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Additional Information

# 1 Improvement of FAO-56 model to estimate transpiration fluxes of 2 drought tolerant crops under soil water deficit: An application for 3 olive groves

4  
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## 15 16 **Abstract**

17 Agro-hydrological models are considered an economic and simple tool to quantify crop water  
18 requirements. In the last two decades, agro-hydrological physically based models have been  
19 developed to simulate mass and energy exchange processes in the soil-plant-atmosphere  
20 system. Although very reliable, due to the high number of required variables, simplified  
21 models have been proposed to quantify crop water consumes.

22 The main aim of the paper is to propose an amendment of FAO-56 spreadsheet program in  
23 order to introduce a more realistic shape of the stress function, valid for mature olive orchards  
24 (*Olea europaea* L.). The modified model is successively validated by means of the  
25 comparison between measured and simulated soil water contents and actual transpiration  
26 fluxes. These outputs are finally compared with those obtained with the original version of the  
27 model.

28 Experiments also allowed assessing the ability of simulated crop water stress coefficients to  
29 explain the actual water stress conditions evaluated on the basis of measured relative  
30 transpirations and midday stem water potentials.

31 The results show that the modified model significantly improves the estimation of actual crop  
32 transpiration fluxes and soil water contents under soil water deficit conditions, according to  
33 the RMSEs associated to the revised model, resulting significantly higher than the  
34 corresponding values obtained with the original version.

## 35 **Keywords**

36 FAO-56 agro-hydrological model, Water stress Function, Water uptake ability, Table Olive  
37 orchards. Midday Stem Water Potential, Relative Transpiration.

## 39 Introduction

40 The quantification of crop water requirements of irrigated land is crucial in the Mediterranean  
41 regions characterized by semi-arid conditions, where water scarcity and increasing  
42 competition for water resources are pressurizing farmers to adopt different water saving  
43 techniques and strategies, which may range from a simple periodic estimation of the soil  
44 water balance terms to a precise assessment of temporal and spatial distribution of water  
45 exchange within the soil–plant–atmosphere continuum (Provenzano et al., 2013).

46 The knowledge of actual transpiration fluxes can allow the correct estimation of crop water  
47 requirements and to dispose of irrigation management strategies aimed to increase water use  
48 efficiency. Physically based and stochastic hydrological models, although very reliable, in  
49 relation to the high number of variables and the complex computational analysis required  
50 (Laio et al., 2001, Agnese et al., 2013), cannot often be applied. The use of simplified models,  
51 considering a simple water bucket approach, may therefore represent a useful and simple tool  
52 for irrigation scheduling.

53 FAO Irrigation and Drainage Paper 56 (Allen et al., 1998) provides a comprehensive  
54 description of the widely accepted Penman-Monteith method to estimate reference  
55 evapotranspiration from standard weather data and also an affordable procedure to compute  
56 actual crop evapotranspiration under standard and non-standard (stressed) conditions. A first  
57 amendment of the algorithm, was recently proposed by Rallo et al. (2012) for arboreal crops  
58 in order to allow irrigation scheduling under soil water deficit conditions; with this  
59 modification the eco-physiological factor, affected by the crop stress, was separated from the  
60 Management Allowed Depletion (*MAD*) term, more related to the farmer choices and  
61 dependent on aleatory variables like the economic factors.

62 Even if several studies have been carried out (Fernández et al., 2001; Testi et al., 2004;  
63 Ezzahar et al., 2007; Er-Raki et al., 2008; Cammalleri et al, 2013) on the evaluation of olive  
64 water consumptions and in particular on the partition of the components of crop  
65 evapotranspiration in semiarid areas, a few studies have been considering the eco-  
66 physiological processes influencing the kinetic of root water uptake. This missing feature  
67 represents a limitation of the available version of the model that schematizes the crop water  
68 uptake by means of a transpiration reduction function in which the stress coefficient,  $K_s$ , is  
69 assumed linearly dependent on the soil water depletion, in the range between a certain critical  
70 value and the wilting point. Actually, the shape of  $K_s$  depends on eco-physiological processes,  
71 like plant resistance/tolerance/avoidance to water stress and soil water availability in the root  
72 zone. For xerophytes crops like olives, Rallo and Provenzano (2013) recognized a convex

73 shape of the  $K_s$  relationship and also that crop water stress conditions occur for soil matric  
74 potentials lower than -0.40 MPa. Moreover, it was showed that the reduction of actual  
75 transpiration becomes severe only under extreme water deficit conditions.

