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Additional Information

Global-referenced navigation grids for off-road vehicles and environments

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Abstract

The presence of automation and information technology in agricultural environments seems no longer questionable; smart spraying, variable rate fertilizing, or automatic guidance are becoming usual management tools in modern farms. Yet, such techniques are still in their nascence and offer a lively hotbed for innovation. In particular, significant research efforts are being directed toward vehicle navigation and awareness in off-road environments. However, the majority of solutions being developed are based on occupancy grids referenced with odometry and dead-reckoning, or alternatively based on GPS waypoint following, but never based on both. Yet, navigation in off-road environments highly benefits from both approaches: perception data effectively condensed in regular grids, and global references for every cell of the grid. This research proposes a framework to build globally referenced navigation grids by combining three-dimensional stereo vision with satellite-based global positioning. The construction process entails the in-field recording of perceptual information plus the geodetic coordinates of the vehicle at every image acquisition position, in addition to other basic data as velocity, heading, or GPS quality indices. The creation of local grids occurs in real time right after the stereo images have been captured by the vehicle in the field, but the final assembly of *universal grids* takes place after finishing the acquisition phase. Vehicle-fixed individual grids are then superposed onto the global grid, transferring original perception data to *universal* cells expressed in Local Tangent Plane coordinates. Global referencing allows the discontinuous appendage of data to succeed in the completion and updating of navigation grids along the time over multiple mapping sessions. This methodology was validated in a commercial vineyard, where several universal grids of the crops were generated. Vine rows were correctly reconstructed, although some difficulties appeared around the headland turns as a

31 consequence of unreliable heading estimations. Navigation information conveyed through globally
32 referenced regular grids turned out to be a powerful tool for upcoming practical implementations within
33 agricultural robotics.

34 **Keywords**

35 Off-road vehicles; Autonomous navigation; Grid maps; Stereoscopic vision; Global positioning;
36 Agricultural robotics

37 **1.- Introduction**

38 The application of robotics, information technology (IT), and automation to agricultural
39 production is becoming a reality; its practical in-field massive implementation is a matter of time.
40 According to Reid [1], the state of the art in robotics and automation technologies today can provide
41 capable machine control and intelligence that apply to a broad cross-section of machines currently
42 available in off-road equipment spaces, including agriculture, lawn and turf grass, and construction
43 machinery. In fact, Blackmore and Apostolidi [2] concluded that significant savings can be achieved by
44 adopting specific fleet-management algorithms and techniques for the centralized management of farm
45 robots. Yet, many roboticists are still unaware of the great potential for robotics latent in the agro-
46 industrial sector. Most of high accuracy GPS receivers currently in use for commercial purposes, for
47 instance, are integrated in conventional off-road vehicles and often supplied by farm machinery
48 manufacturers. The technology-inspired concept of precision agriculture (PA) has practically reached
49 most production zones of the world, and although PA was initially developed for bulk crops, specialty
50 crops are also demanding its solutions in the field. Pierce [3] estimated that labor constitutes 60 percent of
51 the cost of producing sweet cherries in the Pacific Northwest, and consequently, economic forces will
52 demand automation that replaces human labor in the specialty crop farm of the future, something that
53 engineers are challenged to achieve. High-value crops, such as wine grapes and fresh-market fruits, are
54 successfully introducing these technologies.

55 The practical embodiment of precision farming and field robotics is closely related to off-road
56 equipment. Most of current and future applications necessitate self-propelled vehicles to gather key
57 information from the environment and apply required production inputs. The concept of PA is based on
58 the spatial variability inherent in farming environments, and consequently it relies on a vehicle's capacity

59 to locate itself and those features of interest in its vicinity. In general, positioning may be referenced to a
60 global frame, or alternatively, to the moving vehicle. The former is typically achieved by satellite-based
61 positioning systems such as GPS or GLONASS, and the latter is commonly used by optical and ultrasonic
62 devices as digital cameras, laser rangefinders, or sonar. What makes global maps challenging is the need
63 to fuse global and local positioning information, because perception typically occurs at a local scale
64 whereas IT-based applications require data handled through global-referenced maps. Agrawal and
65 Konolige [4] proposed visual (frame-to-frame) odometry to link a series of local-referenced maps
66 generated from stereovision images. Although they mapped moderately-sized environments, visual
67 odometry had to be backed up with a GPS receiver in conjunction with an inertial measurement unit,
68 drift-compensated with a Kalman filter. The difficulties found in the transformation from local to global
69 coordinates with odometry are aggravated in off-road environments where the phenomenon of slippage is
70 habitual. For such situations, Rovira-Más [5] generated global maps by registering both global
71 coordinates and pose of a stereo camera, and then transforming stereo-based point clouds to global
72 coordinates east, north, and height.

