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#### 27 Abstract:

28 For multihost pathogens, simultaneous adaptation to various hosts has important 29 implications for both applied and basic research. At the applied level, it is one of the main 30 factors determining the probability and the severity of emerging disease outbreaks. At the 31 basic level, it is thought to be a key mechanism for the maintenance of genetic diversity both 32 in host and pathogen species. Using *Tobacco etch potyvirus* (TEV) and four natural hosts, we 33 have designed an evolution experiment whose strength and novelty are the use of complex 34 multicellular host organism as hosts, and a high level of replication of the different 35 evolutionary histories and lineages. A pattern of local adaptation, characterized by a higher 36 infectivity and virulence on host(s) encountered during the experimental evolution was found. Local adaptation only had a cost in terms of performance on other hosts in some cases. We 37 38 could not verify the existence of a cost for generalists, as expected to arise from antagonistic 39 pleiotropy and other genetic mechanisms generating a fitness trade-off between hosts. This 40 observation confirms that this classical theoretical prediction lacks empirical support. We 41 discuss the reasons for this discrepancy between theory and experiment in the light of our 42 results. The analysis of full genome consensus sequences of the evolved lineages established 43 that all mutations shared between lineages were host-specific. A low degree of parallel 44 evolution was observed, possibly reflecting the various adaptive pathways available for TEV 45 in each host. Altogether, these results reveal a strong adaptive potential of TEV to new hosts 46 without severe evolutionary constraints.

47

# 48 Introduction

49 The environment of a pathogen, and consequently the source of selection pressures is, 50 for the majority of its life-cycle, its host. The particular host environment presents different 51 forms of heterogeneity, even on short evolutionary time scales: from different cell types 52 within a tissue, to different species (Thomas et al. 2002). Multihost pathogens, which 53 encounter the latter, more extreme case of heterogeneity, should be able to cope with different 54 biotic conditions but also, due to their parasitic reproduction strategy, exploit different cellular 55 mechanisms and resources for their own growth and reproduction. The adaptation to various 56 hosts is supposed to be constrained by the fact that mutations advantageous in one 57 environment tend to be disadvantageous in another environment (Gandon 2004). 58 Simultaneous adaptation to various environments has important implications both for

59 applied and basic research. In recent years, emerging diseases have represented an important 60 threat for public health and agriculture (Anderson et al. 2004; Woolhouse, Haydon, Antia 61 2005; Parrish et al. 2008). In many instances, an emerging disease appears when a pathogen 62 jumps to another host it was not infecting (or no longer infecting) and adapts (or re-adapts) to 63 it. The increasing threat imposed by emerging and re-emerging diseases should prompt the 64 improvement of predictive models and the design of preventive strategies to control emerging 65 disease outbreaks. To do so, we still need to understand the mechanisms that make pathogen 66 populations able to spillover from their reservoirs, successfully infect a new naïve host, adapt 67 to it, and spread among the population of the new host.

In terms of basic research, simultaneous adaptation to various environments has been an important topic in evolutionary biology for many years. It is thought to be one powerful mechanism for the maintenance of genetic diversity (Kawecki 1994) and to play a crucial role in triggering the divergence of incipient species (Via 1990). Indeed, one way a pathogen can get around the evolutionary constraints imposed by the multiplicity of hosts is specialization

(or local adaptation) to one host species or to a small group of host species within the host range. Host specialization can be the first step towards the evolution of host races and further towards speciation (e.g. Filchak, Roethele, Feder 2000). Local adaptation to one host is predicted to have a cost, manifested through worse performance of the locally adapted pathogen on a non-native host (Kawecki, Ebert 2004). A recent literature survey established that, when looked for, a significant pattern of local adaptation is usually found while the cost of adaptation is, at best, small and in many cases non-significant (Hereford 2009).

80 Taking into account the potential for local adaptation, for any multihost pathogen 81 specific lineages are likely to fall along a gradient, which in principle ranges from absolute 82 specialists, those pathogens only able to infect and reproduce in a single host, to absolute 83 generalists, able to infect and reproduce equally well within any species of the host range. The 84 position of a particular pathogen lineage along this gradient depends on: i) environmental 85 factors, ii) the genetic diversity within each lineage and iii) the global fitness of each 86 evolutionary strategy. First, the environmental factors affecting the evolutionary fate of a 87 multihost pathogen are the frequency and distribution of each species of the host range, which 88 strongly influence the migration rate between host species (Woolhouse, Taylor, Haydon 2001; 89 Ravigne, Dieckmann, Olivieri 2009). For example, for a plant pathogen a monoculture field is 90 clearly a situation favouring specialist genotypes, while a diverse ecosystem is expected to 91 favour generalist genotypes. An additional environmental factor for vector-transmitted 92 pathogens is the availability and the specialization of the vectors. Indeed, vectors usually feed 93 on hosts and themselves have a preference towards one or more hosts, thus strongly 94 influencing the probability of transmitting the parasite from one species to another 95 (Woolhouse, Taylor, Haydon 2001). Second, the genetic diversity of a pathogen population 96 within one host species conditions the chances of appearance and selection of mutations that 97 allow for a sufficient infectivity and reproduction rate to maintain the pathogen within another

98 host species. The genetic diversity itself depends on population genetic parameters such as the 99 mutation rate, the reproduction rate, the intra-host effective population size, and population 100 structure. Third, generalist evolutionary strategies have been predicted to be disadvantaged 101 for three distinct reasons: (1) antagonistic pleiotropy, *i.e.* the fact that mutational effects are 102 negatively correlated between hosts, is thought to play a preponderant role in many cases (Via 103 1990; Fry 1996; Gandon 2004). (2) Since generalists alternate between hosts, some pathogen 104 genes can be expressed during infection in one host and not expressed in another host. The 105 absence of expression part of the time implies the absence of selection acting on these loci 106 and the potential for accumulation of mutations, which will be deleterious when expressed in 107 the other host (Kawecki 1994). (3) The alternation between hosts by generalists implies that 108 selection pressures specific to a particular host act only part of the time, slowing down the 109 rate of allele fixation and elimination compared to that of a specialist (Whitlock 1996). Field 110 studies have shown the disadvantage of generalists in a diversity of systems (e.g. Poulin 1998, 111 fish parasites; Poulin, Mouillot 2004, helminth parasites of birds; Malpica et al. 2006, viruses 112 and weeds; Straub, Ives, Gratton 2011, endoparasitoids and aphids). In other systems, 113 however, the generalist strategy seems to be favoured (e.g. Krasnov et al. 2004, ectoparasitic 114 fleas and small mammals; Hellgren, Pérez-Tris, Bensch 2009, Plasmodium sp. and birds). 115 This discrepancy between theoretical predictions and some field data is likely to be due to the 116 influence of other factors (environmental and genetic diversity) and to the lack of universality 117 of the costs of adaptation (Hereford 2009).

