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Additional Information

# Effect of gas atmosphere on catalytic behaviour of zirconia, ceria and ceria-zirconia catalysts in valeric acid ketonization

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## **Abstract**

Ketonization of valeric acid, which can be obtained by lignocellulosic biomass conversion, was carried out in a fixed bed flow reactor over ZrO<sub>2</sub>, 5-20% CeO<sub>2</sub>/ZrO<sub>2</sub> and CeO<sub>2</sub> both under hydrogen and nitrogen stream at 628K and atmospheric pressure. Regardless gas-carrier 10 wt.% CeO<sub>2</sub>/ZrO<sub>2</sub> was found to show higher catalytic activity compared to zirconia per se as well as other ceria modified zirconia while ceria per se exhibited very low catalytic activity. All catalysts provided higher acid conversion in H2 than in N2 whereas selectivity to 5-nonanone was insensitive to gas atmosphere. XRD, FTIR, UV-Vis DRS, XPS, HRTEM methods were applied to characterize catalysts in reduced and unreduced states simulating corresponding reaction conditions during acid ketonization. XRD did not reveal any changes in zirconia and ceria/zirconia lattice parameters as well as crystalline phase depending on gas atmosphere while insertion of ceria in zirconia caused notable increase in lattice parameter indicating some distortion of crystalline structure. According to XPS, FTIR and UV-Vis methods, the carrier gas was found to affect catalyst surface composition leading to alteration in Lewis acid sites ratio. Appearance of Zr<sup>3+</sup> cations was observed on the ZrO<sub>2</sub> surface after hydrogen pretreatment whereas only Zr<sup>4+</sup> cations were determined using nitrogen as a gas-carrier. These changes of catalyst's surface cation composition affected corresponding activity in ketonization probably being crucial for reaction mechanism involving metal cations catalytic centers for acid adsorption and COO stabilization at the initial step.

Keywords: ceria, zirconia, ketonization, effect of gas atmosphere, valeric acid, biomass processing

## Introduction

Currently the development of supplementary methods for obtaining motor fuels and valuable chemicals by biomass processing is a promising way for sustainable development of global chemical industry. In general, lignocellulosic feedstocks are considered as a preferred source for energy and chemical production because it is non-edible and the most abundant class of biomass [1]. Organic acids, the largest group of the promising biomass derivatives, can be produced by fermentation and/or chemical transformation of glucose obtained by biomass hydrolysis. For example, valeric acid is a product of levulinic acid conversion, one of the platform molecules produced by dehydration of glucose [1,2]. Carboxylic acids can be converted into ketones accompanied by carbon dioxide and water release via catalytic decarboxylation of two acid molecules in the presence of metal oxides. This process is considered to be environmentally friendly because non-polluting by-products are formed. Ketones are useful products and find wide applications as solvents, as well as in ink manufacturing [3], corrosion inhibition [4], personal care products [5], and dishwashing detergents [6]. At the same time ketones are reported to be used as key precursors for producing fuel components via their consecutive reduction into alkanes. Onepot mode of carboxylic acid decarboxylation coupling followed by ketone hydrogenation into alkane over  $Pt(Pd)/M_xO_v$  bifunctional catalyst can be considered as a more attractive way to produce green diesel components probably providing higher selectivity due to immediate hydrogenation of adsorbed intermediate products coming from ketonization (Fig. 1). Although acid decarboxylation usually does not require reducing atmosphere and typically is carried out in inert gas, consideration of ketonization as a part of one-pot technology requires to optimize the process and to study catalytic behavior of metal oxides in acid ketonization under hydrogen gas atmosphere in comparison to nitrogen as well as to investigate the influence of gas atmosphere on the state of the catalyst active component.

Ketonization of various carboxylic acids on different metal oxides has been studied extensively, although the most suitable one was not identified. Previous reports were mainly focused on the ketonization of aliphatic acids [7-9], oxy-acids [2,10,11], fatty acids [4,8,9,12-19] acids and dibasic acids [20-22] to form symmetric, non-symmetric, fatty and cyclic ketones over catalysts based on MgO

[13,19], Nb<sub>2</sub>O<sub>5</sub>[2,10,11], CeO<sub>2</sub>[7,10,23-26], MnO<sub>2</sub>[7,27,28], TiO<sub>2</sub>[7,29-31], SiO<sub>2</sub>[7,26], Al<sub>2</sub>O<sub>3</sub>[7,12,26], ZrO<sub>2</sub>[9,10,15,26,32,33], Cr<sub>2</sub>O<sub>3</sub>[34,35], Fe<sub>3</sub>O<sub>4</sub>[36,37], Ba(OH)<sub>2</sub> [20-22], NaOH [38], FeSO<sub>4</sub> [20] at 558-723K, ambient pressure and inert atmosphere. Nevertheless there are scarce publications concerning influence of gas flow composition on the oxide catalyst reactivity. Thus Taimoor et al. have reported a beneficial effect of hydrogen on acetic acid conversion in decarboxylating coupling compared with nitrogen and carbon dioxide atmosphere [39] but possible reasons for such changes in catalytic activity were not elucidated.

In the present work we have studied catalytic activity of mono metal oxides ZrO<sub>2</sub>, CeO<sub>2</sub>, and bimetallic oxides CeO<sub>2</sub>/ZrO<sub>2</sub> with varied CeO<sub>2</sub> loading in valeric acid ketonization carried out in hydrogen and nitrogen atmosphere. HRTEM, XRD, FTIR *in situ*, UV-Vis *in situ*, XPS *ex-situ*, and low temperature N<sub>2</sub> physisorption techniques were applied to identify structural and electronic changes in the state of the oxides being exposed to the corresponding gas-carrier that could be responsible for catalytic activity.

