	5.36	5.71	4.838	5.867
POCP <sub>high</sub> (Kg ET Eq)	0.26	5.17	0.24	0.29	0.52	5.88	0.47	0.57	0.18	5.98	0.16	0.19	0.16	4.45	0.148	0.172
POCP <sub>low</sub> (Kg ET Eq)	0.22	5.53	0.20	0.24	0.45	6.26	0.41	0.50	0.15	5.94	0.13	0.16	0.13	4.31	0.125	0.144
ODP (Kg CFC-11 Eq)	1.22 E-04	3.73	1.15 E-04	1.30 E-04	2.42 E-04	4.14	2.26 E-04	2.58 E-04	1.61 E-04	6.09	1.45 E-04	1.78 E-04	1.45 E-04	4.66	1.33 E-04	1.56 E-04

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**Table 2.** General parameters of the LCA

Parameter	Note	Value	Unit
Soil density		2680	kg/m <sup>3</sup>
Steel recycling rate		70	%
Thickness of plywood panels		0.05	m
Reusability of plywood panels		10	times
Cordless screwdriver		4	h
Transport of steel slabs (new & recycled) to hot rolling facility	Rail	80	km
	Lorry 16-32 t	20	km
Transport of steel from plant to installation site	Rail	80	km
	Lorry 16-32 t	20	km
Transport of cement from plant to installation site	Lorry 16-32 t	100	km
Transport of remnants from installation point to separation facility	Lorry 16-32 t	100	km



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529**Table 3.** Parameters of the LCA associated with measurements. Use of machinery and transport related to steel production.

Parameter	Note	Value	Unit	Values multiplied by
<b><i>Uses of machinery: compaction</i></b>				
Machineries with power >75 kW, diesel fueled	on toe	0.037	h/m <sup>3</sup>	V <sub>toe</sub>
	on heel	0.074	h/m <sup>3</sup>	V <sub>heel</sub>
<b><i>Uses of machinery: mixing</i></b>				
Concrete mixer (Power >75 kW, diesel fueled)		7.2	min/m <sup>3</sup>	V <sub>conc</sub>
<b><i>Uses of machinery: demolition</i></b>				
Tired loader (Power > 75 kW, diesel fueled)		0.073	h/m <sup>3</sup>	V <sub>conc</sub>
Compressor with jackhammers (Power >18.6 kW and <75 kW, diesel fueled)		0.36	h/m <sup>3</sup>	V <sub>conc</sub>
Cutting equipment (Power >18.6 kW and <75 kW, diesel fueled)		0.4	h/m <sup>3</sup>	V <sub>conc</sub>
<b><i>Steel production: weight related transportation</i></b>				
Raw materials to sinter facility	Lorry 16-32	0.57	kg*km/kg steel	m <sub>st</sub>
	Rail	82.50	kg*km/kg steel	m <sub>st</sub>
Raw materials to pellet facility	Lorry 16-32	0.43	kg*km/kg steel	m <sub>st</sub>
	Rail	2.60	kg*km/kg steel	m <sub>st</sub>
Raw materials to pig iron facility	Lorry 16-32	2.70	kg*km/kg steel	m <sub>st</sub>
	Rail	67.77	kg*km/kg steel	m <sub>st</sub>
Materials to Iron Scrap preparation facility	Lorry 16-32	84.09	kg*km/kg steel	m <sub>st</sub>
	Rail	168.18	kg*km/kg steel	m <sub>st</sub>
Materials to EAF facility	Lorry 16-32	83.30	kg*km/kg steel	m <sub>st</sub>
	Rail	84.70	kg*km/kg steel	m <sub>st</sub>
Materials to BOF facility	Lorry 16-32	6.90	kg*km/kg steel	m <sub>st</sub>
	Rail	43.20	kg*km/kg steel	m <sub>st</sub>

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**Table 4.** Measurements of the analyzed wall designs per linear meter of wall

Soil stress (MPa)	Wall height (m)	V <sub>con</sub> (m <sup>3</sup> )	m <sub>st</sub> (kg)	V <sub>sw</sub> (m <sup>3</sup> )	V <sub>exc</sub> (m <sup>3</sup> )	A <sub>form</sub> (m <sup>2</sup> )	V <sub>heel</sub> (m <sup>3</sup> )	V <sub>toe</sub> (m <sup>3</sup> )
<b>0.2</b>	<b>4</b>	1.545	37.672	2.640	6.602	9.381	3.319	0.644
	<b>5</b>	1.961	57.681	3.220	8.217	12.019	4.420	0.578
	<b>6</b>	2.480	90.779	4.040	10.291	14.889	5.447	0.804
	<b>7</b>	3.334	148.050	5.680	13.181	18.337	6.595	0.906