An efficient way to investigate the factors and mechanisms of specialization and the implications for the evolution of specialist and generalist strategies is to use experimental evolution (Kawecki, Ebert 2004). It allows explicitly addressing hypotheses about the role of particular ecological and genetic factors that promote or hinder local adaptation, maintaining other factors fixed. A number of experimental evolution studies on specialization and

123 generalist/specialist strategies have already been published using a variety of experimental 124 systems (e.g. Turner, Elena 2000, Vesicular stomatitis virus (VSV)/hamster, human or canine 125 cell; Magalhaes et al. 2009, spider mites/host plants; Legros, Koella 2010, 126 microsporidia/mosquito). Almost all experimental evolution studies show a pattern of host 127 specialization (Novella et al. 1999; Turner, Elena 2000; Cooper, Scott 2001; Greene et al. 128 2005; Wallis et al. 2007; Agudelo-Romero, de la Iglesia, Elena 2008; Coffey et al. 2008; 129 Magalhaes et al. 2009; Vasilakis et al. 2009; Legros, Koella 2010; Coffey, Vignuzzi 2011; 130 Deardorff et al. 2011), but only in some cases is specialization accompanied by a cost 131 (Weaver et al. 1999; Turner, Elena 2000; Wallis et al. 2007; Agudelo-Romero, de la Iglesia, 132 Elena 2008; Vasilakis et al. 2009; Legros, Koella 2010; Deardorff et al. 2011). Finally, 133 evidence for the cost of being a generalist are even less frequent (Coffey et al. 2008; Legros,

134 Koella 2010).

135 Among the existing experimental evolution studies, those using RNA viruses are 136 overrepresented. There are various reasons for this overrepresentation. First, RNA viruses are 137 likely to evolve in a reasonable experimental time because they have large population sizes, 138 fast replication rates, and a high mutation rate due to the absence of proofreading activity of 139 the RNA polymerase (Elena, Sanjuan 2007). Second, the small genome size allows access the 140 full genome sequence of the evolved lineages and to reveal the genetic changes that underlie 141 phenotypic evolution. Third, RNA viruses present a large diversity of evolutionary strategies. 142 Examples in animals range from highly specialized viruses, such as the *Poliovirus* 143 (Picornaviridae) which only infects humans, to the Influenza A virus (Orthomyxoviridae), 144 able to infect hosts from distant phylogenetic groups (Wolfe, Dunavan, Diamond 2007). 145 Diversity is also found in RNA plant viruses, with some viruses such as the Tobacco etch 146 potyvirus (TEV), which is a relative specialist compared to the Cucumber mosaic 147 cucumovirus, known to infect members of 16 different plant families. In this context, a group

of RNA viruses that has attracted a lot of attention is the arboviruses (arthropod-born viruses), whose infective cycle includes obligate alternation between vertebrate and insect hosts. The constraints imposed by the evolutionary trade-offs have been proposed as a likely cause of their relative genetic homogeneity and constancy over time (Woelk, Holmes 2002).

152 It is important to note that the majority of these experimental evolution studies use cell 153 cultures as "hosts". Cell cultures are simple, homogeneous environments, with no tissue 154 structure and no immune pressure, and probably represent conditions where selection 155 pressures are constant and unidirectional. Actually, it has been shown that local adaptation 156 obtained by evolution in mammalian cell cultures cannot be extrapolated to the corresponding 157 organism (Ciota et al. 2007). Moreover, experimental evolution conducted in vivo and in the 158 corresponding cell culture lead to very different results (Coffey et al. 2008). Additionally, all 159 the studies cited above compare the outcome of one evolutionary history alternating between 160 two hosts with the two corresponding single-host evolutionary histories. It is thus possible 161 that the results in the alternate evolutionary history are not due to alternation of host 162 environment, but are idiosyncratic to this specific treatment. In other words, when technically 163 and experimentally possible, it is desirable to have, on top of the technical "within 164 evolutionary history" replication, different generalist and specialist evolutionary histories. 165 This extra level of replication allows for more robust conclusions on the existence of a 166 specialist-generalist trade-off for a particular pathogen. Among the published evolution 167 experiments with viruses, only four were *in vivo* experiments (Wallis et al. 2007; Agudelo-168 Romero, de la Iglesia, Elena 2008; Coffey et al. 2008; Deardorff et al. 2011). Three of these 169 studies were designed to evaluate the evolutionary constraints imposed by vector transmission 170 on adaptation (Wallis et al. 2007; Coffey et al. 2008; Deardorff et al. 2011). The other study 171 used only two hosts and did not contain any evolutionary treatment with host alternation 172 (Agudelo-Romero, de la Iglesia, Elena 2008).

173 For the present study, we designed an evolution experiment to study local adaptation 174 of TEV to four hosts within its natural host range. The hosts were complete plants, with all 175 the complexity this completeness implies for the dynamics of viral infection. Moreover, we 176 put a special emphasis on the replication of specialist and generalist evolutionary histories: 177 four "single host" and three "alternate host" evolutionary histories were experimentally 178 derived with a high "within history" replication level. With this experimental set up, we 179 aimed at collecting data in conditions that were "as natural as possible" and would allow for 180 drawing robust and broad conclusions on generalist and specialist strategies, as well as on the 181 conditions for local adaptation. The infectivity and virulence characteristics of the 182 experimentally evolved lineages were analysed and their full genome consensus sequences 183 obtained. It has to be noted here that testing for local adaptation and the cost of adaptation 184 requires measuring fitness or variables tightly associated to it. Here, the ideal approach would 185 have been to evaluate the viral population growth rate, but this approach was hardly 186 experimentally tractable and we decided to use infectivity and virulence as fitness proxies 187 instead. Infectivity is usually a good variable to evaluate local adaptation because it is a clear 188 component of pathogen fitness and it is monotonically related to it (Kawecki, Ebert 2004). On 189 the other hand, virulence is usually under stabilizing selection, with intermediate levels of 190 virulence corresponding to the fitness optimum (Frank 1993; Jensen et al. 2006; Fraser et al. 191 2007; de Roode, Yates, Altizer 2008). The existence of this fitness optimum potentially 192 implies a non-monotonic relationship between virulence and fitness, depending on the portion 193 of the selection gradient where the measures are taken. Moreover, it is possible that the virus 194 has a different virulence optimum in the four hosts used, making the relation between 195 virulence and fitness even more complex. Virulence is thus less suitable for evaluating local 196 adaptation, although it is often a useful parameter to understand the implications of the 197 evolutionary and coevolutionary processes in host-pathogen systems.