# **Experimental**

#### **Materials**

Valeric acid (99%) and 5-nonanone (98%) was purchased from Acros Organics. The acid was degassed by argon and used as reagent. Both acid and ketone were used as standards for gas chromatographic analysis. H<sub>2</sub> was purified from oxygen by passing through nickel chromium catalyst.

#### **Catalyst preparation**

 $ZrO_2$  and  $CeO_2$  catalysts were prepared by precipitation from water solutions of  $Zr(NO_3)_4$  (pH=7) and  $Ce(NO_3)_3$  (pH=8) with 12.5(wt.%) NH<sub>4</sub>OH aqueous solution. Thereafter the precipitate was filtrated, washed with water, dried in the dry box at 383K and calcined at 723K in muffle furnace for 4 h.  $Zr(NO_3)_4$  and  $Ce(NO_3)_3$  aqueous solutions were obtained from  $Zr(NO_3)_4 \times 4H_2O(Aldrich)$  and  $Ce(NO_3)_3 \times 6H_2O(Aldrich)$ .

10%(wt.%)CeO<sub>2</sub>/ZrO<sub>2</sub> was prepared by incipient wetness impregnation of the ZrO<sub>2</sub> support with Ce(NO<sub>3</sub>)<sub>3</sub> aqueous solution. The catalyst was dried at 383K for

10 h and then calcined in muffle furnace at 773K for 4 h. The  $Ce(NO_3)_3$  aqueous solution was prepared from  $Ce(NO_3)_3 \times 6H_2O$  (Aldrich).

#### **Catalytic reaction**

The ketonization was carried out in a fixed bed flow reactor in the gas phase at 628K under hydrogen or nitrogen stream at ~1 bar with the flow rate of 30 cm<sup>3</sup>/min. Before the reaction the catalyst (m=0.5g, fraction=0.25÷0.5mm) was mixed with quartz (m=3g, fraction=1.0÷1.25mm) and heated in hydrogen stream until reaction temperature. Neat valeric acid was then fed using a syringe pump with liquid hourly space velocity (LHSV) = 0,34 cm<sup>3</sup>/(g·h). Catalyst was kept in the reagent flow at reaction temperature for 1 hour, and then condensable products were collected in the trap with liquid nitrogen through 2 hours.

#### **Analysis and measurements**

X-ray diffraction (XRD) analysis was performed on a D8 ("Bruker") diffractometer with  $CuK_{\alpha}$  radiation. The intensity data were collected over 20 range of 15–90° with a 0.05° step size and using a counting time of 5 s per point. Phase identification was carried out by comparison with JCPDF database cards. The lattice parameters of monoclinic  $ZrO_2$  were determined using (001), (110), (111), (200) patterns by Rietveld method. The lattice parameter of tetragonal  $ZrO_2$  was determined using (111) pattern by "POLYCRYSTAL" program. The CSD was determined by Scherrer equation using (111) pattern half-width.

X-ray photoelectron spectroscopy (XPS) was performed *ex-situ* on a SPECS ("SPECS GmbH") spectrometer by using  $AlK_{\alpha}$  (1486.6 eV) radiation as the excitation source and pass energy of 20eV. The binding energies were calibrated using ground levels  $Au4f_{7/2}$  (84.0 eV) and  $Cu2p_{3/2}$  (932.7 eV). Charging of specimen surface was corrected by internal standard method Zr  $3d_{5/2}$  (182.3 eV) in ZrO<sub>2</sub>. Vacuum level during XPS analysis was  $1*10^{-9}$  mbar.

High-resolution electron microscopy (HRTEM) was carried out with a JEM 2010 microscope with resolution of 0.14 nm at accelerating voltage 200 kV. The local elemental energy-dispersive X-ray (EDX) microanalysis was performed using an EDAX spectrometer.

UV-vis diffuse reflectance spectra were *in situ* recorded in the range of 190-900 nm and resolution of 2 nm using a UV-Shimadzu UV-vis spectrometer with IRS-

250A prefix diffuse reflection. BaSO<sub>4</sub> was used as standard. Samples of catalysts were placed, in form of dry powders, into a special cell for DR UV-vis measurements. All experiments were performed under vacuum or argon atmosphere using the "break-seal" technique.

The DRS spectra are presented according to the Kubelka–Munk function [40]:

$$F(R) = \frac{(1 - R)^2}{2R}$$
 (1)

here R is reflection coefficient.

Infrared spectroscopy study of CO adsorption was recorded *in situ* in the range of 400-6000 cm<sup>-1</sup> and resolution of 4 cm<sup>-1</sup> on a FTIR-8300 Shimadzu spectrometer. The sample was pressed in form of tablets (mass typically 20–40 mg, p= 9–15 mg/cm<sup>2</sup>). The tablet sample was heated at 723K for 1 h in air, and then was reduced by H<sub>2</sub> in a special *in situ* cell at the same temperature for 30 minutes. Thereafter hydrogen was pumped out for 30 minutes at 723K. The treatment was repeated 3 times. CO was adsorbed in small doses 0.02 Torr up to CO pressure 5 Torr at 110 K.

The specific surface of the samples was calculated by the BET method using an argon adsorption isotherm at 77K.

The reaction products were identified by Agilent Technologies 7000 GC/MS Triple Quad using fused silica column Agilent HP-5ms  $(30m/0.25mm/0.25\mu m)$  (USA).

The product content was analyzed by "Chromos 1000" GC equipped with a flame ionization detector (FID) and fused silica column Stabilwax-DA (50 m/0.32 mm/0.5 $\mu$ m) (USA) at 373-473K with temperature ramp 10K/min.

