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Additional Information

1 **Effect of acetaldehyde addition on the phenolic substances**  
2 **and volatile compounds of red Tempranillo wines**

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4 J. L. ALEIXANDRE-TUDO<sup>1,3</sup>, V. LIZAMA<sup>3</sup>, I. ÁLVAREZ<sup>3</sup>, H.  
5 NIEUWOUDT<sup>2</sup>, M. J. GARCÍA<sup>3</sup>, J. L. ALEIXANDRE<sup>3</sup> and W. J. DU TOIT<sup>1,2</sup>

6  
7 <sup>1</sup>Department of Viticulture and Oenology and <sup>2</sup>Institute for Wine Biotechnology,  
8 Stellenbosch University, Matieland, 7602, South Africa; <sup>3</sup>Departamento de Tecnología  
9 de Alimentos, Universitat Politecnica de Valencia, Valencia, Spain

10  
11 Corresponding author: Professor Wessel du Toit, email [wdutoit@sun.ac.za](mailto:wdutoit@sun.ac.za)

12  
13 **Short title: Effect of acetaldehyde addition on red wines**

21

22

### Abstract

23 **Background and Aims:** The introduction of controlled amounts of oxygen into red  
24 wines influences the composition of the phenolic substances and volatiles, and therefore  
25 the sensory properties of the wines. The main aim of this study was to evaluate the  
26 impact of a simulation of the micro-oxygenation technique, through acetaldehyde  
27 addition (AA), on colour, and the composition of phenolic substances and volatiles of  
28 Tempranillo wines after 12 months of bottle storage.

29 **Methods and Results:** The analytical and sensory data were subjected to ANOVA,  
30 principal component analysis (PCA) and orthogonal projection in latent structures  
31 discriminant analysis (OPLS-DA). Addition of acetaldehyde led to an increase in the  
32 anthocyanin fraction in coloured form, thus increasing wine colour, together with a  
33 change in the composition of the volatiles. Acetaldehyde addition appeared to strongly  
34 impact wine ester composition, with fatty acids and volatile phenols also being affected  
35 by AA; furthermore AA positively influenced the concentration of volatiles.

36 **Conclusions:** Acetaldehyde addition to red wine caused an increase in the polymeric  
37 fraction of the phenolic substances with its corresponding effect on wine colour density  
38 and astringency index. Moreover the volatiles fraction has been better protected during  
39 ageing in wines to which acetaldehyde has been added. The acetaldehyde treatments  
40 therefore led to a clear difference in the chemical composition of the wines.

41 **Significance of the Study:** An increase in acetaldehyde in red wines can lead to  
42 profound changes in the composition of phenolic substances and volatiles of the wines  
43 and may serve as an alternative to oxygen addition in red wines where oxidation is  
44 studied.

45

46 **Keywords:** *acetaldehyde, phenolic substances, volatiles, wine aging, OPLS-DA*

47

## 48 **Introduction**

49 The sensory properties of red wines depend mainly on the composition of phenolic  
50 substances and volatiles. Anthocyanins, polymeric pigments, flavanols and their  
51 polymers (tannins) are phenolic substances that have a considerable impact on the  
52 sensory characteristics of red wine (Somers 1971). Wine aroma is one of the most  
53 influential properties on consumer preference and is mostly determined by the  
54 composition of the volatiles. The combination and concentration of volatile compounds  
55 contribute to the overall wine aroma (Etievant 1991). Vine growing and winemaking  
56 techniques may influence their concentration in wine (Perez-Prieto et al. 2003).

57       Micro-oxygenation (MOX) is a winemaking process in which small, controllable  
58 amounts of oxygen are introduced into red wines (Gomez-Plaza and Cano-Lopez 2011).  
59 The benefits claimed with this practice include improved wine colour and stability  
60 (Mateus et al. 2002) and more complex sensory characteristics (Vidal et al. 2004,  
61 Monagas et al. 2005). Moreover, the possible benefits of applying this technique include  
62 an improvement of yeast performance during alcoholic fermentation (du Toit et al.  
63 2006, Zoecklein 2007, Comfort 2008), a reduction of sulfur off-odours (Vidal and  
64 Aagaard 2008, Cejudo-Bastante et al. 2011c) and also the ability to mimic the reactions  
65 that occur during wine oak-aging (Cano-Lopez et al. 2007, Perez-Magariño et al. 2007,  
66 du Toit 2010). .

67 Acetaldehyde in wine after fermentation is thought to be formed from the  
68 oxidation of ethanol by peroxide or hydroxyl radicals (Singleton 1987, Waterhouse and  
69 Laurie 2006, Danilewicz et al. 2008, Elias et al. 2009, Elias and Waterhouse 2010).  
70 Micro-oxygenation also leads to acetaldehyde formation in wine, and its production has  
71 been suggested as a means of monitoring this process. The addition of acetaldehyde  
72 simulating MOX during fermentation (Sheridan and Elias 2015) and after bottling  
73 (Aleixandre-Tudó et al. 2013) has been used in the past.

74 Micro-oxygenation has also been considered to influence wine aroma (Tao et al.  
75 2007). To our knowledge the effect of acetaldehyde addition on the composition of  
76 wine volatiles has never been investigated, being the main novelty of our study.  
77 Contradictory results have been found in the literature regarding the effect of MOX.  
78 Cejudo-Bastante et al. (2011a,b,c) reported varying effects depending on the volatile  
79 group or the individual compound investigated. A slight difference was also found by  
80 Hernandez-Orte et al. (2009), who remarked that the effect of MOX depends to a great  
81 extent on the grape cultivar. In contrast, Ortega-Heras et al. (2008) did not observe  
82 significant change in the concentration of volatile compounds, concluding that the effect  
83 of MOX on wine volatiles depends on the main wine characteristics, such as cultivar,  
84 origin or vintage.