73 The mapping of terrain with regular grids for assisting robot navigation roots in the pioneering
74 concept of occupancy (or certainty) grids, enunciated by Moravec and applied to sonar [6] and
75 stereovision [7]. Condensing the richness of information in the vicinity of a vehicle into a two-
76 dimensional (2D) grid has been effective for real time applications. The Cybe personal robot, for example,
77 can navigate handling dynamic obstacles with wheel encoders as the only sensors on board and dead-
78 reckoning as the primary navigation mode [8]. This simplification is favored by the efficiency of handling
79 data in 2D grids and the fact that Cybe operates in indoor environments. Moving outdoors, however,
80 complicates navigation significantly, what has induced a progressive sophistication of navigation grids. In
81 this line, the DARPA LAGR Program enabled a robotic vehicle to travel through complex terrain by
82 processing two simultaneous world models generated from dual stereo cameras [9]. This double gridding
83 was possible setting a different resolution for each stereo pair; both 2D arrays consisted of 200 x 200
84 cells, but the differential size of cells allowed for close ranges up to 40 m and long distances reaching 120
85 m. Although several perception sensors ([6],[9]) have been proposed as main generators of navigation
86 maps, binocular stereoscopic vision holds a preeminent position due to the richness of information
87 contained in every stereo pair of images. The advantages of 3D perception are a key for outdoor
88 navigation where, in addition to unpredictability, vehicles usually must cope with unstructured

89 environments. The problem of mapping while navigating has been traditionally related to the concept of
90 SLAM (Simultaneous Localization And Mapping), frequently solved representing 3D information in
91 regular grids. However, according to Marks et al. [10], simple binary occupancy grids are not sufficient
92 for off-road navigation, particularly in vegetated terrain, and as a result, a grid containing the variance of
93 heights instead of occupancy probability was proposed as a means to determine traversability. As a matter
94 of fact, the information contained in the cells of the grid leads to a particular type of grid. The terrain
95 maps developed by Rovira-Más et al. [11], for example, associate each cell to the 3D density (defined as
96 stereo-correlated points per unit volume) calculated from stereo vision point clouds. Another way of
97 modifying certainty grids in order to increase fault tolerance of navigation sensors is by implementing
98 redundant coverage and multi-sensor scanning [12]. This procedure showed better performance than the
99 classic Bayesian approach for a small prototype vehicle dealing with 10-cm square cells and 0.8 m
100 ranges. However, enhanced fault tolerance required triple coverage, and when a grid cell was updated by
101 subsequent measurements, the order of updates affected the results. A practical alternative to occupancy
102 grid maps has been feature-based SLAM. While the former has been widely used for unstructured
103 environments, the latter is appropriate when predefined landmarks are readily available; yet, it is possible
104 to implement both for mixed environments combining open spaces (with few landmarks) with dense
105 indoor structures [13]. This distinction is interesting for agricultural environments which are outdoors and
106 semi-structured, that is, there exist certain structures of known characteristics such as crop rows, tree
107 lines, and cut-grass swaths. An interesting attempt to make 2D navigation grids more versatile is by
108 implementing variable meshing in such a way that the size of grid cells increases as distance from the
109 mapping vehicle grows, as only the vicinity of the vehicle needs to be searched carefully [14]. This
110 approach is useful when dynamic objects are considered, and the primary reason for its execution is run-
111 time improvements when heuristic planners such as the A* or D* algorithms are incorporated. The bigger
112 implementation challenge, though, was handling the boundaries between resolutions.

113 All the approaches discussed above demonstrate that storing perception information for
114 navigation in a regular grid format presents so many advantages that it has gained universal acceptance in
115 robotics, becoming in practice the standard procedure for path planners and obstacle avoidance
116 algorithms. But in spite of this, as evidenced in [10], while the SLAM maps provide excellent relative
117 position information, they are not absolutely aligned with the Earth. This fact, which can be obviated for
118 many robotic applications —mainly small vehicles and indoor environments—, is of capital importance

119 in agricultural robotics, where global references are essential for management techniques that often need
120 to account for spatial variability and may require multiple actuations discontinued in time. As a result, the
121 most effective way of reconstructing the environment in which off-road intelligent vehicles operate would
122 be by combining 2D regular grids with global-based references. The stereovision-based path planner
123 GESTALT, implemented in NASA Mars exploration rovers, uses a uniform grid as the basis of its world
124 model, where each cell carries a goodness value indicating terrain traversability [15]. As global references
125 in Mars cannot be obtained from GPS receivers, odometry was the only possibility to merge consecutive
126 local grids. Solutions based on odometry, however, suffer from important limitations in terrains where the
127 vehicle wheels may slip significantly, and consequently cause the estimated rover position to be
128 erroneous. In conclusion, agricultural intelligent vehicles greatly benefit from both global references and
129 grid-based information, but on the other hand, they are usually subject to wheel slip and typically traverse
130 the same terrain various times per season, allowing the multi-stage generation of navigation maps. With
131 these premises in mind, the objective of this research is the development of a framework to construct
132 globally-referenced obstacle grids by combining GPS localization with 3D stereoscopic perception as a
133 navigation tool for off-road farm-oriented applications. Its final goal is to provide permanent and stable
134 positioning for every cell of the newly-developed universal grids covering off-road equipment operation
135 sites.