	<b>8</b>	4.781	220.503	7.800	16.757	22.078	7.684	1.273
	<b>9</b>	5.438	323.476	9.500	20.118	26.089	8.935	1.683
	<b>10</b>	7.206	429.339	11.700	24.128	30.648	10.107	2.321
	<b>11</b>	9.006	474.076	12.880	26.151	36.187	11.252	2.019
	<b>12</b>	10.867	617.791	14.080	28.249	37.967	12.374	1.795
	<b>13</b>	14.510	905.976	17.740	34.875	43.452	13.656	3.480
<b>0.3</b>	<b>4</b>	1.524	44.364	2.740	6.966	9.196	3.319	0.908
	<b>5</b>	1.953	60.809	3.240	8.325	11.926	4.408	0.677
	<b>6</b>	2.482	88.735	3.780	9.723	14.700	5.481	0.462
	<b>7</b>	3.274	133.948	5.120	12.072	18.057	6.564	0.388
	<b>8</b>	4.393	216.737	6.760	14.928	21.491	7.653	0.515
	<b>9</b>	5.355	296.535	8.380	17.974	25.726	8.840	0.754
	<b>10</b>	7.682	405.562	10.140	21.068	28.034	9.878	1.050
	<b>11</b>	10.004	598.411	14.020	27.753	33.788	11.027	2.706
	<b>12</b>	10.867	617.791	14.080	28.249	37.967	12.374	1.795
	<b>13</b>	14.456	744.409	15.580	31.142	41.308	13.450	2.113
<b>0.4</b>	<b>4</b>	1.534	36.277	2.640	6.604	9.418	3.353	0.611
	<b>5</b>	1.979	52.478	3.180	7.988	12.223	4.445	0.363
	<b>6</b>	2.470	82.479	3.880	9.744	14.907	5.506	0.359
	<b>7</b>	3.227	126.897	5.020	11.906	18.037	6.574	0.312
	<b>8</b>	4.656	179.422	6.620	14.577	21.729	7.570	0.386
	<b>9</b>	5.344	256.337	7.840	16.923	25.378	8.775	0.308
	<b>10</b>	7.743	356.662	9.240	19.422	27.848	9.828	0.354
	<b>11</b>	8.177	456.250	10.740	22.337	33.554	11.242	0.355
	<b>12</b>	11.290	598.365	13.080	26.170	35.713	11.993	1.098
	<b>13</b>	13.579	670.817	14.220	28.844	40.397	13.405	1.219

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**Table 5.** The percentage contribution of each element for every impact, including a trend indicator decrement (D) or increment (I) of the progression over the wall height.

	Concrete		Landfill		Machinery		Formwork		Steel		Transport							
	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max	Min	Max						
<b>ADP</b>	39.9	43.5	~	7.9	10.4	D	15.1	17.1	D	4.0	8.4	D	4.8	12.6	I	17.2	18.5	I
<b>AP</b>	34.2	37.0	~	5.3	7.2	D	20.9	23.6	D	3.4	7.4	D	6.4	16.2	I	17.9	19.1	I
<b>CED</b>	31.6	34.2	~	5.7	7.7	D	23.1	25.8	D	3.3	7.1	D	5.6	14.4	I	19.4	20.8	I
<b>GWP</b>	56.5	60.2	~	3.4	4.7	D	13.4	15.2	D	1.8	4.0	D	4.8	12.2	I	11.0	11.4	I
<b>EP</b>	34.3	37.5	~	11.5	14.7	D	18.0	19.8	D	3.6	7.3	D	2.3	6.4	I	21.3	23.6	I
<b>POCP<sub>high</sub></b>	28.5	34.4	~	6.0	8.6	D	13.0	16.5	D	5.4	13	D	15.5	35.1	I	10.4	12.0	D
<b>POCP<sub>low</sub></b>	20.5	27.1	~	7.2	11.5	D	11.9	16.7	D	4.0	10.6	D	22.9	46.8	I	8.8	11.2	I
<b>ODP</b>	26.4	28.5	~	6.7	8.9	D	28.0	30.3	D	3.0	6.3	D	4.1	10.8	I	22.6	24.2	I

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**Table 6.** Impact factors per unit of concrete, steel and formwork

	Concrete (~/ $m^3$ )		Steel (~/ $kg_{st}$ )		Formwork (~/ $m^2$ )	
	Amount	Unit	Amount	Unit	Amount	Unit
<b>ADP</b>	5.71E-01	kg Sb-Eq	2.75E-03	kg Sb-Eq	1.85E-02	kg Sb-Eq
<b>AP</b>	6.65E-01	kg SO <sub>2</sub> -Eq	4.87E-03	kg SO <sub>2</sub> -Eq	2.15E-02	kg SO <sub>2</sub> -Eq
<b>CED</b>	1.24E+03	MJ-Eq	8.66E+00	MJ-Eq	4.24E+01	MJ-Eq
<b>GWP</b>	2.48E+02	kg CO <sub>2</sub> -Eq	8.43E-01	kg CO <sub>2</sub> -Eq	2.67E+00	kg CO <sub>2</sub> -Eq
<b>EP</b>	6.00E-01	kg NO <sub>x</sub> -Eq	1.69E-03	kg NO <sub>x</sub> -Eq	2.02E-02	kg NO <sub>x</sub> -Eq
<b>POCP<sub>high</sub></b>	2.46E-02	kg C <sub>2</sub> H <sub>4</sub> -Eq	4.68E-04	kg C <sub>2</sub> H <sub>4</sub> -Eq	1.51E-03	kg C <sub>2</sub> H <sub>4</sub> -Eq
<b>POCP<sub>low</sub></b>	1.58E-02	kg C <sub>2</sub> H <sub>4</sub> -Eq	5.65E-04	kg C <sub>2</sub> H <sub>4</sub> -Eq	1.01E-03	kg C <sub>2</sub> H <sub>4</sub> -Eq
<b>ODP</b>	1.02E-05	kg CFC-11-Eq	6.45E-08	kg CFC-11-Eq	3.79E-07	kg CFC-11-Eq

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**Table 7.** Impact savings per 10 % of steel recycling rate and impact reduction in steel due to a 70 % recycling rate