85 Orthogonal projection in latent structures discriminant analysis (OPLS-DA) has  
86 also been used in wine-related research. Pattern recognition methods, such as OPLS-DA  
87 have been used together with <sup>1</sup>H NMR to deal with complexity in data and to improve  
88 interpretation. Some of the practical applications of this technique include: the study of  
89 fermentation behaviour of different wine yeast strains (Son et al. 2009a); lactic acid  
90 bacteria alteration during fermentation (Lee et al. 2009a,b); and characterisation of

91 wines from grapes (Son et al. 2009b). The OPLS-DA method has also been successfully  
92 used to deal with omics data derived from different sources (Boccard and Rutledge  
93 2013). Finally, two more recently published reviews report the ability of OPLS-DA to  
94 deal with large and complex metabolomics data (Hong 2011, Fotakis et al. 2013). In  
95 contrast, as far as we know, OPLS-DA has not been employed to unravel the effect of  
96 different winemaking treatments, such as AA, on wine colour, and the composition of  
97 the phenolic substances and volatiles of wine.

98         The aim of this work was thus to evaluate the long-term impact of AA on the  
99 colour, phenolic substances and volatiles of red Tempranillo wines from Valencia,  
100 Spain 12 months after bottling. Discriminant techniques such as OPLS-DA were  
101 applied to investigate further the changes originated in the elaborated wines.

## 102 **Materials and methods**

### 103 *Materials*

104 Acetaldehyde (ACS reagent  $\geq 99.5\%$ ) and 2-octanol were purchased from Sigma-  
105 Aldrich (St Louis, MO, USA), hypodermic syringes (Plastipak 1 mL) and needles  
106 (Microlance3) from DB Medical (Drogheda, Ireland), and yeast *Saccharomyces*  
107 *cerevisiae* strain EP 841 and lactic acid bacteria *Oenococcus oeni* strain OE 104 from  
108 Agrovin (Ciudad Real, Spain).

### 109 *Wine samples*

110 Tempranillo grapes from 2008 were harvested in the Utiel-Requena region of Valencia,  
111 Spain and wines were made at the experimental cellar at the Universitat Politècnica de  
112 València (UPV). Manually harvested grapes were packed in 20 kg crates. Around 40 kg  
113 of grapes were divided into closed 50-L stainless steel tanks after destemming, crushing

114 and mixing. Sulfur dioxide was added at 100 mg/kg as potassium metabisulfite after  
115 malolactic fermentation (MLF). Traditional oenological practices were used throughout  
116 winemaking, with inoculation of *S. cerevisiae* strain EP 841 yeast at 20 g/hL according  
117 to the supplier's recommendations (). Fermentation temperature and sugar consumption  
118 were monitored daily. Skins were punched down manually twice a day. After alcoholic  
119 fermentation (residual sugar level lower than 2 g/L), the skins were pressed and 5 L of  
120 pressed wine was combined with 20L of free-run wine. The wines were inoculated with  
121 *O. oeni* strain OE 104 and held at room temperature (20 °C). The progress of the MLF  
122 was monitored by analysis of malic acid concentration with an anion exchange column  
123 colorimetric method. Sulfur dioxide was added to all the wines at 50 mg/L after MLF.  
124 Wines were bottled in 0.5-L bottles, closed under cork and stored at room temperature  
125 (25°C) for 3 months before acetaldehyde addition.

126 Three months after bottling and in order to reproduce the micro-oxygenation  
127 effect in the bottle, additions of an acetaldehyde solution to the wines through the cork  
128 were commenced with a hypodermic syringe (AA wines). Acetaldehyde was added  
129 every 2 days, for a total of 22 additions over a period of one and half months. A total  
130 amount of 11.3 µL acetaldehyde (equivalent of 4.5 mL of theoretical O<sub>2</sub>/L of wine) was  
131 added to the wines.

132 In the wine matrix oxygen can react with ethanol in an oxidation reaction which  
133 yields acetaldehyde.



135 Where 4.5 mL of O<sub>2</sub> (g) corresponds to 2×10<sup>-4</sup> moles of O<sub>2</sub> (1 mol of any gas  
136 corresponds to 22.4 L at 0°C and 101.325 Pa. From equation 1, theoretically 0.5 mol of  
137 O<sub>2</sub> produces 1 mol of acetaldehyde, therefore 4×10<sup>-4</sup> moles of CH<sub>3</sub>CHO will be

138 produced in the reaction, considering a theoretical 100% reaction yield. A total volume  
139 of  $2.26 \times 10^{-2}$  mL of  $\text{CH}_3\text{CHO}$  thus needs to be added (MW=44 and  $\rho=0.782$  g/mL). As  
140 0.5- L bottles were used in the study  $0.514 \mu\text{L}$   $\text{CH}_3\text{CHO}$  per sample were added at  
141 every application (22 applications).

142 In order to limit additional oxygen diffusion through the syringes into the  
143 bottles; needles were kept inserted in the corks with a sealed hypodermic syringe  
144 attached to them. Sixty-six wines were elaborated, 33 of which were AA wines, while  
145 the remainder were considered as control wines (Control) without any extra  
146 acetaldehyde addition.