200

# 199 Material and methods

201 Virus and plants

202 Our model system is *Tobacco etch potyvirus* (TEV). TEV is a member of the 203 Potyvirus genus within the Potyviridae family and has a moderately wide host range (Shukla, 204 Ward, Brunt 1994). It has a positive sense single-strand RNA genome of 9.5 kb that encodes a 205 large polyprotein, which is auto-catalytically cleaved into ten multifunctional mature viral 206 proteins (Riechmann, Lain, García 1992). Recently, an overlapping ORF coding a small 207 additional protein after frameshifting has been discovered (Chung et al. 2008). The genome 208 replication in *Potyviridae* is performed by a virus-encoded RNA-dependent RNA-polymerase that lacks proof reading activity. TEV mutation rate is thus high, estimated to be around  $10^{-5}$  to 209  $10^{-6}$  mutations per site and per generation (Tromas, Elena 2010). 210 211 Plasmid pMTEV contains the TEV genome (Bedoya, Daròs 2010), and was a 212 generous gift by Dr. J.A. Daròs. The TEV genome used to generate this clone has been 213 isolated from Nicotiana tabacum (Carrington et al. 1993) and its sequence is published 214 elsewhere (Carrasco et al. 2007). A stock of infected tissue was generated before starting the 215 evolution experiment (see Supplemental material for details). 216 Four host species were used: N. tabacum, Nicotiana benthamiana, Datura stramonium 217 and *Capsicum annuum*. They all belong to the *Solanacea* family and TEV produces systemic 218 symptoms in all of them. For all the experimental steps, plants were maintained in a 219 greenhouse at 25 °C and a 16 h photoperiod. 220 221 Viral accumulation in each host 222 Before starting the experimental evolution, viral accumulation in each of the four hosts

223 was measured by RT-qPCR (see Supplemental material for details) to ensure that

transmission took place when the viral load had reached a plateau and that the quantity of

225 virus used for transmission was equivalent for the four host species. This was done to equalize 226 the size of the transmission bottleneck in every experimental evolution lineage, and thus 227 differences between lineages could not be attributed to differential influence of genetic drift. 228 The obtained accumulation curve indicated that at 7 dpi, viral accumulation had 229 reached a plateau and that viral genomes accumulated at the same level in N. tabacum, N. *benthamiana* and *D. stramonium* (around  $2.2 \times 10^7$  viral RNA molecules per 100 ng of total 230 RNA) and at a lower level in C. annuum  $(3.2 \times 10^6 \text{ viral RNA} \text{ molecules per } 100 \text{ ng of total})$ 231 232 RNA).

233

#### 234 Experimental evolution

235 The experimental evolution design contained seven evolutionary histories (figure 1). 236 In four of them, the viruses were serially passaged in the same host, hereafter denominated as 237 lineages Nb (N. benthamiana), Ds (D. stramonium), Ca (C. annuum) and Nt (N. tabacum). In 238 the three other lineages, the viruses were serially passaged on alternate hosts using the 239 following pairs: (N. benthamiana, N. tabacum- hereafter NbNt), (N. tabacum, C. annuum -240 NtCa) and (D. stramonium, C. annuum – DsCa). The first type of evolutionary history 241 represents the conditions for the selection of a specialist strategy, whereas the second type is 242 an experimental approximation of conditions selecting for a more generalist strategy. Each 243 evolutionary history was replicated ten times.

To initiate the experimental evolution, a sap was prepared with 300 mg of infected tissue (from the previously described stock) and 450  $\mu$ L of inoculation buffer. For each replicate, two plants were mechanically inoculated with 5  $\mu$ L of this sap on one leaf. All lineages were thus started with a genetically homogeneous viral population and *de novo* mutation was the only source of raw material on which selection and genetic drift could act (Elena, Lenski 2003). For the subsequent passages, at 7 dpi, the aerial part of one of the two

250 plants in each lineage was collected. If the two plants presented symptoms, the plant to collect 251 was chosen randomly. If only one presented symptoms, this one was collected. In both cases, 252 the inoculated leaf was removed and a sap was prepared with 300 mg of symptomatic leaf 253 tissue in 400 µL of inoculation buffer if the infected tissue was from C. annuum, and 150 mg 254 of infected tissue in 1 mL of inoculation buffer for the other host species, so that each 255 infection was started with similar amounts of viral RNA. For each lineage, two plants were 256 then inoculated on one leaf with 5  $\mu$ L of sap. Fifteen serial passages were performed. One of 257 the Ca lineages was lost during experimental evolution.

The experimental procedure removes the natural vector of TEV (aphids) and thus represents a simplified version of the virus life-cycle. This choice was made because using aphid transmission would have greatly reduced the number of plants we could have infected and consequently the replication level of and within evolutionary history. The evolutionary implications of this choice are discussed below.