76 The main objective of the paper is to propose an amendment of FAO-56 original spreadsheet  
77 program and to assess its suitability to simulate table olive (*Olea europaea* L.) water  
78 requirement under soil water deficit conditions. In particular, a more realistic shape of the  
79 water stress function, valid for the considered crop, is introduced into the model in place of  
80 the original liner function; the validation is firstly carried out through the comparison between  
81 measured and simulated soil water contents (SWCs) and actual transpiration fluxes ( $T_a$ ).  
82 Outputs of the amended model are then compared with those obtained with the original  
83 version. Finally, the measured relative transpirations and midday stem water potentials  
84 (MSWP) are used to evaluate the ability of simulated stress coefficients to explain the actual  
85 crop water stress conditions.

## 86 **Overview on FAO-56 dual approach model and critical analysis**

87 FAO 56 model evaluates the root zone depletion at a daily time step with a water balance  
88 model based on a simple tipping bucket approach:

$$89 \quad D_i = D_{i-1} - (P_i - RO_i) - I_i + ET_{c,i} + DP_i \quad (1)$$

90 where  $D_i$  [mm] and  $D_{i-1}$  [mm] are the root zone depletions at the end of day  $i$  and  $i-1$   
91 respectively,  $P_i$  (mm) is the precipitation,  $RO_i$  the surface runoff,  $ET_{c,i}$  [mm] is the actual  
92 evapotranspiration and  $DP_i$  [mm] is the deep percolation of water moving out of the root  
93 zone.

94 The domain of the depletion function,  $D_i$ , is between 0, which occurs when the soil is at the  
95 field capacity, and a maximum value, corresponding to the total plant available water,  $TAW$   
96 [mm], obtained as:

$$97 \quad TAW = 1000(SWC_{fc} - SWC_{wp})Z_r \quad (2)$$

98 where  $SWC_{fc}$  [ $\text{cm}^3 \text{cm}^{-3}$ ] and  $SWC_{wp}$  [ $\text{cm}^3 \text{cm}^{-3}$ ] are the soil water contents at field capacity  
99 and wilting point respectively and  $Z_r$  [m] is the depth of the root system.

100 In absence of water stress (potential condition), the crop potential evapotranspiration  $ET_c$  is  
101 obtained multiplying the dual crop coefficients ( $K_{cb} + K_e$ ) and the Penman-Monteith reference  
102 evapotranspiration rate,  $ET_0$ , (Allen et al., 1998). In particular the “dual crop coefficients  
103 approach”, as explained in FAO 56 paper, splits the single  $K_c$  factor in two separate terms, a

104 basal crop coefficient,  $K_{cb}$ , considering the plant transpiration and a soil evaporation  
 105 coefficient  $K_e$ .

106 When water represents a limiting condition, the basal crop coefficients,  $K_{cb}$ , has to be  
 107 multiplied to a reduction factor,  $K_s$ , variable between 0 and 1. The reduction factor can be  
 108 express by:

$$109 \quad K_s = \frac{TAW - D_i}{TAW - RAW} \quad (3)$$

110 where  $RAW$  [mm] is the readily available water, that can be obtained multiplying  $TAW$  to a  
 111 depletion coefficient,  $p$ , taking into account the resistance of crop to water stress. In  
 112 particular, when water stored in the root zone is lower than  $RAW$  ( $D_i > RAW$ ), the reduction  
 113 coefficient  $K_s$  is lower than 1, whereas for  $D_i \leq RAW$  results  $K_s=1$ . Values of  $p$ , valid for  
 114 different crops, are proposed in the original publication (Allen at al., 1998). Considering that  
 115 the term  $p$  depends of the atmospheric evaporative demand, a function for adjusting  $p$  for  $ET_c$   
 116 is suggested (van Diepen et al., 1988).