#### 147 *Analytical methods*

148 Spectrophotometric and chromatographic analyses were undertaken with an UV-Visible  
149 JASCO V-530 spectrophotometer and with a JASCO MD-2010 Plus HPLC instrument  
150 coupled with a diode array detector (DAD) (JASCO LC-Net II/ADC, Tokyo, Japan).  
151 The wines were analysed after 12 months of ageing in bottles. Colour intensity, hue,  
152 gelatin (wine astringency) and EtOH indexes (tannin–polysaccharide interactions) were  
153 analysed according to Glories (1984). Anthocyanins bleached by bisulfite were  
154 quantified following the method described by Ribéreau-Gayon and Stonestreet (1965).  
155 The monomeric flavan-3-ols catechins were determined according to Sun et al. (1998)  
156 and proanthocyanindins by the methylcellulose tannin precipitation (MCP) assay  
157 according to the modification reported by Mercurio et al. (2007) based on the method  
158 developed by Sarneckis et al. (2006). The contribution of the copigmented  
159 anthocyanins, non-copigmented free anthocyanins and polymeric anthocyanins to the  
160 total wine colour was obtained according to Boulton (1996). Polyvinylpyrrolidone  
161 (PVPP) (anthocyanin-tannin interactions) and DMACH indexes (mean degree of



162 proanthocyanidins polymerisation) were calculated using the method described by  
163 Vivas and Glories (1995). The concentration of phenolic substances was determined  
164 with the Folin-Ciocalteu method (Singleton and Rossi 1965). All the spectrophotometric  
165 measurements were made in triplicate. Individual phenolic substances (phenolic acids,  
166 flavan-3-ols, flavonols, main anthocyanidins and acylated anthocyanins) were estimated  
167 with HPLC analysis according to Boido et al. (2006). The sum of anthocyanidins and  
168 acylated anthocyanins was used to calculate the concentration of anthocyanins. Method  
169 performance and data analysis are reported elsewhere (Aleixandre-Tudo et al. 2013).

170         The volatile composition of wines was analysed with an Agilent GC (Agilent  
171 Technologies, Waldbronn, Germany) equipped with a split/splitless capillary injection  
172 port and flame ionisation detector (FID). Volatiles were separated on a ZB-WAX Plus  
173 column (50 m x 0.25 mm i.d., 0.25  $\mu$ m film thickness) from Phenomenex  
174 (Aschaffenburg, Germany). The following conditions were used: injector temperature,  
175 250°C; detector temperature, 300°C; and carrier gas flow (N<sub>2</sub>), 1 ml/min. Injections  
176 were made in split mode (split ratio, 1/60; sample size, 1  $\mu$ L). The oven temperature  
177 was programmed as follows: 40°C for 7 min, from 40 to 110°C at 4 °C/min, from 110 to  
178 170°C at 10°C/min, and then held for 10 min. Injections were in duplicate. Volatile  
179 compounds were identified by comparing retention time with that of standard  
180 compounds and were quantified with 2-octanol as internal standard. Samples were  
181 prepared following a liquid–liquid extraction method proposed by Cocito et al. (1995)  
182 and further developed by Hernanz et al. (1999). Thirty-nine volatile compounds were  
183 identified, including alcohols, esters, organic/volatile acids, aldehydes, ketones, lactones  
184 and terpenes. Only the compounds that were detected in all the samples were selected  
185 and used for statistical data treatment.

186 *Statistical analysis*

187 The data of the colour, phenolic substances and volatiles of the wines were analysed by  
188 ANOVA with the Statgraphics Plus 5.1 software (Statpoint Technologies, Warrenton,  
189 VA, USA) Principal component analysis and OPLS-DA (Trygg and Wold 2002)  
190 analysis were also performed for the phenolic substances and volatile data using  
191 SIMCA version 13.0.3 software (www.umetrics.com). Discriminant analysis is a  
192 statistical treatment used to examine the set of variables associated with a given object  
193 and assigns the object to a group or class based on similarities and differences between  
194 variables.

195 Orthogonal projection in latent structures discriminant analysis provides a way  
196 to remove systematic variation from a data set X (phenolic substances or volatile  
197 compounds) not correlated to the response set Y (acetaldehyde addition or control  
198 wines), that is to remove variability in the composition of phenolic substances and  
199 volatiles that is orthogonal to acetaldehyde addition (information that does not explain  
200 differences between techniques) (Trygg and Wold 2002). A bi-plot including  
201 observations and variables was performed. Cross validated (CV) models were  
202 performed in the study. In CV, segments of the data set are kept out of model  
203 development in both X and Y. The segments kept out are compared with the references  
204 values after being predicted by the model. Seven CV segments are considered by default  
205 in SIMCA modelling. The process is repeated until all parts are kept out once.  
206 Moreover, using volatile data, OPLS-DA classification has been studied with the aim of  
207 identifying which group of volatile compounds would better classify Tempranillo wines  
208 with AA .

209 To further investigate the compounds that influence the aromatic profile of the  
210 elaborated wines an S-Plot was also performed. S-Plots provide visualisation of the  
211 cross validated OPLS-DA predictive component loadings. X variables located far out of  
212 the wings of the 'S' show high influence model reliability. Finally to further identify the  
213 volatile compounds that strongly influence each vinification technique a coefficients  
214 plot was also constructed. Coefficients plots rewrite as a regression model an OPLS  
215 model. Scaled and centred coefficients, which pertain to the predictive components,  
216 represent the change in the Y variable when the X variable varies one standard  
217 deviation. Significant coefficients, indicated by the error bars confidence intervals,  
218 show significant X variables when the coefficient does not contain 0.