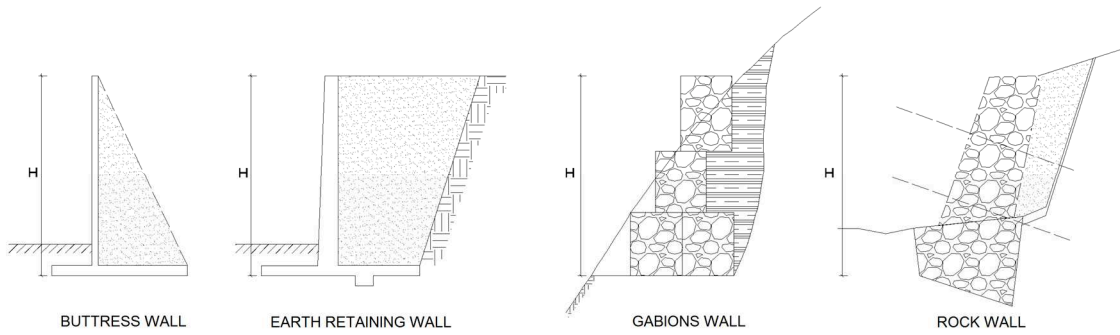
	<b>ADP</b>	<b>AP</b>	<b>CED</b>	<b>GWP</b>	<b>EP</b>	<b>POCP<sub>High</sub></b>	<b>POCP<sub>Low</sub></b>	<b>ODP</b>
<b>Unit</b>	kg Sb-Eq	kg SO <sub>2</sub> -Eq	MJ-Eq	kg CO <sub>2</sub> -Eq	kg NO <sub>x</sub> -Eq	kg C <sub>2</sub> H <sub>4</sub> -Eq	kg C <sub>2</sub> H <sub>4</sub> -Eq	kg CFC-11-Eq
<b><i>S</i><sub>10%</sub> (~/kg<sub>st</sub>)</b>	1.03 E-03	3.95 E-04	1.64	1.47 E-01	1.74 E-04	1.10 E-04	1.43 E-04	7.77 E-09
<b><i>R</i><sub>70%</sub> (%)</b>	72%	36%	57%	55%	42%	62%	64%	46%

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543 **List of Figures**

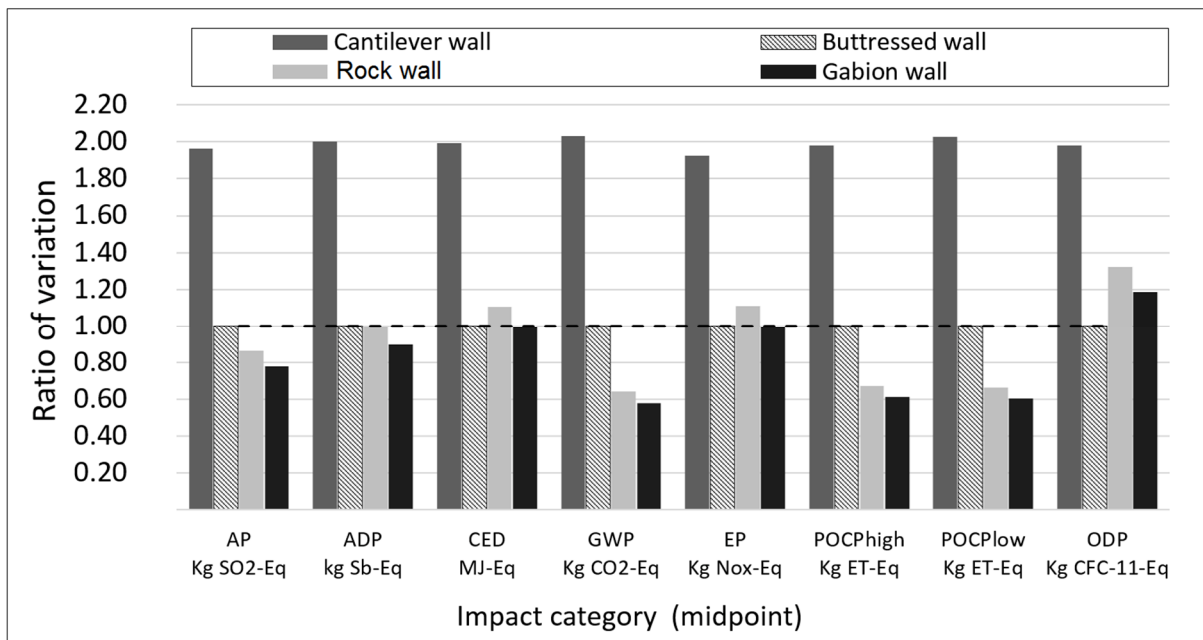
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546 **Fig. 1.** Earth-retaining wall designs

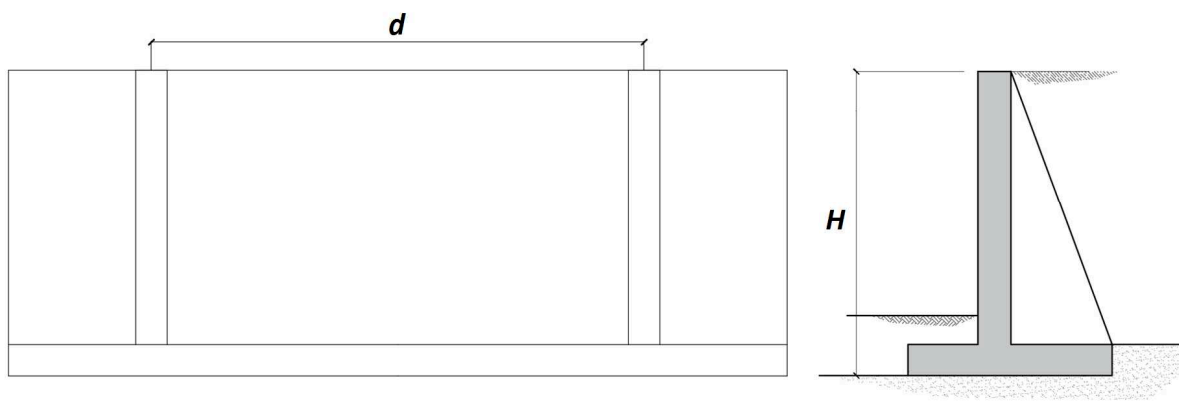
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549 **Fig. 2.** Variation of impacts per wall typology compared to the buttressed wall