117 The soil evaporation coefficient,  $K_e$ , describes the evaporation component of  $ET_c$ . When the  
 118 topsoil is wet, i.e after a rainfall or an irrigation event,  $K_e$  is maximum. Drier the soil surface,  
 119 lower is  $K_e$ , with a value equal to zero when the water content of soil surface is equal to  
 120  $SWC_{wp}$ . When the topsoil dries out, less and less water is available for evaporation: the soil  
 121 evaporation reduction can be therefore considered proportional to the amount of water in the  
 122 soil top layer, or:

$$123 \quad K_e = MIN \left\{ \begin{array}{l} K_r * (K_{c\_max} - K_{cb}) \\ f_{ew} * K_{c\_max} \end{array} \right\} \quad (4)$$

124 where  $K_r$  is a dimensionless evaporation reduction coefficient depending on the cumulative  
 125 depth of water evaporated from the topsoil,  $f_{ew}$  is the fraction of the soil that is both exposed  
 126 and wetted, i.e. the fraction of soil surface from which most evaporation occurs and  $K_{c\_max}$  is  
 127 the maximum value of  $K_c$  following rain or irrigation;  $K_{c\_max}$  represents an upper limit of  
 128 evapotranspiration fluxes from any cropped surface, whereas the term  $f_{ew}$  depends on  
 129 vegetation fraction cover and irrigation system, the latter influencing the wetted area.

130 The evaporation decreases in proportion to the amount of water in the surface soil layer:

$$131 \quad K_r = \frac{TEW - D_{e,i-1}}{TEW - REW} \quad (5)$$

132 where  $D_{e,i-1}$  is cumulative depth of evaporation (depletion) from the soil surface layer at the  
 133 end of (i-1)th day [mm],  $TEW$  [mm] is the total evaporable water from an effective depth  $Z_e$

134 of soil surface subject to drying, and  $REW$  [mm] is the readily evaporable water, representing  
135 the maximum depth of water that can evaporate from the topsoil layer without restrictions.  
136 When  $TEW$  is unknown, it can be estimated as  $TEW = 1000(SWC_{fc} - 0.5SWC_{wp})Z_e$ , where  $Z_e$  is  
137 usually assumed equal to 0.10-0.15 m. On the other hand,  $REW$  can be estimated according to  
138 soil texture (Allen et al., 1998).

139 Buckets models are very sensitive to the rooting depth parameter,  $Z_r$ , directly influencing the  
140 ability of the plant to extract water. Errors in its determinations determine an incorrect  
141 estimation of soil water stress coefficient and, as indicated by Er-Raki et al. (2008), the values  
142 of simulated evapotranspiration increase with increasing  $Z_r$ . In fact, higher  $Z_r$  causes  
143 increments of  $TAW$  within the root zone and, according to eq. 3, leads to higher  $K_s$  values.

144

## 145 **Materials and methods**

146 Investigations were carried out during irrigation seasons 2009, 2010 and 2011 (from April 15,  
147 DOY 105 to September 30, DOY 273) in the experimental farm “Tenute Rocchetta”, located  
148 in Castelvetro (Sicily, UTM EST: 310050, NORD: 4168561). The farm, with an extension  
149 of about 13 ha, is mostly cultivated with table olive grove (*Olea europaea* L., var. Nocellara  
150 del Belice), representing the main crop in the surrounding area. The experimental plot is  
151 characterized by 17 years old olive trees, planted on a regular grid of 8 x 5 m (250 plants/ha);  
152 the mean canopy height is about 3.7 m and the average fraction of vegetation cover is about  
153 0.35. Irrigation is practiced by means of pipelines with on line emitters installed along the  
154 plant rows. Each plant was irrigated with four 8 l/h emitters. Soil textural class, according  
155 USDA classification, is silty clay loam.

