Document downloaded from:

http://hdl.handle.net/10251/84324

This paper must be cited as:

Fortunati, E.; Luzi, F.; Jiménez Marco, A.; Gopakumar, D.; Puglia, D.; Thomas, S.; Kenny, J.... (2016). Revalorization of sunflower stalks as novel sources of cellulose nanofibrils and nanocrystals and their effect on wheat gluten bionanocomposite properties. Carbohydrate Polymers. 149:357-368. doi:10.1016/j.carbpol.2016.04.120.



The final publication is available at http://dx.doi.org/10.1016/j.carbpol.2016.04.120

Copyright Elsevier

Additional Information

27

1 Revalorization of sunflower stalks as novel sources of cellulose nanofibrils and nanocrystals 2 and their effect on wheat gluten bionanocomposite properties E. Fortunati^{a1}, F. Luzi^a, A. Jiménez^b, D. A. Gopakumar^c, D. Puglia^a, S. Thomas^c, J. M. Kenny^a, A. 3 Chiralt^b, L. Torre^a 4 5 ^aUniversity of Perugia, Civil and Environmental Engineering Department, UdR INSTM, Strada di Pentima 4, 05100 Terni (Italy) 6 ^bInstituto Universitario de Ingeniería de Alimentos para el Desarrollo, Universitat Politècnica de 7 8 València, Camino de Vera s/n, 46022 Valencia, Spain. 9 c International and Inter University Centre for Nanoscience and Nanotechnology, Mahatma Gandhi 10 University, Kottayam, Kerala 686560, India. 11 **12** ¹Corresponding author: Tel.: +39-0744492921; fax: +39-0744492950; E-mail address: 13 14 elena.fortunati@unipg.it (E. Fortunati) **15 16 Abstract 17** Novel gluten based bionanocomposites reinforced with cellulose nanofibrils (CNF) and cellulose nanocrystals (CNC) extracted from sunflower stalks by a steam explosion treatment and a **18 19** hydrolysis procedure, respectively, were prepared by casting/evaporation. The extracted cellulose **20** nanomaterials, both CNC and CNF, were embedded in gluten matrix and their effect was 21 investigated. Morphological investigations highlighted that gluten based bionanocomposites showed a homogenous morphology, the absence of visible cellulose nanoreinforcements, and the 22 23 presence of holes for Gluten_CNF nanocomposites. Gluten_CNF showed a reduction of water 24 vapour permeability coefficients but the values are higher respect to gluten reinforced with CNC. 25 This behaviour could be related to the ability of CNC to increase the tortuous path of gas molecules. Moreover, the results from thermal, mechanical and barrier properties confirmed the strong **26**

interactions obtained between CNC and gluten matrix during the process.

- 28 The study suggested the possibility to re-valorise agricultural wastes with potential applications as
- 29 reinforcement in polymer matrix bionanocomposites.

30

- 31 Keywords: Sunflower stalks, cellulose, chemical pre-treatment, steam explosion, hydrolysis,
- 32 bionanocomposites

1.Introduction

34

35

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54

55

56

57

58

The development and use of green resources represent new objectives for reducing gas emissions and consequent pollution while, in this context, lignocellulosic materials represent renewable resources for production of fuel ethanol from sugars. Among lignocellulosic materials, the use of agricultural residues is of particular interest because it has also the benefit of disposal of problematic solid wastes which usually do not have any economic alternative. Sunflowers have been considered as one of the major sustainable lignocellulosic materials used not only to extract oils but also for producing biofuels as alternative to fossil fuels (Vaithanomsat, Chuichulcherm & Apiwatanapiwat, 2009; Berglund, 2007). Sunflowers are renewable and are cultivated in large quantities (about 30-35 million metric tons) around the world; while sunflower seeds represent the fourth source of oil in the world, heads, stalks and leaves remain unutilized after harvesting(Ruiz, Cara, Manzanares, Ballesteros & Castro, 2008). These residues are not ecofriendly because after harvesting they are typically burnt under not well-controlled conditions causing a negative environmental impact. Every year, the volume of sunflower residues produced in the world represents a huge environmental impact with 3-7 tonnes of dry matter/ha (Díaz, Cara, Ruiz, Pérez-Bonilla & Castro, 2011; Vaithanomsat, Chuichulcherm & Apiwatanapiwat, 2009.). For these reasons, the attention of the scientific community is now oriented to the revalorization of wastes after sunflower harvesting, and currently the most common use of residual stalks is for bioethanol production (Jung, Yu, Eom & Hong, 2013). However, sunflower residues could be used also as precursors for the extraction of cellulose based materials. Currently, cellulose nanocrystals (CNC) and cellulose nanofibrils (CNF) constitute the two main families of nanosized cellulose. The former is extracted from fibres after a complete dissolution of the non-crystalline fractions, while the latter results from the application of high shearing forces of disintegration leading to a high degree of fibrillation, which yields highly interconnected fibrils. Some different methods are known for the extraction of nanosized cellulosic materials, such as chemical, enzymatical, mechanical

treatments, etc.. Among the different existing pre-treatment methods, steam explosion is one of the most commonly used for fractionation of biomass components. In steam explosion pre-treatment, biomass is exposed to pressurized steam followed by rapid reduction in pressure. The treatment results in substantial breakdown of the lignocellulosic structure, hydrolysis of the hemicellulosic fraction, depolymerization of the lignin components and defibration. Compared with alternative pre-treatment methods, the advantages of steam explosion include a significantly lower environmental impact, lower capital investment and less hazardous process chemicals (Chaker, Alila, Mutjé, Vilar & Boufi, 2013). Wheat gluten (WG) protein is an attractive material as agropolymer because of its high availability and it can be easily processed into films (Domenek, Feuilloley, Gratraud, Morel & Guilbert, 2004; Mojumdar, Moresoli, Simon & Legge, 2011). Besides the rapid biodegradability of wheat gluten films, such materials exhibit effective barrier properties against lipids and gases, such as oxygen, carbon dioxide and aroma compounds (Rafieian, F., Shahedi, M., Keramat, J., & Simonsen, J., 2014a). However, the poor mechanical properties and strong water absorption in humid environment of this material tremendously limit the applications in some industrial sectors as packaging. Solving these problems is a key research issue. Some actions have been taken to toughen the polymer matrix through using nanoparticles, for instance montmorillonite (Tunc, Angellier, Cahyana, Chalier, Gontard & Gastaldi, 2007) and cellulose nanofibrils (Rafieian, Shahedi, Keramat & Simonsen, 2014a, b), which are simple and represent an effective way to make a high-performance protein polymer composite. In the present research, we report the use of sunflower stalk wastes as precursors for the extraction of both cellulose nanofibrils (CNF) and cellulose nanocrystals (CNC) to be used as reinforcement phases in wheat gluten natural matrix. The effectiveness of an optimized alkaline pre-treatment followed by an acid hydrolysis was compared with a steam explosion assisted treatment that led the extraction of cellulose nanocrystals and cellulose nanofibrils, respectively. Then, gluten based

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

75

76

77

78

79

80

81

82

bionanocomposites, reinforced with CNC or CNF, were produced by solvent casting in water.

Finally, the dispersion of CNF or CNC in wheat gluten matrix, the mechanical response and the thermal and barrier properties of WG nanocomposites reinforced with cellulosic materials were deeply investigated.

88

89

2. Experimental

90 2.1 Materials

91 Sunflower stalks were collected in Umbria, Italy. The chemical composition of sunflower stalks, **92** expressed in % with respect to dry weight of matter, has been analyzed by many authors (quite wide 93 range of identified values to the variability of growing and harvesting conditions): glucose 27.0 -94 36.3%, xylose 16.7- 22.4%, α-cellulose 40.3 - 45.7%; holocellulose 54.0 - 71.85%; lignin 19.5 -28.1%, ethanol/benzene extractives 5.8 - 16.7%, ash 7.8 - 10.7% (Kopania, Wietecha, & 95 96 Chiechanska, 2010; Romero, Moya, Cara, Vidal, & Castro, 2013; Akpinar, Levent, Sabanci, Uysal, **97** & Sapci, 2011; Ruiz, Cara, Manzanares, Ballesteros, & Castro, 2008; Khristova, Bentcheva, & **98** Karar, 1998). Glycerol, used as plasticizer, was purchased from Panreac Química (Castellar del 99 Vallés, Barcelona, Spain). Wheat gluten (WG protein content; > 80%, moisture content: 5.5 - 8.0 100 %) and all chemical reagents were supplied by Sigma Aldrich (Sigma-Aldrich Chemie GmbH,

102

103

101

2.2 Cellulose nanocrystal extraction

Steinheim, Germany).

Sunflower stalks were chemically pre-treated before the cellulose nanocrystal (CNC) extraction.

Before the chemical pre-treatment, the stalks were washed several times with water and the internal white pith was manually removed. The external fibrous structure was then treated with 5 %wt/v

NaOH solution at room temperature (RT) for 72 h (liquid/fibre ratio 30:1) and successively with 5 %wt/v NaOH solution at 98 °C for 2 h (liquid/fibre ratio 10:1). The fibrous structure was also

treated with 5% wt/v of sodium chlorite (bleaching fibre/liquid ratio 1:50), boiled for 2 h at pH=4. A treatment with sodium bisulphate solution at 5 % wt/v was then carried out (30 min at RT) and finally a 17.5 % wt/v NaOH solution was applied (20 min at RT) (see Figure 1, Panel A).