136 **2.- Conceptual definition of global-referenced universal grids**

137 The building blocks of a globally referenced 2D navigation grid, henceforth *universal grid*, are
138 vehicle-fixed local grids; therefore, obtaining adequate local grids is a necessary, but not sufficient,
139 condition to succeed in the construction of universal grids. In this research, local grids were generated
140 from the perceptual information acquired with a binocular stereoscopic camera, transferring the 3D data
141 carried by the point cloud of the scene to the cells of the local grid after applying the concept of *three-*
142 *dimensional (3D) density* [11]. Fig. 1 illustrates the fundamental stages of this process for a typical
143 agricultural scene: real scene of a vineyard (a), true-color 3D point cloud taken with 8 mm lenses (b), and
144 its corresponding vehicle-fixed local grid (c). Before constructing a local grid, several key parameters
145 must be determined for it to be useful, especially the *resolution* of the grid and the *cell size*. The cell size
146 is directly influenced by the dimensions of the objects to be detected, and in general, the smaller the cell
147 size is, the more accuracy will the map have. The resolution of the local grid is a pair of numbers (n_x , n_y)

148 representing the number of cells in the horizontal axis X and the number of cells in the vertical axis Y ,
 149 being the total number of cells given by the product $n_x \cdot n_y$. The resolution of the local grid is the straight
 150 quantization of the space reliably perceived by the mapping sensor. In this project, the stereo camera was
 151 set to cover a rectangle of 15 m in the traveling direction (Y) and about 6-10 m in the perpendicular
 152 direction (X). This configuration of the local axes X - Y is shown in Fig. 2. The onboard stereo camera was
 153 capable of sensing beyond 15 m from its position, but ranges were limited to this distance in order to
 154 avoid inaccurate pixel-matching for distant objects. Although these local grids are setup in two
 155 dimensions, rectangular-shaped, and composed of square cells, the environment they are representing is
 156 actually three-dimensional. In fact, stereo cameras provide 3D point clouds which are simplified by
 157 projecting the points into the ground plane previously quantized by regular cells. This procedure requires
 158 the selection of the thickness of the slice parallel to the ground (X - Y plane) inside which the points of the
 159 cloud considered in the local grid are contained. Heights over the vertical dimension of the targeted
 160 objects will certainly result in outliers adhering to the grid. As a result, each application must determine
 161 the optimal settings for the slice of 3D space ($\Delta Z = Z_{\max} - Z_{\min}$) considered in the generation of local
 162 grids.

163 **Fig. 1.** Generation of local grids: (a) real scene taken with 8 mm lenses; (b) 3D point cloud of 19602
 164 points; and (c) associated local grid of resolution 300 x 200.

165 The move from a set of independent local grids to a unique universal grid is, in reality, the
 166 superposition of all the local grids onto the global-based universal grid. The information contained in
 167 each local grid (Fig. 1c) is directly transferred to the universal grid, and from that point on, uniquely
 168 referenced to general axes east (E) and north (N), and to a common origin (O_E, O_N). While the orientation
 169 of the global axes (E, N) is well determined, the orientation of local axes (X, Y) will always be defined by
 170 the heading direction (forward direction) of the vehicle. Fig. 2 illustrates the generating process of a
 171 universal grid of resolution 16 x 15 from the superposition of two local grids created by a vehicle moving
 172 along trajectory Γ . Notice that in Fig. 2 local (grid) cells are smaller than universal (grid) cells, which is
 173 an effective means to make navigation maps more operative, although both global and local cells may be
 174 equally sized. However, it does not make sense to define universal cells smaller than local cells because
 175 the primary source of information is always local, and therefore accuracy cannot be artificially augmented
 176 over the transformation process ($L_U \geq L_L$). The transfer of data from local to global grid cells is an

177 important operation in the construction of universal grids. In theory there are many ways to deposit
178 information from the overlaying grid to the one laid under; however, not all of them result useful for
179 awareness and navigation. The superimposition of two flat, rectangular gratings with identical, regular
180 square grids produces unique interference patterns known as Moiré fringes [16]. If, in addition, we
181 consider different cell sizes and any possible relative orientation between grids, the download of
182 perception information from local grids to the underlaid universal grid may be challenging to carry out
183 without losing key data. For that reason, the information stored in each local cell is assigned to the local
184 coordinates (x_i, y_j) of its geometrical center, which are then transformed to global coordinates east-north
185 with Eq. 3. The global coordinates (E_i, N_j) of the cell corresponding to (x_i, y_j) can only be enclosed by a
186 unique cell of the universal grid, which from that point on adopts the 3D density value of the local cell
187 represented by (x_i, y_j) . If the newly-filled universal cell already has a 3D density value, old and new 3D
188 densities are then averaged to yield the definite value represented in the obstacle map. The block diagram
189 of Fig. 5 provides the detailed step-by-step chain of operations devised to create universal grids. Both Fig.
190 2 and Eq. 3 highlight the determinant role of vehicle heading ϕ whose accuracy is crucial to obtain correct
191 maps. Section 3 deepens in the definition of heading and the local-to-global transformation.