263

## 264 Infectivity and virulence measurement

After the 15<sup>th</sup> passage, infected tissue was collected from each lineage and the viral 265 RNA content measured by RT-qPCR (see Materials and Methods). The obtained 266 267 quantification was used to prepare saps of equal viral RNA concentration. Each of these saps 268 was mechanically inoculated (5 µL of sap on one leaf) on three plants of each of the four host 269 species. This way, we had a complete crossed design, fully replicated three times. For 270 practical reasons, the inoculation was spread over four days, with the replicate lineages within 271 an evolutionary history split between the days. Additionally, each day three plants of each 272 species were inoculated with inoculation buffer as non-virus controls, and another three plants 273 were inoculated with a sap (at the same concentration of viral RNA as the evolved lineages) 274 made with the TEV stock used to start the experiment, and representing the ancestor for all

275 the evolved lineages. Before inoculation, the aerial part of each plant was measured (from the basis of the stem to the apex) with a precision of 0.5 cm. At 21 dpi, each plant was checked 276 277 individually and the presence of symptoms was noted, to then calculate the infectivity. The 278 aerial part was measured with a precision of 0.5 cm and weighted (with a Kern 440-35N 279 balance, Kern and Sohn Gmbh) with a precision of 10 mg. We define virulence as the degree 280 of damage caused to a plant by viral infection, and it is negatively correlated with host fitness 281 (Shaner et al. 1992; Sacristán, García-Arenal 2008). We calculated the virulence expressed in 282 size as:

# $Vir_{size}(E_iH_j) = 1 - \Delta size(E_iH_j)/\Delta size(control)$

where  $Vir_{size}(E_iH_i)$  is the virulence expressed on size of the *i*<sup>th</sup> replicate of evolutionary history 284 E when inoculated on the j<sup>th</sup> replicate of host H and  $\Delta size$  is the difference in size between the 285 286 day of infection and 21 dpi. A similar virulence index was obtained from the weight, 287 *Vir<sub>weight</sub>*( $E_iH_i$ ). However,  $\Delta weight$  cannot be calculated directly because it is impossible to 288 weigh the plant before inoculation. We thus established the correlation between weight and 289 size for each species for plants of the same age as the ones we inoculated using an 290 independent cohort of healthy plants reared in the same conditions as the one used for 291 infectivity and virulence measurements. Using the correlation for each species and the size at 292 inoculation, we could estimate the weight at inoculation for each plant and thus estimate 293  $\Delta weight.$ 

294

# 295 Genomic consensus sequence determination in the evolved lineages

Total RNA was extracted from infected tissue of the 69 experimentally evolved lineages. The TEV genome was amplified in three overlapping fragments and the sequencing of the amplification products was outsourced (see supplemental material for details). We obtained the consensus sequence from nucleotide 48 to nucleotide 9492, *i.e.* 99% of the full

genome and 100% of the coding sequence. The average coverage was 2.41. The genomes
were assembled and the mutations were identified using the Staden 2.0.0b7 package.

302 Our estimates of virulence and infectivity were done at the population level and we did 303 not explore the variability of these variables within each replicate lineage. For this reason, we 304 also directly sequenced PCR amplified virus population cDNAs rather than sequencing 305 multiple clones isolated from the population. This consensus sequencing approach allows for 306 detection of the dominant nucleotide at each base position. When multiple clean sequencing 307 reads showed clearly the presence of two peaks at one position, the lineage was considered to 308 be polymorphic at that position. However, it is impossible to measure the frequency of each 309 allele with this method and mutations could be present in the virus population at frequencies 310 lower than the detection threshold for a chromatogram. The real within-population diversity is 311 thus higher than the one reported here.

312

#### 313 Results

### 314 Infectivity and virulence

The analysis of infectivity and virulence data was performed on a data set containing only the data from lineages that had at least one mutation compared to the initial sequence of the ancestral TEV infectious clone. This reduced the data set from 69 to 53 independent lineages (6 to 9 independent lineages per evolutionary history).

A nominal logistic model with evolutionary history, host plant and their interaction was built to analyse their effect on infectivity. The "evolutionary history replication" was nested within the "evolutionary history" factor. This analysis revealed that all factors had a significant effect on infectivity (table 1, figure 2). Figure 2 also shows the behaviour of the ancestral virus, not included in the previously described nominal logistic model. The infectivity of the ancestral virus is in the mid-low range of infectivities of the derived

325 lineages, except on *N. tabacum* where it has an infectivity of one. An ANOVA with the same 326 factors as above was performed with the virulence expressed on size and on weight as 327 variables. In this case, the replication within evolutionary history was taken as a random 328 factor. The method used was restricted maximum likelihood. For the two indices of virulence, 329 evolutionary history did not have a significant effect whereas host and the interaction between 330 host and evolutionary history had a significant effect (table 2, figure S1). The significant 331 interaction for the three considered variables indicates that the different evolutionary histories 332 produced distinct infection characteristic in the four hosts. Figure S1 also shows the virulence 333 pattern of the ancestral virus across the four hosts (data not included in the previously 334 described ANOVAs): its virulence is in the mid-high range of those of the derived lineages. 335 Using the full data set of the derived lineages, we could also ask more specific 336 questions: do we have a signature of host specialization? Does this depend on the 337 specialist/generalist characteristic of the evolutionary history? To answer these questions, 338 each evolutionary history was classified as "specialist" (one host) or as "generalist" (two 339 hosts in alternation). Additionally, each inoculation was classified as "local" or "foreign": a 340 local inoculation is an inoculation on a host present during the experimental evolution for this 341 precise lineage (for example, NbNt is local on *N. benthamiana* and *N. tabacum*; and Nb is 342 local on *N. benthamiana*), whereas a foreign inoculation is an inoculation on a host which was 343 not present during the experimental evolution (for example, NbNt is foreign on D. 344 stramonium and C. annuum; and Nb is foreign on N. tabacum, D. stramonium and C. 345 annuum). A nominal logistic model was constructed with "host", "specialist/generalist" and 346 "local/foreign" and their double and triple interactions as factors to determine the effect on 347 infectivity. The "specialist/generalist" and all interactions containing it did not have any 348 significant effect. "Host", "local/foreign" and their interaction had a significant effect (table 349 3) and the interaction was due to the fact that on *D. stramonium*, the local lineages had an

350 infectivity that was two times larger than the foreign ones (figure 3a). This effect was present, 351 to a much lower extent, on N. tabacum while on the two other hosts local and foreign lineages 352 had identical infectivity. Therefore, this result indicates a strong local adaptation of lineages 353 that have evolved all or part of the time on D. stramonium. An ANOVA with the same factors 354 was then performed to analyse the influence on the two virulence indices. For virulence 355 expressed on size, the statistical results were very similar to those for infectivity (table 4), but 356 the origin of the significant interaction was different (figure 3b): virulence expressed on size 357 was identical for local and foreign lineages on all hosts except on C. annuum, where the local 358 lineages had a higher virulence than the foreign ones. For virulence expressed on weight, the 359 factors "host" and "local/foreign" had a significant effect and no other effect was significant. 360 The "local/foreign" effect was due to a higher virulence of local lineages, particularly on D. 361 stramonium and C. annuum (figure 3c).