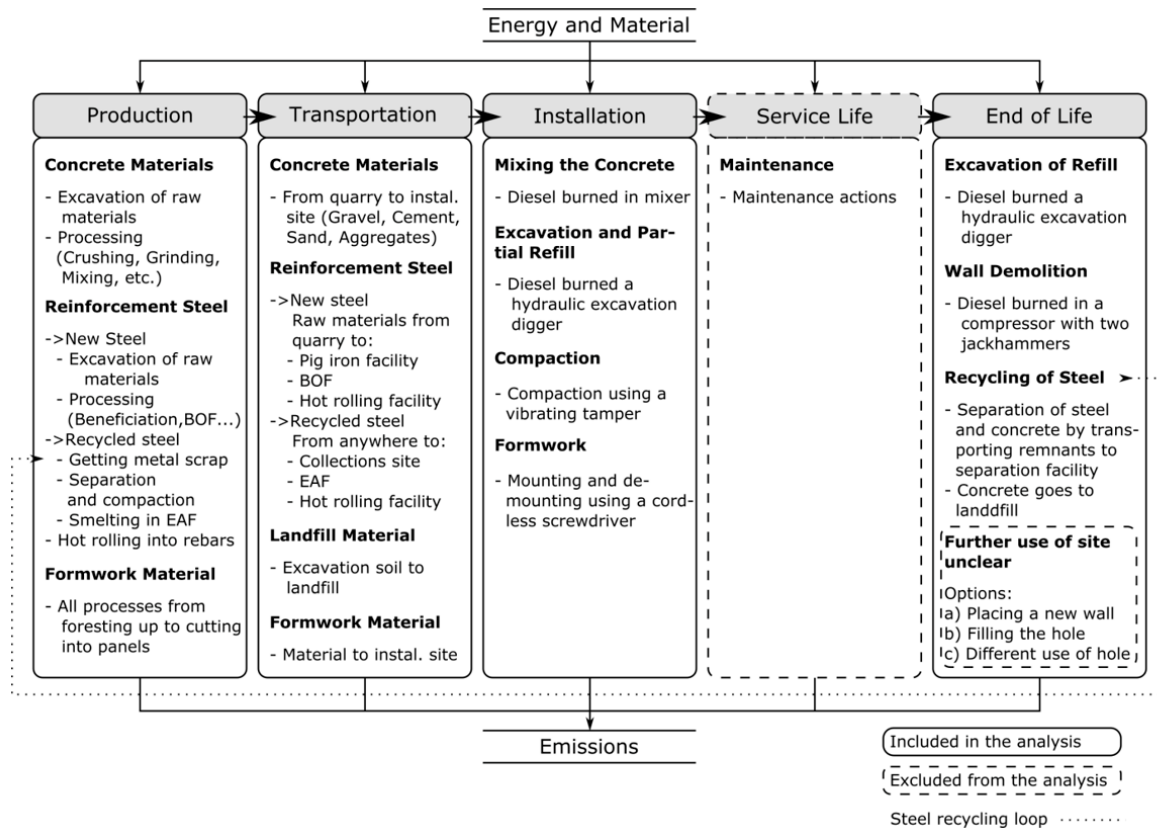
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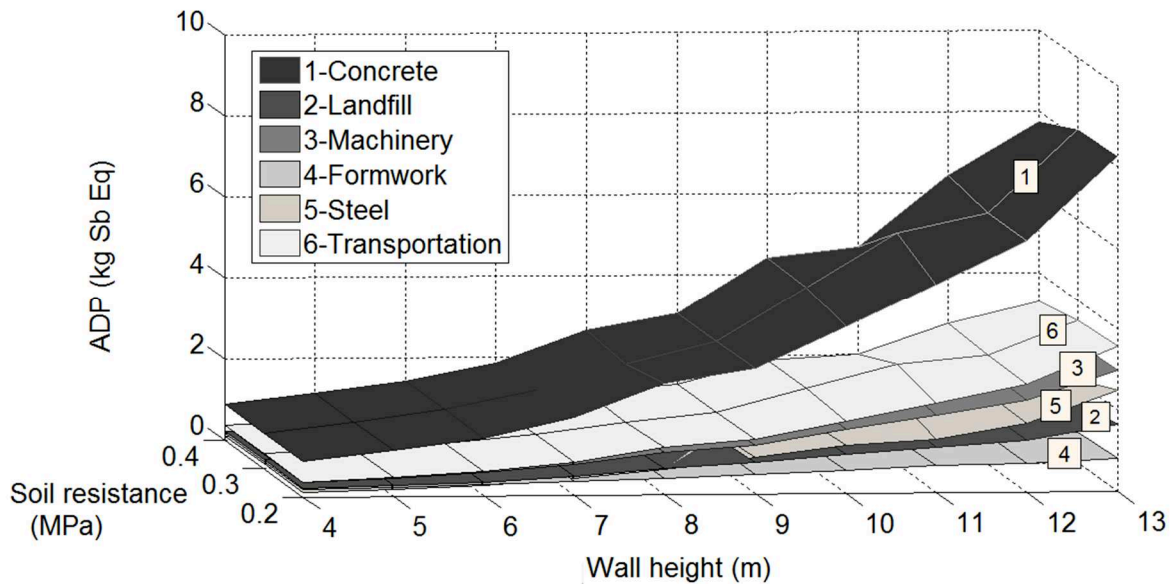
**Fig. 3.** Dimensions of the earth-retaining wall