192 **Fig. 2.** Assembly of universal grids.

193 Before a universal grid can be constructed, all the configuration parameters that make possible
194 its deployment must be determined, which in practice means setting boundaries, axes, and dimensions.
195 The word “universal” is used here as an indicator of global referencing, and it does not imply that the
196 extension of the map covers the entire globe. As a matter of fact, the coordinates used for mapping the 3D
197 point cloud are expressed in the Local Tangent Plane (LTP) system of coordinates, which neglects the
198 sphericity of the Earth, and therefore cannot span over vast areas. Section 3 elaborates further on the
199 transformation from local-based and heading-affected (x, y) coordinates to Cartesian LTP east-north
200 coordinates. A universal grid, due to its universal character, must enclose every single grid cell formerly
201 registered with a local grid. This implies that the size of the universal grid has to be such that it
202 accommodates the entire set of local grids. One of the advantages of the LTP system is the possibility to
203 set the origin at the most convenient location. This flexibility implies that east and north coordinates can
204 be either positive or negative; however, grid cells are commonly indexed by natural numbers. Fig. 3
205 illustrates the coexistence of a user-defined arbitrary origin for east-north coordinates and the origin of the
206 universal grid. It is essential to understand that in spite of having different origins as a result of a simple

207 translation, the direction and orientation of the North-East axes are exactly the same, as expected from a
 208 universal positioning setting. As previously defined for the local grids, the resolution of a universal grid
 209 also comprises a pair of positive integers (n_H, n_V) specifying, respectively, the number of cells in the east
 210 (horizontal) direction and in the north (vertical) direction. Given that any point perceived with the stereo
 211 camera is, *a priori*, a member of the universal grid, the dimension of a universal grid must account for the
 212 extreme values of coordinates east and north. In addition, the maximum range reachable by the stereo
 213 camera in the traveling direction, y_{max} , needs to be considered as well to assure that not only the camera
 214 but the totality of the 3D point cloud is enclosed in the universal grid. Eq. 1 provides the mathematical
 215 expression that permits the calculation of the grid resolution, where L_U is the size of universal cells
 216 measured as the side of the square cell, E_{max} and N_{max} are the top values for the east and north coordinates,
 217 E_{min} and N_{min} are the farthest west and south coordinates respectively, and y_{max} is the maximum range set
 218 by the user in the stereo camera. Fig. 3 shows the main constituents of a universal grid: LTP origin, E-N
 219 axes, grid origin, and grid numbering.

$$\left. \begin{aligned} n_H &= \frac{E_{max} - E_{min} + 2 y_{max}}{L_U} \\ n_V &= \frac{N_{max} - N_{min} + 2 y_{max}}{L_U} \end{aligned} \right\} \quad (1)$$

220

221 **Fig. 3.** Configuration parameters of universal grids.

222 **3.- Mapping methodology**

223 The conceptual schematic of Fig. 2 depicts the initial elements (departure point) of the algorithm
 224 —2D local grids whose cells store 8-bit normalized (0-255) values of 3D density— along with the
 225 universal grid composed of new cells holding the perception information just transferred from the local
 226 grids. But, as justified in Section 2, going from local cells to global cells requires the intermediate
 227 transformation of cell centers from vehicle-fixed coordinates (X, Y) to global-based (E, N). This
 228 transformation relies on the real-time knowledge of two fundamental states of the vehicle: *heading angle*
 229 ϕ and *global coordinates* (E_0, N_0) for the *origin* of local coordinates. In reality, GPS receivers supply
 230 geodetic coordinates latitude, longitude, and altitude; therefore the onboard computer needs to transform
 231 them to LTP coordinates. This transformation, although essential to the process outlined here, falls

232 outside the scope of this paper, and will not be explained further (a step-by-step procedure is available in
233 [17]). Given the inherent sensitivity of this method to outliers, provisions must be made to cope with
234 erroneous GPS messages. Stereo point clouds usually contain massive amounts of data, and just one
235 miscorrelated 3D point leading to an impossible location is enough to adulterate the proper configuration
236 of a universal grid. This situation is not rare in open fields where multipath reflections, poor satellite
237 distributions above the receiver, or electronic noise may induce the acquisition of wrong positioning data.
238 Rovira-Más and Banerjee [18] investigated these particular cases and proposed filtering mechanisms to
239 enhance reliability in agricultural operations, most of which were successfully implemented in the
240 mapping vehicle used for this research.