362 Another way of looking at local adaptation, which also allows determining whether a 363 cost of adaptation exists, is to perform analyses by pairs of single host evolutionary histories. 364 Indeed, with the complete factorial design used, the three variables (infectivity, virulence 365 expressed on size, and virulence expressed on weight) were measured for each pair of single 366 host evolutionary histories in the two corresponding hosts and we could perform the classical 367 test for local adaptation (Kawecki, Ebert 2004). For each of the six pairs of single-host 368 evolutionary histories and for each host, the difference between the trait value of the local (or 369 native) evolutionary history and that of the foreign (or non-native) evolutionary history was 370 calculated. If this difference is positive, it indicates local adaptation, whereas if it is negative, 371 it indicates local maladaptation. This analysis assumes that our variables are positively related 372 to fitness, which is the case for infectivity and is arguably the case for virulence (see 373 Introduction and Discussion). For each variable, a distribution of twelve values was obtained 374 and it was possible to test whether the differences were on average positive. The mean is

375 positive for the three variables but not significantly different from zero for any of them: 376 infectivity (1-tailed *t*-test: p = 0.096; Wilcoxon rank test: p = 0.071), virulence expressed on 377 size (1-tailed *t*-test: p = 0.069; Wilcoxon rank test: p = 0.17) and virulence expressed on 378 weight (1-tailed *t*-test: p = 0.064; Wilcoxon rank test: p = 0.073). However, the combined 379 Fisher test reveals an overall significant local adaptation (combining 1-tailed *t*-tests: p =380 0.017; combining rank tests: p = 0.029). Then, using the same differences, it is possible to 381 evaluate the frequency at which the adaptation in one host comes to a cost of adaptation in the 382 other host. For the six pairs of single host evolutionary histories, we compared the differences 383 previously calculated in each of the two hosts. For example, we compared the (local – 384 foreign) difference in infectivity of the Nb and Nt lineages when inoculated on N. 385 benthamiana with their difference in infectivity when inoculated on N. tabacum. If the two 386 differences are positive, then we have a case of local adaptation with a cost of adaptation. Out 387 of the 18 cases (six pairs × three variables), there are seven cases of local adaptation with a 388 cost of adaptation, ten cases of local adaptation of one of the evolutionary histories without 389 cost expressed in the other host and one case of double maladaptation. Out of the ten cases of 390 adaptation without cost, five concern lineages evolved on D. stramonium: the adaptation to D. 391 stramonium seems thus to be compatible with the infection and virulence expression in other 392 hosts. Finally, for three of the six pairs of single host evolutionary histories, data are available 393 for the corresponding alternate host evolutionary histories. Out of the seven cases where a 394 cost of adaptation has been identified, we have data for the corresponding alternate host 395 evolutionary history in four cases. There is no clear cost of being a generalist (which would 396 be expressed as a lower value for the generalist strategy than for the locally adapted specialist 397 strategy in each host) in any of these four cases, confirming the idea that the specialist-398 generalist trade-off is not present in this experimental system. Figure 4 represents the data for 399 virulence expressed on weight for the (Nt, Ca, NtCa) group of evolutionary histories, as an

400 example of a situation with local adaptation, a cost of adaptation and no cost for the401 corresponding generalist.

402

403 *Consensus sequences* 

404 A total of 107 independent mutations occurring at 91 different loci were identified 405 with a range of zero to six mutations per independent evolved lineage in the 69 independently 406 evolved lineages (see figure 5 for a graphical representation and table S1 for a complete list). 407 The transition:transversion ratio was 7.2. Sixty-two mutations were synonymous and 45 non-408 synonymous, the biological interpretation hereof is discussed below. Out of the 107 mutations 409 observed, 26 (24%) were not unique and out of the 91 polymorphic loci identified, 10 (11%) 410 were affected in multiple independent lineages. All the non-unique mutations were repeated 411 in lineages that shared a host in their evolutionary history, except in one case (A5409G 412 present in one Nb and one NtCa lines). The very large majority (24 out of 26) of non-unique 413 mutations were thus specific to one of the hosts used in the experiment. 414 We then tested whether the regions encoding for each of the eleven mature proteins 415 had a different rate of mutation accumulation and whether this depended on the evolutionary

416 history of the lineage. To do this, we calculated the number of mutations per nucleotide site

417 for each evolutionary history and for each mature protein. A Scheirer-Ray-Hare

418 nonparametric two-way ANOVA (Sokal, Rohlf 1995) showed that the mutation accumulation

419 per nucleotide is not globally different from one evolutionary history to another, but that

420 mutations are not randomly scattered across mature proteins and that this distribution is

421 different from one evolutionary history to another (Table 5). Namely, there is an

422 overrepresentation of mutations in P1 in lineages Ca, Nt and NtCa, of mutations in HC-Pro in

423 lineages Ds and DsCa, of mutations in P3 in lineages Nb and NbNt, of mutations in CI in

424 lineages NtCa, of mutations in 6K2 in lineages Nb, of mutations in VPg in lineages Ds and of

425 mutations in NIa-Pro in lineages Ca.

426 Focussing on individual consensus sequences, it is possible to identify patterns of mutations that suggest a potential relationship between genotype and phenotype. First, among 427 428 the Nb lineages, there are two mutations repeated three times, and there is no case where both 429 are present in the same consensus sequence. Moreover, one of them (A3013G, non-430 synonymous  $K \rightarrow E$ ) was also found in three independent NbNt lineages whereas the other one 431 (A5551G, non-synonymous  $K \rightarrow E$ ) was not found in any of the NbNt sequence. This suggests 432 that there are at least two mutations that can improve the adaptation to infection and 433 reproduction in *N. benthamiana* and that one (A3013G) is neutral or advantageous whereas 434 the other one (A5551G) is deleterious in *N. tabacum*. Second, an identical combination of 435 four mutations (A3013G, C3816A, A6805G, and G8169A) has been found in lineages Nb8 436 and NbNt6. Except the mutation A3013G, the other three have not been found in any other 437 lineage besides these two. This could be due to the existence of epistatic interactions in this 438 group of mutations. Third, lineages Ca1 and Ca2 have lost the ability to produce systemic 439 infections when inoculated on N. tabacum and on D. stramonium and form only local lesions 440 on the inoculated leaf, but still produce systemic symptoms on C. annuum and N. 441 benthamiana. The analysis of their sequence revealed only one synonymous mutation in Cal 442 (C4425U in the CI region) and two synonymous mutations in Ca2 (A183G and U8574C in 443 the P1 and CP region, respectively). 444 The relationship between the mutations and the phenotype observed are clearly 445 correlational and speculative for now and the evolutionary mechanisms suggested by the 446 analysis of the sequences have to and will be directly tested in future site-directed

448

447

mutagenesis experiments.