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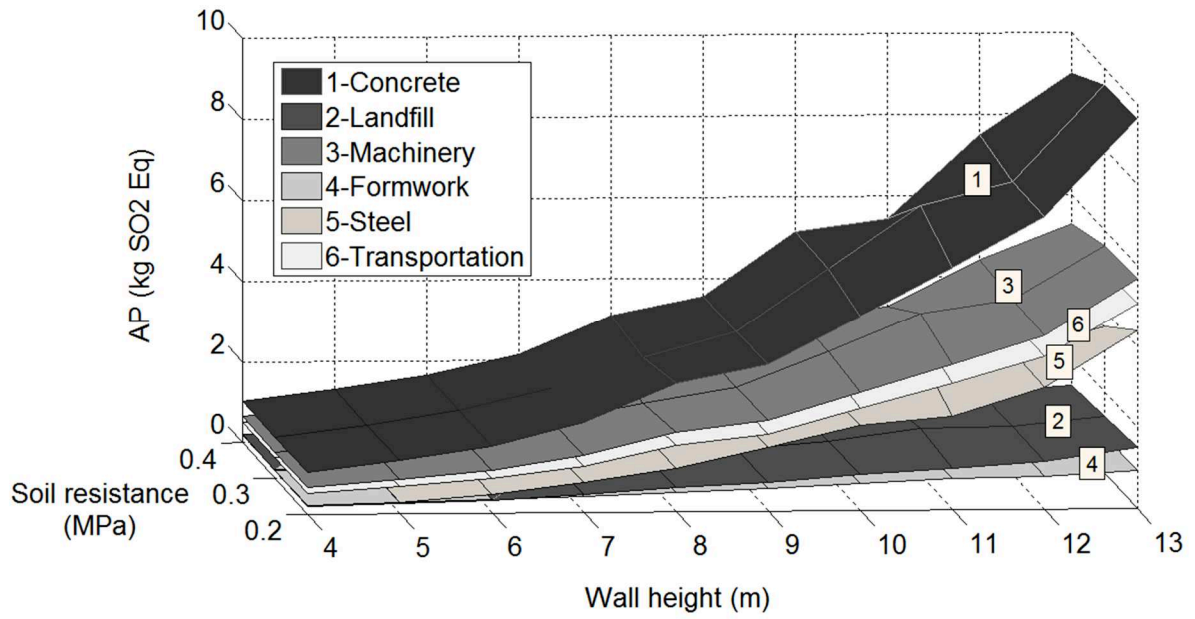
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554 **Fig. 4.** Life cycle of the earth-retaining wall divided into stages



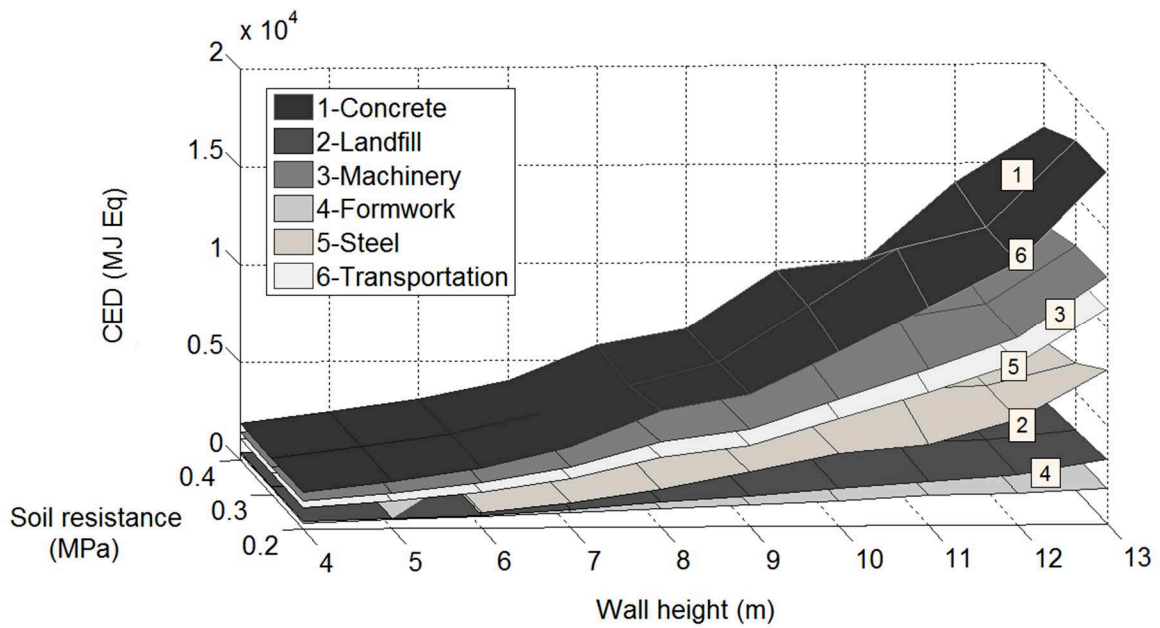
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556 **Fig. 5.** Development of the ADP with regard to wall height and permissible soil stress