241 As important as dealing with vehicle robust positioning (E_0, N_0), is the acquisition of stable
242 estimations of the vehicle's heading angle. These angles can be measured by external devices, such as
243 inertial sensors or fluxgate compasses, but can, alternatively, be deduced from the vehicle positioning
244 data [19]. In either case, as inferable from Fig. 2, it is essential to identify inaccurate headings as early as
245 possible to discard their associated local maps from the universal grid. Being the heading angle such an
246 important parameter in the transformation from local to global grids, it is critical to use a consistent and
247 unambiguous definition of heading. Unfortunately, there is no generally accepted definition of heading,
248 and ad-hoc formulas typically meet theoretical needs. However, for the case of practical, field-oriented,
249 general solutions, calculations must remain sound in all situations, some of them unexpected. The LTP
250 coordinate system allows the use of Euclidean geometry, which offers many advantages to spherical
251 geometry; yet, the calculation of headings involves the use of the inverse trigonometric function
252 arctangent that is defined between -90° and $+90^\circ$. This range of operation reduces the actual E-N plane in
253 half, and forces a redefinition of heading angle to cover the entire plane in the interval $[-180^\circ, 180^\circ]$. Such
254 a general reformulation, formally enunciated in [19], requires the specific treatment of every quadrant.
255 Fig. 4 graphically represents the definition of heading for each quadrant, and Eq. 2 specifies their
256 transformation expressions, where ϕ is the heading angle of the vehicle and φ is a supplementary angle
257 for quadrants 3 and 4. Interestingly, despite the fact that each quadrant requires its own expression for
258 calculating headings, all of them are equivalent, and the full simplification of the eight expressions given
259 in Eq. 2 leads to the unique general expression of Eq. 3, where (E, N) are the global coordinates of the
260 transformed point, (x, y) are the local coordinates of the transformed point, (E_0, N_0) is the global position

261 of the vehicle-fixed origin of coordinates, and ϕ is the heading angle defined for the range $[-180^\circ, 180^\circ]$
 262 according to Fig. 4.

$$\begin{aligned}
 Q_1: \phi \in [0, 90] &\rightarrow \begin{cases} E = E_0 + x \cdot \cos \phi + y \cdot \sin \phi \\ N = N_0 - x \cdot \sin \phi + y \cdot \cos \phi \end{cases} \\
 Q_2: \phi \in [-90, 0] &\rightarrow \begin{cases} E = E_0 + x \cdot \cos \phi + y \cdot \sin \phi \\ N = N_0 - x \cdot \sin \phi + y \cdot \cos \phi \end{cases} \\
 Q_3: \phi \in [-180, -90] &\rightarrow \begin{cases} E = E_0 - x \cdot \cos \phi - y \cdot \sin \phi = E_0 - x \cdot \cos(180 + \phi) - y \cdot \sin(180 + \phi) \\ N = N_0 - y \cdot \cos \phi + x \cdot \sin \phi = N_0 - y \cdot \cos(180 + \phi) + x \cdot \sin(180 + \phi) \end{cases} \\
 Q_4: \phi \in [90, 180] &\rightarrow \begin{cases} E = E_0 - x \cdot \cos \phi + y \cdot \sin \phi = E_0 - x \cdot \cos(180 - \phi) + y \cdot \sin(180 - \phi) \\ N = N_0 - y \cdot \cos \phi - x \cdot \sin \phi = N_0 - y \cdot \cos(180 - \phi) - x \cdot \sin(180 - \phi) \end{cases}
 \end{aligned} \tag{2}$$

$$\begin{bmatrix} E \\ N \end{bmatrix} = \begin{bmatrix} E_0 \\ N_0 \end{bmatrix} + \begin{bmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{bmatrix} \cdot \begin{bmatrix} x \\ y \end{bmatrix} \tag{3}$$

263 **Fig. 4.** General definition of vehicle heading.

264 Once the principal configuration parameters of the universal grid have been established, and the
 265 transformation equation obtained (Eq. 3), what remains to finish up the construction of the global
 266 navigation grid is the iterative routine that transfers all the information, cell by cell, from the set of local
 267 grids to the absolute universal map. Fig. 5 outlines this process with a flow chart. The first step of the
 268 construction process is the establishment of the universal map, according to the configuration parameters
 269 involved in Eq. 1. As stated above, the resolution of the universal grid is determined by the 3D
 270 coordinates of the extreme points perceived with the camera and the user-selected size of global cells.
 271 Notice that users may change the size of the cell according to their needs, but the boundaries of the map
 272 depend on the scenario sensed by the binocular camera, remaining fixed after the acquisition stage. Yet,
 273 the resolution of the universal grid will change with the cell size despite the invariance of the boundaries.
 274 After providing the general structure for the recipient of perception data, local grids are added iteratively,
 275 one by one. From each local grid, the algorithm requires the LTP coordinates of the origin, the grid's
 276 resolution and outlay (symmetry in the X direction), its heading direction, and the original cell size used
 277 during acquisition. Once the local grid in progress has been characterized, data transfer occurs cell by cell
 278 for the entire grid. The LTP coordinates of each local cell center are then calculated and situated on the
 279 universal map, falling into a specific universal cell that automatically adopts the 3D density value of the
 280 current local cell being transferred. If that universal cell is not empty and already has a 3D density value,
 281 the final label for that cell is estimated by averaging old and updated 3D densities. This recurrent process
 282 continues until the last cell of the local grid has been "globalized", and likewise until the last local grid