## 219 **Results and discussion**

220 As previously mentioned the calculation of the total AA was done based on the  
221 oxidation of ethanol to acetaldehyde. In reality any source of oxygen may be able to  
222 oxidise ethanol and the reaction should be written as follows:



224 Our approach in this regard is based on the oxidation ability of the oxygen  
225 incorporated through the MOX process, without taking into account any other oxygen  
226 sources (Ribéreau-Gayon et al. 2006b). In our approach we therefore assumed that  
227 oxygen introduced in a MOX treatment (4.5 mL O<sub>2</sub>/L of wine) theoretically reacts  
228 exclusively with ethanol to form acetaldehyde. A total amount of 11.3 µL of  
229 acetaldehyde was therefore added to each AA wine, as mentioned in the Materials and  
230 methods section. As acetaldehyde is a volatile compound, 20 µL of a 2.6% acetaldehyde  
231 solution was incorporated at every addition.

232 Acetaldehyde is also known to strongly bind sulfur dioxide (SO<sub>2</sub>) in wine. As  
233 SO<sub>2</sub> was added to the wines at the end of MLF, acetaldehyde was added only 3 months  
234 after storage in bottles. Normally equilibrium is reached 4–5 days after the addition of  
235 SO<sub>2</sub> to wine, therefore no more binding of this antioxidant occurs. A decrease in SO<sub>2</sub>  
236 occurring afterwards is due to oxidation reactions which are catalysed by iron and  
237 copper ions. New combinations would happen only if the chemical composition of the  
238 wine is modified (Ribéreau-Gayon et al. 2006a). Moreover the effect of variable  
239 concentration of SO<sub>2</sub> in micro-oxygenated wines has been studied by Tao et al. (2007).  
240 A strong effect of micro-oxygenation on the wine's phenolic fraction was still observed  
241 where 50 mg/L of SO<sub>2</sub> was added. Moreover other studies also showed an important  
242 micro-oxygenation effect in wines where free SO<sub>2</sub> concentration was maintained at  
243 25–35 mg/L throughout the process (du Toit et al. 2006). Thus SO<sub>2</sub> was added as it is  
244 considered a common practice in wine industry applications.

245

#### 246 *Effect of acetaldehyde addition on wine colour and phenolic composition*

247 **ANOVA.** From the ANOVA analysis 17 out of 24 parameters showed a significant  
248 difference between treatments, which clearly points out the great impact that this  
249 technique has on the composition of wine phenolic substances, maintaining this effect  
250 even after 12 months of bottle aging. These differences include parameters related to  
251 wine colour, concentration of some individual phenolic substances and also with the  
252 interactions among phenolic substances (Table 1).

253

254 Colour density and hue were significantly different in AA wines (Table 1). The  
255 colour enhancing effect of acetaldehyde formation through micro-oxygenation on wine

256 has been extensively reported (Cano-López et al. 2006, 2007, 2008, 2010, Wirth et al.  
257 2010, Rayne et al. 2011). In addition, the higher hue values observed in AA wines  
258 suggests that a decrease in the free SO<sub>2</sub> concentration could be occurring. Cano-Lopez  
259 et al. (2010) also observed an increase in wine hue of micro-oxygenated wines after 6  
260 months of aging. The interaction between the acetaldehyde and SO<sub>2</sub> can have a large  
261 influence on the development of wine hue. Acetaldehyde strongly binds the preservative  
262 SO<sub>2</sub>, thereby reducing its antioxidative effect. Wines with high acetaldehyde  
263 concentration will require more SO<sub>2</sub> to achieve an adequate concentration of free or  
264 active SO<sub>2</sub>, since bound SO<sub>2</sub> does not have the same properties (Jackowetz et al. 2011).

265 The concentration of bisulfite-bleached anthocyanins, petunidin, malvidin,  
266 anthocyanidins and anthocyanins was lower in the AA wines. The presence of  
267 anthocyanins taking place in polymerisation reactions through ethyl-bridged linkages  
268 might be the reason of the observed results (Cejudo-Bastante et al. 2010, Gonzalez-del  
269 Pozo et al. 2010, Laurie et al. 2014,). This hypothesis is further supported by the higher  
270 colour density and polymeric anthocyanins fraction observed in the AA wines (Table 1).  
271 Tao et al. (2007) studied the effect of MOX at different SO<sub>2</sub> concentration and reported  
272 a significant decrease in the monomeric anthocyanins and flavan-3-ol fractions in wines  
273 with a lower SO<sub>2</sub> concentration, together with an increase in the polymeric pigments  
274 (Geldenhuys et al. 2012) and in the concentration of tannins. After a MOX treatment a  
275 decrease in the total red pigments occurred, but the proportion of pigments in red form  
276 increased (Atanasova et al. 2002, Fourie 2005). It was hypothesised that this  
277 transformation of colourless anthocyanins into the coloured form compensated for their  
278 loss and leads to an increase in colour density (du Toit et al. 2006).

279 The AA wines also contained a lower concentration of copigmented and free

280 non-copigmented anthocyanin fractions . Acetaldehyde addition also led to a lower  
281 concentration of phenolic acids, compounds which also can form pigments with the  
282 anthocyanins (Schwarz et al. 2003), and of flavonols. In contrast tannins and catechin  
283 (Tao et al. 2007) and their mean degree of polymerisation (DMACH index) showed a  
284 significantly higher concentration and higher degree of polymerisation of tannins in AA  
285 wines. Proanthocyanidins and flavan-3-ol monomers take part in polymerisation  
286 reactions with anthocyanins (Cejudo-Bastante et al. 2010). Acetaldehyde addition could  
287 have favoured these reactions, increasing the presence of these compounds at 12 months  
288 of storage.