#### 449 **Discussion**

450 TEV evolved differently when experimentally exposed to different evolutionary histories, as 451 evidenced by the phenotypic traits measured, as well as by the sequence changes observed in 452 the evolved lineages. The ancestral virus and the lineages evolved on *N. tabacum*, the 453 ancestral host, present different patterns of infectivity and virulence. This is likely due to an 454 adaptation to the specific conditions of the experimental evolution and in particular to the 455 mechanical transmission, which totally removes the selection due to the aphid transmission. 456 The transmission mode is actually known to affect viral evolution both at the phenotypic and 457 at the genotypic levels (Wallis et al. 2007; Jerzak et al. 2008). Due to these differences 458 between the ancestor and the Nt lineages, we focused the analysis and the discussion on the 459 comparison of the experimental evolutionary histories between them.

460 We observe that the viral populations have adapted to the hosts that they encountered 461 during their specific evolutionary histories. The analyses performed on pairs of populations 462 further confirm the pattern of local adaptation. In some systems, local adaptation comes at a 463 cost (Hereford 2009), expressed as a worse performance of the locally adapted populations 464 when measured in a foreign environment. In the case of TEV on the four hosts used, the cost 465 of adaptation is not a general feature, as it appears only in some cases. The results for 466 infectivity and virulence were congruent in direction, albeit not in magnitude for all the 467 analyses performed.

The consensus sequences of the evolved populations revealed common mutations between lineages sharing host species in their evolutionary histories. Such parallel evolution is usually interpreted as the fixation of a mutation with a beneficial effect (Wood, Burke, Rieseberg 2005), and in this precise case, the host-specific mutations likely increase the level of adaptation to the particular host species. One potential concern about the experimental protocol is that the removal of the aphid vector from the virus life-cycle would relax selection

474 pressures at some loci, e.g. in the N-terminal part of HC-Pro known to be involved in aphid
475 transmission (Blanc et al. 1998) and that part of the mutations observed would be due to this.
476 The absence of common mutations between lineages that do not share a host excludes the
477 relaxed selection pressure of aphid transmission as the main evolutionary force shaping
478 sequence during our evolution experiment.

479 Overall, the level of parallel evolution obtained here is below those in other studies 480 comparing genomes from lineages sharing evolutionary history (e.g., Bull et al. 1997; 481 Martínez-Picado et al. 2000; Wichman, Millstein, Bull 2005; Remold, Rambaut, Turner 482 2008). In particular, the experiment conducted by Remold et al. (2008) presents a number of 483 similarities with the present one: it involves experimental evolution of a RNA virus (VSV) by 484 serial transfers either in one of two cell cultures (human or hamster cells) or in the alternation 485 of the two cell types, followed by full genome sequencing of twelve evolved populations. The 486 authors found that 78% of the observed mutations were not unique and 55% of the 487 polymorphic loci were affected in multiple independent populations. The reasons for the 488 lower level of parallel evolution in our system are likely manifold. First, this level strongly 489 depends on the genetic architecture of adaptation, *i.e.* on the distribution of mutation effects in 490 the organisms and in the environments considered (Chevin, Martin, Lenormand 2010) but, the 491 shape of these distributions is rarely known and consequently the role of this factor in 492 determining the level of parallel evolution cannot be evaluated on concrete evolution 493 experiments. Second, the lower level of parallel evolution in our study could be due to the 494 narrower and less controlled bottlenecks between passages: we controlled the amount of viral 495 RNA inoculated but the number of virions actually starting the new infection can vary 496 considerably (Zwart, Daròs, Elena 2011). In any case, the number of virions starting a new 497 infection represents an extremely small fraction of those produced during the colonisation of 498 the host in the previous passage and a much smaller fraction than in the case of serial transfer

499 of virus in cell culture. This reduction in effective population size increases the role of genetic drift and reduces the likelihood of fixation of the same mutation in two replicates of the same 500 501 evolutionary treatment. Third, populations of plant viruses are highly structured because (i) 502 infection within a leaf progresses only from one cell to adjacent ones, (ii) viral exclusion 503 phenomenon are frequent (Dietrich, Maiss 2003; Zwart, Daròs, Elena 2011) and reduce the 504 generation of diversity by recombination, and (iii) the order of colonization of the leaves is 505 fixed by the phloem distribution. All these factors generate much more opportunities for local 506 competition and exclusion of alleles, more heterogeneity in selection pressures and result in a 507 much smaller effective population size than a well-mixed cell culture. Finally, the low level of 508 parallel evolution might come from the fact that we performed a passage every week during 509 experimental evolution. One week might represent an insufficient time for the virus to 510 generate genetic diversity and for the selection to act on this diversity. However, first for 511 technical reasons and second owing to the drastic effect of TEV on some of the hosts used in 512 this experiment (see virulence levels on N. benthamiana), it was unrealistic to perform longer 513 passages.

514 For the analysis of consensus sequences, we decided not to interpret the 515 synonymous/nonsynonymous characteristic of the mutations as neutral/non-neutral. 516 Traditionally synonymous mutations have been considered neutral because they do not induce 517 amino acid changes and their proportion relative to the nonsynonymous ones has been used to 518 deduce the evolutionary forces at work. However, there is accumulating evidence that 519 synonymous mutations are not always neutral in DNA organisms (Kimchi-Sarfaty et al. 2007; 520 Amorós-Moya et al. 2010; Plotkin, Kudla 2011) and the equivalence of synonymous with 521 neutral is likely to be even weaker in RNA viruses, because tridimensional structure is a 522 determinant feature of RNA molecules and it is largely dependent on the sequence itself. The 523 RNA-based genome can thus itself potentially be a target of selection, because coding regions

serve additional functions other than determining the amino acid sequence in protein. These
additional functions include encapsidation or serving as a target of silencing (Cuevas,
Domingo-Calap, Sanjuán 2011). In TEV, it has been shown that certain synonymous
mutations had deleterious effect on the virus multiplication (Carrasco, de la Iglesia, Elena
2007) and the present study provides a striking example of non-neutrality of synonymous
mutations with the two lineages which lost the capacity to produce systemic infection on
certain hosts and only carry synomymous mutations.