283 available has been remapped. After the last local grid has been appended to the universal navigation map,
284 the assembly algorithm ends with the graphical representation of the map. The size of universal cells,
285 along with other basic configuration parameters, is necessary for the instantaneous conversion between
286 LTP and universal grid coordinates. Inside each labeled cell, for those with valid information, an 8-bit
287 number —or its corresponding color/intensity code— indicates the 3D density, being 0 the code for
288 empty space and 255 the maximum 3D density inherited from primitive vehicle-fixed local grids. It is
289 important to keep in mind that the concept of 3D density, as enunciated in [11], has nothing to do with the
290 physical density of objects, as the 3D density is related to the concentration of points in the stereo cloud
291 that shape an object rather than to the mass of the object.

292 **Fig. 5.** Block diagram of the algorithm for constructing universal grids.

293 **4.- Vehicle architecture and validation tests**

294 The standard tractor of Fig. 6 was setup as the mapping platform for validating the methodology
295 proposed to create global referenced navigation grids. Global-based satellite positioning was available
296 from a differential GPS (Deere & Co, Moline, IL, USA), set to deliver SF1 signals with a static accuracy
297 of 75 cm and a pass to pass accuracy of ± 33 cm. Three-dimensional perception was assured by a
298 binocular stereoscopic camera (Videre Design LLC, Menlo Park, CA, USA), also featured in Fig. 6,
299 mounted on the tractor's cabin at 2.8 m above the ground, looking ahead, and tilted down a slight angle
300 between 12° and 20° . Although the stereo camera allowed a variable baseline and interchangeable lenses,
301 the baseline was fixed at approximately 20 cm, and only 8 mm and 12 mm lenses were actually used in
302 the experiments. Both camera and GPS receiver were connected to the onboard computer (AOpen, San
303 Jose, CA, USA), which served as the host of a customized C++ program designed to gather the input data
304 for the algorithm shown in Fig. 5. The off-road scenario used as environment to be mapped (Fig. 6) was
305 the vineyard of a commercial winery located in Requena (Spain). Navigation options were basically
306 limited to the 3-m wide empty lanes bounded by Cabernet-Sauvignon vines, guided by plane trellises, and
307 with plants reaching an approximate height of 1.5 m to 1.7 m (July-August, 2010).

308 **Fig. 6.** Mapping vehicle and off-road environment used in the experimental phase.

309 **5.- Results & Discussion**

310 The first verification pursued in the field was on coherence along a single testing row. The
311 complexity of the entire system working as a whole made it vulnerable at multiple points and stages: GPS
312 outliers, stereo mismatches, hardware glitches, code bugs, power failures, environmental difficulties such
313 as complicated lighting or blocking branches, and many other hindrances susceptible of deterring the
314 construction of global grids. In order to reconstruct a vineyard row, 17 stereo images were first taken
315 along the east-west trajectory plotted in Fig. 7a, and then real-time converted to vehicle-fixed local grids.
316 The actual inter-row lane driven was quite straight, and so was expected for its corresponding universal
317 grid. Vehicle heading was practically constant, as indicated by the plot of Fig. 7b which represents the
318 heading angle estimated from GPS position and time following the algorithms proposed in [18] and [19].
319 One of the key steps of the algorithm outlined in Fig. 5 is the *local to global transformation* of Eq. 3,
320 which is very sensitive to the reliability of heading (ϕ) estimations. In fact, straight rows as the one
321 studied here will never appear straight unless the vehicle heading has been properly determined. Fig. 8
322 shows two versions of the universal map assembled from 17 local maps whose origins of coordinates
323 coincide with some of the points traced in Fig. 7a. The difference between Figs. 8a and 8b rests on the
324 application of Eq. 3. The former grid of Fig. 8a applies the instantaneous heading estimated during the
325 run for each local map from GPS data [19], graphically represented in Fig. 7b. The grid of Fig. 8b, on the
326 contrary, considers a constant heading of -100° for the entire row, which is the average heading angle
327 directly calculated from the trajectory plotted in Fig. 7a. GPS-based heading estimations tend to degrade
328 over the headland turns, especially when maneuvers involve moving in reverse. However, Fig. 7a shows
329 no turn at all, and a close inspection of both grids barely reveals slight differences between them. This
330 result implies that whenever correct headings are available, as those in Fig. 7b, this mapping method
331 reconstructs reality quite consistently. The legend bars on the right side of the universal grids of Fig. 8, as
332 well as on all of the rest, represents the 3D density (stereo-based points shaping objects and counted for
333 each cell) normalized to a 0-255 scale, where bright cells represent low 3D density indicating traversable
334 space, and dark cells (over 100 in the current implementation, based upon field results) point to potential
335 obstacles perceived by the camera, here originated by the canopies of vines. As these universal cells are
336 squares of size 50 mm, distances and shapes can be immediately retrieved from the global grid.