Cellulose nanocrystal water suspensions were prepared from pre-treated fibres by sulphuric acid hydrolysis (Fortunati et al., 2013; Luzi et al., 2014). The hydrolysis was carried out with 64 % wt/wt sulphuric acid at 45 °C for 30 min. After the hydrolysis, a centrifugation (4400 rpm 20 min) and a dialysis procedure (around 5-7 days) were applied in order to remove the excess of acid while a mixed bed ion exchange resin (Dowex Marathon MR-3 hydrogen and hydroxide form) was added to the cellulose suspension for 48 h and then removed by filtration in order to adjust the negative charges induced by the hydrolysis. The resultant cellulose nanocrystal aqueous suspension was ultrasonicated by means of a tip sonicator (Vibracell, 750) for 5 min (Figure 1, Panel B). The final CNC water suspension was approximately 0.5 % wt/wt and the final yield after the hydrolysis was calculated as % of initial weight of the used pre-treated sunflower fibres.

2.3 Cellulose nanofibril extraction

The extraction procedure of cellulose nanofibrils (CNF) was done by a steam explosion treatment that involved 1) alkali treatment with steam explosion; 2) bleaching and 3) mild acid hydrolysis coupled with steam explosion (Figure 1, Panel C). Initially the sunflower stalks were cut into small pieces with grinder. A laboratory autoclave, model no: KAUC-A1 which can work with 137 Pa was used for steam explosion treatment. 100g of ground piece of stalks were treated with 5% wt NaOH solution and kept in an autoclave with the pressure of 137 Pa with the temperature of 180°C in an autoclave for 1.5 hours. After that, a bleaching of the resultant alkali treated stalk sample was done by treating with 5% wt sodium hypochlorite solution for 1.5 hours. Bleaching was repeated six times until the residue become white in colour. After bleaching, the fibres were thoroughly washed, dried and subjected to mild acid hydrolysis using 5% oxalic acid under a pressure of 137 Pa in an

autoclave for 20 minutes. The pressure was released immediately and the process was repeated six times. The fibres were taken out, washed and dispersed in water and homogenized under continuous stirring for 6 hours and the resultant suspension became cellulose nanofiber aqueous suspension. The final product was washed with deionised water by successive centrifugations until neutralization.

2.4 Characterization of CNC and CNF

2.4.1 CNC characterization

The microstructure of CNC was investigated by field emission scanning electron microscopy (FESEM, Supra 25-Zeiss) after gold sputtering, while the shear-induced birefringence of 0.6 % wt CNC solution was analysed in a dark box. For comparison, the microstructure of the cross section and the surface of pristine sunflower stalks and the surface of chemically pre-treated fibres were also investigated by FESEM. The images of the pristine and pre-treated fibres were analysed with the NIS-Elements BR (Nikon) software in order to determine the fibre average diameters.

Fourier infrared (FT-IR) spectra of pristine, chemically pre-treated fibres, and CNC were recorded using a Jasco FT-IR 615 spectrometer in transmission mode while thermogravimetric measurements (TGA) were performed by using a Seiko Exstar 6300 analyser from 30 to 900 °C at 10 °C min⁻¹ in nitrogen atmosphere.

2.4.2 CNF characterization

Transmission electron microscopy, JEOL JEM 2100 was used to determine the dimensions of the extracted cellulose nanofibers from the sunflower stalks. A drop of a diluted suspension (0.5 wt %) was deposited on the surface of a clean copper grid and coated with a thin carbon film. The sample was dried at room temperature before TEM analysis and the measurement was carried out with an accelerating voltage of 80 kV.

X- ray equatorial diffraction profiles was used to determine the crystallinity of the sunflower stalks subjected to the different treatments. Each material in the respective treatment was milled into the powder and placed on the sample holder. The diffraction patterns of the raw, alkali treated, bleached and acid treated samples were obtained with an X-ray diffractometer (JEOL diffractometer, Model JDX 8P) using CuK radiation (λ_{-} = 0.1539 nm) at the operating voltage and current of 40 kV and 20 mA, respectively. The X-ray diffractograms were obtained at room temperature within a 20 range from 5 to 80° and a scan rate of 2°min⁻¹. The crystallinity index (I_{cr}) of the material was determined by the Segal method as shown in the equation 1 (Segal et al. 1959).

167
$$I_{cr} = \left[\frac{I_{002} - I_{am}}{I_{002}} \right] \times 100$$
 (Eq. 1)

Where I_{cr} expresses the relative degree of crystallinity, I_{002} is the maximum intensity of the (0 0 2) lattice diffraction at $2\theta = 22^{\circ}$, and I_{am} is the intensity of diffraction at $2\theta = 18^{\circ}$. I_{002} represents both crystalline and amorphous regions, while I_{am} represents only the amorphous part.

Fourier transform infrared spectra were recorded using a Shimadzu IR-470 IR spectrophotometer. Raw, alkali-treated, bleached, acid-treated fibres and nanocrystals of sunflower stalks samples were analyzed. Prior to the experiment, the samples were dried in an air oven at 60 °C for 12 h. The FT-IR spectrum of each sample was obtained in the range of 400–4000 cm⁻¹. The KBr disk (ultrathin pellets) method was used and the experiments were carried out with a resolution of 2 cm⁻¹ and a total of 15 scans for each sample.

2.5 Gluten bionanocomposite preparation

The wheat gluten bionanocomposite films loaded with 1% wt. and 3% wt., respect to the matrix weight, of both CNC (density 1.3 g cm⁻³) (Mukherjee, Kao, Gupta, Quazi, & Bhattacharya, 2016) and CNF (density 1.5 g cm⁻³) (Jonoobi, Harun, Mathew, & Oksman, 2010) were prepared by using the method described by Kayseriliolu (Kayserilioglu, Bakir, Yilmaz & Akkas, 2003) with minor

modification. The formulations are designed as Gluten_1CNC, Gluten_3CNC, Gluten_1CNF, Gluten_3CNF, respectively (volume fractions of cellulosic materials, CNC or CNF, respect to the gluten volume used for each samples are 0.47% v/v, 1.45% v/v, 0.41% v/v, 1.26% v/v, respectively). Deionized water was mixed with 2 % wt of glycerol as plasticizer. Wheat gluten was dispersed in the prepared solution (10 % wt) with magnetic stirring at high speed. Sodium hydroxide solution (0.5 M) was then carefully added to the solution with magnetic stirring at low speed at room temperature for 30 min, until pH =10.8 was obtained, and a following heating in a water bath at 70 °C for 10 min under controlled pH, was applied. After cooling, specific amounts of both CNC and CNF aqueous dispersions were added and magnetically stirred for 30 min at RT. Finally, the solutions were casted on the *Teflon*® sheet and the drying was performed at RT until films were conditioned before characterization at 20 °C and 53 % relative humidity conditions in desiccators by using a magnesium nitrate-6-hydrate saturated solution (Sigma-Aldrich) for at least one week. Neat gluten based films were also produced for comparison by using the same procedure and the excess of water used for CNC and CNF based formulations was here considered and added.

2.6 Characterization of gluten based bionanocomposites

The microstructure of the gluten based bionanocomposite fractured surfaces was investigated by scanning electron microscope, FESEM, after gold sputtering of the surfaces. The surface properties of the produced formulations were investigated by both atomic force microscopy (AFM) and optical microscopy. The AFM analysis was performed by using a Nanoscope III.a Scanning Probe Microscope, (Multimode 8, Bruker AXS, Inc. Santa Barbara, California, USA), with a NanoScope[®] V controller electronics. Measurements were taken from several areas of the film surface (50 x 50 µm and 3 x 3 µm), using the phase imaging mode. Optical analysis was carried out by light microscopy using an optical microscopy (DM/LP Leica Microsystems, Wetziar GmbH) with a CCD

camera incorporated, which allowed acquiring images from different samples. Images of films
 containing or not cellulose nanocrystals were acquired by using x200 magnification.

The transparency of the films was determined from the surface reflectance spectra by using a spectrocolorimeter CM-3600d (Minolta Co, Tokyo, Japan) with a 30 mm illuminated sample area by applying the Kubelka–Munk theory for multiple scattering to the reflection spectra. This theory was based on that the light passes through the film, it is partially absorbed and scattered, which is quantified by the absorption (K) and the scattering (S) coefficients. Internal transmittance (T_i) of the films was quantified using equation 2. In this equation, R_0 is the reflectance of the film on an ideal black background. Parameters a and b were calculated by equations 3 and 4, where R is the reflectance of the sample layer backed by a known reflectance R_g . The reflection spectra on the white and black background were determined from 400 to 700 nm. Measurements were taken in triplicate for each formulation.

220
$$T_i = \sqrt{(a - R_0)^2 - b^2}$$
 (Eq. 2)

222
$$a = \frac{1}{2} \left(R + \frac{R_0 - R + R_g}{R_0 R_g} \right)$$
 (Eq. 3)

223
$$b = (a^2 - 1)$$
 (Eq. 4)

Colour coordinates of the films, L*, C*_{ab} (equation (5)) and h_{ab} (equation (6)) from the CIELAB colour space were determined using D65 illuminant and 10° observer and taking into account R_{∞} (equation (7)) which correspond with the reflectance of an infinitely thick layer of the material.

227
$$C_{ab}^* = \sqrt{a^{*2} + b^{*2}}$$
 (Eq. 5)

228
$$h_{ab}^* = arctg(\frac{b^*}{a^*})$$
 (Eq. 6)

229
$$R_{\infty} = a - b$$
 (Eq. 7)

- 230 Finally, colour differences between the different films and control film were evaluated by using,
- **231** equation (8):.