The conversion of valeric acid was defined as the molar percentage of the reacted acid to all liquid products using the following equation 2:

$$\alpha(\%) = \frac{C_a^0 - C_a}{C_a^0} \times 100 \tag{2}$$

The selectivity to 5-nonanone was calculated as the weight percentage relatively to all organic liquid products, as shown in equation 3

$$S(\%) = \frac{2 \times C_k}{C_a^0 - C_a + \sum_k C_k} \times 100$$
 (3)

, where  $C_a{}^o$ ,  $C_a{}^-$  are the concentrations (mol/l) of inlet fed valeric acid, unreacted valeric acid and  $\Sigma C_k$  – sum of concentrations (mol/l) of produced ketones 5-nonanone, respectively. According to the stoichiometry of the reaction two molecules of valeric acid consumed for every molecule of 5-nonanone or other ketone formed. Therefore concentration of 5-nonanone was mulitiplied by 2 in numenator and sum of concentrations of all ketones is added in denominator [41].

## **Results and discussion**

#### **XRD**

The X-ray patterns of the samples are presented in Fig. 2. The  $ZrO_2$  sample consisted of a mixture of monoclinic and tetragonal  $ZrO_2$  phases. The reductive treatment of oxide with hydrogen resulted in a negligible increase of the monoclinic phase (Table 1). The values of lattice parameters and coherently scattering domain ( $D_{CSD}$ ) for  $ZrO_2$  were independent on the gas atmosphere.

The bimetallic oxide 10%CeO<sub>2</sub>/ZrO<sub>2</sub> sample showed diffraction patterns of ZrO<sub>2</sub> in tetragonal phase with a minor admixture of monoclinic zirconia. Absence of CeO<sub>2</sub> phase denoted formation of the solid solution. The phase composition, lattice parameter and CSD of 10%CeO<sub>2</sub>/ZrO<sub>2</sub> remained invariable after hydrogen treatment. Thus, no considerable effect of hydrogen treatment on phase composition and structure parameters of the samples 10%CeO<sub>2</sub>/ZrO<sub>2</sub> and ZrO<sub>2</sub> at temperature up to 723K was observed.

A comparison of the XRD data for  $ZrO_2$  and  $10\%CeO_2/ZrO_2$  illustrated the increasing amount of tetragonal  $ZrO_2$  phase and its lattice parameter when zirconia was modified by ceria. The observed structural change could be responsible for the tetragonal phase stabilization during  $ZrO_2$  modification. The lattice parameter growth can be explained by the formation of solid solution. According to the literature data [42], substitution of some  $Zr^{4+}$  ions by  $Ce^{3+}$  or  $Ce^{4+}$  favors the  $ZrO_2$  lattice distortion as cerium ion radius ( $r(Ce^{3+})=1.02$  Å,  $r(Ce^{4+})=0.92$  Å) is larger than zirconium one ( $r(Zr^{4+})=0.77$  Å).

#### **HRTEM** and **EDX**

According to HRTEM,  $10\%\text{CeO}_2/\text{ZrO}_2$  sample represented agglomerates with wide size distribution from 50 nm to 5  $\mu$ m. Agglomerates were mainly composed of

highly ordered small crystallites of ZrO<sub>2</sub> tetragonal phase with size of 5.5-8 nm. At the same time some particles of size 13–15 nm were observed that consist of incoherently accreted smaller crystallites with formation of highly disoriented intergrain boundaries between these crystallites (Fig.3). According to the EDX data, elemental composition in different domains slightly varied from 87.94 to 95.44% for zirconium and from 12.06 to 4.56% for cerium (Fig.4). However, individual phase of ceria was not observed. These results clearly showed uniform Ce component distribution in the zirconia sample.

#### XPS ex situ

XPS measurements (Fig. 5) of both  $ZrO_2$  and  $10\%CeO_2/ZrO_2$  unreduced samples showed binding energy values of  $Zr~3d_{5/2}$  (182.8 eV) and  $Zr~3d_{3/2}$  (184.4 eV) indicating the presence of  $Zr^{4+}$  ions [43]. The Ce 3d spectra consisted of two sets of spin-orbit multiplets featuring  $3d_{3/2}$  and  $3d_{5/2}$  (represented as u and v, respectively) contributions (Fig. 6) [44]. The peaks labeled v and v'' have been assigned to a mixing of Ce  $3d^9$   $4f^2$  O  $2p^4$  and Ce  $3d^9$   $4f^1$  O  $2p^5$  Ce(IV) final states, and the peak denoted v''' corresponded to Ce  $3d^9$   $4f^0$  O  $2p^6$  Ce(IV) final state. On the other hand, lines  $v_0$  and v' were assigned to Ce  $3d^9$   $4f^2$  O  $2p^5$  and Ce  $3d^9$   $4f^1$  O  $2p^6$  of Ce(III). The same assignment could be applied to the u structures, which corresponded to the Ce  $3d_{3/2}$  levels.

As can be seen from Fig. 7 (shown only for 10%CeO<sub>2</sub>/ZrO<sub>2</sub>) the O1s spectra obtained for the samples showed a broad peak in the domain of 527-535 eV including a peak at 530.3 eV and small additional peaks at 532.1 and 528.6 eV. The peak at 530.3 eV was assigned to nucleophilic surface lattice oxygen ions, while the small peak at 532.1 eV might be attributed to surface hydroxyls, the peak at 528.6 eV was applied to improve spectra approximation (its presence could be caused by induced charging of specimen surface during analysis).