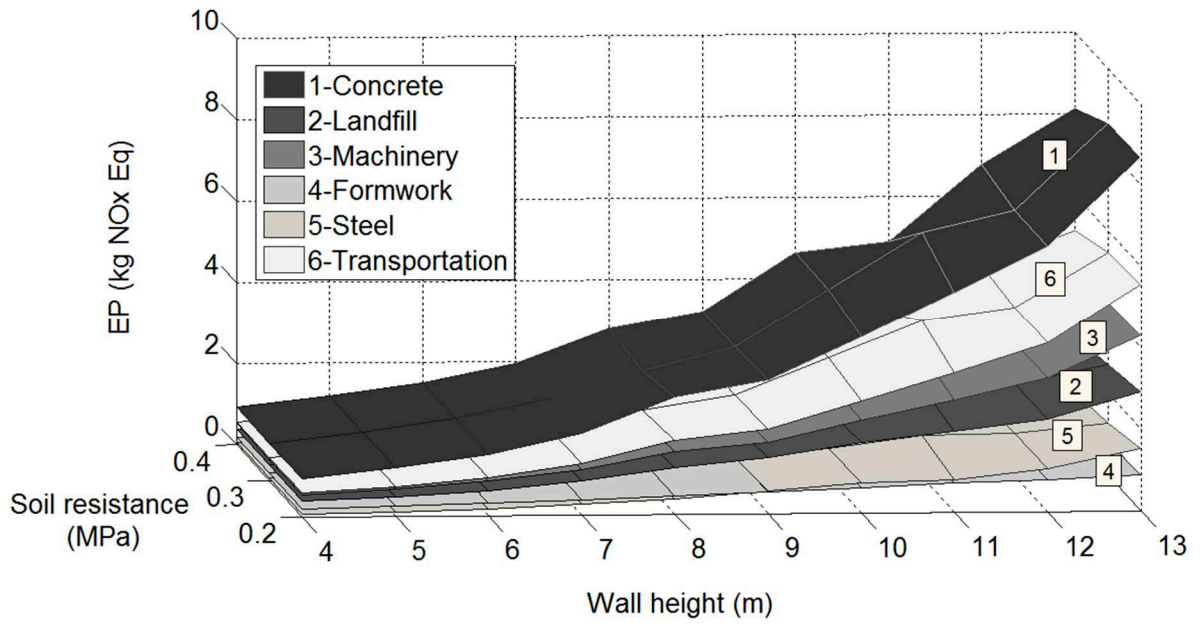
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558 **Fig. 6.** Development of the AP with regard to wall height and permissible soil stress



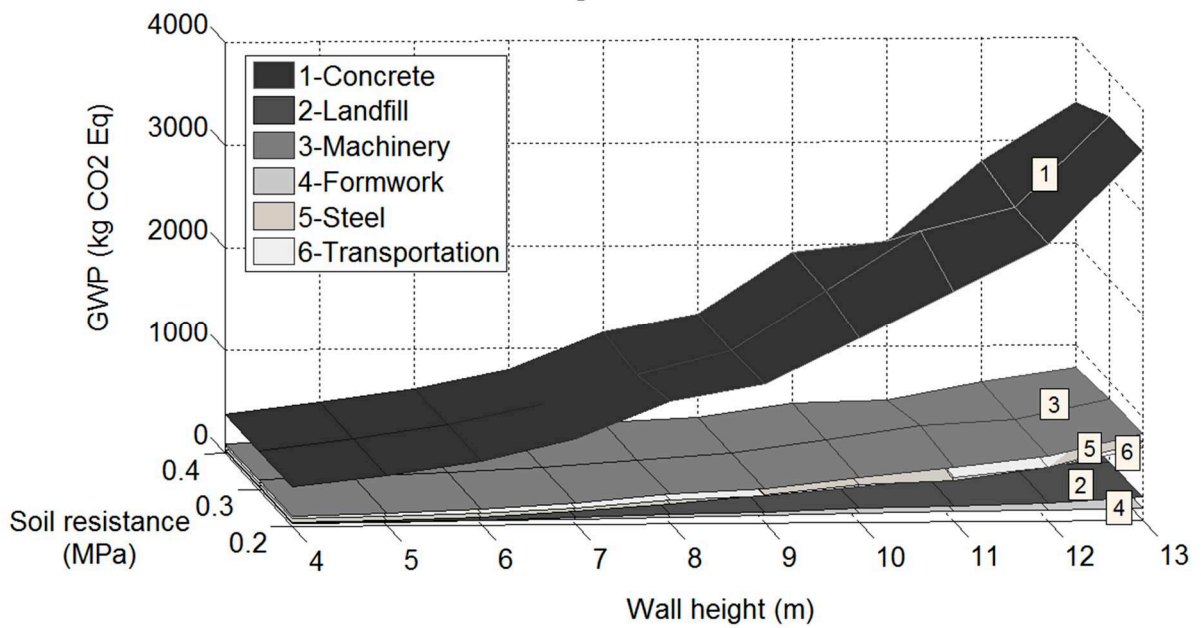
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560 **Fig. 7.** Development of the cumulated energy demand with regard to wall height and  
561 permissible soil stress