232
$$\Delta E = \sqrt{(\Delta L^*)^2 + (\Delta a^*)^2 + (\Delta b^*)^2}$$
 (Eq. 8)

- 233 Gloss was measured using a flat surface gloss meter (Multi-Gloss 268, Minolta, Langenhagen,
- 234 Germany) at an incidence angle of 60°, according to the ASTM standard D523 (ASTM, 1999).
- 235 Gloss measurements were performed over a black matte standard plate and were taken in triplicate.
- 236 Results were expressed as gloss units, relative to a highly polished surface of standard black glass
- with a gloss value close to 100.
- 238 Thermal characterization was done by both differential scanning calorimetric (DSC) and
- thermogravimetric analysis (TGA). DSC measurements were carried out on a TA Instruments DSC
- 240 Q200 in modulated mode (TA Instruments Inc., USA) equipped with Universal Analysis 2000
- 241 software. Film samples, weighing 8 ± 1 mg, were placed in a hermetically sealed sample pan and
- 242 tested from -70 to 170 °C at a heating rate of 5 °C min⁻¹. The period and the amplitude of
- 243 modulation were respectively 60 s and 0.50 °C. The glass-rubber transition temperature (T_{σ}) was
- 244 determined from the temperature at the inflexion point, corresponding to the temperature at which
- 245 the differential heat flow is maximum. TGA tests (Seiko Exstar 6300) from 30 to 600 °C at 10 °C
- 246 min⁻¹ under a nitrogen atmosphere were performed for each sample.
- 247 X-ray diffraction was used to determine the crystallinity of the CNC and CNF gluten composite
- 248 films with varying concentrations of CNC and CNF. Each film was placed on the sample holder to
- 249 obtain total and uniform X-ray exposure. The X-ray diffraction patterns of neat gluten,
- 250 Gluten_1CNC, Gluten_3CNC, Gluten_1CNF and Gluten_3CNF films were obtained with an X-ray
- 251 diffractometer (SHIMADZU XRD-6000). The x-ray diffractograms were obtained at room
- 252 temperature within a 2θ range from 5 to 60° and a scan rate of 2° min⁻¹.

The mechanical behaviour of gluten based bionanocomposite films was evaluated by tensile tests, performed on rectangular probes (50 mm x 10 mm) on the basis of UNI ISO 527 standard with a crosshead speed of 50 mm min⁻¹, a load cell of 500 N and an initial gauge length of 25 mm. The elastic modulus (E), the tensile strength (σ_b) and elongation at break (ε_b) were calculated from the resulting stress-strain curves. The measurements were done at room temperature and at least five samples were tested. The barrier properties of the gluten based formulations were evaluated by both water vapour permeability (WVP) test and oxygen transmission rate measurements. WVP was evaluated following the gravimetric method ASTM E96-95 (ASTM, 1995) by using Payne permeability cups (Payne, elcometer SPRL, Hermelle/sd Argenteau, Belgium) of 3.5 cm diameter. Deionised water or lithium chloride salt were used inside the testing cups to achieve 100 or 11 % RH respectively, on one side of the film, meanwhile an oversaturated magnesium nitrate solution was used to control the RH (53 % RH) on the other side of the film. The relative humidity of the tests was selected according to the final use of the flexible films as package material, thus simulating the contact with fresh food, such as meat or fresh cut fruit or very low water activity products, respectively. A fan placed on the top of the cup was used to reduce resistance to water vapour transport. Water vapour transmission rate measurements (WVTR) were performed at 25 °C. To calculate WVTR, the slopes in the steady state period of the weight loss vs. time curves were determined by linear regression. WVP was calculated according to Cano et al., 2014 (Cano, Jiménez, Cháfer, Gónzalez & Chiralt, 2014). For each type of film, WVP measurements were taken in quadruplicate. The oxygen barrier capacity of the gluten based bionanocomposite films was evaluated by measuring oxygen permeability (OP) by means of an Ox-Tran 1/50 system (Mocon, Minneapolis, USA) at 25 °C (ASTM Standard Method D3985-95, 2002). Measurements were taken at 53 % in films previously equilibrated at the same RH. Films were exposed to pure nitrogen flow on one side and pure oxygen flow on the other side. The OP was calculated by dividing the oxygen transmission

253

254

255

256

257

258

259

260

261

262

263

264

265

266

267

268

269

270

271

272

273

274

275

276

rate by the difference in the oxygen partial pressure on the two sides of the film, and multiplying by
the average film thickness. At least three replicates per formulation were taken into account.

280

281

282

2.7 Statistical analysis

- Results were analysed by analysis of variance (ANOVA), using the Statgraphics Plus 5.1. Program
- 283 (Manugistics Corp., Rockville, MD). To differentiate samples, Fisher's least significant difference
- 284 (LSD) was used at the 95 % confidence level.

285

286

287

3. Results and Discussion

3.1. Cellulose nanostructures extracted from sunflower stalks

- **288** *3.1.1. Characterization of extracted cellulose nanocrystals*
- 289 Sunflower stalks present a heterogeneous structure characterized by an external lignocellulosic wall
- and an interior white core. In this research, we selected only the external fibrous part of the
- 291 sunflower stalks for CNC extraction. Figure 2 shows the morphological appearance of the raw
- 292 material (Figure 2 a and b), of the pre-treated fibres (Figure 2 c) and of the novel extracted CNC
- 293 (Figure 2 d). Figure 2 a shows the porous honeycomb network that characterizes the cross section of
- 294 sunflower stalks (Marechal & Rigal, 1999; Nozahic & Amziane, 2012), while the surface image
- confirms their heterogeneous, rough and pitted structure (Figure 2 b).
- 296 The applied chemical treatment provoked an evident defibrillation process of the sunflower stalks
- as a consequence of hemicellulose and lignin removal (confirmed by the whitening, Figure 1, Panel
- 298 A) and the fibres appear well individualized, with a regular, smooth and clean surface (Figure 2 c),
- while each elementary filament shows a compact structure and very long entangled cellulosic fibrils
- 300 (Figure 2 c-insert) with a diameter of pre-treated fibres of 12.3±3.1 μm (calculated by FESEM
- images by the NIS-Elements BR-Nikon software).

Concerning the hydrolysis procedure for the extraction of cellulose nanocrystals, the measured yield of the applied procedure was approximately 21% and this is an important result considering the low cellulose content that characterized the used raw material (about 40% for depithed stalks). The FESEM image (Figure 2d) confirms that the aqueous suspensions containing cellulose nanocrystals consisted mostly of individual crystals with the previously reported acicular structure ranged from 150 to 200 nm in length and 5-10 nm in diameter (aspect ratio 26 ± 10) (Fortunati, Puglia, Luzi, Santulli, Kenny & Torre, 2013), while a 69.8% of crystallinity index was calculated from XRD pattern. Finally, the aqueous suspension exhibited the typical shear-induced birefringence of CNC (Figure 2d-insert), highlighting their ability to form a chiral nematic liquid crystalline phase in equilibrium with the isotropic phase and underlining the success and effectivity of the selective extraction procedure. The results of thermal and chemical investigations of raw material, pre-treated fibres and CNC are also summarized in Figure 2. The DTG curves (Figure 2e) suggest that the pyrolysis process of pristine fibres can be separated into three main stages: the first weight loss is due to moisture loss, the second is due to the main thermal decomposition of cellulose (centred at 304 °C with a shoulder peak at 225 °C due to hemicellulose and lignin components) (Figen, İsmail & Pişkin, 2012; Varhegyi, Jakab, Till & Szekely, 1989) and the third step is related to the lignin and hemicelluloses decomposition. In the case of pre-treated fibres, the first weight loss was reduced, while the elimination of the shoulder in the second peak of the DTG profile confirmed the elimination of hemicellulose and lignin material by the treatment with sodium hydroxide. Moreover, the shift of the main peak related to cellulose decomposition to higher temperatures indicates an increase of the thermal stability of the pre-treated fibres, due to the reduced amount of non-cellulosic material of the fibre and the presence of high crystalline cellulosic components. In the case of CNC, two wellseparated pyrolysis processes are observed in the DTG curves. The first one is likely due to the weaker interaction of single bond OH groups in cellulose that requires less energy to start the

302

303

304

305

306

307

308

309

310

311

312

313

314

315

316

317

318

319

320

321

322

323

324

325

328 temperature (353 °C) probably due to different ordered and packed cellulose regions, possibly 329 higher crystallite size and therefore higher thermal stability (Flandez, González Tovar, Bayer 330 Resplandis, El Mansouri, Vilaseca Morera & Mutjé Pujol, 2012). 331 Figure 2f shows the spectra fingerprint region of pristine, pre-treated fibres and CNC extracted from 332 sunflower stalks. The interior part of the sunflower stem is extremely rich in polysaccharides, with OH hydroxyl group stretching leading to a large peak between 3000 and 3600 cm⁻¹. The absorption 333 peak around 2900 cm⁻¹ indicates the stretching vibration of C-H band of CH₂ methylene group 334 (2920 and 2850 cm⁻¹), characteristic of waxes and fats (Nozahic & Amziane, 2012). In the case of 335 pre-treated fibres, the signal at 1511 cm⁻¹ assigned to the aromatic C-O stretching mode for the 336 guayacyl ring of lignin, disappeared as expected (Monlau, Barakat, Steyer & Carrere, 2012). The 337 spectrum of CNC reported identifiable bands as adsorbed water in cellulose (1641 cm⁻¹) and bands 338 at 1423, 1377, 1339 and 1311 cm⁻¹ attributed respectively to CH₂ symmetric bending, CH bending, 339 **340** in-plane OH bending and CH₂ rocking vibration in cellulose. Furthermore, the signals at 1163, 1116, 1061, 1033, 897 cm⁻¹ are assigned respectively to asymmetric C-O-C stretching, **341** 342 anhydroglucose ring asymmetric stretching, C-O stretching, in-plane C-H deformation of cellulose 343 can be identified (Chen, Ferrari, Angiuli, Yao, Raspi & Bramanti, 2010).