337 **Fig. 7.** Trajectory coursed (a) and heading angle (b) for a mapping vehicle reconstructing a vineyard row.

338 **Fig. 8.** Global referenced navigation grid assembled using real-time GPS-based heading (a) and a
339 constant heading value of -100° (b).

340 Unlike local grids whose cell size is determined at recording time in the field, universal cells
341 may vary in size anytime, and therefore global referenced grids admit multiple versions according to the
342 resolution needed for each application. The flowchart of Fig. 5 points out where in the grid-construction
343 algorithm is the cell size introduced. Obviously, the smaller the size the greater resolution of the universal
344 grid, and consequently the higher computational resources will need to be allocated. The minimum size of
345 universal cells is just the size of the cells of generating local grids; lowering that value will not increase
346 accuracy. The grids of Fig. 8 have been built at the highest possible resolution of 50 mm cell size because
347 the local grids originally recorded in the field were set likewise. But while 25 cm² squares provide an
348 excellent resolution for local grids of dimensions 6.65 m x 14 m —i. e., an equivalent resolution of 131 x
349 280—, such degree of detail may not be necessary for the complete universal map reconstructing a
350 vineyard row of about 200 m length. As a matter of fact, when the universal grid of Fig. 8 was rebuilt
351 with a fivefold cell size of 250 mm (Fig. 9), in addition to preserve the structure of the row, the presence
352 of vegetation was enhanced, easing the use of the map.

353 **Fig. 9.** Enhancement of the grid represented in Fig. 8 by increasing the cell size fivefold.

354 The conventional frequency stipulated for GPS receivers is 5 Hz, and stereo cameras can easily
355 multiply that value several times. If a local map is registered every time the positioning receiver sends a
356 message, overlapping will very likely be unavoidable, depending on the traveling speed, typically kept
357 under 15 km/h for agricultural equipment. In practice, this rationale leads to obtain local grids only at
358 selected points of the vehicle's course, opening a discussion on which is the optimum sampling rate to
359 attain the best coverage. Fig. 10a plots the trajectory of the mapping vehicle (Fig. 6) traversing four
360 adjacent rows at velocities in the range 5 km/h to 8 km/h. The specific location points at which stereo
361 images were taken, setting therefore the origin of their associated local grids, have been highlighted in the
362 course traced in Fig. 10a with bold dots. The instantaneous heading angle of the vehicle estimated at each
363 registered point is graphed in Fig. 10b. Apart from the expected big changes over the headlands and
364 caused by a few isolated jumps, headings were stabilized at either 80° or -100° depending on the traveling
365 direction (east for the former and west for the latter). Fig. 11 is a close-up of the central part of the global
366 grid generated from the data of Fig. 10, using a cell size of 25 cm. As shown in the figure (11), rows are
367 parallel and plants remain in the sides. Given that the stereo camera could not penetrate the thick canopies
368 of vines, only the outermost vegetation perceived from the vehicle was integrated in the grid. The rest of
369 the cells corresponding to the vines' interior remained blank. However, the blank cells within the rows

370 and between two consecutive local grids (stereo images) were caused by the lack of overlap. This absence
371 of information can be easily amended by increasing the sampling rate, enlarging the span of local grids,
372 enhancing the reliability of GPS positioning, and by appending additional grids captured in posterior
373 mapping missions. Those cells representing unreachable locations for the camera, mostly at the canopy
374 center, would demand complementary passes with the camera mounted on alternative locations in the
375 vehicle. Intra-row gaps were often caused by the lack of reliable positioning information detected with
376 GPS quality indices. Fortunately, universal grid maps offer the necessary permanency to be completed
377 along successive mapping missions, which may be performed simultaneously to other farming tasks such
378 as cultivating, fertilizing, or spraying; the most important precaution is to always keep the same local
379 origin of the LTP coordinate system. The top row represented in the universal grid of Fig. 11, between
380 east cells 330 and 350, reveals the detrimental effect of appending a local grid with the wrong orientation.
381 This error was caused by one of the isolated jumps in heading noticeable in Fig 10b. In light of this result,
382 heading jumps may actuate as an indicator to discard local grids with high chances of corrupting the map.

383 **Fig. 10.** Trajectory traveled (a) and heading angle (b) for a vehicle reconstructing four parallel and
384 adjacent vineyard rows.

385 **Fig. 11.** Detail of three rows belonging to the universal grid of a vineyard.