The surface chemical compositions of ZrO<sub>2</sub> and 10%CeO<sub>2</sub>/ZrO<sub>2</sub> samples were determined on the basis of the XPS *ex situ* data (Table 2). This method did not reveal presence of Zr<sup>3+</sup> cations in both catalysts ZrO<sub>2</sub> and 10%CeO<sub>2</sub>/ZrO<sub>2</sub> even after reduction in hydrogen. Unreduced ceria-modified zirconia sample showed the presence of both Ce<sup>3+</sup> and Ce<sup>4+</sup> cations and after hydrogen treatment Ce<sup>3+</sup> surface concentration rose from 2.6 to 3.2% with a decrease of Ce<sup>4+</sup> cation content from 1.7 to 1.4wt. %. Increase in the total cation concentration due to decreasing

of total oxygen content was observed after the reductive catalyst treatment for  $ZrO_2$  from 28.4 to 30.1%, for  $10\%CeO_2/ZrO_2$  from 31.3 to 32.4% as well as after modification of  $ZrO_2$  by  $CeO_2$  from 28.4 to 31.3% before reduction, and from 30.1 to 32.4% after reduction.

Since the presence of surface cations is of great importance for carboxylic acid decarboxylative coupling two independent different techniques UV-Vis DRS and DRIFT were additionally applied for *in situ* characterization of CeO<sub>2</sub>, ZrO<sub>2</sub> and 10%CeO<sub>2</sub>/ZrO<sub>2</sub> surface chemical composition.

#### **UV-Vis DRS** in situ

Figure 8 shows the UV-vis spectra of CeO<sub>2</sub>, ZrO<sub>2</sub> and 10%CeO<sub>2</sub>/ZrO<sub>2</sub> samples. The observed absorption maxima and their assignments are listed in Table 3.

Two absorption maxima centered at 226 and 265 nm were observed for  $ZrO_2$  sample in its UV-vis spectrum. The both maxima corresponded to  $O \rightarrow Zr^{4+}$  charge-transfer transitions [45, 46]. The intensity of the absorption at 265 nm decreased after hydrogen treatment that indicated the decrease of  $Zr^{4+}$  ion concentration and appearance of  $Zr^{3+}$  ions (Fig. 8 a).

CeO<sub>2</sub> spectrum represented a broad maximum centered at 300 nm covering three maxima at 250, 270 and 334 nm corresponded to  $O \rightarrow Ce^{3+}$ ,  $O \rightarrow Ce^{4+}$  charge-transfer transitions and interband transitions of  $CeO_2$  ( $Ce^{n+}$  - O -  $Ce^{n+}$ , n=3, 4), respectively (Fig. 8 b) (maxima are not presented in Fig. 8 b). The decrease of adsorption intensity at 300 nm was observed in the case of hydrogen as carrier gas. In general, the change was associated with a decrease of  $Ce^{4+}$  ions due to their reduction into  $Ce^{3+}$ . In addition a wide maximum presented at 700 nm was assigned to interband transitions (Fig. 8 b).

The 10%CeO<sub>2</sub>/ZrO<sub>2</sub> sample exhibited absorption at 300 nm, which contained five maxima at 250, 270, 306, 350 and 380 nm (Fig. 8 c) (maxima are not presented in Fig. 8 c). The former two absorption maxima were related to  $O \rightarrow Ce^{3+}$  and  $O \rightarrow Ce^{4+}$ ,  $O \rightarrow Zr^{4+}$  charge-transfer transitions, respectively [46-51]. The latter three maxima were attributed to the interband transitions of  $CeO_2$  ( $Ce^{n+}$  - O -  $Ce^{n+}$ ,  $Ce^{n+}$  -O-  $Zr^{4+}$  n=3, 4). When using hydrogen as the carrier gas the decrease of absorption intensity at 270 nm was observed due to reducing a part of  $Ce^{4+}$  and  $Zr^{4+}$  ions to  $Ce^{3+}$  and  $Zr^{3+}$  ions, respectively. The wide maximum at 450

nm due to  $Ce^{n+}$ -O- $Ce^{n+}$  and  $Ce^{n+}$ -O- $Zr^{n+}$  (n=3, 4) interband transitions was detected under the same conditions.

Thus, based on the Zr<sup>3+</sup> and Ce<sup>3+</sup> ions formation, it can be concluded that the reduction of ZrO<sub>2</sub>, CeO<sub>2</sub> and 10%CeO<sub>2</sub>/ZrO<sub>2</sub> occurred during hydrogen treatment.

#### IRS in situ

In addition CO was used as a probe molecule to IR study of changes in acidity (amount and strength of Lewis sites) of the reduced samples compared to unreduced ones. In a spectrum of the reduced ZrO<sub>2</sub> sample bands corresponding to carbonyl complexes of Zr<sup>3+</sup> (2075, 2100, 2153 cm<sup>-1</sup>) and Zr<sup>4+</sup> (2180, 2197 cm<sup>-1</sup>) cations were observed (Fig. 9) [52]. The intensity of Zr<sup>3+</sup>-O complexes was higher than Zr<sup>4+</sup>-O intensity, indicating large extent of ZrO<sub>2</sub> surface reduction. CO adsorption on 10%CeO<sub>2</sub>/ZrO<sub>2</sub> showed a broad band in range 2100-2250 cm<sup>-1</sup>, which contained six bands corresponding to carbonyl complexes of Zr<sup>4+</sup> and Ce<sup>4+</sup> (2185, 2197 cm<sup>-1</sup>), Ce<sup>4+</sup> (2170 cm<sup>-1</sup>), Ce<sup>4+</sup> or Zr<sup>3+</sup> (2156 cm<sup>-1</sup>), Ce<sup>3+</sup> or Zr<sup>4+</sup> (2110, 2125 cm<sup>-1</sup>) cations [52]. Thus, both IR and UV-Vis *in situ* spectroscopy studies indicate the presence of Zr<sup>3+</sup> ions on the ZrO<sub>2</sub> surface and Ce<sup>3+</sup> and Zr<sup>3+</sup> ions on the 10%CeO<sub>2</sub>/ZrO<sub>2</sub> surface after hydrogen treatment.