289 **Principal component analysis.** The PCA bi-plot (Figure 1a) [principal components 1  
290 and 2 (PC1 and PC2)] induced 54.3% of the total variability), showed separation of the  
291 wines samples into two groups. Control wines were located towards the positive part of  
292 PC2, in contrast to the AA wines, which were positioned towards the negative side of  
293 PC2. Although samples did not appear perfectly separated, the distribution of the data  
294 suggests differences with the addition of acetaldehyde. Control wines were  
295 characterised by a higher concentration of bisulfite-bleaching anthocyanins, which were  
296 mainly in the free form, while having lower tannins polymerisation (higher DMACH  
297 index values). Other parameters related with control wines include higher concentration  
298 of phenolic substances (Folin index) together with more astringent wines (gelatin  
299 index). In contrast, AA wines were classified as wines where phenolic substances are  
300 taking part in polymerisation reactions, leading to more stable polymeric pigments after  
301 12 months of aging.

302

303 **OPLS-DA.** The main aim when applying OPLS-DA lies in its ability to use only the

304 information in the data set which is related to acetaldehyde addition. The bi-plot allows  
305 for the visual detection of the parameters highly related with each treatment. The bi-plot  
306 also leaves apart the orthogonal information correlated only with the phenolic  
307 substances analysed and non-correlated with the vinification treatments, helping thus in  
308 the interpretation of the results observed.

309

310 Figure 1(b) shows the cross validated OPLS-DA bi-plot compiled with the  
311 phenolic data. The analysis identified one predictive component which accounted for  
312 14.7% of the variation. The predictive component summarises the systematic  
313 information in X (phenolic substances) that is predictive to Y (treatments) (differences  
314 between treatments). Furthermore four orthogonal components were also identified. The  
315 first one accounts for 24.1% of the variability, the second one for 19.7% whilst the third  
316 and fourth account for 9 and 6% of the total variation, respectively. The orthogonal  
317 components express the systematic information that is unique to X, that is information  
318 in X that is orthogonal to Y (information within treatment).

319 Cross validated OPLS-DA resulted in a better separation between control and  
320 AA wines. Control wines appeared towards the negative-left side of PC1, while AA  
321 wines were in the positive-right side of the plot. Again acetaldehyde addition led to  
322 wines with more polymerised phenolic substances, highly influencing colour stability  
323 and astringency (Monagas et al. 2005, du Toit et al. 2006, Gonzalez del Pozo et al.  
324 2010, Arapitsas et al. 2012) after 12 months of aging.

325 *Effect of MOX on wine aroma composition*

326 **ANOVA.** As a first step, the concentration of the 20 quantified volatile compounds was  
327 subjected to ANOVA (Table 2). More than two-thirds of the volatiles showed a

328 significant difference between treatments, including fatty acids, esters and volatile  
329 phenols. Esters are responsible for fruity aromas in wines, and acids contribute to  
330 freshness and fruity aroma (Rodriguez-Bencomo et al. 2008). Thus, it appears that AA  
331 could strongly impact the volatile composition of red wine after 12 months of aging.  
332 Table 2 also shows the average concentration of the identified groups of volatiles. The  
333 compounds which showed higher concentration in wines were higher alcohols, followed  
334 by esters, acids and volatile phenols. Of all the volatile groups AA wines showed the  
335 higher concentration, although significant difference was observed only for total esters  
336 and volatile phenols. The results are not in accordance with those observed by  
337 Hernandez-Orte et al. (2009), who found a higher concentration of volatile phenols in  
338 non-MOX wines after 8 months of barrel aging. The concentration of volatile phenols  
339 might be increased by *Brettanomyces* as it has been shown that these microorganisms  
340 can grow even in wines containing a low level of oxygen (du Toit et al. 2006). The  
341 development of aerobic microorganisms such as acetic acid bacteria or *Brettanomyces*  
342 has been identified as a possible disadvantage of MOX (Gomez-Plaza and Cano-Lopez  
343 2011), although as an acetaldehyde solution was added to the wines, oxygen addition  
344 could not further explain the observed increase. Moreover Cejudo-Bastante et al.  
345 (2011b) cited a slight improvement of red wine aroma quality as a consequence of  
346 oxygen addition after 5 months of storage. Other authors indicate an increase in  
347 alcohols during MOX pre- and post-MLF (Schmarr et al. 2010). Finally, the  
348 concentration of total volatiles was significantly higher in AA wines. The effect of AA  
349 addition was clearly observed, but the compounds that are mainly responsible the  
350 differences between AA and control wines remains unclear at this point.

351



352 **PCA.** The data set (20 aromatic compounds and 63 wine samples) was subjected to  
353 PCA using the SIMCA software package in order to provide partial visualisation of the  
354 data in a reduced dimension (Figure 2a). Three samples were detected as clear outliers  
355 and were therefore not further included in the study. The first two principal components  
356 accounted for 55.2% of the variance (35% and 20.2% for PC1 and PC2, respectively).  
357 Samples appeared to be separated by the second PC. Control wines were located more  
358 towards the positive part of PC2, while AA wines were located predominantly at the  
359 negative side. Although such a separation of the wines was observed, almost half of the  
360 control and AA wines were located in the negative side of PC1 and thus the association  
361 between AA and their volatile profiles was not clear.

362 **OPLS-DA.** Orthogonal projection in latent structures discriminant analysis removes  
363 variability in the volatiles data that is orthogonal to the volatiles composition of the AA  
364 and control wines, that is it removes the variability in X that is not correlated to Y.  
365 Variables considered in the study represent the volatile compounds while two categories  
366 are tested corresponding to AA and control wines.

367

368 The analysis identified one predictive component (PC1) which accounts for  
369 19.7% of the variation (Figure 2b). Furthermore two orthogonal components were also  
370 identified. The first one accounts for 31.9% of the variability whilst the second one  
371 accounts for the 8% of the total variation. Cross validated OPLS-DA bi-plot exhibited a  
372 better separation among treatments, with AA wines located in the right side of the bi-  
373 plot while control wines are located in the negative side.