156 Standard meteorological data (incoming short-wave solar radiation, air temperature, air  
157 humidity, wind speed and rainfall) were hourly collected by SIAS (Servizio Informativo  
158 Agrometeorologico Siciliano), with standard equipments installed about 500 m apart from the  
159 experimental field. Net radiation  $R$  and its components were measured with a 4-component  
160 net radiometer (NR01, Hukseflux). According to ASCE-ESRI, the standardized Penman-  
161 Monteith method (Allen et al., 2008) was used to calculate atmospheric water demand.

162 A preliminary investigation on the root spatial distribution was carried out in order to identify  
163 the soil volume within which the highest root density is localized and where most of water  
164 uptake processes occur. A more detailed description of the soil physical properties and the  
165 root distribution is presented and discussed in Rallo and Provenzano (2013).

166

167 Irrigation scheduling followed the ordinary management practised in the surrounding area.  
168 The total irrigation depth provided by the farmer was equal to 80 mm in 2009, 33 mm in 2010  
169 and 150 mm in the 2011.

## 170 **Soil and crop water status measurements**

171 During the investigation periods, soil water contents were measured with Time Domain  
172 Reflectometry (TDR 100, Campbell Inc.) and Frequency Domain Reflectometry (FDR,  
173 Diviner 2000, Sentek) probes. On the basis of the results of Rallo and Provenzano (2013), the  
174 soil volume in which most of the root absorption occurs have been considered, in order to  
175 install the soil moisture probes and to dispose of a representative measure of the average *SWC*  
176 in the entire system (Xiloyannis et al., 2012). In particular, the soil volume where 80% of  
177 roots are localized, can be assumed as a parallelepiped with a length equal to the tree spacing  
178 (5.0 m), a width of 1.5 m and a depth of 0.75 m. Referring to this soil volume, spatial and  
179 temporal variability of soil water contents was monitored, from the soil surface to a depth of  
180 100 cm, using a FDR probe. Five access tubes were installed along two parallel directions, the  
181 first below the irrigation pipeline, at distances of 1.0 m, 2.0 m and 2.5 m from the plant and  
182 the second along a parallel direction, at a distance of 0.50 m from the first and about 1.0 m  
183 and 2.50 m from the plant. In this way it was possible to take into account the spatial  
184 variability of soil water content after irrigation. Additional measurements of soil water  
185 contents were carried out using nine TDR probes connected to a multiplexer. The probes,  
186 having a length of 20 cm, were installed below the irrigation pipeline, at the same distances of  
187 the FDR access tubes, but opposite side of the plant, in the layer 10-30 cm, 35-55 cm and 60-  
188 80 cm. Values of soil water contents measured with FDR and TDR systems were then  
189 averaged in order to determine, for each measurement day, a single value of *SWC*  
190 representative of the soil layer where most of the root absorption takes place.

191 Transpiration fluxes were monitored on three consecutive trees, selected within the field  
192 according to their trunk diameter, so that they can be considered representative of the grove,  
193 using standard sap flow sensors (Thermal Dissipation Probes, Granier, 1987). For each plant,  
194 two probes were installed on the north side of the trunk and then insulated, to avoid the direct  
195 sun exposure. The measurements acquired by the two sensors were then averaged. The central  
196 plant was the same in which *SWCs* were measured.

197 Daily values of actual transpiration were obtained by integrating the sap flux, under the  
198 hypothesis to neglect the tree capacitance. Daily transpiration depth [ $\text{mm d}^{-1}$ ] was obtained  
199 dividing the daily flux [ $\text{l d}^{-1}$ ] for the pertinence area of the plant, equal to  $40 \text{ m}^2$ . Then, in  
200 order to evaluate a representative value of the stand transpiration referred to the entire field, it

201 was necessary to up-scale the plant fluxes by considering, as a proximal variable, the ratio  
 202 between the average Leaf Area Index,  $LAI$  ( $m^2 m^{-2}$ ), measured in field, and the average value,  
 203  $LAI_p$  ( $m^2 m^{-2}$ ), measured on the plants in which sap fluxes were monitored.  
 204 In the same trees selected for transpiration measurements, midday stem water potentials  
 205 ( $MSWP$ ) were measured in 2009 and 2011 by using a pressure chamber (Scholander et al.,  
 206 1965), according to the protocol proposed by Turner e Jarvis (1982).