thermal degradation process, while the main DTG peak of the cellulose is shifted to a higher

344

345

346

347

348

349

350

351

327

3.1.2. Characterization of extracted cellulose nanofibrils

Extracted cellulose nanofibers from sunflower stalks were examined by transmission electron microscopy (TEM) to find the dimensions of the nanofibers. From TEM image, Figure 3a, it can be seen that fibres with average diameter in the range of 5-10 nm with a good network were obtained. In other words, a number of branches of small bundles or individualized nanofibers were hooked up to larger aggregates. This TEM image concludes that steam explosion coupled with mild acid hydrolysis is an effective method to produce cellulose nanofibers. The steam explosion treatment

was expected to break down the lignocellulosic structure, hydrolyze the hemicellulose fraction and 352 353 depolymerize lignin components (Cara, Ruiz, Ballesteros, Negro & Castro, 2006; Cara, Ruiz, 354 Ballesteros, Manzanares, 336 Negro & Castro, 2008). Crystallinity of cellulose in each nanofiber is an important factor for determining the mechanical 355 356 and thermal properties. The ability of cellulose hydroxyl groups to bond each-other play a major 357 role in directing the crystalline packing and also governing the physical properties of cellulose. 358 Cellulose has a well prominent crystalline structure due to hydrogen bonding and van der Waals 359 interactions existing between adjacent cellulose molecules compared to hemicellulose and lignin, **360** which are amorphous in nature. The chemical treatment is one of the governing factors which 361 deeply affect the crystallinity of the cellulose; hence, in order to evaluate the effectiveness of the 362 chemical treatment, crystallinity of the treated fibres can be determined and compared with values for untreated fibre. Figure 3b shows the diffraction patterns obtained for pristine, alkali treated, 363 364 bleached and acid hydrolysed sunflower stalk samples. It is noticed that there is a gradual increase 365 in crystallinity index at each stage of treatments and it is maximum for acid treated samples. The **366** intense peak in the acid treated sample clearly indicates the efficient removal of non cellulosic 367 polysaccharides and dissolution of amorphous zones (Cherian, Pothan, Nguyen-Chung, Mennig, 368 Kottaisamy & Thomas, 2008). The values of the crystallinity index obtained at different stages of isolation are shown in Figure 3d. Crystallinity index showed a gradual increase in crystallinity from 369 **370** initial raw fibre to acid treated nanofiber. The high crystallinity of nanofibers will increase their **371** stiffness and rigidity and it could be more effective in providing better reinforcement for composite 372 materials. 373 FTIR analysis of the untreated, alkali treated, bleached and acid treated sunflower stalks samples 374 are given in Figure 3c. During isolation process, most of the lignin and hemicelluloses parts have 375 been removed from the fibres. This could be understood from the IR studies. The peak at 3300 cm ⁻¹, which was observed in the spectra of all fibres, corresponds to the OH stretching vibrations of 376

hydrogen bonded hydroxyl group and it shows the hydrophilic tendency of the fibre (Karimi, Shafiei & Kumar, 2013; Pelissari, do Amaral Sobral & Menegalli, 2014). The peak at 1630 cm⁻¹ is due to the bending mode vibration of the absorbed water with some contributions from carboxylate groups (Chirayil, Mathew & Thomas, 2014). These results indicate that the cellulose component was not removed during the chemical treatment and hence we can conclude that the steam explosion coupled with the mild acid hydrolysis treatment effectively removed the lignin and hemicellulose portions from the fibre matrix.

384

385

386

387

388

389

390

391

392

393

394

395

396

397

398

399

400

401

377

378

379

380

381

382

383

3.1.3.CNC vs CNF

FTIR studies have been done on the extracted cellulose nanofibers and nanocrystals from sunflower stalks. FTIR spectra of cellulose nanofibers and cellulose nanocrystals are shown in the Figure 4a, it is observed that cellulose nanofibers show the band at 896 cm⁻¹ which is assigned as β-glucosidic linkage for the cellulose I structure and cellulose nanocrystals shows the band at 894 cm⁻¹ position which is due to the cellulose II structure (Gwon, Lee, Chun, Doh & Kim, 2010). The change occurred was due to the rotation of glucose residue around the glucosidic bond (Ray & Sarkar, 2001). In addition, it can be seen that band of the cellulose nanofibers at 998 cm⁻¹ was shifted to 996 cm⁻¹ in the case of nanocrystals. This was also related to the transformation from cellulose I to cellulose II crystal structure (Gwon, Lee, Chun, Doh & Kim, 2010). This may be justified by transformation and regeneration of cellulose chains after prolonged chemical treatments. We can conclude that the cellulose nanocrystals and cellulose nanofibers show the structure of cellulose II and cellulose I, respectively. XRD studies were done on both cellulose nanofibers and nanocrystals from sunflower stalks to investigate the effect of chemical purification on crystallinity. Figure 4b shows the X-ray diffraction peaks of both cellulose nanofibers and nanocrystals. The cellulose nanofibers shows diffraction peaks around $2\theta = 16.3^{\circ}$ and $2\theta = 22.6^{\circ}$ which typically represent cellulose type I. In the case of 402 cellulose nanocrystals, the pattern was changed to Cellulose II, with a split peak around 2θ = 20°
 403 and 21.7° (Nasri-Nasrabadi, Mehrasa, Rafienia, Bonakdar, Behzad & Gavanji, 2014). This may be
 404 justified by transformation and regeneration of cellulose chains after chemical treatments.

405

406

407

408

409

410

411

412

413

414

415

416

417

418

419

420

421

422

423

424

425

426

3.2. Characterization of gluten based bionanocomposites

3.2.1. Morphological and transparency properties

The microstructure of the cross-section surfaces of gluten based bionanocomposites was qualitatively analyzed by using FESEM, while the surface structure was analyzed by AFM and optical microscope in order to evaluate the influence of cellulose nanoreinforcements and the modification on the neat gluten microstructure (Figure 5). FESEM images of fractured surface of gluten based nanocomposites show a homogenous aspect with the absence of visible cellulose nanoreinforcements; however, the presence of some holes was detected for Gluten_CNF nanocomposites. A high homogeneity was evidenced for gluten matrix based film that tended to decrease for the nanocomposite systems; in fact, different phases can been seen by FESEM analysis (and then by AFM) both for Gluten_CNC and Gluten_CNF and this effect, more evident for CNF, can be related to the domains of gluten and cellulose nanoreinforcements that were formed during the processing. The production of the holes was, in fact, typically related to the incorporation of air and to the evaporation of the solvents during the casting of the materials, and it was here enhanced by the presence of CNF due to their different morphology and dimensions with respect to CNC (Chevillard et al., 2011). AFM images show the topographic analysis of gluten based bionanocomposites obtained by using Phase Imaging mode derived from Tapping Mode. Phase Imaging allows detecting variations in composition. In gluten and gluten based nanocomposites, heterogeneous response of different phase can be detected. In gluten film the different phases can be related to the presence of gluten and glycerol, while for nanocomposites the different areas can also be related to the presence of the

427 nanoreinforcements. AFM images also underline a good distribution for the CNC into the matrix, 428 whilst CNF agglomerates can be found in Gluten_1CNF; however, this effect is not evident for 429 Gluten_3CNF because the analysed region does not allow identifying CNF agglomerates. 430 Optical microscope images of film surfaces for the Gluten_CNF show a clear presence of 431 heterogeneous materials due to the agglomeration of long nanofibrils created during the processing 432 or cast phase identifiable as brown areas. The aggregation phenomenon is more evident for 433 Gluten 3CNF. The presence of aggregates and holes negatively influences not only the morphology 434 of the material but also its optical, barrier, and mechanical properties. 435 Table 1 shows the values of internal transmittance (T_i) at 450 nm, the gloss values at 60° and the 436 values of the colorimetric analysis of gluten and gluten bionanocomposites. According to Kubelka -437 Munk theory, high values of T_i are associated to structural homogeneity and their degree of 438 transparency, while low T_i values are related to a high structural heterogeneity and greater opacity. 439 The highest T_i value was found for Gluten_3CNC and for the other gluten based bionanocomposites 440 the values of transparency remain unchanged with respect to gluten film (Table 1). A significant difference (p<0.05) was obtained between Gluten_3CNC and the other four formulations. 441 442 The gloss of bionanocomposites was greatly affected by the presence of nanoreinforcements. In the 443 case of bionanocomposites reinforced with CNC, the values of gloss increase as a function of filler 444 percentage. The opposite behaviour was evidenced for the nanocomposites reinforced with CNF; in 445 this case, the gloss decreases at the higher filler content. This result can be related to the presence of 446 agglomerates on the surface of Gluten_CNF, as also evidenced by optical microscopy. In the case 447 of Gluten_CNC, the nanoreinforcements are homogeneously distributed into the matrix while, as 448 shown in Figure 5, in the Gluten_CNF nanocomposites the surfaces show the presence of 449 agglomerates related at the presence of CNF. 450 The colour of the bionanocomposites is a consequence of the colour of gluten powder and it is

451expressed in term of lightness (L^*), chroma (C_{ab}^*), hue (h_{ab}^*). Incorporation of CNC or CNF in

gluten films induced very small colour changes. CNC provoked a less saturated (lower chroma values) and less yellow (lower hue values) colour in gluten films, whereas CNF induce a more saturated and yellow colour. The total colour differences ΔE were estimated between the neat gluten and bionanocomposites. Since the ΔE values between the neat gluten and bionanocomposites were lower than 2, these are in the limit of the human eye perception (Mahy, et al. 1994). To conclude, optical parameters are largely related to films microstructure, finishing degree, and degree of roughness.