374 Compounds, such as fatty acids (hexanoic, decanoic, butyric and isobutyric  
375 acids), esters (isoamyl acetate, diethyl succinate, lactate and ethyl-3-hydroxybutyrate),

376 volatile phenols (2-methoxyphenol and 4-vinylphenol) and higher alcohols (2-  
377 phenylethanol and *cis*-3-hexen-1-ol), were related with AA wines. Fatty acids,  
378 compounds associated with lacteal and soapy notes, are formed during fermentation  
379 from the hydrolysis of the corresponding esters by yeast and lactic acid bacteria  
380 metabolism (Ortega-Heras et al. 2008). It appears, however, that these acids play a  
381 positive role in wine aroma as long as they are present at low concentration (Etievant  
382 1991, Ortega-Heras et al. 2008) and below the odour threshold level (Ferreira et al.  
383 2000). Moreover, higher alcohols are formed as a result of amino acid metabolism of  
384 the yeast during fermentation, and may also be formed from related aldehydes by  
385 reduction during yeast fermentation (Ferreira et al. 1995). Esters and higher alcohols  
386 particularly influence the aroma of the final wine. Factors, such as grape composition  
387 and winemaking techniques, can also play an important role in their final concentration  
388 (Rapp and Mandery 1986).

389         With the objective of identifying the volatile compounds mainly responsible for  
390 the aroma of AA wines, a cross validated OPLS-DA bi-plot (Figure 2c) was again  
391 performed, but in this case using only the statistically significant volatile compounds  
392 extracted from the ANOVA. One predictive component (PC1) accounting for 25.3% of  
393 the variance was identified. Moreover two orthogonal components were also identified,  
394 representing 27 and 11.6% of the variance, respectively. Compounds, such as the fatty  
395 acids, butyric, isobutyric, isopentanoic and decanoic acids, the esters, diethyl succinate,  
396 ethyl lactate and isoamyl acetate, and the volatile phenol 2-methoxyphenol, were the  
397 volatile compounds which might significantly characterise AA wines aroma.

398         Even though the main purpose of the OPLS-DA is the increased interpretation  
399 clarity and simplicity, the results indicated the power of OPLS-DA analysis to augment

400 classification performance in cases where individual classes exhibit divergence in  
401 within-class variation (Bylesjö et al. 2006). The analysis clearly showed that differences  
402 between wines do exist and also which volatile compounds characterise AA wines.

403 **S-Plot.** To better understand the aroma of the AA wines a more individualised analysis  
404 is required. Figure 3 represents the S-Plot of the aroma-significant volatile compounds  
405 identified in the ANOVA. The volatile compounds, ethyl lactate and diethyl succinate,  
406 are located at the upper right extreme of the X variables distribution, highly influencing  
407 a change in the concentration of the volatile aromas due to acetaldehyde addition. In  
408 contrast the compounds octanoic acid and 4-ethylphenol are related to the control wines  
409 (lower extreme).

410 Ethyl lactate is an important aroma compound produced by yeast and acetic acid  
411 bacteria (Matthews et al. 2004, Swiegers et al. 2005). The hydrolysis of an ester  
412 substrate by esterase activity has been proposed as the pathway leading to these  
413 products (Swiegers et al. 2005). Ethyl lactate has been described as having a fruity,  
414 sweet and resembling pineapple aroma with candy brown nuances (Lloret et al. 2002).  
415 Ethyl lactate also gives a broader and fuller taste to the wine (Henick-Kling 1993). A  
416 detection threshold between 60–110 mg/L has been proposed by Dittrich (1987); AA  
417 wines had an ethyl lactate concentration below the threshold level (30.6 mg/L), but  
418 significantly higher than that found in the control wines.

419 Significant changes in wine aroma occur during maturation and aging. During  
420 wine storage, esters are hydrolysed and their fresh and fruity aroma is decreased or  
421 disappears (Perez-Coello et al. 2003). Concurrent with the degradation of esters,  
422 synthesis of new esters occurs, such as the formation of isoamyl acetate and diethyl  
423 succinate (Rapp and Mandery 1986). Diethyl succinate has been found in high

424 concentration in aged wines (Alves et al. 2005). An increase in the concentration of the  
425 diethyl ester of succinic acid during storage in Riesling wines has also been observed  
426 (Rapp and Marais 1993). While a decrease in the majority of esters was found as storage  
427 time increases, in a study evaluating the differences in major volatile compounds of red  
428 wines during storage, an increase in the concentration of ethyl lactate and diethyl  
429 succinate was also observed. The authors suggest that this could be due to chemical  
430 esterification during the course of aging (Perez Prieto et al. 2003). The aroma of diethyl  
431 succinate has been described as mild, but fruity and reminiscent of watermelon (Jordan  
432 et al. 2002). The higher values observed in the AA wines indicate that acetaldehyde  
433 could play an important role in the evolution of this compound during ageing.