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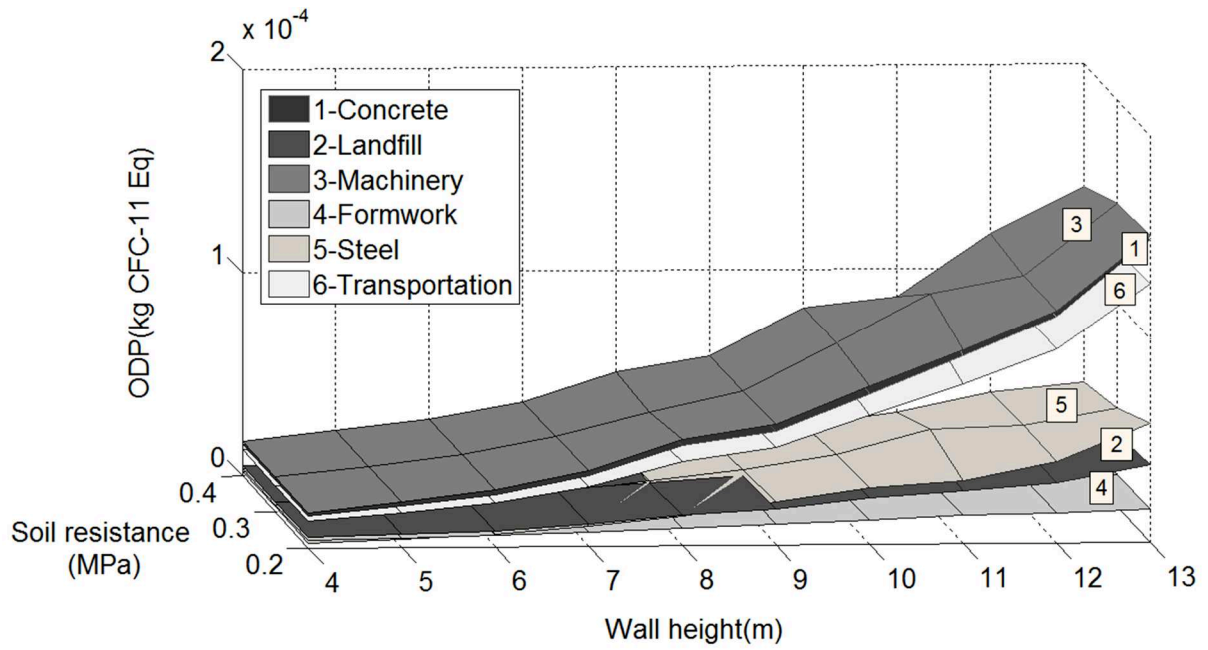
563 **Fig. 8.** Development of the EP with regard to wall height and permissible soil stress



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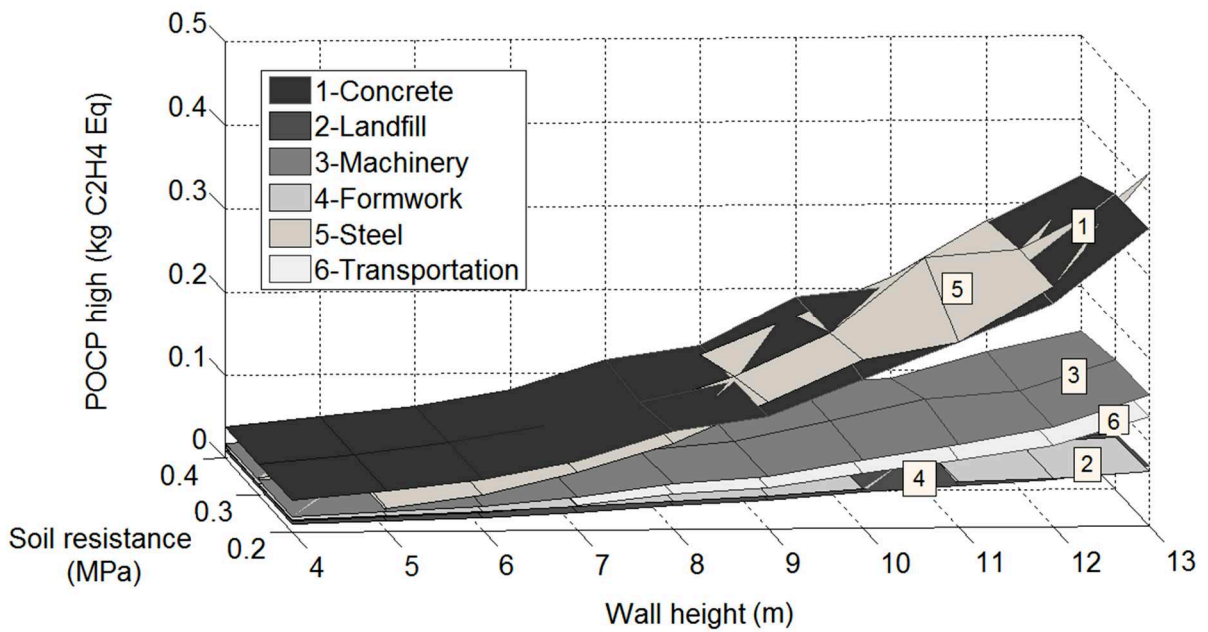
565 **Fig. 9.** Development of the GWP with regard to wall height and permissible soil stress