## 207 **Amendment of the FAO-56 model and parameterization of soil and crop**

208 FAO 56 model has been applied i) in the original form and ii) in its amended version, in  
 209 which the stress function, the threshold value of the soil water content below which water  
 210 stress occurs,  $SWC^*$ , and the minimum seasonal value of soil water content recognized in the  
 211 field,  $SWC_{min}$ , were experimentally determined.

212 In the first case, the model parameter  $p$  was assumed equal to 0.65, as indicated in table 22 of  
 213 the original paper, corresponding for the investigated soil to  $SWC^*=0.20$ , whereas  $SWC_{fc}$  and  
 214  $SWC_{wp}$  were considered equal to 0.33 and 0.13, determined according to the soil water  
 215 retention curve, for matric potentials of -0.33 MPa and -1.50 MPa respectively.

216 In the second case, in order to consider a more realistic water stress response of olive crops,  
 217 the original function, as implemented in the model, was modified according to the  
 218 relationship proposed by Steduto et al., 2009, in which  $K_s$  is a function of the relative  
 219 depletion,  $D_{rel}$ :

$$220 \quad K_s = 1 - \frac{e^{D_{rel} f_s} - 1}{e^{f_s} - 1} \quad (6)$$

221 where  $f_s$  is a fitting parameter characterizing the shape of the stress function. The value of  $f_s$   
 222 was assumed equal to 2.89 as experimentally determined by Rallo and Provenzano (2013).

223 Relative depletion can be determined as:

$$224 \quad D_{rel} = \frac{SWC^* - SWC}{SWC^* - SWC_{min}} \quad (7)$$

225 in the domain of soil water contents determining stress conditions for the crop  
 226 ( $SWC_{min} < SWC < SWC^*$ ).

227 Fig. 1 shows the water stress function, as implemented in the spreadsheet program.

228  
 229 **Figure 1 – Water stress functions for table olive orchards, as implemented in the**  
 230 **spreadsheet**

231  
 232  
 233 The shape of the considered function evidences that the water stress models is convex and  
 234 demonstrates that water stress becomes more and more severe at decreasing soil water status



235 ( $D_{rel}$  tending to 1); therefore, the reduction of actual transpiration is critical only for the most  
236 extreme water stress conditions. Moreover, the modified crop water stress function allows  
237 smoothing the unrealistic angular point indicating, in the  $K_s$  linear relationship, the passage  
238 from no-water stress to water stress conditions.

239 Under the investigated conditions,  $SWC^*$  and  $SWC_{min}$  was assumed to correspond to a matric  
240 potential of -0.4 MPa representing the thresholds soil water status separating a condition of  
241 negligible water stress (relative transpiration is approximately equal to 1) from a condition in  
242 which relative transpiration decreases with soil water content (Rallo and Provenzano, 2013).

243 On the other side,  $SWC_{min}=0.07 \text{ m}^3 \text{ m}^{-3}$ , lower than the measured wilting point of  $0.13 \text{ m}^3 \text{ m}^{-3}$ ,  
244 represents the minimum soil water content measured during the investigated seasons. The  
245 choice to consider  $SWC_{min}$  as the minimum seasonal value of soil water content recognized in  
246 the field and not the soil wilting point, as traditionally used for most crops, followed the  
247 suggestion of Ratliff et al., 1983 and, more recently, of Pellegrino et al. (2006). This  
248 assumption allowed to consider the strong ability of olive trees to extract water from the soil  
249 even below the soil wilting point and consequently a more coherent evaluation of the crop  
250 water availability (Lacape et al., 1998).

251 The depth of the root system,  $Z_r$ , was assumed equal to 0.75 m, as obtained on the basis of the  
252 measured root distribution, corresponding to the soil layer within which 80% of roots were  
253 encountered (Martin et al., 1999).

254 The average value of basal crop coefficient, in the mid and late stage seasons, was considered  
255 equal to 0.60, as recommended from Allen et al. (1998) and recently verified in the same  
256 experimental field (Minacapilli et al., 2009; Cammalleri et al., 2013).