531 Another interesting result of our study is the absence of cost of generalism, either 532 when we look at the full data set or when we can contrast the characteristics of one generalist 533 evolutionary history with the ones of the two corresponding specialists. This is probably 534 partly due to the fact that the cost of adaptation is not general and consequently, that the 535 adaptation to two hosts simultaneously does not represent a strong evolutionary constraint. 536 However, what might seem harder to explain is the pattern of reciprocal local adaptation with 537 a cost of adaptation and without any identified cost of generalism (e.g. figure 4). A pattern of 538 reciprocal local adaptation with a cost of adaptation can be due either to antagonistic 539 pleiotropy or to fixation of mutations beneficial in the local host and neutral in the other one. 540 Indeed, in potyviruses, we can exclude the accumulation of deleterious mutations at loci not 541 expressed in one of the hosts (Kawecki 1994) as the full genome is constantly expressed as a 542 single polyprotein. If the cost of adaptation was due to antagonistic pleiotropy, the generalists 543 should pay a cost. Antagonistic pleiotropy makes impossible the adaptation to two hosts at the 544 same time. If it was due to neutral mutations, they could perform as well as the best lineage in 545 each host. Our experimental results favour the second explanation. However, the previous 546 reasoning is based on phenotypic traits only and the sequence data indicate a low level of 547 parallel evolution, meaning that the adaptation is due to different mutations in replicate 548 lineages of an evolutionary history and between evolutionary histories sharing a host. This

549 weakens considerably the argumentation based on phenotypic traits and knowing really 550 whether the mutations obtained are antagonistically pleiotropic would require analysing them 551 one by one through site-directed mutagenesis of the wild-type TEV genome. Finally, another 552 potential, non-exclusive explanation for the absence of cost of generalism is that the 553 "generalist populations" could actually be a composite of two populations, each one being 554 adapted to one of the hosts. If this was the case, it should be visible on the consensus 555 sequence data with a highest proportion of identified polymorphic sites in generalist than in 556 specialist populations. This is however not the case: polymorphic sites represent 24.4% of the 557 sites where a change has been identified in generalist lineages compared to 28.8% in 558 specialist lineages (Fisher's exact test, p = 0.763).

559 To sum up, our experimental evolution of TEV in a multihost context revealed a 560 pattern of local adaptation, characterized in particular by a higher infectivity on the host(s) 561 encountered during the experimental evolution. Local adaptation did not always come with a cost of adaptation and the cost of generalists predicted by theoretical approaches was not 562 563 found. At the sequence level, host specific mutations were found but the general level of 564 parallel evolution was relatively low. Altogether, these experimental results reveal a strong 565 adaptation potential of TEV to new hosts without severe evolutionary constraints. Our 566 experiment, using an *in vivo* system and a larger replication of and within evolutionary 567 histories, points in the same direction as previous experimental evolution approaches on other 568 pathogens. The generality of the high potential of adaptation of pathogens to hosts, which are 569 new to them at the evolutionary scale considered, indicates that emerging diseases caused by 570 a diversity of organisms actually represents an important threat. The most efficient policies to 571 limit them are preventive and should target the steps prior to adaptation: limit the flow of 572 pathogens towards new host species and avoid generating evolutionary situations favourable 573 to adaptation to a new host.

- 575 Supplementary material: see supplementary files. Contains supplementary methods,
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- 577

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743 Tables.

*Table 1.* Nominal logistic model on the infectivity data of the experimentally evolved

745 lineages.

Factor	<i>d.f.</i>	Likelihood ratio χ <sup>2</sup>	р
Evolutionary history	6	115.92	< 0.0001
Host	3	166.34	< 0.0001
Evol. hist. × host	18	83.01	< 0.0001
replication [evol. hist.]	46	158.77	< 0.0001

- *Table 2.* ANOVA of the virulence data (expressed on size and on weight) of the
- 750 experimentally evolved lineages.

Virulence expressed on size				
Factor	d.f. denominator	d.f. numerator	F ratio	р
Evolutionary history	6	49.66	2.06	0.0752
Host	3	311.34	215.99	< 0.0001
Evol. hist. × host	18	304.81	3.95	< 0.0001
replication [evol. hist.] (Rdm)	perce	ntage of variance	e explained	23.61%
Virulence expressed on weigh	nt		-	
Factor	d.f. denominator	d.f. numerator	F ratio	р
Evolutionary history	6	43.23	0.805	0.5713
Host	3	317.78	10.937	< 0.0001
Evol. hist. × host	18	311.51	1.843	0.0202
replication [evol. hist.] (Rdm)	perce	ntage of variance	e explained	9.51%

- *Table 3*. Effect of the type of evolutionary history (specialist or generalist) and of the type of
- 755 infection (foreign or local) on infectivity.

Factor	<i>d.f.</i>	Likelihood ratio $\chi^2$	р
Host	3	150.52	< 0.0001
Foreign/Local	1	7.58	0.0059
Specialist/Generalist	1	0.41	0.5222
Host $\times$ F/L	3	24.35	< 0.0001
Host $\times$ S/G	3	2.04	0.5635
$F/L \times S/G$	1	0.71	0.4008
Host × $F/L \times S/G$	3	2.53	0.4704

- *Table 4.* Effect of the type of evolutionary history (specialist or generalist) and of the type of
- 760 infection (foreign or local) on virulence.

Virulence expressed	on size				
Factor	<i>d.f.</i>	F ratio	р		
Host	3	255.14	< 0.0001		
Foreign/Local	1	15.05	0.0001		
Specialist/Generalist	1	0.03	0.8655		
Host $\times$ F/L	3	5.62	0.0009		
$Host \times S/G$	3	1.20	0.3100		
$F/L \times S/G$	1	2.81	0.0943		
Host $\times$ F/L $\times$ S/G	3	3.32	0.0199		
Virulence expressed	Virulence expressed on weight				
Factor	<i>d.f.</i>	F ratio	р		
Host	3	17.590	< 0.0001		
Foreign/Local	1	6.460	0.0114		
Specialist/Generalist	1	0.365	0.5459		
Host $\times$ F/L	3	1.791	0.1482		
Host $\times$ S/G	3	0.782	0.5043		
$F/L \times S/G$	1	0.727	0.3943		
Host × $F/L$ × $S/G$	3	1.009	0.3885		

- *Table 5.* Nonparametric analysis of variance for the number of nucleotide substitutions among
- 765 genes and for each experimental evolutionary history.