434 In contrast the C<sub>8</sub> fatty acid octanoic acid and the volatile phenol 4-ethylphenol  
435 are compounds which could contribute to the aroma of the control wines. Fatty acids  
436 are produced in the lipid metabolism of yeast (Schreier 1979) and also can be formed  
437 due to a hydrolysis of the corresponding esters (Perez Prieto et al. 2003). The odour  
438 threshold of octanoic acid has been established at 500 µg/L (Guth 1997, Ferreira et al.  
439 2000). The fatty acids at a concentration of 4 to 10 mg/L impart a mild and pleasant  
440 aroma to wine, however, at a concentration beyond 20 mg/L, their impact in wine  
441 becomes negative (Shinohara 1985, Pozo-Bayon et al. 2005). The descriptors rancid,  
442 harsh and cheesy have been proposed to describe the odour of this compound (Jiang and  
443 Zhang 2010). In contrast, fatty acids contribute to a fresh flavour and also help to  
444 modify the perception of other taste sensations (Ribéreau-Gayon et al. 2001). The  
445 concentration of octanoic acid was above the odour threshold (>500 µg/L) only in the  
446 control wines, and therefore this fatty acid could have an impact on aroma since its  
447 concentration was far below 20 mg/L when it contributes negatively to the wine.

448           The volatile phenol 4-ethylphenol (4-EP) is produced by the spoilage yeast  
449 *Brettanomyces* from the precursor *p*-coumaric acid (Chatonnet et al. 1992, 1995,  
450 Singleton 1995). When present at a concentration above the odour threshold (140 µg/L)  
451 the aroma of the wine is described as horsy, leather, animal, barnyard, medicinal, band-  
452 aids and mousy (Chatonnet et al. 1992, Towey and Waterhouse 1996). Although the  
453 concentration of this compound in the control wines was slightly higher than that in the  
454 AA wines, both treatments had a 4-EP concentration lower than the odour threshold  
455 and is therefore probably not contributing to the Brett aroma of the wines.

456 **Coefficients plot.** Figure 4 shows a coefficients plot for the comparison between AA  
457 and control wines. The significant parameters that have a major impact on the  
458 composition of the volatiles of the AA wines (significant) were, in this order, diethyl  
459 succinate, ethyl lactate (identified also in the S-plot analysis), 2-methoxyphenol and  
460 isopentanoic acid. In contrast the compounds octanoic acid (identified also in the S-plot)  
461 and 2-phenylethyl acetate, ethyl decanoate and ethyl hexanoate were also significant for  
462 the aroma of the control wines. Coefficients plot helped in the identification of the  
463 parameters that significantly influence the differences between treatments, also  
464 providing information on how strong its influence is on wine aroma composition.

465           The commonly known defect cork taint, which is attributed to the cork stopper,  
466 is applicable to the contamination of wine expressing a serious off-odour (Alvarez-  
467 Rodriguez et al. 2003). 2-Methoxyphenol (guaiacol) has been found in cork-tainted  
468 wines and could be partially contributing to this fault. The sensory attributes associated  
469 with guaiacol, a compound resulting from lignin degradation, are phenolic, medicinal,  
470 wood, sweet, spicy and smoky. The perception threshold in red wine was established at  
471 75 µg/L (Boidron et al. 1988). The compound is thought to be an intermediate in the

472 degradation of vanillic acid, via catechol (Li and Rosaza 2000), as a result of an  
473 enzymatic non-oxidative decarboxylation reaction (Chow et al. 1999). Moreover this  
474 compound has also been identified from red grape juice, formed from grape shikimic  
475 acid derivatives, at a concentration up to 50 µg/L. The concentration of 2-methoxyphenol  
476 in AA wines was higher than the perception threshold and therefore this compound  
477 might highly influence wine aroma. Simpson et al. (1986) reported guaiacol to be  
478 responsible for an off-flavour when the concentration ranged from 0.07 and 2.63 mg/L.  
479 The aroma composition and the interaction between volatile compounds would define if  
480 the observed guaiacol concentration is conferring an unpleasant aroma to the wines,  
481 although this can only be determined by a sensory analysis.

482 Finally, isopentanoic acid (valeric acid), a C<sub>5</sub> fatty acid, is thought to impart  
483 cheesy and rancid aromas to the wine. Its perception threshold has been fixed at 3 mg/L  
484 (Fazzalari 1978). As reported previously, at lower concentration, fatty acids can  
485 contribute to wine volatile profile, imparting mild and pleasant attributes, but the wines  
486 in this study showed a concentration far below the perception threshold, and it is highly  
487 improbable that this compound influences wine aroma. It is important to mention here  
488 that the statistical treatment helped in the interpretation of the treatment differences, but  
489 further work is required when wine aroma wants to be defined.

490 Regarding control wines three esters were identified as potential important  
491 compounds. Specifically 2-phenylethyl acetate, ethyl hexanoate and ethyl decanoate  
492 were detected as significant compounds. 2-Phenylethyl acetate has been described as  
493 floral, honey and rose with a perception threshold of 250 µg/L (Ferreira et al. 2000).  
494 The concentration found in control wines was close to the perception threshold. In  
495 contrast ethyl hexanoate has been mentioned as imparting apple peel, fruit, banana,

496 strawberry, violets, apple and anise notes and and decanoate soap, fruit, floral, grape,  
497 fatty and pleasant, respectively. Their perception threshold has been established at 14  
498 and 200  $\mu\text{g/L}$ , respectively. The concentration in the control wines was much higher  
499 than the perception threshold for both compounds and are they thus considered as  
500 important impact odorants of the control wines. Based on these results it  
501 seappearedemed that acetaldehyde addition had a strong impact on wine esters  
502 modifying the profile of these compounds in the elaborated wines.