257 Simulations were run during the three investigated years, from DOY 105 to DOY 273. For all  
258 the investigated periods,  $SWC_{fc}$  equal to  $0.33 \text{ m}^3 \text{ m}^{-3}$  was considered as initial condition, as a  
259 consequence of the copious precipitation occurred in the decade antecedent mid of April each  
260 year.

261 The values of the simulations variables, used as input for the original and modified models,  
262 are showed in Tables 1.

263

264 **Tab. 1 –Values of the variables used for the simulations carried out with the original and**  
265 **modified FAO 56 model.**

266

## 267 Performance of the models

268 The performance of the models was evaluated by the root mean square error (RMSE), and the  
269 mean bias error (MBE), defined as:

$$270 \quad RMSE = \sqrt{\left(\frac{1}{N} \sum_{i=1}^N d_i^2\right)} \quad (8)$$

$$271 \quad MBE = \frac{1}{N} \sum_{i=1}^N d_i \quad (9)$$

272 where  $N$  is the number of measured data,  $d_i$  is the difference between predicted and measured  
273 values (Kennedy and Neville, 1986).

274 An additional Student t-test was applied, as proposed by Kennedy and Neville (1986):

$$275 \quad t = \sqrt{\frac{(N-1)MBE^2}{RMSE^2 - MBE^2}} \quad (10)$$

276 To determine if the differences between measured and simulated soil water contents are  
277 statistically significant, the absolute value of the calculated  $t$  must be less than the critical  $t$   
278 value ( $t_{crit}$ ), for a fixed significance level. In this analysis, a significance level  $\alpha=0.05$  was  
279 assumed.

280

## 281 Results and discussion

282 Fig. 2 shows the temporal dynamic of measured  $SWCs$  during the investigation periods 2009,  
283 2010 and 2011 (2a-c), as well as the estimated potential crop transpiration (dashed line),  $T_c$ ,  
284 and the measured actual transpiration,  $T_a$ , in the same time intervals (2d-f). In addition the  
285 figure displays the corresponding simulation results obtained by considering the original  
286 (light line) and the modified (bold line) versions of the model. At the top of the figure the  
287 water supplies (precipitation and irrigation) are also shown.

288 As can be observed, compared to the original version, the amended model, provides better  
289 estimation in terms of either actual transpiration fluxes and soil water contents.

290 The statistical comparison, express in term of  $RMSE$  and  $MBE$  associated to  $SWC$  and  $T_a$   
291 simulated by modified and original models are presented in table 2.

292

293 **Fig. 2a-i - Temporal dynamic of observed and simulated  $SWCs$  and  $T_a$  fluxes during**  
294 **2009, 2010 and 2011. Potential transpiration fluxes and total water supplies are also**  
295 **shown**

296

297

298 **Tab. 2 – *RMSEs* and *MBEs* associated to *SWC* and actual  $T_a$  simulated with the**  
299 **original and modified models**  
300

301 A substantial agreement between measured average soil water contents in the root zone and  
302 the corresponding values, simulated with the revised model, is generally observed, with a root  
303 mean square error variable between 0.03 and 0.09.

304 Moreover, after a first simulation period in which the results of original and amended models  
305 are identical (absence of crop water stress), the original model determines a systematic  
306 overestimation of *SWC*, with *RMSE* variable between 0.05 and 0.10. The better estimation of  
307 minimum values of *SWC* obtained with the modified model is a consequence of considering  
308  $SWC_{min}$  in place of  $SWC_{wp}$ , allowing a better modeling of the root water uptake ability, as  
309 actually recognized for olive trees.

310 As can be observed in fig. 2d-f, the seasonal trends of actual daily transpiration fluxes  
311 simulated with the modified model, in all the investigated periods, generally follow the  
312 observed values with *RMSE*, on average, equal to 0.54 mm if considering all the data. Despite  
313 the reasonable global agreement, some local discrepancies can be observed in the periods  
314 immediately following irrigations (wetting events) in which peak values of  $T_a$ , due to the  
315 quick decrease of the depletion, are simulated. This evidence is corroborated by Liu and Luo  
316 (2010) and Peng et al. (2007), who observed that the dual approach of FAO-56 is appropriate  
317 for simulating the total quantity of evapotranspiration, but inaccurate in simulating the peak  
318 values after precipitation or irrigation.