It should be noted that FTIR and UV-Vis spectroscopy data on the Zr<sup>3+</sup> presence in reduced ZrO<sub>2</sub> and 10%CeO<sub>2</sub>/ZrO<sub>2</sub> samples did not correspond to XPS performed *ex-situ* since FTIR and UV-Vis DRS analyses were carried out *in situ*. Therefore optical methods data concerning Zr<sup>3+</sup> cations presence were used to explain catalytic behavior of the tested oxides.

#### Catalytic properties

Metal oxide catalysts  $CeO_2$ ,  $ZrO_2$ , and  $CeO_2/ZrO_2$  have been tested in the ketonization of valeric acid in both hydrogen and nitrogen atmosphere in comparable reaction conditions in the absence of mass transfer limitations. According to GC/MS analysis, the main product of the reaction is 5-nonanone, while major side products are carboxylic acids (formic, acetic, propionic, butyric), ketones (acetone, butanone-2,  $\gamma$ -valerolactone,  $\delta$ -valerolactone, hexanone-2, heptanone-3, octanone-2) and aldehyde (pentanal) with concentrations of no more than 3%.

Among the tested metal oxides CeO<sub>2</sub> exhibited the lowest valeric acid conversion 40% in H<sub>2</sub> and 31% in N<sub>2</sub> while showed comparable selectivity to 5-nonanone of 76% and 80% in H<sub>2</sub> and N<sub>2</sub> respectively (Fig. 10). It is worth to note that CeO<sub>2</sub> sample with large particle size and low surface area was selected and applied in valeric acid ketonization to achieve as high catalytic activity as possible using ceria catalyst. Earlier it was reported in [23, 53] that ceria active sites for the acid ketonization are mainly formed on the CeO<sub>2</sub> (111) plane, which are located predominantly on large ceria particles indicating increase of catalytic activity with size growth of CeO<sub>2</sub> particles. Nevertheless CeO<sub>2</sub> that had surface area 25 m<sup>2</sup>/g in our experiments demonstrated very low activity compared to other tested oxide catalysts.

The most interesting catalytic results were obtained over zirconia and CeO<sub>2</sub>/ZrO<sub>2</sub> illustrating beneficial effect of ceria introduction regardless gas atmosphere. Zirconia was found to demonstrate much better catalytic performance than ceria showing valeric acid conversion 83% and 66% as well as selectivity to 5-nonanone 78% and 80% in H<sub>2</sub> and N<sub>2</sub>, respectively. Introduction of inactive per se ceria into zirconia in small amounts from 5 to 20 wt.% affected surprisingly strongly valeric acid conversion showing the highest acid conversion at ceria content 10 wt.% while selectivity to 5-nonanone seemed to be insensitive to the amount of ceria introduced. Note that zirconia specific surface area decreased from  $105\ m^2/g$  to  $79\ m^2/g$  after introduction of ceria. Therefore changes of the catalytic activity could not be referred to the surface area. According to HRTEM, 10%CeO<sub>2</sub>/ZrO<sub>2</sub> represents mainly ZrO<sub>2</sub> tetragonal phase particles with the size of 5.5-8 nm and some particles of the size 13-15 nm which consist of incoherently accreted smaller crystallites while no individual CeO<sub>2</sub> phase was detected by EDX. Formation of such distorted ceria- zirconia crystalline surface structures could contribute additionally to the catalytic activity of ceria modified zirconia. The reason is that such structures contain more moveable and thereby more reactive lattice oxygen which interacts more actively with the acids adsorbed on the oxide surface to form carboxylates followed by abstraction of α-proton from one carboxylate with formation of anion radical. The latter attacks another carboxylate to produce βketoacid followed by decarboxylation to form ketone [26]. Furthermore, additional effect of intergrain boundaries on catalytic activity can take place. Quite similar effect of increased catalytic activity was observed in alcohol dehydration over Al<sub>2</sub>O<sub>3</sub>, due to Increasing the contact interface and formation of grain boundaries [54].

A very interesting gas-carrier effect was found for CeO<sub>2</sub>, ZrO<sub>2</sub>, and 10%CeO<sub>2</sub>/ZrO<sub>2</sub>. To investigate H<sub>2</sub> influence the process was carried out over the catalysts under either hydrogen or nitrogen. According to the results listed in Table 4 all catalysts showed higher acid conversion in hydrogen compared to nitrogen, whereas selectivity seemed to be unaffected by gas atmosphere. The XPS and optical investigations indicated that the surface features of the oxides mainly contributed to their catalytic performance in the ketonization of valeric acid. Thus the appearance of Zr<sup>3+</sup> cations due to the replacement of N<sub>2</sub> by H<sub>2</sub> was accompanied by an increase of ZrO<sub>2</sub> activity, while there are only Zr<sup>4+</sup> cations on the initial unreduced zirconia surface. The same regularities although less prominent were observed for ceria containing mixed oxides. There were Zr<sup>3+</sup> and Ce<sup>3+</sup> cations appeared after reduction of 10%CeO<sub>2</sub>/ZrO<sub>2</sub> catalyst. But contrary to Zr<sup>3+</sup> cations, identified only after the reduction, Ce<sup>3+</sup> cations were already presented on the unreduced 10%CeO2/ZrO2 surface due to the formation of Ovacancies [55,56. Therefore, effect of hydrogen treatment on Ce containing catalysts could be prominent depending on the magnitude of changes in Ce3+ content during reduction. According to UV-Vis data hydrogen treatment of neat CeO<sub>2</sub> resulted in profound reduction of Ce(IV) that gave a rise to catalytic activity under the reductive conditions. According to XPS and optical data the reduction of samples resulted in a decrease of Lewis site acidity ( $Ce^{4+} \rightarrow Ce^{3+}$ ,  $Zr^{4+} \rightarrow Zr^{3+}$ ) and increased amount of Lewis acid sites. The catalytic activity change observed after reductive pretreatment indicates sensitivity of ketonization towards Lewis site acidity and its content. From the mechanistic viewpoint less oxide surface acidity probably benefits adsorption acidic reactants on the catalyst surface while evidently the main factor affecting the conversion of valeric acid into 5-nonanone is the delicate balance between Lewis acid and basic sites.