459

460

461

462

463

464

465

466

467

468

469

470

471

472

473

474

475

476

458

452

453

454

455

456

457

3.2.2. Thermal physical properties

Results of TGA tests are reported in Figure 6 a and Table 2. During thermal degradation under nitrogen flow, the gluten based materials containing CNC and CNF have shown a four stepsdecomposition pattern, which corresponds, respectively, to the elimination of moisture, glycerol evaporation, degradation of cellulosic nanoreinforcements and decomposition of wheat gluten. The first peak below 100°C in DTG curves can be attributed to water evaporation, while the second step, in which there was a further weight loss, occurred after the elimination of moisture and corresponded to the evaporation of glycerol. As reported in Table 2, the DTG II peak moved to higher temperatures with increasing content of CNC from 0 to 3% wt. (from 248 to 251 and 252 °C, respectively for Gluten 1CNC and Gluten 3CNC). This was believed to be due to the preferable barrier property of CNC well dispersed in gluten matrix, which could efficiently delay the evaporation of glycerol or water vapour moisture. In the case of cellulose nanofibers, we observed a shift towards lower temperatures (from 248 to 239 and 228 °C, respectively for Gluten_1CNF and Gluten_3CNF), indicating in this case a less stable structure. CNF consists of both individual and aggregated nanofibrils made of alternating crystalline and amorphous cellulose domains, with a different ordered and packed cellulose regions with respect of rigid CNC, that indeed present a higher crystallinity index than the others, due to the disruption of amorphous holocellulose

surrounding and embedding the cellulose crystallites formed by well organized glucose chains (Wang, Sain & Oksman, 2007). The neat gluten maximum degradation was registered at 317 °C (Mojumdar, Moresoli, Simon & Legge, 2011) and similar temperatures have been measured for DTG_{max} values (see Table 2) in the case of films containing CNC (316 and 315 °C, respectively for Gluten_1CNC and Gluten_3CNC); a shift towards lower temperatures was registered for the Gluten CNF at the two different weight percent (310 and 307 °C, respectively for Gluten 1CNF and Gluten_3CNF). A decrease of maximum degradation rate related to the main peak was observed in the case of CNC containing gluten (from 0.089 μg μg_i min⁻¹ for neat gluten to 0.070 μ μg_i⁻¹ min⁻¹ and 0.055 μg μg_i⁻¹ min⁻¹, for Gluten LCNC and Gluten 3CNC, respectively), indicating an effective action of CNC as barrier to diffusion of degradation products from the bulk of the gluten polymer to the gas phase. The same behaviour was not revealed in CNF containing gluten films, that nevertheless showed similar values for degradation rate peaks with increasing CNF content. The measured values of residual mass at the final temperature of the test (800 °C) (see Table 2) showed that addition of CNC and CNF slightly influenced the measurement. The small increase in char formation for cellulose nanocrystals and cellulose nanofibrils could be due to two reasons: (1) the sulphate group acts as a dehydration catalyst and facilitates the char residue formation (Kim, Nishiyama, Wada & Kuga, 2001), or (2) owing to their small particle size, a large number of free end chains is present which trigger decomposition at lower temperature and consequently increasing the yield of char (Staggs, 2006). The results of T_g measurements from modulated DSC heating scan (reversible heat flow) of wheat gluten bionanocomposites are also reported in Table 2. The registered high-temperature peak is associated with the glass transition of the plasticized gluten phase (high-T_g) (Rafieian, Shahedi, Keramat & Simonsen, 2014a). The values for T_g increase from 107.9 °C to 111.8 °C with increase of CNC content from 0 to 3 % wt. Even in the case of CNF reinforcement, we obtained a shift of the glass transition to higher temperature, but the increase was less evident in the case of gluten films containing cellulose nanofibrils at the two

477

478

479

480

481

482

483

484

485

486

487

488

489

490

491

492

493

494

495

496

497

498

499

500

different weight percents, in particular no further increase was registered at 3 %wt of CNF. This result suggests the strong increasing interactions between CNC and gluten matrix in the gluten rich phase, which restricts the mobility of the motion of gluten chain segments and elevates the glass transition temperature with increasing content of CNC and CNF (Song & Zheng, 2009). In the case of wheat gluten bionanocomposites reinforced with CNF, the partial increase could be due to the limiting effect of CNF in restricting the mobility of the plasticized protein chain for a decreased plasticization effect of water due to a re-distribution of cellulose—water interactions within the matrix (Roohani, Habibi, Belgacem, Ebrahim, Karimi & Dufresne, 2008).

X-ray diffraction patterns were obtained for the neat wheat gluten and gluten bionanocomposite films of various wt. % of CNC and CNF. Figure 6 b shows X-ray diffraction patterns of the neat gluten and that of bionanocomposite films. From the figure, it can be clearly shown that neat wheat gluten showed no crystallinity on its x-ray diffraction pattern due to its non-crystalline nature (Lim

and Fujio 1989). In the case of Gluten_CNF composites films, the x-ray diffraction pattern showed

a prominent peak around $2\theta = 22.6^{\circ}$, indicating the presence of cellulose I CNF, whereas

Gluten_CNC composite films showed two small peaks around $2\theta=20^{\circ}$ and 21.7° , indicating the

3.2.3. Mechanical and barrier properties

presence of cellulose II CNC.

Table 2 shows barrier and mechanical properties evaluated for gluten based nanocomposites (90-100 µm thick). The barrier characterization is one of the most important requirements for food packaging. The goal of food packaging is twofold: to contain the food and to decrease its contamination with the surrounding atmosphere, increasing its shelf-life (Rhim, Park & Ha, 2013). Incorporation of CNC and CNF slightly modify OP of gluten films, depending on their morphology and ratio. The lowest ratio of CNC reduced OP, whereas at the highest ratio reinforcements tend to increase OP, as for CNF. This effect can be attributed to the aggregation degree of the

528 CNF, as previously commented. The presence of particles increases the tortuosity factor for mass 529 transfer through the polymer (Fortunati, Peltzer, Armentano, Jimenez & Kenny, 2013), reducing 530 permeability values, but the aggregation phenomenon and the induced morphology (presence of 531 some holes) provoke a reduction of tortuosity factor, leading to OP values nearer to the gluten 532 matrix. 533 The water vapour permeability was evaluated at 25 °C and at two different conditions of relative 534 humidity, the first one at 11-53% RH and the second one at 100-53 %RH. 535 The WVP analysis, at 11-53% RH gradient, show a significant reduction of the permeability 536 coefficients for CNC composites, around 34 and 32% for Gluten_1CNC and Gluten_3CNC 537 respectively, although no significant effect of CNF on WVP was observed. This behaviour can also 538 be related to the ability of CNC to increase the tortuous path of water molecules through the 539 nanocomposite structure (Fortunati et al., 2014), while the greater aggregation degree of CNF 540 reduced the capacity of reinforcement to limit permeation of water molecules. However, at 100-**541** 53% RH gradient, no significant differences among WVP values of gluten and bionanocomposite 542 films were observed, probably due to the greater plasticization degree of the polymer matrix, which 543 implied a sharp increase in the permeation capacity of water molecules. In this situation, the 544 potential barrier effect of reinforcements was clearly inhibited, in line with the moisture gain of the 545 hydrophilic gluten matrix and the subsequent increase in the molecular mobility and the rate of all **546** diffusion dependent processes. Therefore, it is evident that gluten films should be only used as food 547 packaging for dry foods because high humidity compromises the stability of films. 548 Tensile tests of gluten and gluten based bionanocomposite films were performed at room 549 temperature and the results are summarized in Table 2. All studied bionanocomposite formulations, 550 both Gluten_CNC and Gluten_CNF based films, showed Young's modulus higher than neat gluten 551 (300 MPa), and significant increase was induced by the presence of both cellulosic nanostructures

reinforcement material (depending on their ratio in the films), which was more intense in the case of

(CNC and CNF), highlighting their reinforcement effect. Moreover, the highest value of Young's modulus was registered for Gluten_1CNC. Cellulose nanocrystals are known to form a percolating network within the polymer matrix in which the stress is assumed to be transferred through crystal/crystal interaction and crystal/polymer matrix interaction (Fortunati et al., 2012). This result confirms again the strong interactions between CNC and gluten matrix. On the contrary, no particular changes were detected in tensile strength and elongation at break values with the presence of either CNC or CNF in gluten matrix.