319 The highest differences between simulated (modified model) and measured actual  
320 transpiration fluxes, observed from mid of July and end of August 2010 ( $RMSE=0.78$  mm),  
321 could be due to the neglected contribute to transpiration of the water stored in the tree. After  
322 any input of water in the soil, in fact, even the modified model does not consider the water  
323 redistribution processes occurring in the soil, as well as the tree capacitance effect, taking into  
324 account the increasing water stored in the leaves, branches and trunk of the tree. Anyway,  
325 contribution of the tree capacitance on transpiration fluxes needs a more specific  
326 investigation, in order to further improve the FAO-56 model framework. In addition, the  
327 result could be also due to the circumstance that after a prolonged drought period, it is  
328 possible that trees activate the portion of the root system placed outside the soil volume where  
329 soil moisture was actually monitored.

330 On the other hands, if comparing the original and the revised version of the model  
331 characterized of average *RMSE* values (all the data) equal to 1.40 mm and 0.54 mm  
332 respectively (table 2), it is evident that for both the simulations the predicted transpiration  
333 fluxes are coincident during the first period of simulation (absence of crop water stress) and

334 become quite different in the subsequent dry periods (fig. 2). The quickest reductions of  
335 actual transpiration fluxes, visible for the original model, are a direct consequence of the  
336 adopted linear stress function, detecting a rapid reduction of the  $K_s$  coefficient since the initial  
337 phase of the crop water stress.

338 Moreover, during dry periods, despite simulated  $SWC_s$  were generally higher than the  
339 corresponding measured, the values of actual transpiration resulted systematically lower.

340 Table 3 shows the statistical comparison in terms of Student-t test. As can be observed,  
341 differences between measured  $SWC$  and  $T_a$  values and the corresponding estimated by the  
342 revised model are statistically not significant ( $\alpha=0.05$ ) in 2009 and 2011, while they are  
343 always significantly different when the original model is considered. According to this result,  
344 it is evident that the modified model considerably improves the estimation of soil water  
345 content and actual transpiration fluxes.

346

347 **Tab. 3 – Student-t related to  $T_a$  and  $SWC$  obtained with the original and modified**  
348 **model. The corresponding critical t-values are also shown**

349

350 Fig. 3a-c shows, from the beginning of July to the end of September each year, the  
351 comparison between actual measured cumulative transpiration fluxes together with the  
352 corresponding predicted by the original (light line) and amended (bold line) version of the  
353 model. As discussed, except that for a certain underestimation observable since the end of  
354 July 2010, compared to the original model, the modified version estimates quite well the  
355 cumulative crop water consumes during the examined periods.

356

357 **Fig. 3a-c - Comparison between cumulative tree transpiration fluxes simulated by**  
358 **the models for a) 2009, b) 2010 and c) 2011 seasons and corresponding measured**  
359 **values (white circles)**

360

361 The better performance of simulated transpiration fluxes obtained with the modified model is  
362 therefore consistent with the combined effects of the improved  $SWC$  estimation and the more  
363 adequate schematization of the stress function.

364 Additional simulations evidenced that, assuming the depletion fraction  $p$ , as computed on the  
365 basis of experimental  $SWC^*$  and  $SWC_{min}$ , without modifying the stress function, slightly  
366 improve the estimation of soil water contents and actual transpiration fluxes compared to the  
367 original version of the model (data not showed), due to the increased total available water and  
368 to the reduced slope of the stress function. This results indicated that the impact on simulated  
369 variables ( $SWC$  and  $T_a$ ) is mainly due to the shape of the stress function, more than the choice  
370 of  $SWC^*$  and  $SWC_{min}$ .

371 In order to assess the ability of simulated crop water stress coefficient to explain the actual  
372 water stress conditions, fig. 4a-c shows the temporal dynamic of measured relative  
373 transpirations and simulated  $K_s$  values obtained with the original (light line) and modified  
374 (bold line) model. Midday stem water potentials are also shown in the secondary axis,  
375 whereas total water supplies are presented at the top of the figure.