503

#### 504 *OPLS-DA misclassification*

505 Cross validated OPLS-DA classification has been applied to the volatiles data set with  
506 the aim to identify which group of volatile compounds would better classify  
507 Tempranillo wines. When performing a classification, better ability to classify indirectly  
508 explains larger differences between treatments. Acetaldehyde addition classification  
509 appears in Table 3. Esters and fatty acids appeared as the groups of compounds with  
510 higher accuracy since 90.91% of the samples were classified correctly. This led us to  
511 consider that AA increases the presence of esters and fatty acids in Tempranillo wines.  
512 In contrast volatile phenols, lactones and higher alcohols showed 74.24, 59.09 and  
513 51.52% accuracy, respectively. Further classifications considering only the significant  
514 volatile compounds as well as considering all the quantified volatile compounds were  
515 also performed. The results show ahigh prediction accuracy for both models (95.45 and  
516 96.97%, respectively) highlighting once more the strong impact that this technique has  
517 on the composition of the volatiles of Tempranillo wines at 12 months of bottle storage.

518

#### 519 **Conclusion**

520 Acetaldehyde addition can affect the colour and the composition of the phenolic  
521 substances and volatiles of Tempranillo wines after 12 months of aging. Wines to which  
522 acetaldehyde was added had better colour and a higher concentration of polymeric  
523 pigments together with a decrease in astringency. Moreover changes in the volatile  
524 fraction were also observed with esters and fatty acids being mainly affected. The  
525 changes in aroma and flavour, however, that this technique induces need to be further  
526 confirmed with sensory analysis, and therefore the conclusions presented in this study  
527 must be carefully considered. Even though AA is not legal and this compound is  
528 considered potentially a carcinogen the total amount added (17.6 mg/L) is far below the  
529 concentration found in some commercial wines. Acetaldehyde additions to red wine  
530 thus appear as a viable alternative to study MOX if MOX facilities are not available.

531 It has also been demonstrated in this study that discriminant techniques used as a  
532 means of interpretation, by representing bi-plot graphs, can be used to identify the  
533 chemical parameters that better characterise each individual treatment. After OPLS-DA,  
534 the loadings of the predictive component (S-plot) also allowed the targeting of the  
535 individual compounds that could potentially influence wine aroma; and finally, the  
536 coefficients plot indicated the volatile compounds that are statistically significant.

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909 **Figure 1.** Colour and phenolic (a) principal component analysis (PCA) bi-plot (PC:  
 910 principal component) and (b) cross validated orthogonal projection on latent structures  
 911 discriminant analysis (OPLS-DA) bi-plot (PC: predictive component; OC: orthogonal  
 912 component) of control and acetaldehyde added wines. Control wines without  
 913 acetaldehyde added (●) and wines with acetaldehyde added(◆). CDe: color density;  
 914 BBA: bisulfite bleached anthocyanins (mg/L); Del: delphinidine (mg/L); Cya: cyanidine  
 915 (mg/L); Pet: petunidine (mg/L); Peo: peonidine (mg/L); Mal: malvidine (mg/L); And:  
 916 anthocyanidins (mg/L); ADe: anthocyanin derivates (mg/L); Ant: anthocyanins (mg/L);  
 917 %CA: % copigmented anthocyanins; %FA: % free anthocyanins; %PA: % polymerized  
 918 anthocyanins; PVI: PVPP index; FoI: Folin index; PhA: phenolic acids (mg/L); Flo:  
 919 flavonols (mg/L); Catechins (mg/L); Fla: flavan-3-ols (mg/L); Tan: tannins (g/L); DMI:  
 920 DMACH index; EtI: ethanol index; GeI: gelatin index.

921

922 **Figure 2.** Volatile data acetaldehyde addition based (a) PCA bi-plot (PC:  
 923 principal component), (b) cross validated OPLS-DA bi-plot and (c) CV OPLS-DA bi-  
 924 plot using only the significant compounds identified in the ANOVA (PC: predictive  
 925 component; OC: orthogonal component). Control wines without acetaldehyde added (●)  
 926 and wines with acetaldehyde added (◆). IsA: isoamyl acetate; EHe: ethyl hexanoate;  
 927 ELA: ethyl lactate; C3H: *cis*-3-hexenol; EhB: ethyl-3-hydroxybutyrate, IbA: isobutyric  
 928 acid; 4Vp: 4-vinylphenol; BtA: butiric acid; EDe: ethyl decanoate; Btl:  $\gamma$ -butyrolactone;  
 929 IpA: isopentanoic acid; DeS: diethyl succinate; 2PA: 2-phenylethyl acetate; HeA:  
 930 hexanoic acid; 2Mp: 2-methoxyphenol; 2Pe: 2-phenylethanol; 4Eg: 4-ethylguaiaicol;  
 931 OcA: octanoic acid; 4Ep: 4-ethylphenol; DeA: decanoic acid.

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933 **Figure 3.** S-Plot of the ANOVA significant aroma compounds (X variables) of wines  
 934 with different acetaldehyde treatments. DeS, diethyl succinate; ELA, ethyl lactate; 2MP,  
 935 2-methoxyphenol; IpA, isopentanoic acid; IsA, isoamyl acetate; 4Ep, 4-ethylphenol;  
 936 BtA, butyric acid; IbA, isobutyric acid; DeA, decanoic acid; EHe, ethyl hexanoate; Ede,  
 937 ethyl decanoate; 2PA, 2-phenylethyl acetate; OcA, octanoic acid.

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939 **Figure 4.** Coefficients plot considering the significant volatile compounds identified in  
 940 the ANOVA of wines elaborated with and without acetaldehyde addition. DeS, diethyl  
 941 succinate; ELA, ethyl lactate; 2MP, 2-methoxyphenol; IpA, isopentanoic acid; IsA,  
 942 isoamyl acetate; 4Ep, 4-ethylphenol; BtA, butyric acid; IbA, isobutyric acid; DeA,  
 943 decanoic acid; EHe, ethyl hexanoate; Ede, ethyl decanoate; 2PA, 2-phenylethyl acetate;  
 944 OcA, octanoic acid.