559

560

561

562

563

564

565

566

567

568

569

570

571

572

573

574

575

576

552

553

554

555

556

557

558

4. Conclusions

Gluten based bionanocomposites reinforced with cellulose based nanofillers extracted from sunflower stalks were prepared by solvent casting technique. Two types of nanostructured fillers were used: cellulose nanofibrils (CNF) and cellulose nanocrystals (CNC). Cellulose nanocrystals (150-200 nm in length and 10 nm in diameter) were successfully extracted from sunflower stalks by an acid hydrolysis with a relatively high yield (21%), while a steam explosion treatment that involved alkali treatment with steam explosion, bleaching and mild acid hydrolysis coupled with steam explosion, was successfully applied, allowing the CNF extraction. The chemical characterization of CNC and CNF underlined that cellulose nanocrystals and cellulose nanofibrils showed the structure of cellulose II and cellulose I, respectively. After the extraction procedures, the obtained cellulosic nanomaterials, both CNC and CNF, were embedded in gluten natural matrix by using a sustainable and low cost water casting procedure. FESEM investigations highlighted that gluten based bionanocomposites showed a homogenous morphology, with the absence of visible cellulose nanoreinforcements; the presence of some holes induced by the processing procedure and more evident for Gluten CNF nanocomposites, was detected, affecting the optical properties and the gloss of the studied formulations. The different morphology and consequent dispersion of the cellulosic materials into the gluten matrix also

affected the barrier properties of the produced bionanocomposite formulations. CNC were, in fact, more efficient in reducing the permeability to gases, due to their ability to increase the tortuous path of gas molecules. On the contrary, the presence of some CNF agglomerates, as shown by optical microscopic images of Gluten_CNF based systems, negatively affected the barrier properties of these formulations, especially with the oxygen and in the case of the highest content of cellulose nanofibrils. Finally, the results of mechanical investigations underlined that all the studied bionanocomposite formulations, both Gluten_CNC and Gluten_CNF films, showed Young's modulus higher than neat gluten, highlighting the effect of reinforcement exerted by both CNC and CNF when embedded in gluten natural matrix, more evident for CNC.

The proposed study suggested the possibility to re-valorise agricultural wastes, such as sunflower stalks, by the extraction of added value high-performance cellulosic materials with potential

Acknowledgments

The authors acknowledge Coldiretti Terni (Italy) and Dr. Aleano Barbarossa for sunflower supply.

applications as reinforcement in natural polymer based bionanocomposites.

- 593 References
- 594 Akpinar, O., Levent, O., Sabanci, S., Uysal, R.S., Sapci B. (2011). Optimization and comparison of
- 595 dilute acid pretreatment of selected agricultural residues for recovery of xylose. *Bioresouces*, 6(4)
- 4103.
- 597 Berglund, D.R. (2007). Sunflower Production. North Dakota Agricultural Experiment Station and
- 598 North Dakota State University Extension Service. North Dakota State University Fargo, North
- Dakota 58105.

- 600 Cano, A., Jiménez, A., Cháfer, M., Gónzalez, C., & Chiralt, A. (2014). Effect of amylose:
- amylopectin ratio and rice bran addition on starch films properties. Carbohydrate Polymers, 111,
- **602** 543-555.
- 603 Cara, C., Ruiz, E., Ballesteros, I., Negro, M. J., & Castro, E. (2006). Enhanced enzymatic
- 604 hydrolysis of olive tree wood by steam explosion and alkaline peroxide delignification. *Process*
- 605 Biochemistry, 41(2), 423-429.
- 606 Cara, C., Ruiz, E., Ballesteros, M., Manzanares, P., Negro, M. J., & Castro, E. (2008). Production
- of fuel ethanol from steam-explosion pretreated olive tree pruning. *Fuel*, 87(6), 692-700.
- 608 Chaker, A., Alila, S., Mutjé, P., Vilar, M. R., & Boufi, S. (2013). Key role of the hemicellulose
- 609 content and the cell morphology on the nanofibrillation effectiveness of cellulose pulps. Cellulose,
- **610** *20*(6), 2863-2875.
- 611 Chen, H., Ferrari, C., Angiuli, M., Yao, J., Raspi, C., & Bramanti, E. (2010). Qualitative and
- quantitative analysis of wood samples by Fourier transform infrared spectroscopy and multivariate
- analysis. Carbohydrate Polymers, 82(3), 772-778.
- 614 Cherian, B. M., Pothan, L. A., Nguyen-Chung, T., Mennig, G., Kottaisamy, M., & Thomas, S.
- 615 (2008). A novel method for the synthesis of cellulose nanofibril whiskers from banana fibers and
- characterization. Journal of Agricultural and Food Chemistry, 56(14), 5617-5627.
- 617 Chevillard, A., Angellier-Coussy, H., Cuq, B., Guillard, V., César, G., Gontard, N., & Gastaldi, E.
- 618 (2011). How the biodegradability of wheat gluten-based agromaterial can be modulated by adding
- 619 nanoclays. Polymer Degradation and Stability, 96(12), 2088-2097.
- 620 Chirayil, C. J., Mathew, L., & Thomas, S. (2014). Review of recent research in nano cellulose
- preparation from different lignocellulosic fibers. Reviews on Advanced Materials Science, 37, 20-
- **622** 28.
- 623 Domenek, S., Feuilloley, P., Gratraud, J., Morel, M.-H., & Guilbert, S. (2004). Biodegradability of
- wheat gluten based bioplastics. *Chemosphere*, 54(4), 551-559.

- 625 Díaz, M. J., Cara, C., Ruiz, E., Pérez-Bonilla, M., & Castro, E. (2011). Hydrothermal pre-treatment
- and enzymatic hydrolysis of sunflower stalks. *Fuel*, 90(11), 3225-3229.
- 627 Figen, A., İsmail, O., & Pişkin, S. (2012). Devolatilization non-isothermal kinetic analysis of
- 628 agricultural stalks and application of TG-FT/IR analysis. Journal of Thermal Analysis and
- **629** *Calorimetry*, 107(3), 1177-1189.
- 630 Flandez, J., González Tovar, I., Bayer Resplandis, J., El Mansouri, N.-E., Vilaseca Morera, F., &
- 631 Mutjé Pujol, P. (2012). Management of corn stalk waste as reinforcement for polypropylene
- injection moulded composites. *BioResources*, 7, (2), 1836-1849.
- 633 Fortunati, E., Armentano, I., Zhou, Q., Iannoni, A., Saino, E., Visai, L., Berglund, L. A., & Kenny,
- 634 J. M. (2012). Multifunctional bionanocomposite films of poly(lactic acid), cellulose nanocrystals
- and silver nanoparticles. Carbohydrate Polymers, 87(2), 1596-1605.
- 636 Fortunati, E., Peltzer, M., Armentano, I., Jimenez, A., & Kenny, J. M. (2013). Combined effects of
- 637 cellulose nanocrystals and silver nanoparticles on the barrier and migration properties of PLA nano-
- **638** biocomposites. *Journal of Food Engineering*, 118(1), 117-124.
- 639 Fortunati, E., Puglia, D., Luzi, F., Santulli, C., Kenny, J. M., & Torre, L. (2013). Binary PVA bio-
- nanocomposites containing cellulose nanocrystals extracted from different natural sources: Part I.
- **641** *Carbohydrate Polymers*, 97(2), 825-836.
- 642 Fortunati, E., Puglia, D., Monti, M., Peponi, L., Santulli, C., Kenny, J. M., & Torre, L. (2013).
- 643 Extraction of Cellulose Nanocrystals from Phormium tenax Fibres. Journal of Polymers and the
- 644 Environment, 21(2), 319-328.
- 645 Fortunati, E., Rinaldi, S., Peltzer, M., Bloise, N., Visai, L., Armentano, I., Jimenez, A., Latterini, L.,
- 646 & Kenny, J. M. (2014). Nano-biocomposite films with modified cellulose nanocrystals and
- 647 synthesized silver nanoparticles. *Carbohydrate Polymers*, 101, 1122-1133.

- 648 Gwon, J. G., Lee, S. Y., Chun, S. J., Doh, G. H., & Kim, J. H. (2010). Effects of chemical
- treatments of hybrid fillers on the physical and thermal properties of wood plastic composites.
- 650 Composites Part A: Applied Science and Manufacturing, 41(10), 1491-1497.
- 651 Jonoobi, M., Harun, J., Mathew, A.P., Oksman, K. (2010). Composites Science and Technology, 70,
- **652** 1742-1747.
- 653 Jung, C.-D., Yu, J.-H., Eom, I.-Y., & Hong, K.-S. (2013). Sugar yields from sunflower stalks
- treated by hydrothermolysis and subsequent enzymatic hydrolysis. *Bioresource Technology*, 138(0),
- **655** 1-7.
- 656 Karimi, K., Shafiei, M., & Kumar, R. (2013). Progress in physical and chemical pretreatment of
- 657 lignocellulosic biomass. *Biofuel Technologies* (pp. 53-96): Springer.
- 658 Kayserilioglu, B. S., Bakir, U., Yilmaz, L., & Akkas, N. (2003). Drying temperature and relative
- 659 humidity effects on wheat gluten film properties. Journal of Agricultural and Food Chemistry,
- *51*(4), 964-968.
- 661 Khristova, P., Bentcheva, S., Karar, I. (1998). Soda-AQ pulp blends from kenaf and sunflower
- **662** stalks. *Bioresource Technology*, 66(2), 99-103.
- Kim, D.-Y., Nishiyama, Y., Wada, M., & Kuga, S. (2001). High-yield carbonization of cellulose by
- sulfuric acid impregnation. *Cellulose*, 8(1), 29-33.
- Kopania, E., Wietecha, J., Chiechanska, D. (2012). Studies on Isolation of cellulose fibers from
- waste plant biomass. Fibres and Texiles in estarn Europe, 20, 6B (96), 167-172.
- 667 Luzi, F., Fortunati, E., Puglia, D., Lavorgna, M., Santulli, C., Kenny, J. M., & Torre, L. (2014).
- 668 Optimized extraction of cellulose nanocrystals from pristine and carded hemp fibres. Industrial
- **669** *Crops and Products, 56*(0), 175-186.
- Mahy, M., Eycken, L., Oosterlinck, A., (1994). Evaluation of uniform color spaces developed after
- the adoption of CIELAB and CIELUV. Color Research & Application, 19 (2),105-121.