376

377 **Fig. 4a-f - Temporal dynamic of measured relative transpiration,  $T_a T_c^{-1}$ , and**  
378 **simulated water stress coefficient,  $K_s$ , during 2009, 2010 and 2011. Measured**  
379 **midday stem water potential (MSWP) and total water supply are also shown**  
380

381 As can be observed, both the models determines a quick increasing of the relative  
382 transpiration immediately after irrigations, similarly to what observed for actual transpiration.  
383 Even in this case the modified model allows to better explain the dynamic of relative  
384 transpiration, showing a convex curve reflecting the marked tendency of the  $K_s$ (SWC)  
385 relationship. Conversely, the stress coefficient simulated by the original model systematically  
386 underestimates the relative transpiration with an opposite tendency, certainly due to the  
387 misrepresentation of the stress function. Additionally, if the amended model allows  
388 determining  $K_s$  values not lower than 0.6, as observed in the field in terms of relative  
389 transpiration, with the unmodified model unrealistic lower  $K_s$  are displayed, with a minimum  
390 of about 0.1. In the same figure it can be evidenced that the water stress coefficients follow  
391 the general seasonal trend observed for midday stem water potentials.

392 Fig. 5a-b illustrates the predicted  $K_s$  values, as a function of  $MSWPs$ , respectively obtained  
393 when the original and the modified model are considered. The regression equations,  
394 characterized by  $R^2=0.06$  and 0.46 respectively, are also shown. As can be observed in the  
395 figure,  $K_s$  values estimated with the modified model are characterized by a lower variability  
396 compared to those evaluated with the original FAO 56 model; furthermore, for the revised  
397 model, the fitted regression allows to explain the variance of the considered  $MSWP$  data set.

398

399 **Fig. 5a-b - Relationships between water stress coefficient,  $K_s$ , and midday stem**  
400 **water potential,  $MSWP$ , in the original (left) and modified (right) FAO 56 model**  
401

402 This result is well in agreement to the relationship experimentally obtained in 2008 using  
403 independent measurements of relative transpiration and midday stem water potential  
404 (unpublished data) and evidences how the modified model is able to properly reproduce, for  
405 the investigated crop, the stress conditions as recognized in the field.

406

## 407 **Conclusions**

408 In the paper, an improvement of FAO 56 spreadsheet program, aimed to consider a more  
409 realistic convex shape of the stress function for drought tolerant crops like olive trees, has  
410 been proposed and assessed.

411 The suitability of the amended agro-hydrological model was verified according to soil water  
412 contents and actual transpiration fluxes measured during the three irrigation seasons 2009,  
413 2010 and 2011. At the same time, the ability of the model to simulate crop water stress  
414 coefficients was also verified on the basis of an independent dataset of midday stem water  
415 potentials measured in the field.

416 Compared to the original version, the modified model allows a better modelling of the root  
417 water uptake ability and consequently to predict quite well the soil water contents in the root  
418 zone, with differences generally not statistically significant ( $\alpha=0.05$ ). In fact, the assumption  
419 of the minimum soil water content measured in the field, in place of the traditionally used  
420 wilting point, allowed taking into account the root ability of olive trees to extract water from  
421 the soil.

422 The amendment of the original model also permitted a considerable enhancement in the  
423 estimation of actual transpiration fluxes, as confirmed by the Student-t test applied for the  
424 three investigated seasons. The better performance of simulated fluxes is consistent firstly  
425 with the combined effects of the more realistic schematization of the stress function and  
426 secondly with the improved estimation of soil water content thresholds.

427 The underestimation of actual transpiration fluxes observed in the period from mid of July to  
428 the end of August 2010 could be due to the soil volume explored by the roots and/or to the  
429 neglected contribute of the tree capacitance, related to the water stored in the leaves, branches  
430 and trunk of the tree. This aspect needs a more specific investigation in order to verify the  
431 possibility of a further improvement of FAO-56 model.

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443

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