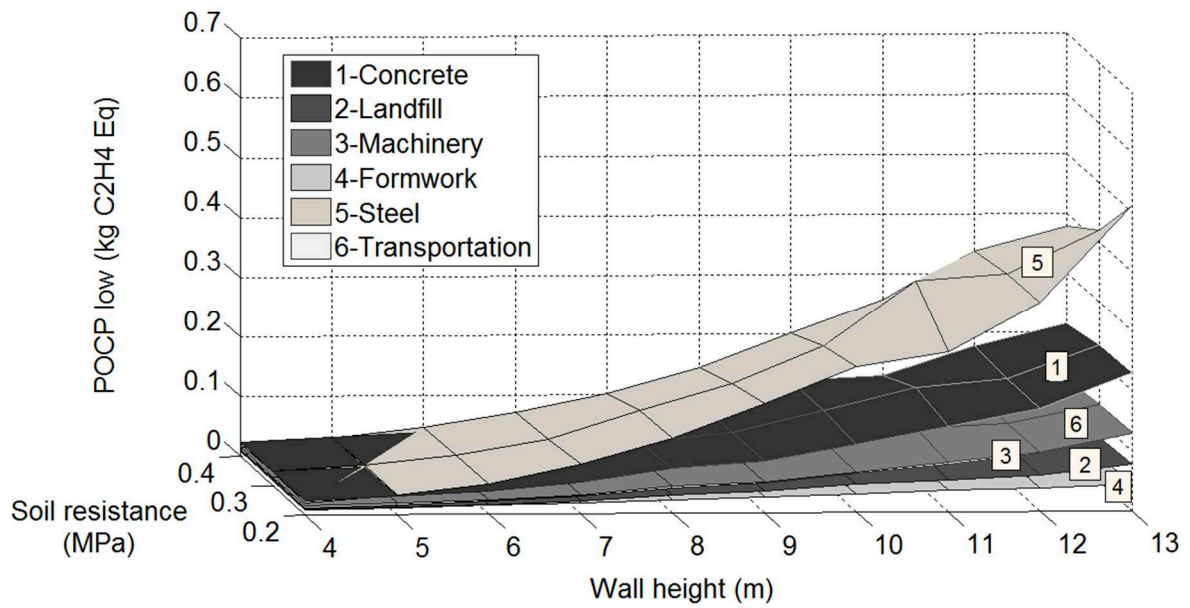
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567 **Fig. 10.** Development of the ODP with regard to wall height and permissible soil stress



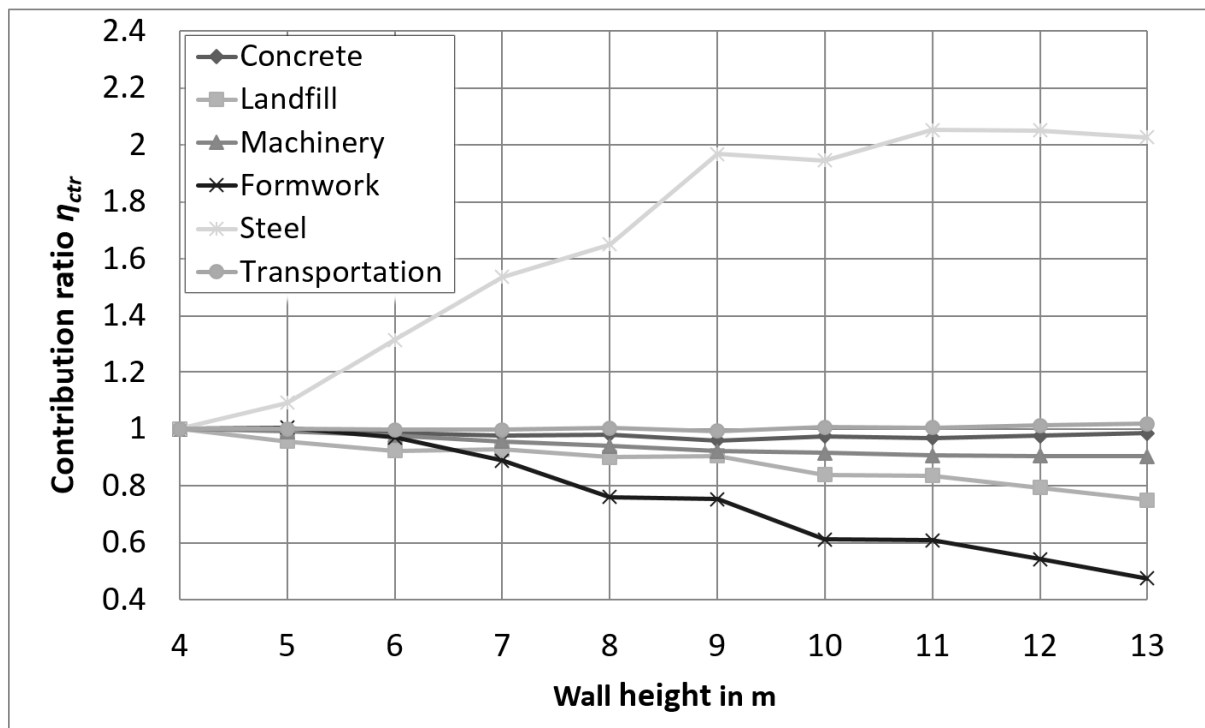
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569 **Fig. 11.** Development of the POCP<sub>high</sub> with regard to wall height and permissible soil stress



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571 **Fig. 12.** Development of the POCP<sub>low</sub> with regard to wall height and permissible soil stress



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573 **Fig. 13.** Development of the contribution ratio of every element over the GWP according to  
574 wall height.