- 672 Marechal, V., & Rigal, L. (1999). Characterization of by-products of sunflower culture -
- 673 commercial applications for stalks and heads. *Industrial Crops and Products*, 10(3), 185-200.
- Mojumdar, S. C., Moresoli, C., Simon, L. C., & Legge, R. L. (2011). Edible wheat gluten (WG)
- protein films: preparation, thermal, mechanical and spectral properties. *Journal of Thermal Analysis*
- **676** and Calorimetry, 104(3), 929-936.
- Monlau, F., Barakat, A., Steyer, J. P., & Carrere, H. (2012). Comparison of seven types of thermo-
- 678 chemical pretreatments on the structural features and anaerobic digestion of sunflower stalks.
- **679** *Bioresource Technology, 120*(0), 241-247.
- 680 Mukherjee, T., Kao, N., Gupta, R.K., Quazi, N., Bhattacharya, S. (2016). Evaluating the state of
- dispersion on cellulosic biopolymer by rheology. Journal of Applied Polymers Science, doi:
- **682** 10.1002/APP.43200.
- Nasri-Nasrabadi, B., Mehrasa, M., Rafienia, M., Bonakdar, S., Behzad, T., & Gavanji, S. (2014).
- 684 Porous starch/cellulose nanofibers composite prepared by salt leaching technique for tissue
- engineering. Carbohydrate Polymers, 108, 232-238.
- Nozahic, V., & Amziane, S. (2012). Influence of sunflower aggregates surface treatments on
- 687 physical properties and adhesion with a mineral binder. Composites Part A: Applied Science and
- **688** *Manufacturing*, 43(11), 1837-1849.
- Pelissari, F. M., do Amaral Sobral, P. J., & Menegalli, F. C. (2014). Isolation and characterization
- of cellulose nanofibers from banana peels. *Cellulose*, 21(1), 417-432.
- Rafieian, F., Shahedi, M., Keramat, J., & Simonsen, J. (2014a). Mechanical, thermal and barrier
- 692 properties of nano-biocomposite based on gluten and carboxylated cellulose nanocrystals. *Industrial*
- **693** *Crops and Products, 53,* 282-288.
- 694 Rafieian, F., Shahedi, M., Keramat, J., & Simonsen, J. (2014b). Thermomechanical and
- 695 morphological properties of nanocomposite films from wheat gluten matrix and cellulose
- **696** nanofibrils. *Journal of Food Science*, 79(1), N100-N107.

- Ray, D., & Sarkar, B. K. (2001). Characterization of alkali-treated jute fibers for physical and
- 698 mechanical properties. *Journal of Applied Polymer Science*, 80(7), 1013-1020.
- 699 Rhim, J.-W., Park, H.-M., & Ha, C.-S. (2013). Bio-nanocomposites for food packaging
- **700** applications. *Progress in Polymer Science*, 38(10), 1629-1652.
- 701 Roohani, M., Habibi, Y., Belgacem, N. M., Ebrahim, G., Karimi, A. N., & Dufresne, A. (2008).
- 702 Cellulose whiskers reinforced polyvinyl alcohol copolymers nanocomposites. European Polymer
- **703** *Journal*, 44(8), 2489-2498.
- 704 Ruiz, E., Cara, C., Manzanares, P., Ballesteros, M., & Castro, E. (2008). Evaluation of steam
- 705 explosion pre-treatment for enzymatic hydrolysis of sunflower stalks. Enzyme and Microbial
- **706** *Technology*, 42(2), 160-166.
- 707 Ruiz, E., Romero, I., Moya, M., Cara, C., Vidal, J.D., Castro, E. (2013). Dilute sulfuric acid
- 708 pretreatment of sunflower stalks for sugar production. Bioresource Technology, 140, 292–298.
- 709 Song, Y., & Zheng, Q. (2009). Structure and properties of methylcellulose microfiber reinforced
- vheat gluten based green composites. *Industrial Crops and Products*, 29(2), 446-454.
- 711 Staggs, J. E. J. (2006). Discrete bond-weighted random scission of linear polymers. *Polymer*, 47(3),
- **712** 897-906.
- 713 Tunc, S., Angellier, H., Cahyana, Y., Chalier, P., Gontard, N., & Gastaldi, E. (2007). Functional
- 714 properties of wheat gluten/montmorillonite nanocomposite films processed by casting. *Journal of*
- **715** *Membrane Science*, 289(1), 159-168.
- 716 Vaithanomsat, P., Chuichulcherm, S., & Apiwatanapiwat, W. (2009). Bioethanol Production from
- 717 Enzymatically Saccharified Sunflower Stalks Using Steam Explosion as Pretreatment *International*
- 718 Scholarly and Scientific Research & Innovation 3(1), 88-91.
- 719 Varhegyi, G., Jakab, E., Till, F., & Szekely, T. (1989). Thermogravimetric-mass spectrometric
- 720 characterization of the thermal decomposition of sunflower stem. *Energy & Fuels*, 3(6), 755-760.

- Wang, B., Sain, M., & Oksman, K. (2007). Study of structural morphology of hemp fiber from the
- micro to the nanoscale. *Applied Composite Materials*, 14(2), 89-103.

723

- 724 Figure and table captions
- 725 Figure 1: Scheme of the extraction procedure of cellulose nanocrystals: *Panel A*: Sunflower stalks
- 726 chemical pre-treatment. Panel B: CNC extraction. Panel C: Scheme of the extraction procedure of
- 727 cellulose nanofibers by steam explosion coupled with mild acid hydrolysis.
- 728 Figure 2: Morphological appearance of raw material (a and b), pre-treated fibres (c) and novel
- extracted CNC (d, and d-insert: birefringence image of CNC solution). DTG curves (e) and FT-IR
- 730 spectra (f) of pristine, pre-treated fibres and extracted CNC.
- 731 Figure 3: Characterization of CNF extracted by steam explosion: TEM (a), XRD (b), FTIR (c) and
- 732 crystallinity values (d).
- **733 Figure 4:** CNC *vs* CNF: FTIR (a) and XRD (b) analyses.
- **734 Figure 5:** Morphological investigation of gluten based nanocomposites.
- 735 Figure 6: Thermal properties (a, DTG curves) and XRD (b) analyses of gluten based
- nanocomposites.

737

- **Table 1:** Internal transmittance (Ti) at 450 nm, gloss values at 60° and colour coordinates for gluten
- 739 based bionanocomposites.
- **Table 2:** Thermal, mechanical and barrier properties of gluten based bionanocomposites.

 $\textbf{Table 1:} \ Internal\ transmittance\ (T_i)\ at\ 450\ nm,\ gloss\ values\ at\ 60^o\ and\ colour\ coordinates\ for\ gluten\ based\ bionanocomposites.$

Formulations	Internal transmittance	Gloss Values	Colour Coordinates			
	T _i (450nm)	Gloss 60°	\mathbf{L}^*	\mathbf{C}^*	\mathbf{h}^*	ΔE^*
Gluten	58.5±1.3 ^a	54.64±1.56°	65.88 ± 0.71^{ab}	23.94±0.02°	87.64±0.27 °	-
Gluten_1CNC	60.7 ± 1.7^{a}	58.03 ± 1.32^{a}	66.60 ± 0.17^{a}	23.44 ± 0.05^{b}	87.58±0.16°	0.087
Gluten_3CNC	64.1 ± 1.5^{b}	64.00 ± 5.99^{d}	67.22 ± 0.66^{b}	23.02±0.07 a	86.44±0.20°	1.69
Gluten_1CNF	59.6 ± 0.6^{a}	49.96 ± 1.73^{b}	65.86 ± 0.37^{a}	23.49 ± 0.08^{b}	86.94 ± 0.24^{ab}	0.53
Gluten_3CNF	60.7 ± 0.5^{a}	21.50±1.11°	66.97 ± 0.37^{ab}	24.11±0.13 °	86.94 ± 0.24^{bc}	1.12

Different superscripts within the same column indicate significant differences among formulations (p<0.05).

Table 2: Thermal properties, mechanical and barrier properties of gluten based bionanocomposites.

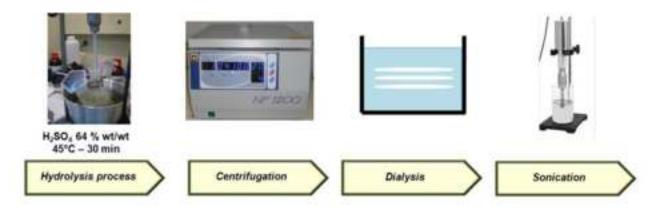
E	Thermal properties						
Formulations	DTG II peak (°C)	DTG max (°C)	Residual mass (%) at 800 °C	T _g (°C)			
Gluten	248	317	18.0	107.9±0.1 ^a			
Gluten_1CNC	252	316	18.5	109.4 ± 0.4^{b}			
Gluten_3CNC	251	315	18.2	111.8 ± 0.4^{c}			
Gluten_1CNF	239	310	18.5	109.7 ± 0.5^{bc}			
Gluten_3CNF	228	307	20.3	109.7 ± 0.7^{c}			
	Barrier properties						
	OP (cm ³ m ⁻¹ s ⁻¹ Pa ⁻¹)	10 ¹³ WVP	(11-53%RH)	WVP (100-53%RH)			
	OP (cm m s Pa)	(g mn	nkPa ⁻¹ h ⁻¹ m ⁻²)	(g mmkPa ⁻¹ h ⁻¹ m ⁻²)			
Gluten	1.21 ± 0.087^{ab}	0.0	071 ± 0.003^{a}	5.214 ± 0.467^{a}			
Gluten_1CNC	1.00 ± 0.0296^{c}	0.0	047 ± 0.008^{b}	5.037 ± 0.036^{a}			
Gluten_3CNC	1.07 ± 0.0356^{ac}	0.0	048 ± 0.005^{b}	5.607 ± 0.514^{a}			
Gluten_1CNF	1.08 ± 0.105^{ac}	0.0	063 ± 0.007^{a}	$5.000\pm0.400^{\rm a}$			
Gluten_3CNF	$1.37 \pm 0.145^{\rm b}$	0.0	065 ± 0.002^{a}	5.572±0.290°			
	Mechanical properties						
	σ _b (MPa)		ε _b (%)	E _{Young} (MPa)			
Gluten	10.7±1.1 ^a		100±30 ^a	300±40 ^a			
Gluten_1CNC	12.8 ± 2.6^{a}		100 ± 30^{a}	500 ± 60^{c}			
Gluten_3CNC	10.1 ± 1.8^{a}		100 ± 30^{a}	440 ± 60^{bc}			
Gluten_1CNF	12.9 ± 2.2^{a}		70±20 ^a	410 ± 60^{bc}			
Gluten_3CNF	10.9 ± 2.1^{a}		70 ± 10^{a} 400 ± 70^{ab}				

Gluten_3CNF 10.9 \pm 2.1^a 70 \pm 10^a 400 \pm 70^c Different superscripts within the same column indicate significant differences among formulations (p<0.05).