# Conclusion

Ketonization of valeric acid, which can be derived from biomass, to 5-nonanone has been studied in both under hydrogen and nitrogen stream at 628K and atmospheric pressure. The process was shown to occur with high conversion and selectivity over ZrO<sub>2</sub> and (5-20%) CeO<sub>2</sub>/ZrO<sub>2</sub> while CeO<sub>2</sub> exhibited very low

valeric acid conversion regardless gas atmosphere. Insertion of inactive ceria into zirconia leads to notable increase of valeric acid conversion. Using XRD and HRTEM data for 10%CeO<sub>2</sub>/ZrO<sub>2</sub> the reasons for increasing catalytic activity suggested to be due to solid solution formation with uniform cerium distribution, higher Lewis site content and formation of highly defective surface. According to XPS, FTIR and UV-Vis methods, the carrier gas was found to affect catalyst surface chemical composition leading to alteration in catalytic properties. The valeric acid conversion over zirconia and ceria-zirconia catalysts was found to be significantly lower under nitrogen compared to that in hydrogen. It was concluded that valeric acid ketonization reaction rate depends on Lewis sites acidity which is dependent on reduction of the catalyst surface.

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#### Figure captions

- Fig. 1. Scheme of one-pot process for converting of valeric acid into nonane.
- Fig. 2. XRD patterns of metal oxides unreduced and reduced by  $H_2$  (a)  $ZrO_2$  and (b)  $10\%CeO_2/ZrO_2$ , m monoclinic phase, t tetragonal phase of  $ZrO_2$ .
- Fig. 3. HRTEM image and EDX spectrum of ZrO<sub>2</sub> particle of monoclinic phase attached to tetragonal ZrO<sub>2</sub> for 10%CeO<sub>2</sub>/ZrO<sub>2</sub> oxide reduced by H<sub>2</sub>. The corresponding Fourier image is inserted into the micrograph. Dark (red and black) arrows point to the intergrain boundary. White arrow points to lattice plane [110].
- Fig. 4. HRTEM image and EDX spectrum of 10%CeO<sub>2</sub>/ZrO<sub>2</sub> sample reduced by H<sub>2</sub>. White arrow points to lattice plane [110]. Table inserted contains EDX elemental composition of ZrL and CeL in different regions of 10%CeO<sub>2</sub>/ZrO<sub>2</sub> sample
- Fig. 5. Zr3d XPS spectra of ZrO<sub>2</sub> and 10%CeO<sub>2</sub>/ZrO<sub>2</sub> oxides unreduced and reduced by H<sub>2</sub>.
- Fig. 6. Ce3d XPS spectra of 10%CeO<sub>2</sub>/ZrO<sub>2</sub> oxides unreduced and reduced by H<sub>2</sub>.
- Fig. 7. O1s XPS spectra of ZrO2 and 10%CeO2/ZrO2 oxides unreduced and reduced by H2.
- Fig. 8. UV-vis spectra of metal oxides unreduced and reduced by  $H_2$  (a)  $ZrO_2$ , (b)  $CeO_2$  and (c)  $10\%CeO_2/ZrO_2$
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- Fig. 10. Catalytic properties of Ce and Zr metal oxides in the ketonization of valeric acid in hydrogen. Reaction conditions: 628 K, 1 bar,  $H_2$  flow rate 30 cm<sup>3</sup>/min, valeric acid LHSV = 0.34cm<sup>3</sup>/(g•h)

Table 1. The structural parameters of  $ZrO_2$  and  $10\%CeO_2/ZrO_2$  unreduced and reduced by  $H_2$ 

Sample	Phase	Phase composition,%	D <sub>CSD</sub> , nm	Lattice parameter, Å
$ZrO_2$	monoclinic	51	10	a=5.14(1) b=5.19(1) c=5.32(1) $\angle \beta$ =99.16°
	tetragonal	49	17	a=5.114(2)
ZrO <sub>2</sub> (H <sub>2</sub> )	monoclinic	55	10	a=5.14(1) b=5.20(1) c=5.34(1) $\angle \beta$ =99.21°
	tetragonal	45	17	a=5.111(2)
10% CeO <sub>2</sub> /ZrO <sub>2</sub>	monoclinic	6	-	-
	tetragonal	94	14	a=5.138(2)
10%CeO <sub>2</sub> /ZrO <sub>2</sub> (H <sub>2</sub> )	monoclinic	6	-	-
	tetragonal	94	14	a=5.134(2)

Table 2. Surface composition of  $ZrO_2$  and  $10\%CeO_2/ZrO_2$  unreduced and reduced by  $H_2$  according to XPS data

Sample	Surface composition, atomic %					
	Zr <sup>4+</sup>	Ce <sup>3+</sup>	Ce <sup>4+</sup>	O <sup>2</sup> -		
ZrO <sub>2</sub>	20.4			71.6		
	28.4	-	-	O'(55.57)	O"(12.30)	O'''(3.73)
ZrO <sub>2</sub> (H <sub>2</sub> )	20.1	-	-	69.9		
	30.1			O'(58.02)	O"(9.12)	O'''(2.76)
10%CeO <sub>2</sub> /ZrO <sub>2</sub>	68.7					
	27.0	2.6	1.7	O'(53.58)	O"(11.54)	O'''(3.58)
10% CeO <sub>2</sub> /ZrO <sub>2</sub> (H <sub>2</sub> )	27.0			67.6		
	27.8	3.2	1.4	O'(54.69)	O"(8.23)	O'''(4.78)