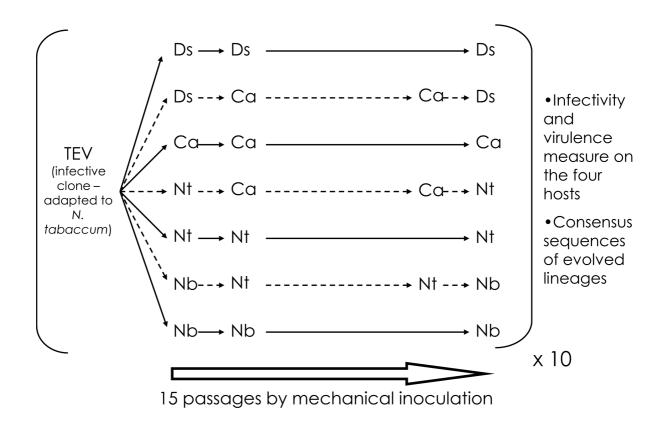
	Term	<i>d.f.</i>	Η	P value
	Evolutionary history	6	10.81	0.0944
	Genome region	9	30.80	0.0003
	Evol. hist. × Gen. region	54	103.57	< 0.0001
_				

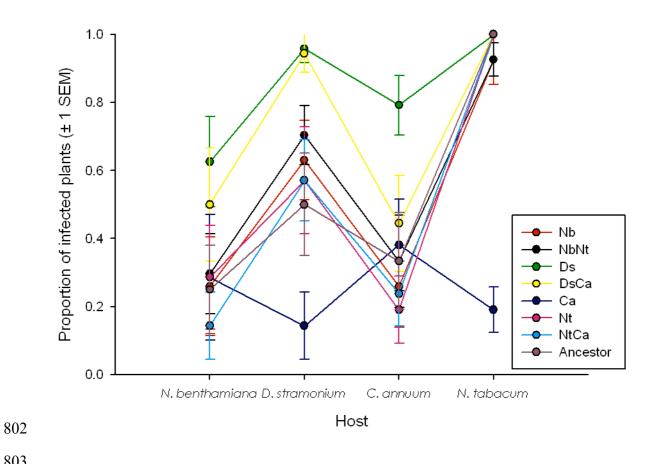
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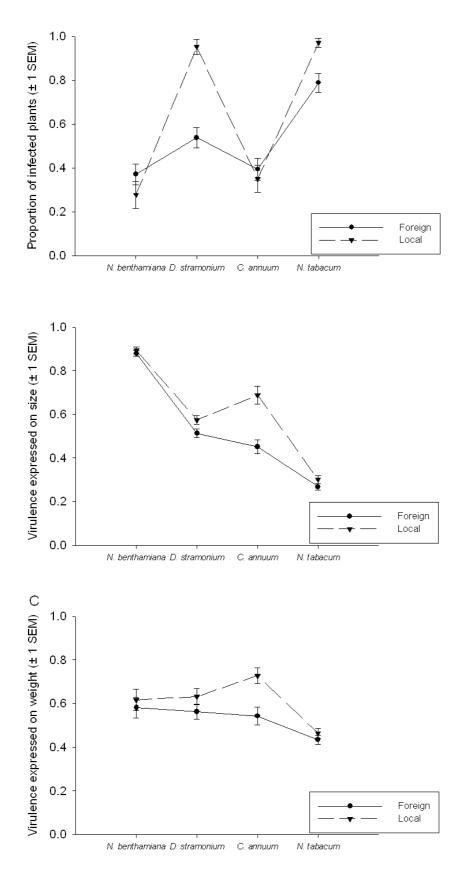
771 Figure 1. Overview of the experimental evolution procedure. The seven evolutionary histories 772 are represented: in continuous lines, the "specialist" history and in dotted lines, the 773 evolutionary histories with host alternation. Host species are codified as follows: Ds = D. 774 stramonium, Ca = C. annuum, Nt = N. tabacum and Nb = N. benthamiana. 775 776 Figure 2. Infectivity of the different evolutionary histories on the four experimental hosts. 777 Infectivity is expressed in terms of proportion of plants infected  $\pm 1$  SEM. 778 779 *Figure 3*. Experimentally evolved local adaptation: infectivity (A), virulence expressed on 780 size (B) and virulence expressed on weight (C) of local (triangles) and foreign (circles) across 781 the four experimental hosts. All values are expressed  $\pm 1$  SEM. 782 783 Figure 4. Virulence expressed on weight (± 1 SEM) for the Nt (triangles), Ca (circles) and 784 NtCa (squares) lineages, when measured on C. annuum and N. tabacum. 785 786 Figure 5. Schematic representation of the collection of mutations obtained in the 69 787 experimentally evolved lineages. The first line represents the full TEV genome with the 788 position of the eleven mature viral proteins within the ORF. The seven other lines correspond 789 each to one evolutionary history and all the mutations obtained for this evolutionary history 790 are represented. Synonymous mutations are represented as empty circles and non-791 synonymous mutations are represented as full circles. Mutations in black are unique whereas 792 mutations in colour are shared between several lineages. The colour corresponds to the host 793 present during the evolutionary history of all the lineages containing the shared mutation and

- the colour code is reported on the evolutionary history names on the left. The number in
- parentheses above each shared mutation indicates the number of times the mutation has been
- found in the evolutionary history.

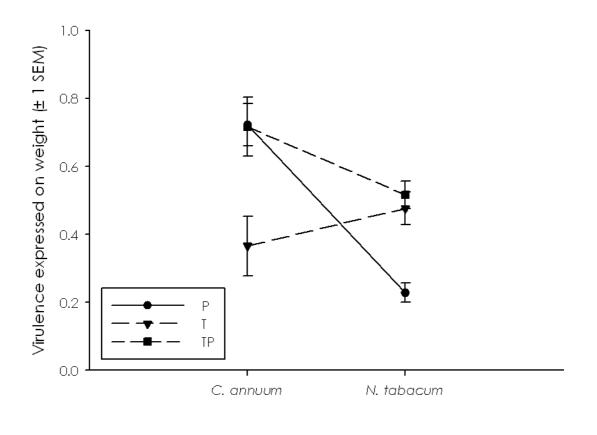
Figure 1.











810 Figure 5.