Panel A: Sunflower stalk chemical pre-treatment



Panel B: CNC extraction



Panel C: CNF extraction

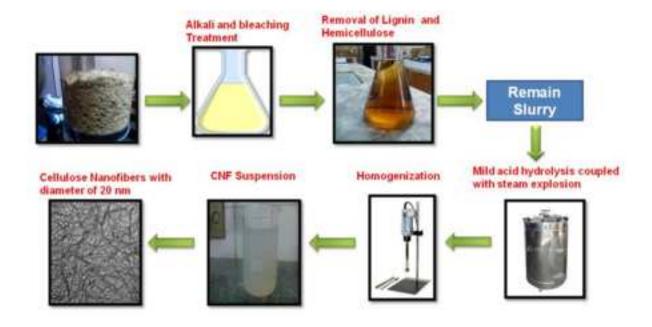


Figure 2 Click here to download high resolution image

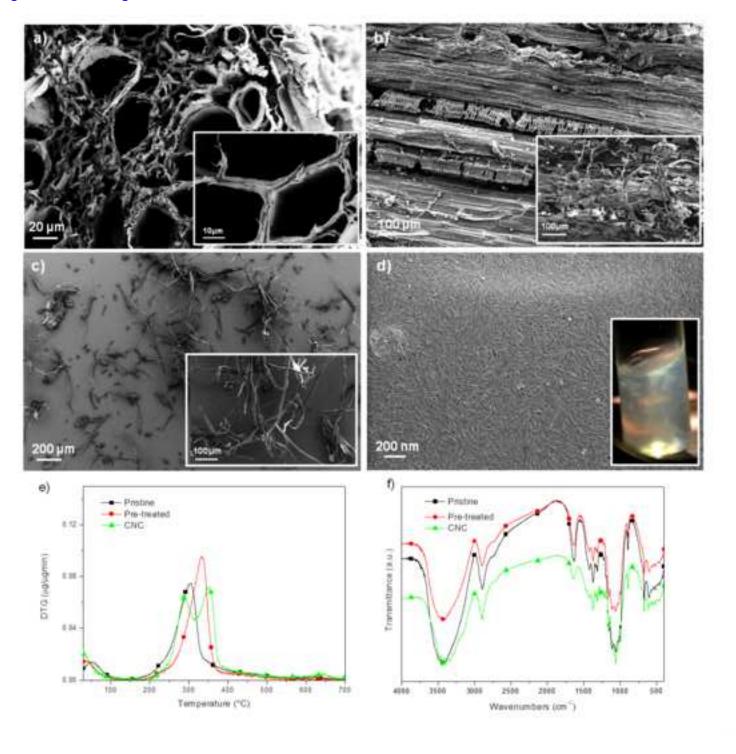
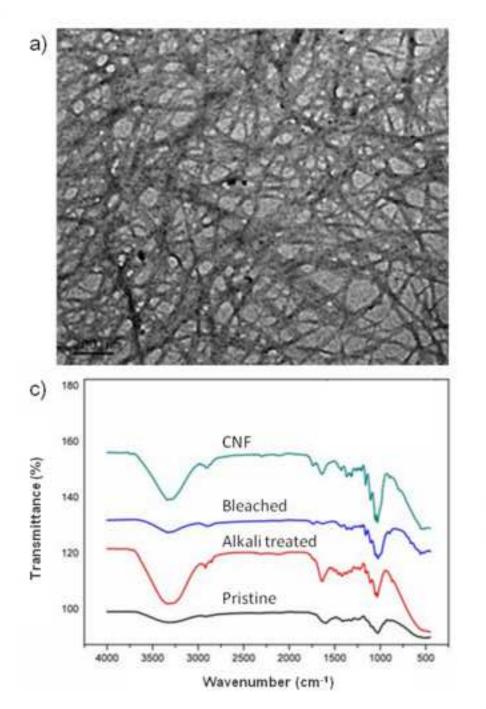
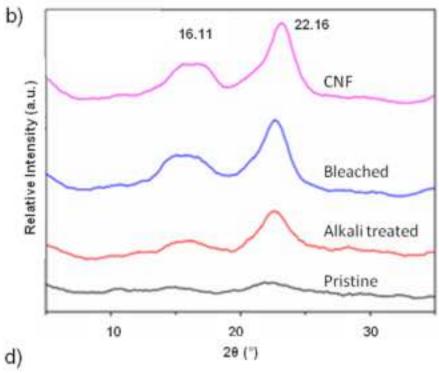


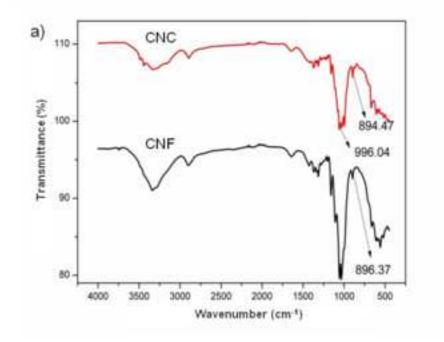
Figure 3
Click here to download high resolution image





Fiber	Crystallinity Index (%)		
Pristine	31.6		
Alkali treated	52.7		
Bleached	89.1		
CNF	93.7		

Figure 4 Click here to download high resolution image



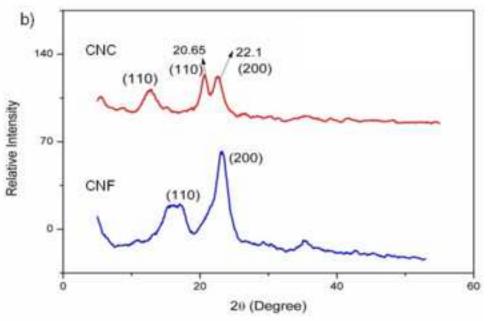


Figure 5
Click here to download high resolution image

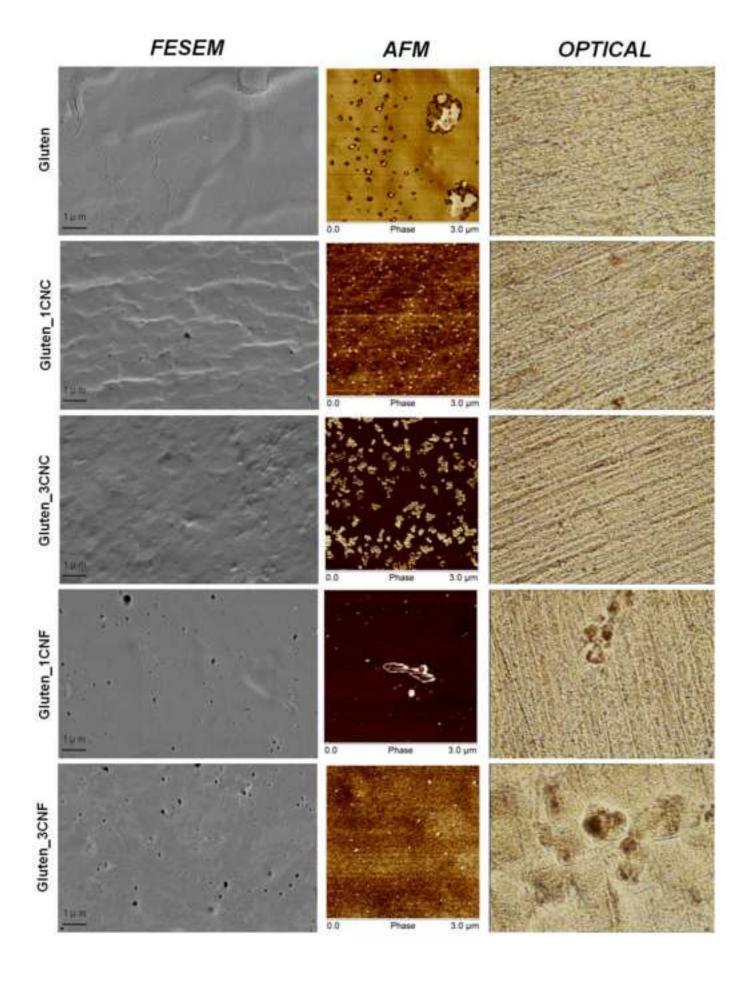


Figure 6
Click here to download high resolution image