O'-O(530.3 eV), O"-O(532.1 eV), O"-O(528.6 eV)

Table 3. The absorption maxima attribution for  $ZrO_2$  and  $10\% CeO_2/ZrO_2 \, UV$ -vis spectra

Sample	Band, nm	Transition	Ref.
CeO <sub>2</sub>	236	O→Ce <sup>3+</sup>	[46,47,49,51]
	280	O→Ce <sup>4+</sup>	
	334	Interband transition of CeO <sub>2</sub>	
		$(Ce^{n+}-O-Ce^{n+}, n=3, 4)$	
CeO <sub>2</sub> (H <sub>2</sub> )	215	O→Ce <sup>3+</sup>	
	280	O→Ce <sup>4+</sup>	
	334	Interband transition of Ce <sub>x</sub> O <sub>2</sub>	
	500-800	$(Ce^{n+}-O-Ce^{n+}, n=3, 4)$	
ZrO <sub>2</sub>	226, 265	O→Zr <sup>4+</sup>	[45,46,49]
ZrO <sub>2</sub> (H <sub>2</sub> )	265	O→Zr <sup>4+</sup>	
10%CeO <sub>2</sub> /ZrO <sub>2</sub>	250, 270	O→Ce <sup>3+</sup>	[46-51]
	270	$O \rightarrow Ce^{4+}, O \rightarrow Zr^{4+}$	
	306, 350, 380	Interband transitions of CeO <sub>2</sub>	
		$(Ce^{n+}-O-Ce^{n+}, Ce^{n+}-O-Zr^{4+},$	
		n=3, 4)	
10% CeO <sub>2</sub> /ZrO <sub>2</sub> (H <sub>2</sub> )	270	$O \rightarrow Ce^{3+}, O \rightarrow Ce^{4+}, O \rightarrow Zr^{4+}$	
	310	Interband transitions of CeO <sub>2</sub>	
	320-500	(Ce <sup>n+</sup> -O-Ce <sup>n+</sup> ,	
	500-800	$Ce^{n+}$ -O- $Zr^{n+}$ , n=3, 4)	
		Interband transitions of CeO <sub>2</sub>	
		$(Ce^{n+}-O-Ce^{n+},$	
		$Ce^{n+}$ -O- $Zr^{n+}$ , n=3, 4) and	
		Zr <sup>3+</sup> d-d transition	

Table 4. Catalytic properties of  $CeO_2$ ,  $ZrO_2$  and  $10\%CeO_2/ZrO_2$  oxides in the ketonization of valeric acid in hydrogen and nitrogen. Reaction conditions: 628 K, 1 bar,  $H_2$  flow rate 30 cm<sup>3</sup>/min, valeric acid LHSV = 0.34cm<sup>3</sup>/(g•h)

Sample	Conversion, %		Selectivity, %		S, m <sup>2</sup> /g
	$H_2$	$N_2$	$H_2$	N <sub>2</sub>	
CeO <sub>2</sub>	40	31	76	80	25
ZrO <sub>2</sub>	83	66	78	80	105
10%CeO <sub>2</sub> /ZrO <sub>2</sub>	93	82	79	81	79

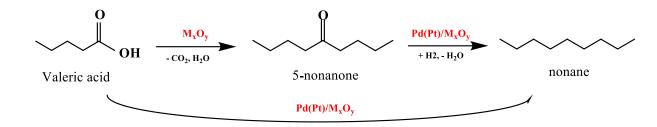
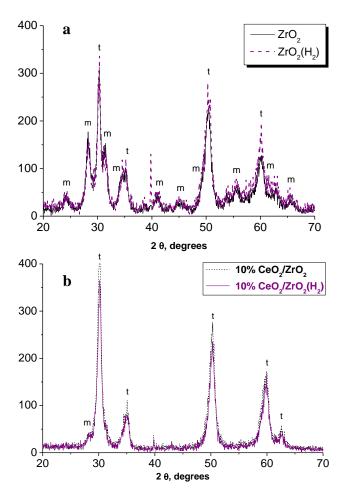
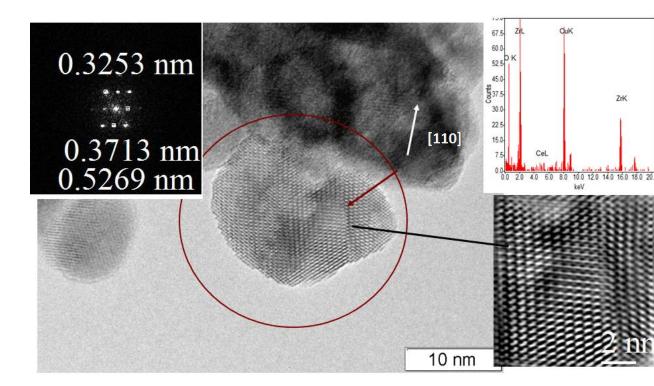