386 The value of universal grids highly increases with reliability and completeness of grids, therefore
387 the greater amount of correct data incorporated to the map the better. The advantages of global
388 referencing significantly contribute to enrich grids by accumulating data over different mapping sessions.
389 Working outdoors and with actual fields in production implies coping with technical difficulties of varied
390 nature, from illumination challenges to positioning signal blackouts. The widest region mapped during
391 validation tests in the vineyard involved the area corresponding to ten parallel rows of approximately 140
392 m in length. Fig. 12 plots the six inter-row trajectories and seven turns executed by the tractor without
393 stopping. As in previous course plots, highlighted points indicate the origin of coordinates for the local
394 grids generated in the field when the binocular camera carried 12 mm lenses.

395 **Fig. 12.** Tractor path along six lanes for generating a universal grid covering an area of 30 m x 140 m

396 The most evident feature that cannot be absent in any version of this universal grid is parallelism
397 between rows of plants with a consistent inter-row lane spacing. The crop status by the end of August,

398 when this grid was elaborated, was exuberant (Fig. 6, right image), being lanes often invaded by vine
399 shoots. This meant that the original row spacing of 3 m was frequently reduced to 2.5 m or even less,
400 which is equivalent to ten cells of 25 cm, the cell size fixed for this occasion. This tight row spacing in
401 combination with the size and weight of the vehicle poses serious challenges to vehicle automation,
402 where reliability is probably the highest need. Fig. 13 provides the central section of the universal grid,
403 showing that parallelism among rows is correct. This fact allows the deduction of driving lanes even for
404 the areas not yet completed, just by connecting discontinuous canopy lines properly aligned. But while
405 straight portions were properly reconstructed, headland turns and row initiation led to certain
406 misalignments, as illustrated in Fig. 14. The algorithm to estimate headings [19] considers calculation
407 matrices of variable number of points, where point sequences must have a logic cadence. When turning
408 maneuvers involved reversing forward motion, normal point sequencing was drastically altered, and the
409 immediate consequence was the degradation of heading estimates. Inaccurate headings, thus, resulted in
410 obstacles placed at the wrong location in this universal grid.

411 **Fig. 13.** Central section of universal map outlined in Fig. 12.

412 **Fig. 14.** Headland turns and heading inconsistencies for the universal map of Fig. 13.

413 **6.- Conclusions**

414 Navigation maps for outdoor robotic applications are either vehicle-fixed, odometry-based, and
415 grid-oriented or, alternatively, GPS-based and waypoint-oriented; but not a hybrid of both. This research
416 introduces a framework to combine the advantages of permanent global referencing with the capacity of
417 synthesis proved for two-dimensional navigation grids. Perceptual 3D data is ideal due to its informative
418 richness, but on the other hand, it is difficult to handle in the form of massive point clouds; regular grids
419 offer a practical alternative that fits the real time needs of intelligent vehicles. The rough nature of off-
420 road environments makes dead-reckoning techniques to be inconvenient. The automation of agricultural
421 vehicles, which typically roam outdoor fields of moderate dimensions, highly benefits from mesh-based
422 navigation maps, but conventional vehicle-fixed reference systems have been proved to be inadequate;
423 global referencing while keeping a grid-oriented approach seems to bring the best solution. The method
424 developed constructs global referenced navigation grids by combining 3D stereo vision with satellite-
425 based real-time positioning. Field results demonstrated that local navigation grids, obtained from onboard
426 perception sensors, can effectively get transformed to universal grids where every cell is undoubtedly

427 associated to global coordinates east and north, giving navigation maps permanency over time. This
428 transformation, however, was not always exempt of difficulties, and real implementations revealed certain
429 sensitivity to GPS errors, very especially to the accurate estimation of vehicle heading. Nevertheless,
430 global reference navigation grids were effectively assembled and showed a great potential for future
431 implementations of agricultural robotics. Next moves to transform this potential into practical solutions
432 should evaluate accuracy, reliability, and permanency of universal grids in depth, as well as the optimal
433 relationship between application needs and grid parameters. The assurance of reliable real time estimates
434 of vehicle headings is also vital for the future dissemination of this methodology.

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489 **List of Figure Captions**

490 **Fig. 1.** Generation of local grids: (a) real scene; (b) 3D point cloud; and (c) associated local grid.

491 **Fig. 2.** Assembly of universal grids.

492 **Fig. 3.** Configuration parameters of universal grids.

493 **Fig. 4.** General definition of vehicle heading.

494 **Fig. 5.** Block diagram of the algorithm for constructing universal grids.

495 **Fig. 6.** Mapping vehicle and off-road environment used in the experimental phase.

496 **Fig. 7.** Trajectory coursed (a) and heading angle (b) for a mapping vehicle reconstructing a vineyard row.

497 **Fig. 8.** Global referenced navigation grid assembled using real-time GPS-based heading (a) and a
498 constant heading value of -100° (b).

499 **Fig. 9.** Enhancement of the grid represented in Fig. 8 by increasing the cell size fivefold.

500 **Fig. 10.** Trajectory traveled (a) and heading angle (b) for a vehicle reconstructing four parallel and
501 adjacent vineyard rows.

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503 **Fig. 12.** Tractor path along six lanes for generating a universal grid covering an area of 30 m x 140 m

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