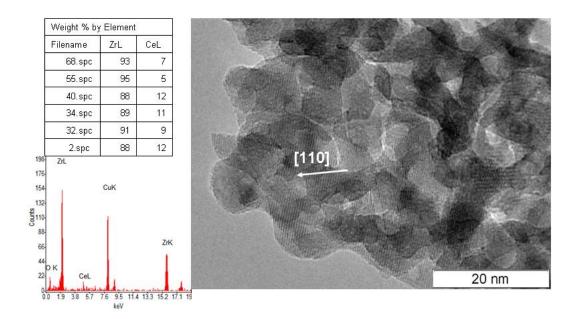
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**Fig. 3.** HRTEM image and EDX spectrum of ZrO<sub>2</sub> particle of monoclinic phase attached to tetragonal ZrO<sub>2</sub> for 10%CeO<sub>2</sub>/ZrO<sub>2</sub> oxide reduced by H<sub>2</sub>. The corresponding Fourier image is inserted into the micrograph. Dark (red and black) arrows point to the intergrain boundary. White arrow points to lattice plane [110]



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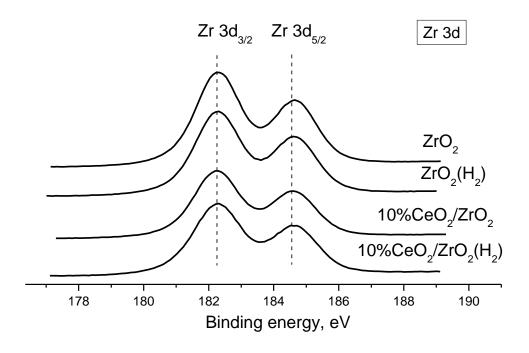
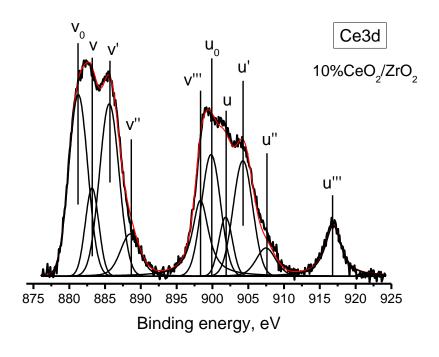


Fig. 5. Zr3d XPS spectra of ZrO<sub>2</sub> and 10%CeO<sub>2</sub>/ZrO<sub>2</sub> oxides unreduced and reduced by H<sub>2</sub>



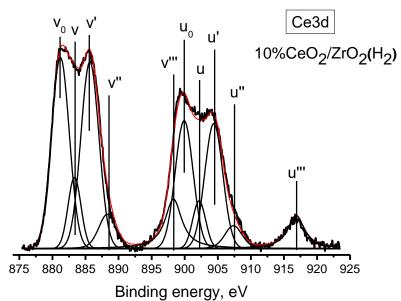
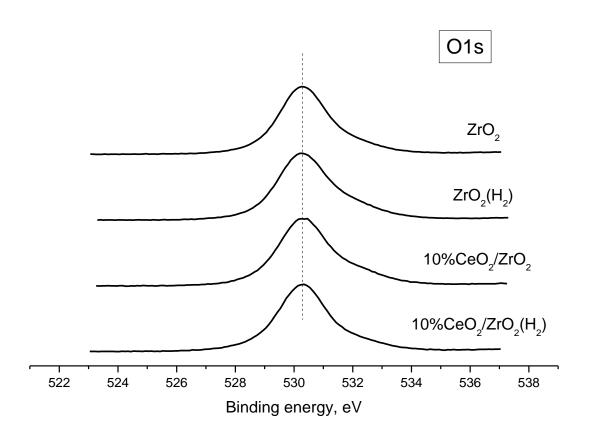
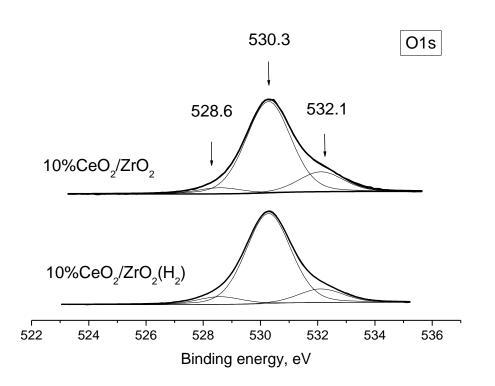
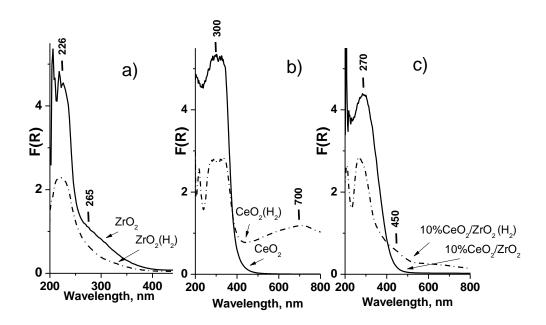


Fig. 6. Ce3d XPS spectra of  $10\% CeO_2/ZrO_2$  oxides unreduced and reduced by  $H_2$ 







**Fig. 8.** UV-vis spectra of metal oxides unreduced and reduced by  $H_2$  (a)  $ZrO_2$ , (b)  $CeO_2$  and (c)  $10\%CeO_2/ZrO_2$ 

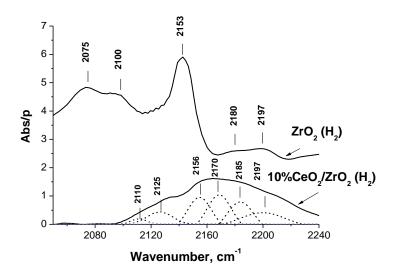
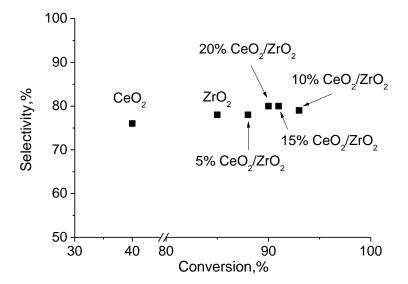


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